

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD	Adequacy Certification for Reception Facilities and Advance Notice – 33 CFR Part 158	OMB No. 1625- 0045 Exp: 03/31/2025
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Who must comply?	Owners and operators of certain waterfront facilities and vessels.
What is this collection about?	This information helps ensure that waterfront facilities are in compliance with reception facility standards. Advance notice information from vessels ensures effective management of reception facilities.
Where do I find the requirements for this information?	Title 33 CFR 158 is available at— https://www.eCFR.gov , select TITLE 33 – NAVIGATION AND NAVIGABLE WATERS, and follow to Part 158.
When must information be submitted to the Coast Guard?	<p>An Application for a Certificate of Adequacy (COA) must be submitted to the CG Captain of the Port (COTP) before operation.</p> <ul style="list-style-type: none"> • For a COA for Oil (Annex I waste), use form CG-5401A • For a COA for Noxious Liquid Substances (NLS) (Annex II waste), use form CG-5401B • For a COA for Garbage (Annex V waste), use form CG-5401C • For a COA for Annex VI waste, use form CG-5401D • For several COA waste types, use optional form CG-5401X <p>Forms are available at this LINK.</p> <p>Information from a vessel seeking to discharge waste must be sent to the reception facility at least 24-hours in advance of the discharge.</p> <p>A voluntary inadequacy report may be made by a vessel upon encountering problems in discharging waste to a port reception facility.</p>
How is the information submitted?	In writing or electronically via e-mail. Information may be submitted to the CG COTP at the local Sector Office. Contact info for CG COTPs can be found at— https://www.uscg.mil/Units/Organization/ .
What happens when complete information is received?	The CG reviews the information, and if it conforms to the regulations, issues a COA to the applicant. An inadequacy report is processed by the Port State Control Point (Commandant (CG-FAC-2)).
For additional information, contact--	<p>Your local CG Sector Office.</p> <ul style="list-style-type: none"> • A list of Coast Guard sectors, as part of a comprehensive list of Coast Guard units, can be found at https://www.uscg.mil/Units/Organization/.

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number.

The Coast Guard estimates that the average burden per response for this report varies per information collection—about 15 minutes for an advance notice to a reception facility; 30 minutes for an air COA application; 45 minutes for a reception facility inadequacy report; 45 minutes to complete a garbage COA application; 1 hour for a COA change notification; 2 hours for a waiver; 3 hours to complete an oil or NLS COA application; and up to 10 hours to compete an appeal. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-FAC), U.S. Coast Guard Stop 7501, 2703 Martin Luther King Jr Ave SE, Washington, DC 20593-7501 or Office of Management and Budget, Paperwork Reduction Project (1625-0045), Washington, DC 20503.