|  |  |  |
| --- | --- | --- |
| U.S. DEPARTMENT OF  HOMELAND SECURITY  U.S. COAST GUARD | Adequacy Certification for Reception Facilities and Advance Notice – 33 CFR Part 158 | OMB No. 1625-0045  Exp: 03/31/2025 |

|  |  |
| --- | --- |
| **Who must comply?** | Owners and operators of certain waterfront facilities and vessels. |
| **What is this collection about?** | This information helps ensure that waterfront facilities are in compliance with reception facility standards. Advance notice information from vessels ensures effective management of reception facilities. |
| **Where do I find the requirements for this information?** | Title 33 CFR 158 is available at—<https://www.eCFR.gov>, select TITLE 33 – Navigation and Navigable Waters, and follow to Part 158. |
| **When must information be submitted to the Coast Guard?** | An Application for a Certificate of Adequacy (COA) must be submitted to the CG Captain of the Port (COTP) before operation.   * For a COA for Oil (Annex I waste), use form CG-5401A * For a COA for Noxious Liquid Substances (NLS) (Annex II waste), use form CG-5401B * For a COA for Garbage (Annex V waste), use form CG-5401C * For a COA for Annex VI waste, use form CG-5401D * For several COA waste types, use optional form CG-5401X   Forms are available at this [LINK](https://www.dcms.uscg.mil/forms).  Information from a vessel seeking to discharge waste must be sent to the reception facility at least 24-hours in advance of the discharge.  A voluntary inadequacy report may be made by a vessel upon encountering problems in discharging waste to a port reception facility. |
| **How is the information submitted?** | In writing or electronically via e-mail. Information may be submitted to the CG COTP at the local Sector Office. Contact info for CG COTPs can be found at— <https://www.uscg.mil/Units/Organization/>. |
| **What happens when complete information is received?** | The CG reviews the information, and if it conforms to the regulations, issues a COA to the applicant. An inadequacy report is processed by the Port State Control Point (Commandant (CG-FAC-2)). |
| **For additional information, contact--** | Your local CG Sector Office.   * A list of Coast Guard sectors, as part of a comprehensive list of Coast Guard units, can be found at <https://www.uscg.mil/Units/Organization/>. |