

U.S. DEPARTMENT OF HOMELAND SECURITY U.S. COAST GUARD	Adequacy Certification for Reception Facilities and Advance Notice – 33 CFR Part 158	OMB No. 1625- 0045 Exp: 03/31/2025
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<b>Who must comply?</b>	Owners and operators of certain waterfront facilities and vessels.
<b>What is this collection about?</b>	This information helps ensure that waterfront facilities are in compliance with reception facility standards. Advance notice information from vessels ensures effective management of reception facilities.
<b>Where do I find the requirements for this information?</b>	Title 33 CFR 158 is available at— <a href="https://www.eCFR.gov">https://www.eCFR.gov</a> , select TITLE 33 – NAVIGATION AND NAVIGABLE WATERS, and follow to Part 158.
<b>When must information be submitted to the Coast Guard?</b>	<p>An Application for a Certificate of Adequacy (COA) must be submitted to the CG Captain of the Port (COTP) before operation.</p> <ul style="list-style-type: none"> <li>• For a COA for Oil (Annex I waste), use form CG-5401A</li> <li>• For a COA for Noxious Liquid Substances (NLS) (Annex II waste), use form CG-5401B</li> <li>• For a COA for Garbage (Annex V waste), use form CG-5401C</li> <li>• For a COA for Annex VI waste, use form CG-5401D</li> <li>• For several COA waste types, use optional form CG-5401X</li> </ul> <p>Forms are available at this <a href="#">LINK</a>.</p> <p>Information from a vessel seeking to discharge waste must be sent to the reception facility at least 24-hours in advance of the discharge.</p> <p>A voluntary inadequacy report may be made by a vessel upon encountering problems in discharging waste to a port reception facility.</p>
<b>How is the information submitted?</b>	In writing or electronically via e-mail. Information may be submitted to the CG COTP at the local Sector Office. Contact info for CG COTPs can be found at— <a href="https://www.uscg.mil/Units/Organization/">https://www.uscg.mil/Units/Organization/</a> .
<b>What happens when complete information is received?</b>	The CG reviews the information, and if it conforms to the regulations, issues a COA to the applicant. An inadequacy report is processed by the Port State Control Point (Commandant (CG-FAC-2)).
<b>For additional information, contact--</b>	<p>Your local CG Sector Office.</p> <ul style="list-style-type: none"> <li>• A list of Coast Guard sectors, as part of a comprehensive list of Coast Guard units, can be found at <a href="https://www.uscg.mil/Units/Organization/">https://www.uscg.mil/Units/Organization/</a>.</li> </ul>

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a valid OMB control number.

The Coast Guard estimates that the average burden per response for this report varies per information collection—about 15 minutes for an advance notice to a reception facility; 30 minutes for an air COA application; 45 minutes for a reception facility inadequacy report; 45 minutes to complete a garbage COA application; 1 hour for a COA change notification; 2 hours for a waiver; 3 hours to complete an oil or NLS COA application; and up to 10 hours to compete an appeal. You may submit any comments concerning the accuracy of this burden estimate or any suggestions for reducing the burden to: Commandant (CG-FAC), U.S. Coast Guard Stop 7501, 2703 Martin Luther King Jr Ave SE, Washington, DC 20593-7501 or Office of Management and Budget, Paperwork Reduction Project (1625-0045), Washington, DC 20503.