

**U.S. Department of Education's
Student Aid Internet Gateway
Enrollment Form
for
Postsecondary Educational Institutions
Institutional Third-Party Servicers
FFELP Guaranty Agencies and Guaranty Agency Servicers
Federal Loan Servicers
FFELP Lenders and Lender Servicers**

Federal Student Aid
An OFFICE of the U.S. DEPARTMENT of EDUCATION

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Information about the Enrollment Process to Participate in the U.S. Department of Education's Student Aid Internet Gateway

The *Student Aid Internet Gateway (SAIG)* was established to allow authorized entities, including postsecondary educational institutions, institutional third-party servicers, *Federal Family Education Loan Program (FFELP)* guaranty agencies and *guaranty agency (GA)* servicers, *FFELP lenders* and lender servicers, and *federal loan servicers*, to exchange data electronically with the *U.S. Department of Education (ED, Department)*. In order to participate in the SAIG, each entity must enroll for SAIG access. The enrollment process enables the organization enrolling to select services to receive, submit, view, and/or update student financial aid data online and by batch using ED provided software – *EDconnect* (PC-based software) or *TDClient* (client software for multiple environments).

Each entity must designate one individual as its *Primary Destination Point Administrator (Primary DPA)*. Each entity is provided with a Primary Destination Point (which is identified by a *TG/FT Number/Mailbox*). The Primary DPA is the only person within the organization who can enroll other staff as *Non-Primary Destination Point Administrators (Non-Primary DPAs)* with *Destination Points* assigned for specific SAIG services. Upon completing the enrollment process, the Primary DPA can add or remove users, edit information about the entity, or change the entity's enrollment in various SAIG services.

To access *Federal Student Aid (FSA)* Systems or other Federal agencies' systems for the purposes of administering the Higher Education Act (HEA) programs, the Primary DPA must validate the individuals enrolled for *SAIG Mailbox* and online services for the organization on a schedule determined by ED. If validation is not completed via the SAIG Enrollment website within the prescribed timeframe, all services assigned to the organization and individuals could be deactivated.

Notes about the SAIG Application –

The first usage of a term in this document is italicized and can be found in the glossary located in Attachment A. The glossary will assist in understanding the many technical terms and acronyms commonly used in the *Title IV, HEA student financial aid programs*.

The following entities are eligible to enroll in the SAIG:

- Postsecondary educational institutions that participate in the federal student financial aid programs authorized under Title IV, HEA,
- Organizations that contract with an eligible institution to perform Title IV, HEA related functions as a third-party servicer,
- *GAs* that have an agreement with ED under the *FFELP* or their *GA Servicers*,
- *FFELP Lenders* or their *FFELP Lender Servicers*,
- Federal Loan Servicers, and
- Other *Designated Entities* approved by ED.

Available Services

The services that can be accessed over the SAIG are:

1. *FAFSA Processing System (FPS)*, Submission of Application Data: FAFSA data, including corrections, can be entered and submitted over the SAIG. Processed FAFSA data is reported to institutions on the ISIR. To enroll a DPA with access to these services, complete Step One; Step Two, Section 1, Item 5; Step Three; and Step Four of this SAIG Enrollment Form. Note: Only one Destination Point (TG/FT Number/Mailbox) can be used to exchange data with **each** of the FPS applicant data functions (corrections, ISIRs).
2. *Federal Grant Services*: All participants in the *Federal Pell Grant (Pell Grant) Program*, the *Iraq and Afghanistan Service Grant Program*, and the *Teacher Education Assistance for College and Higher Education (TEACH) Grant Program* must transmit origination and disbursement data over the SAIG to the *Common Origination and Disbursement (COD)* system. To enroll for these services, complete Step One; Step Two, Section 1, Items 7 and 8; Step Three; and Step Four of this SAIG Enrollment Form.
3. *Federal Direct Loan (Direct Loan) Program*: The COD System enables Direct Loan origination, disbursement, and other required reporting information to be exchanged electronically through the SAIG. You can also request COD Online access for this service. To enroll for these services, complete Step One; Step Two, Section 1, Items 6 and 8; Step Three; and Step Four of this SAIG Enrollment Form.
4. *COD Online Service*: The Common Origination and Disbursement (COD) System enables users to perform a variety of functions related to student, award, and disbursement data for Grant services (Federal Pell Grant, Iraq and Afghanistan Service Grant and the Teacher Education Assistance for College and Higher Education (TEACH) Grant programs), Federal Direct Loans and Fiscal Operations and Application to Participate (FISAP) for the Campus-Based Programs which are the Federal Work Study (FWS), Federal Supplemental Educational Opportunity Grant (FSEOG), and Federal Perkins Loan. To enroll for COD Online service, complete Step One; Step Two, Section 1, Item 8; Step Three and Step Four of this SAIG Enrollment Form.
5. *National Student Loan Data System (NSLDS)*: NSLDS is a national database that collects and maintains individual student data for all Title IV, HEA student loan borrowers, and grant recipients. All institutions that participate in the Title IV, HEA student financial aid programs must have at least one Destination Point (TG Number/Mailbox) for NSLDS services that includes the online *Enrollment Reporting (formerly SSCR)* function for updating student enrollment and Financial Value Transparency (FVT)/*Gainful Employment (GE)* data, *Transfer Student Monitoring (TSM)*, overpayment update, and batch services for the *electronic Cohort Default Rate (eCDR)* and Financial Value Transparency/Gainful Employment rates. You can also request online NSLDS access to view and update Title IV, HEA loan, enrollment history information, FVT/GE data, and view federal grants. To enroll a DPA with a Destination Point for these services:
 - Postsecondary Educational Institutions and Institutional Third-Party Servicers must complete Step One; Step Two, Section 1, Items 10, 11, 12, and 13; Step Three; and Step Four of this SAIG Enrollment Form.
 - FFELP GA/GA Servicers and Federal Loan Servicers must complete Step One; Step Two, Section 2, Items 20 and 21; Step Three; and Step Four of this SAIG Enrollment Form.
 - FFELP Lenders and Lender Servicers must complete Step One; Step Two, Section 3, Item 26; Step Three; and Step Four of this SAIG Enrollment Form.

6. *Financial Management System (FMS)*: FMS is a centralized system for all FSA financial transactions. It allows Financial Partners (FP) users to collect, process, maintain, transmit, and report data about financial events. FMS also provides functionality to support financial planning and budgeting activities, to accumulate and report cost information, and to prepare financial statements. The *Lender Reporting System (LaRS)* enables FFELP Lenders or their Servicers to send financial reporting information to FSA's FMS using their SAIG mailbox. The *Guaranty Agency Financial Reporting (GAFR)* enables GAs to send financial reporting information to FSA's FMS using their SAIG mailbox. To enroll for this service:
 - FFELP Lenders and Lender Servicers enroll for LaRS by completing Step One; Step Two, Section 3, Item 25; Step Three; and Step Four of this SAIG Enrollment Form.
 - FFELP GAs or GA Servicers enroll for GAFR by completing Step One; Step Two, Section 2, Item 19; Step Three; and Step Four of this SAIG Enrollment Form.
7. *Debt Management and Collections System (DMCS)*: The DMCS houses all defaulted debts held by FSA. This includes, but is not limited to, FFELP loans assigned from GAs (*Mandatory Assignment Process*); Program Overpayments and Perkins loans assigned from schools; and all Direct Loan program defaults. The system also interfaces with other government agencies such as the U.S. Department of the Treasury for the *Treasury Offset Program (TOP)*, the Internal Revenue Service (IRS) for the *IRS Skip Trace* process, and the U.S. Department of Health and Human Services for the *National Directory of New Hires (NDNH)* information. To enroll for this service, complete Step One; Step Two, Section 2, Item 18; Step Three; and Step Four of this SAIG Enrollment Form.
8. *Total and Permanent Disability (TPD)* program: For borrowers who receive a TPD discharge based on a certification from an authorized medical professional or a qualifying Social Security Administration disability determination, the regulations require monitoring for receipt of new Direct Loans or TEACH Grants for a period of three years after the date the TPD discharge is granted. Borrowers who receive a new Direct Loan or TEACH Grant during the three-year post-discharge monitoring period are returned to active servicing status.
 - Postsecondary Educational Institutions and Institutional Third-Party Servicers must complete Step One; Step Two, Section 1, Item 15; Step Three; and Step Four of this SAIG Enrollment Form.
 - FFELP GAs or GA Servicers and Federal Loan Servicers that want to enroll for this service must complete Step One; Step Two, Section 2, Item 18; Step Three; and Step Four of this SAIG Enrollment Form.
 - FFELP Lenders and Lender Servicers that want to enroll for this service must complete Step One; Step Two, Section 3, Item 26; Step Three; and Step Four of this SAIG Enrollment Form.
9. *Income-Driven Repayment (IDR) Request Process*: The *Income-Driven Repayment (IDR) Request Process* was created on StudentAid.gov to allow borrowers to complete the required application electronically. The regulations for Income-Driven Repayment require borrowers to provide income information in support of the request or recertification of IBR, Pay As You Earn ICR and REPAYE/SAVE. For FFELP Lenders and Lender Servicers that want to enroll for this service (i.e., the ability to receive electronic applications with appended alternative documentation of income from borrowers), complete Step One; Step Two, Section 3, Item 25; Step Three; and Step Four of this SAIG Enrollment Form.
10. *Enterprise Complaint System (ECS) (now known as Customer Engagement Management System [CEMS])*. The ECS/CEMS provides online access for accepting, tracking, resolving, and storing complaints, reports of suspicious activity, and requests for loan forgiveness based on borrower defense to repayment, directly from customers.
 - Federal Loan Servicers that want to enroll for this service must complete Step One; Step Two, Section 2, Item 21; Step Three; and Step Four of this SAIG Enrollment Form.

Organization Personnel Involved in the SAIG Application Process

There are four categories of users at your organization who may obtain data from the Department's and other agencies' systems for the purposes of administering the HEA programs, and who must complete parts of the SAIG Application:

President/CEO or Designee: The President/CEO is the individual responsible for identifying a Primary DPA for the organization, and who is legally authorized to provide certification of the entity's DPAs for and on behalf of the organization and must sign the Certification of the President/CEO or Designee on behalf of the organization. The President/CEO may also assign a Designee in Step Four of this SAIG Enrollment Form to act as the Authorizing Official on behalf of the President/CEO for future enrollments.

Primary DPA: The Primary DPA is the individual at the organization who is responsible for the administration of the organization's SAIG participation which includes, assigning access to the organization's mailboxes and services and determining the organization's EDconnect users, TDClient users, and *Electronic Service Users* who are allowed access to the Destination Point (TG Number/Mailbox). The Primary DPA is the only individual within the organization who may enroll staff as Non-Primary DPAs. The Primary DPA is required to complete and submit Sections One through Three of the Enrollment Application to the Coralville, Iowa address provided. When enrolling Non-Primary DPAs, a portion of Step Three of the Enrollment Application must be completed by the Primary DPA indicating that the Primary DPA has reviewed the responsibilities of DPAs with the Non-Primary DPA, including the responsibility to protect the privacy of the information obtained or provided via SAIG. The Primary DPA is also responsible for obtaining the signature of the President/CEO or Designee in Step Four of this SAIG Enrollment Form for every enrollment of a Primary or Non-Primary DPA.

Non-Primary DPA: When a Primary DPA enrolls a Non-Primary DPA in the SAIG, ED will assign the Non-Primary DPA a Mailbox with a unique *TG/FT number*. The Non-Primary DPA is required to complete and submit Sections One through Three of the Enrollment Application to the Coralville, Iowa address provided.

Electronic Services User: The Electronic Services user is enrolled for FAFSA Online Services, EDconnect and is not provided a TG/FT Number/Mailbox. To protect the integrity and security of the data being transmitted, all Electronic Services users must complete and submit Attachment B – Federal Student Aid User of Electronic Services Statement to their Primary DPA (Attached to this SAIG Enrollment Form below). Attachment B must also be signed by and maintained by the Primary DPA at the organization. Attachment B User Statements must be available for inspection by the Department.

The Primary DPA may enroll more than one Non-Primary DPA with a separate Destination Point (TG/FT Number/Mailbox) for each service or function, or choose to combine all non-NSLDS Online services and functions through a single Destination Point (TG/FT Number/Mailbox). The number of Destination Points allowed per institution for each function is provided in Figure 1:

Table 1: Destination Points Allowed per Institution

Services	Number of Destination Points allowed per institution
FAFSA Services	One
Grant Services for Pell Grant, Iraq and Afghanistan Service Grant, and the TEACH Grant Processing/Batch Files	One
Direct Loan Program	One
FFELP and Direct Loan eCDR Packages, Batch	One
NSLDS Batch Files	One
NSLDS Online (*NSLDS limits the number of “GA/FLS Online Loan Update and Teacher Loan Forgiveness/Loan Discharge” enrollments to three combined services.)	*No Limit
FMS, LaRS and GAFR	One
COD Online	No Limit
ECS/CEMS Online	No Limit
IBR/Pay As You Earn/ICR	One
<i>Gainful Employment (GE) Notification</i>	One
Direct Loan Borrower Delinquency	One
Total and Permanent Disability (TPD) Loan Holder Notifications	One
Borrower Services (TOP, MAP, NDNH, IRS Skip Trace and TPD)	One

Completing the SAIG Enrollment Application

General Reminder:

The enrollment application may be completed online at <https://fsawebenroll.ed.gov>. As an alternative, the enrollment application can be printed on paper, completed, and submitted. **Note: The Department will not accept font-based or stamped signatures. Acceptable signatures include one of the following: (1) A wet signature that was drawn in ink and sent in its original format; (2) A wet signature that was drawn in ink and then digitized (for example, drawing your signature and taking a photo of it and placing that photo in the signature block); or (3) A digital signature that was drawn with your hand using a pointing device (like a digital pen, mouse, or trackpad) or a finger (like on a smartphone laptop). Applications submitted after the OMB Expiration Date noted on the bottom of each page will not be accepted.**

Completion and Submission of the Enrollment Application

If you have any questions about completing the SAIG Enrollment Application, call FPS Help Desk at **1-800-330-5947**. Technical support representatives are available 7 a.m. – 7 p.m. CT, Monday through Friday, excluding federal holidays. If you prefer, you can email inquiries to support@fps.ed.gov. A technical support specialist will respond to your email within one business day.

To complete the SAIG enrollment application, you will need the following information:

- Currently enrolled organizations will need their existing Primary Destination Point (TG Number/Mailbox) and the name of the Primary DPA. An FSA User ID and password are required to access enrollment information. To obtain an FSA User ID, go to <https://fsawebenroll.ed.gov/PMEnroll/PMAccountServlet.jrun>, provide identifying information, and follow the remaining registration steps. Once you have completed the registration process, including establishing your password, your FSA User ID will be e-mailed to you.
- Postsecondary institutions and their Servicers will need their *OPE ID* number. If your school participates in the Grant Services or Direct Loan Program, you will also need the institution's *Federal School Code* and/or Direct Loan Program code. To find these numbers, look at the reports your school has submitted for these programs in the past, such as your organization's Eligibility and Certification Approval Report (ECAR), and Program Participation Agreement (PPA). **You can also call ED's School Eligibility Service Group at 1-202-377-4277.**
- GAs and GA Servicers will need the GA code number assigned by ED.
- Lenders and Lender Servicers will need the Lender ID (LID) or Lender/Servicer ID number assigned by ED.
- Federal Loan Servicers will need the Federal Loan Servicer ID assigned by ED.
- All entities will need the name, address, phone number, *Social Security number (SSN)*, date of birth, mother's maiden name, and business email address for each DPA.

Step One – must be completed by the Primary DPA for all Non-Primary DPAs

Step One collects information about your organization and the DPA you want to enroll or for whom you are changing or adding information.

1. This SAIG enrollment application can be used for multiple purposes. As the Primary DPA, you may use the application to enroll new participants in the SAIG and you can use the application to change or add information about an existing DPA or Destination Point (TG/FT Number/Mailbox).
 - A. Check Box A for "Initial Enrollment" if the organization is a first-time SAIG participant and the organization does not have a TG Number/Mailbox. The President/CEO (Authorizing Official on file with ED) of the organization will be designating the individual who will be serving as the Primary DPA. This enrollment will establish this user as the Primary DPA of the Primary TG Number/Mailbox. Note: If item 1A is selected, then enrollees must also complete items 2, 3, and 4.
 - B. Check Box B for "Adding a new user to obtain an additional Destination Point" if your organization is enrolled in the SAIG and you want to add a Non-Primary DPA and an additional Destination Point (TG/FT Number/Mailbox). Also, enter the Primary TG Number for your organization. Note: If item 1B is selected, then enrollees must also complete items 2, 3, and 4.
 - C. Check Box C for "Changing information for existing Destination Point/Mailbox" if your organization is currently enrolled in the SAIG and you want to change or add any information about an existing user or Destination Point (TG/FT Number/Mailbox). Fill in the information that has changed for any items in Step One or Step Two, and complete Steps Three and Four. Also, enter the TG/FT Number for the existing destination point that you are changing. Note: If item 1C is selected, then enrollees must also complete items 2 and 4.

If you are uncertain of which box to check, call FPS Help Desk at 1-800-330-5947.

2. Provide the name of the organization, as you would like it to appear on all SAIG correspondence. (The limit is 40 characters, including numbers and spaces.)

3. Check the appropriate box to indicate your organization type.
 - Postsecondary educational institutions (schools) must fill out Step Two, Section 1.
 - Institutional third-party servicers must fill out Step Two, Section 1.
 - GAs must fill out Step Two, Section 2.
 - GA Servicers must fill out Step Two, Section 2.
 - Federal Loan Servicers must fill out Step Two, Section 2.
 - Lenders of FFELP student loans must fill out Step Two, Section 3.
 - Lender Servicers for FFELP student loans must fill out Step Two, Section 3.
4. Check whether the information being provided is for the Primary DPA (only one Primary DPA per organization) or for a Non-Primary DPA (the organization may have more than one Non-Primary DPA, see Figure 1). All information must be provided for the DPA enrolling for the organization identified in Item 2. Note: Do not use a group email address.

Step Two – must be completed by the Primary DPA when adding or changing services

Step Two allows you to add or change services for this organization and the Primary DPA or Non-Primary DPA identified in Step One. Complete only one section in Step Two based on your organization type.

- Postsecondary educational institutions and institutional third-party servicers go to Step Two, Section 1 for instructions.
- FFELP GAs and GA Servicers go to Step Two, Section 2 for instructions.
- Federal Loan Servicers go to Step Two, Section 2 for instructions.
- FFELP Lenders and Lender Servicers go to Step Two, Section 3 for instructions.

Step Two, Section 1 is for Postsecondary Educational Institutions and Institutional Third-Party Servicers

In Section 1, select the services your institution will use. The items below correspond to the numbers in the enrollment application.

5. If the DPA you are enrolling or for whom you are adding or changing services will send and receive student applicant data (FAFSAs and ISIRs) complete this section. If this enrollment is for a DPA that will not be involved in FAFSA Services applicant data transmissions, go to Item 6.
 - A. Complete all required information including the Federal School Code.
 - B. Check the boxes for the *award year(s)* this DPA should receive the applicant data. If you select only the 2024-2025 Award Year, your organization will only receive 2024-2025 applicant data. If the 2025-2026 Award Year is selected the organization’s enrollment will be rolled over automatically to subsequent award years.
 - C. Check the boxes for the FAFSA Services applicant data function you want this DPA to receive and submit. If you are completing this application to update or change services for an enrolled DPA, provide the TG/FT number of the Destination Point already assigned to this DPA.
 - D. Check the preferred delivery option for ISIRs, if ISIRs are selected in Item 5C. Postsecondary institutions can choose whether to receive ISIRs on a daily basis or by request. Daily receipt is the default ISIR delivery method. If “By Request” is checked, the DPA must use FAFSA Online Services to request and receive ISIRs. The ISIRs are then sent to your Destination Point (FT Number/Mailbox) through the SAIG. With either option, you can request a single ISIR or a specified group of ISIRs at any time.

E. If your school uses a third-party servicer to submit batch files to the FPS, but you also want to access FAFSA Online Services tools, check the Yes box to designate yourself as the Destination Point (TG Number/Mailbox) for the school selected.

If additional financial aid staff needs access to FAFSA Online Services, you may enroll them for FAFSA Online Services under Manage Electronic Services at <https://fsawebenroll.ed.gov>.

6. If your organization participates in the Direct Loan Program and the DPA identified in Item 4 will be assigned to submit and receive Direct Loan Program data, identify the years of the Direct Loan data the DPA will need to access. If you select only the 2024-2025 Award Year, your organization will only receive 2024-2025 data. If the 2025-2026 Award Year is selected the organization's enrollment will be rolled over automatically to subsequent award years. Also, provide the school's Direct Loan code. If the DPA is already enrolled and you are only updating information for that DPA, provide the TG number for that DPA's Destination Point (TG Number/Mailbox).
7. If you want this Destination Point (TG Number/Mailbox) to receive and submit Grant Services (Pell Grant, Iraq and Afghanistan Service Grant, and TEACH Grant) payment data, then complete this item. If you select only the 2023-2024 or 2024-2025 Award Years, your organization will only receive the selected data. If the 2025-2026 Award Year is selected the organization's enrollment will be rolled over automatically to subsequent award years.
8. As the Primary DPA, you must identify the Non-Primary DPA you want to enroll for access to COD Online Services (Direct Loan or Grant Services). **(Note: Paper enrollment for this service is available for Foreign Schools only. Other organizations must enroll online at <https://fsawebenroll.ed.gov>.)**
 - A. If you are a third-party servicer, provide the name of the institution you are servicing. **Note: Authorizing Officials' signatures (Step Four) are required from both the institution and the servicer.**

Provide the OPE ID number for your organization. If this DPA is adding this service to an existing Destination Point (TG Number/Mailbox) then provide the TG number.
 - B. Select the applicable role for this DPA.
9. If you want the DPA to receive batch file data from NSLDS, complete this item.
 - A. Complete all required information including the OPE ID number.
 - B. In Item 10B, check the boxes for the batch files you want this DPA to receive. If this DPA already has a Destination Point (TG Number/Mailbox), provide the TG number here. Provide the date on which this DPA will begin responsibility for the sending or receiving of NSLDS data. Indicate whether this DPA's Destination Point (TG Number/Mailbox) will receive Transfer Student Monitoring data, Enrollment Reporting files (formerly SSCR), Federal Perkins Loan data and/or *GE Reporting*. Select the box for Transfer Student Monitoring if you want the DPA to send and receive Financial Aid History/Transfer Student Monitoring data.
10. As the Primary DPA, you must identify the Destination Point you want to enroll for electronic Cohort Default Rate Notification packages (eligibility letter and loan record detail report) from NSLDS. Your main campus (OPE ID number ending in '00') must sign up for this service.
11. As the Primary DPA, you must identify the Destination Point you want to enroll for electronic GE Notification packages from NSLDS.
12. As the Primary DPA, you must identify the DPA you want to enroll for online access to student data available on NSLDS by checking the boxes to indicate the services the DPA will access.

IMPORTANT: Only the DPA is permitted to use his or her Destination Point (TG Number/Mailbox) for access to NSLDS Online information. NSLDS Online users CANNOT share their NSLDS access with anyone else.

- Online Services, by default, automatically given to users are:
 - Loan History
 - Federal Grants History
 - Enrollment History
 - Overpayment History
 - Organization Contacts
 - Organization Setups
 - Data Provider Schedules
 - Request Reports
 - Transfer Student Monitoring
 - Exit Counseling History
 - Student Contact Information and Reaffirmation History
 - School Profile
 - Cohort Default Rate
- Enrollment Updates and GE Reporting enables users to update student enrollment data
- Overpayment Update enables users to update overpayment data (depending on access authority, this includes overpayment status [indicator], repayment date, source of overpayment and region)

If this DPA will access information for a school as its third-party servicer, provide the school's name and OPE ID number. **Note: Authorizing Officials' signatures (Step Four) are required from both the school and the third-party servicer before access will be granted.**

13. If your organization participates in the Direct Loan Program and the DPA identified in Item 4 will be assigned to receive the Direct Loan Borrower Delinquency Report, provide the school's Direct Loan code. If the DPA is already enrolled and you are only updating information for that DPA, provide the TG number for that DPA's Destination Point.
14. If you want this Destination Point (TG Number/Mailbox) to have access to the TPD Loan Holder Notification batch data, complete this item. **Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.**

Step Two, Section 2 is for FFELP GAs or GA Servicers and Federal Loan Servicers

Note: Pursuant to the amendments made to the Internal Revenue Code (IRC) and the Higher Education Act (HEA) under the FUTURE Act (P.L. 116-91) and FAFSA Simplification Act (P.L. 116-260), FFELP GAs or GA Servicers and Federal Loan Servicers are not eligible to receive Institutional Student Record Information (ISIR) data beginning with the 2024-25 FAFSA cycle. ISIR data which includes federal tax information (FTI) received from the U.S. Department of Treasury, Internal Revenue Service (IRS) may only be redisclosed to institutions of higher education, State higher education agencies, their contractors and designated scholarship organizations.

15. As the Primary DPA, you must identify the DPA you want to enroll for Borrower Services (includes TOP, Mandatory Assignment Process, NDNH, IRS Skip Trace, and TPD).
16. As the Primary DPA, you must identify the DPA you want to enroll GAFR. This service is available only to GAs.
17. As the Primary DPA, you must identify the DPA you want to enroll for batch file data from NSLDS.
 - A. Complete all required information including the GA/Federal Loan Servicers Code.
 - B. Check the boxes for the batch files you want this DPA to receive. If this DPA already has a Destination Point (TG Number/Mailbox), provide the TG number here. Provide the date on which this DPA will begin responsibility for the sending or receiving of NSLDS data. Indicate whether this DPA's Destination Point will receive Financial Aid History (available for GAs only), Enrollment Reporting (available for GAs only), GA/Federal Loan Servicers Loan data, *Account Maintenance Fee (AMF)* back-up detail (available for GAs only), *Annual Reasonability* back-up detail (available for GAs only), or Exit Counseling Reports.

18. As the Primary DPA, you must identify the DPA you want to enroll for online access to student data available on NSLDS. Check the boxes to indicate the specific information type(s) you want this DPA to access. **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebnroll.ed.gov>.)**

IMPORTANT: Only the DPA is permitted to use his or her Destination Point (TG Number/Mailbox) for access to NSLDS Online information. NSLDS Online users CANNOT share their NSLDS access with anyone else. NSLDS Online access is permitted for FSA authorized uses only.

Online Default Services automatically given to users are:

- Loan History
- Data Provider Schedules
- Organization Contacts
- Organization Setups
- Student Contact Information
- Enrollment History
- Reaffirmation History
- Exit Counseling History
- Request Reports
- Foreign School Reporting

GA/Federal Loan Servicers Online Loan Update and Teacher Loan Forgiveness/Loan Discharge – this service allows NSLDS Online users to update individual loans on an ad hoc basis and update loan data for students who are granted Teacher Loan Forgiveness (TLF) and Loan Discharge benefits for Title IV, HEA loans. NSLDS limits the number of users that can sign up for this online service to three enrollments. If you need help identifying users who are granted this service, contact NSLDS Customer Service at 1-800-999-8219.

TLF/Loan Discharge Only – this service allows NSLDS Online users to update loan data for students who are granted TLF and Loan Discharge benefits for Title IV, HEA loans. NSLDS limits the number of users that can sign up for this online service.

SSN Only Lookup – this service allows NSLDS Online users to retrieve borrower information with only the borrower’s Social Security Number. This service is limited to Federal Servicers only.

Aid Overpayment Update Service – this service allows NSLDS Online users to update overpayment data (depending on access authority this includes overpayment status [Indicator], repayment date, source of overpayment, and region. This service is limited to Title IV Additional Servicers [TIVAS] only.)

Select *either* **GA/Federal Loan Servicers Online Loan Update and TLF/Loan Discharge** *or* **TLF/Loan Discharge Only**, but not both.

- A. If an organization will access information for a GA as its third-party servicer, provide the GA’s name and GA Code. **Note: Authorizing Officials’ signatures (Step Four) are required from both the GA and the GA Servicer before access will be considered for approval.**
- B. Select all functions performed by the DPA. Select **Other** to provide an explanation for duties not already described.
19. As the Primary DPA, you must identify the individual (DPA) you want to enroll for access to COD Online Services (Direct Loan or Grant Services). **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebnroll.ed.gov>.)**
- A. Provide the Federal Loan Servicer code for your organization. If this DPA is adding this service to an existing Destination Point (TG Number/Mailbox) then provide the TG number.
- B. Select the applicable role for this DPA.
20. As the Primary DPA, you must identify the Non-Primary DPA you want to enroll for access to ECS/CEMS Online Services. **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebnroll.ed.gov>.)**

- A. Provide the Federal Loan Servicer code for your organization. If this DPA is adding this service to an existing Destination Point (TG Number/Mailbox) then provide the TG number.

Step Two, Section 3 is for FFELP Lenders and Lender Servicers only

21. As the Primary DPA, you must identify the DPA you want to enroll for sending batch file data to the quarterly Lender Reporting System (LaRS).
- A. Provide the Lender/Servicer name and Lender/Servicer code for your organization.
 - B. If this DPA already has a Destination Point (TG Number/Mailbox), provide the TG number here. Provide the date on which this DPA will begin responsibility for the sending or receiving of LaRS data.
22. As the Primary DPA, you must identify the DPA you want to enroll for NSLDS Online access to student data. Check the boxes to indicate the specific information type(s) you want this DPA to access. **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)**

IMPORTANT: Only the DPA is permitted to use his or her Destination Point (TG Number/Mailbox) for access to NSLDS Online information. NSLDS Online users CANNOT share their NSLDS access with anyone else. NSLDS Online access is permitted for FSA authorized uses only.

Online Default Services automatically given to users are:

- Loan History
- Enrollment History
- Reaffirmation History
- Exit Counseling History
- Organization Contacts
- Organization Setups
- Student Contact Information
- Request Reports
- Foreign School Reporting
- Data Provider Schedule

- A. If an organization will access information for a FFELP Lender as its third-party servicer, provide the Lender's name and LID Number. **Note: Authorizing Officials' signatures (Step Four) are required from both the Lender and the Lender Servicer before access will be considered for approval.**
 - B. Select all functions performed by the DPA. Select **Other** to provide an explanation for duties not already described.
23. As the Primary DPA, you must identify the Destination Point you want to enroll for Enrollment Reporting Notification batch file data from NSLDS.
- A. Provide the Lender/Servicer name and Lender/Servicer code for your organization.
 - B. If this DPA already has a Destination Point (TG Number/Mailbox), provide the TG number here. Provide the date on which this DPA will begin responsibility for the sending or receiving of NSLDS data.
24. As the Primary DPA, you must identify the individual (DPA) you want to enroll for access to COD Online Services (IBR/Pay As You Earn/ICR). **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)**
- A. Provide the Lender's name and Lender/Servicer's code for your organization. If this DPA is adding this service to an existing Destination Point (TG Number/Mailbox) then provide the TG number.
 - B. Select the applicable role for this DPA.
25. As the Primary DPA, you must identify the DPA you want to enroll for the TPD Loan Holder Notification batch file data. **(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)**
- A. Provide the Lender's name and Lender/Servicer's code for your organization. If this DPA is adding this service to an existing Destination Point (TG Number/Mailbox) then provide the TG number.
 - B. Select the applicable role for this DPA.

Step Three – must be completed by all Primary and Non-Primary DPAs

Step Three collects the required agreements and signature pages from the Primary DPA and the Non-Primary DPA identified in Step One.

Each DPA must read and sign the “Responsibilities of the Primary and Non-Primary Destination Point Administrators” statement.

Step Four – must be completed by the Organization President/CEO or Designee

Step Four collects the required authorization from the organization to process the enrollment information for the Primary or Non-Primary DPA identified in Step One.

If the President, CEO or Chief Officer wants to designate another person to be the responsible authorizing official, then Step Four, Box 1 must be completed. **This designation needs to be completed only once.**

For each Destination Point (TG Number/Mailbox), the chief officer of the organization (President, CEO, or Designee) must sign Step Four, Box 2, “Responsibilities of the President/CEO or Designee” statement. Note: The Authorized Official name and signature must match the information on file with ED.

If this enrollment is a third-party servicer acting on behalf of another organization, both the organization’s President or CEO (Authorized Official on file at ED) and the third-party servicer’s President or CEO must sign. This means both Box 2 and Box 3 in Step Four must be completed.

Submitting Enrollment Application and Signature Pages

The completed enrollment application can be e-mailed, faxed, or mailed to FPS Help Desk:

Email: support@fps.ed.gov

Fax: 319-665-7662

Mail:

FPS Help Desk
2000 James Street, Suite 201
Coralville, IA 52241-1882

Before sending signature pages, confirm the following:

- ✓ The President/CEO or Designee name and signature match the information on file with ED.
- ✓ Signature pages for both *Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators* and *Step Four: Certification of the President/CEO or Designee* are enclosed.
- ✓ Signatures must be original if mailed to the FPS Help Desk and they cannot accept signatures that are font-based or stamped. Acceptable signatures include one of the following: (1) A wet signature that was drawn in ink and sent in its original format; (2) A wet signature that was drawn in ink and then digitized (for example, drawing your signature and taking a photo of it and placing that photo in the signature block); or (3) A digital signature that was drawn with your hand using a pointing device (like a digital pen, mouse, or trackpad) or a finger (like on a smartphone laptop).

Once the Department receives all necessary signature documents, the Department will process the enrollment.

SAIG Enrollment Application

Step One

Provide the following information

1. Is this an initial application or are you adding a new DPA or changing information on a Destination Point (TG/FT Number/Mailbox)? Check the applicable box below.
 - A. Initial enrollment
 - B. Adding a new user to obtain an additional Destination Point (TG/FT Number/Mailbox).
Enter the Primary TG Number _____
 - C. Changing information for an existing Destination Point/Mailbox for TG/FT Number _____
(Read instructions on page 7 carefully.)
2. What is the name of your organization? _____
(maximum 40 characters – Required)
3. Indicate your type of organization.
 - Postsecondary educational institution (school), go to Step Two, Section 1 on page 16
 - Institutional third-party servicer, go to Step Two, Section 1 on page 16
 - Guaranty Agency for FFELP student loans, go to Step Two, Section 2 on page 23
 - Guaranty Agency Servicers for FFELP student loans, go to Step Two, Section 2 on page 23
 - Federal Loan Servicers, go to Step Two, Section 2 on page 23
 - Lender for FFELP student loans, go to Step Two, Section 3 on page 28
 - Lender Servicers for FFELP student loans, go to Step Two, Section 3 on page 28
4. Complete the following information for the Primary or Non-Primary Destination Point Administrator (DPA) you wish to designate at the organization for this enrollment. The DPA will be assigned a Destination Point (mailbox) with an identification number (TG/FT number).

Check one (Required):

- Primary DPA Non-Primary DPA

First Name _____ Last Name _____

Business address _____

Business address _____

City _____ State _____ Zip _____

Area code/phone (_____) _____ Area code/fax (_____) _____

Email Address _____
(maximum 70 characters)

Social Security number _____ Date of birth _____
(month) (day) (year)

Mother's maiden name _____

Office Use Only

Customer Number _____
TG/FT Number _____

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers (Continued)

- 6. Do you want this Destination Point (TG Number/Mailbox) to submit and receive Direct Loan information?
[] Yes, for 2024-2025 [] Yes, for 2025-2026 and each subsequent year [] No, go to Item 7

A. If yes, for which school?

Name of school _____

[] Check if address is same as in Item 4. Go to Item 7.

If the mailing address is different from Item 4, provide the following address information:

Business Address _____

Business Address _____

City _____ State _____ Zip _____

If you are adding this service to an existing Destination Point, provide the TG Number of the Destination Point

What is your Direct Loan Code? _____

- 7. Do you want this Destination Point (TG Number/Mailbox) to submit and/or receive Grant Services (Pell Grant, Iraq and Afghanistan Service Grant, and TEACH Grant) payment data?
[] Yes [] No, go to Item 8

A. If yes, for which school?

Name of school _____

Federal School Code _____

[] Check if the address is the same as in Item 4. Go to Item 7B

If the mailing address is different from Item 4, provide the following address information:

Business address _____

Business address _____

City _____ State _____ Zip _____

B. For which award year? (Check all that apply)

[] 2023-2024 [] 2024-2025 [] 2025-2026 and each subsequent year

C. Which Destination Point will be transmitting and receiving data? TG _____

Office Use Only
Customer Number _____
TGNumber _____

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers *(Continued)*

8. Do you want this Destination Point Administrator (DPA) to have access to COD Online Services? **(Note: Paper enrollment for this service is available for Foreign Schools only. Other organizations must enroll online at <https://fsawebenroll.ed.gov>.)**

Yes No, go to Item 9

- A. If yes, for which school?

Name of school _____

OPE ID number _____

If you are adding this service to an existing Destination Point, provide the TG Number _____

- B. What is the role of the new user?

View and Credit Check
 View Only
 View/Update
 View/Update and Credit Check
 Third-Party Administrator

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers (Continued)

9. Do you want this Destination Point (TG Number/Mailbox) to submit and receive any of the following NSLDS batch files?

- Transfer Student Monitoring and/or Financial Aid History
- Federal Perkins Loan data
- Enrollment Reporting (formerly SSCR)
- Gainful Employment Reporting

Yes No, go to Item 10

A. If yes, for which school?

Name School OPE ID number

Check if the address is the same as in Item 4. Go to Item 9B.

If the mailing address is different from Item 4, provide the following address information:

Business address

City State Zip

B. If yes, which information? If you are adding this service to an existing Destination Point, provide the TG Number below for each service you select.

Transfer Student Monitoring and/or Financial Aid History
SAIG mailbox TG
(School Transfer Profile must be completed at https://nslsdfap.ed.gov to begin Transfer Monitoring.)

Enrollment Reporting (formerly SSCR)
SAIG mailbox TG Effective Date

Gainful Employment Reporting
SAIG mailbox TG Effective Date

Perkins Loan data
SAIG mailbox TG Effective Date

Office Use Only
Customer Number
TG Number

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers (Continued)

10. Do you want this Destination Point (TG Number/Mailbox) to receive the electronic Cohort Default Rate Notification Package?

- Yes No, go to Item 11

Name of school

School OPE ID number

- Check if the address is the same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address

Business address

City State Zip

Effective Date

Which TG number will be receiving the eCDR Notification Package? TG

If the Destination Point is a third-party servicer, provide servicer name:

11. Do you want this Destination Point (TG Number/Mailbox) to receive the electronic Gainful Employment Notification Package?

- Yes No, go to Item 12

Name of school

School OPE ID number

- Check if the address is the same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address

Business address

City State Zip

Effective Date

Which TG number will be receiving the GE Notification Package? TG

If the Destination Point is a third-party servicer, provide servicer name

Office Use Only

Customer Number

TG Number

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers (Continued)

12. Do you want this Destination Point Administrator (DPA) to have access to NSLDS Online?

- Yes No, go to Item 13

If you want this DPA to provide online updates to any of the following NSLDS information, indicate below which NSLDS information you want this DPA to access.

- Default Services (automatically given to users)
 Enrollment Update
 Overpayment Update

If you are adding this service to an existing Destination Point, provide the TG Number _____

What is the OPE ID number for which you are requesting access? _____

13. Do you want this Destination Point (TG Number/Mailbox) to receive the Direct Loan Borrower Delinquency Reports?

- Yes No, go to Item 14

If yes, check the appropriate boxes. Report Format Data Format Both

If you are adding this service to an existing Destination Point, provide the TG Number _____

What is your Direct Loan Code? _____

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 1: Services for Postsecondary Educational Institutions or Institutional Third-Party Servicers (Continued)

14. Do you want this Destination Point (TG Number/Mailbox) to send and receive batch files for Total and Permanent Disability (TPD) Loan Holder Notification?

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

- Yes No, go to Item 15

Name of school _____

School OPE ID number _____

- Check if the address is the same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

Business address _____

City _____ State _____ Zip _____

Effective Date _____

If you are adding this service to an existing Destination Point, provide the TG Number _____

Go to Step Three

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 2: Services for FFELP GAs or GA Servicers and Federal Loan Servicers

Note: Pursuant to the amendments made to the Internal Revenue Code (IRC) and the Higher Education Act (HEA) under the FUTURE Act (P.L. 116-91) and FAFSA Simplification Act (P.L. 116-260), FFELP GAs or GA Servicers and Federal Loan Servicers are not eligible to receive Institutional Student Record Information (ISIR) data beginning with the 2024-25 FAFSA cycle. ISIR data which includes federal tax information(FTI) received from the U.S. Department of Treasury, Internal Revenue Service (IRS) may only be redisclosed to institutions of higher education, State higher education agencies, their contractors and designated scholarship organizations.

15. Do you want this Destination Point (TG Number/Mailbox) to send and receive files for Borrower Services?

- Yes No, go to Item 17

A. If yes, for which organization?

Name of GA/Federal Loan Servicers _____ GA/FLS Code _____

- Check if the address is the same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

City _____ State _____ Zip _____

B. If you are adding this service to an existing Destination Point, provide the TG Number _____

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

**Section 2: Services for FFELP GAs or GA Servicers and Federal Loan Servicers
(Continued)**

16. Do you want this Destination Point (TG Number/Mailbox) to submit data for Guaranty Agency Financial Reporting (GAFR)? (Available for GAs only)

- Yes
- No, go to Item 18

A. If yes, for which Agency?

Name of GA _____ GA Code _____

Check if the address is the same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

City _____ State _____ Zip _____

Office Use Only
Customer Number _____
TG Number _____

SAIG Enrollment Application

Step Two

Section 2: Services for FFELP GAs or GA Servicers and Federal Loan Servicers (Continued)

17. Do you want this Destination Point (TG Number/Mailbox) to submit and receive any of the following NSLDS batch files?

- Financial Aid History
- Enrollment Reporting (formerly SSCR)
- GA/Federal Loan Servicers Loan Data
- GA Annual Reasonability back-up detail
- GA Account Maintenance Fee back-up detail
- Exit Counseling Reports

Yes No, go to Item 19

A. If yes, for which organization?

Name of GA/Federal Loan Servicer GA/FLS Code

Check if address is same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address

City State Zip

B. If yes, which information? If you are adding this service to an existing Destination Point, provide the TG Number below for each service you select.

Form with checkboxes for: Financial Aid History, Enrollment Reporting, GA/Federal Loan Servicers Loan data, GA Account Maintenance Fee (AMF) back-up detail, GA Annual Reasonability back-up detail, Exit Counseling Reports. Includes SAIG mailbox TG and Effective Date fields.

Office Use Only
Customer Number
TG Number

SAIG Enrollment Application

Step Two

Section 2: Services for FFELP GAs or GA Servicers and Federal Loan Servicers (Continued)

18. Do you want this Destination Point Administrator (DPA) to have access to NSLDS Online?

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

- Yes No, go to Item 20

A. If you want this DPA to provide online updates to any of the following NSLDS information, indicate below which NSLDS information you want this DPA to access.

- Default Services (automatically given to users)
 GA/Federal Loan Servicers Online Loan Update and Teacher Loan Forgiveness/Loan Discharge
 Teacher Loan Forgiveness/Loan Discharge Only
 SSN Only Lookup (Available for FLS only)
 Aid Overpayment Update Service (Available for TIVAS only)

If you are adding this service to an existing Destination Point, provide the TG Number _____

Name of GA/Federal Loan Servicer _____ GA/FLS Code _____

B. Indicate your job functions: (check all that apply):

- Uses NSLDS for Title IV, HEA functions only
 Eligibility for Aid
 Billing and Collection
 Enforcing Loan Terms
 Enrollment
 Accuracy of Record
 Default Aversion
 Default Rates
 Record Updates
 Teacher Loan Forgiveness and Loan Discharge
 Compliance
 Other _____

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

**Section 2: Services for FFELP GAs or GA Servicers and Federal Loan Servicers
(Continued)**

19. Do you want this Destination Point Administrator (DPA) to have access to COD Online Services?
(Available for FLS only)

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsaweb enroll.ed.gov>.)

- Yes
- No, go to Item 21.

A. If yes, for which organization?

Name of Federal Loan Servicer _____

Federal Loan Servicers Code _____

If you are adding this service to an existing Destination Point, provide the TG Number _____

B. What is the role of the new user?

- View and Credit Check
- View Only
- View/Update
- View/Update and Credit Check
- Third-Party Administrator

20. Do you want this Destination Point Administrator (DPA) to have access to ECS/CEMS Online Services?
(Available for FLS only)

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsaweb enroll.ed.gov>.)

- Yes
- No, go to Step Three.

A. If yes, for which organization?

Name of Federal Loan Servicer _____

FLS Code _____

If you are adding this service to an existing Destination Point, provide the TG Number _____

Go to Step Three

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 3: Services for FFELP Lenders and Servicers

21. Do you want this Destination Point (TG Number/Mailbox) to send data to the quarterly Lender Reporting System (LaRS)?

- Yes No, go to Item 23

A. If yes, for which Lender/Servicer?

Name of Lender/Servicer _____

Lender/Servicer Code _____

- Check if address is same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

Business address _____

City _____ State _____ Zip _____

B. Which Destination Point (TG Number/Mailbox) will be submitting the data? TG _____

22. Do you want this Destination Point Administrator (DPA) to have access to NSLDS Online?

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

- Yes No, go to Item 24

A. If you want this DPA to provide online updates to any of the following NSLDS information, indicate below which NSLDS information you want this DPA to access.

- Default Services (automatically given to users)

If you are a FFEL Program Lender, what is your Lender ID number? _____

B. Indicate your job functions: (check all that apply):

- Uses NSLDS for Title IV, HEA functions only
 Lender/Lender Servicer
 Authorized Activity Loan Holder
 Enrollment
 Accuracy
 Deferments
 Default Rate
 Income Based Repayment
 Other _____

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 3: Services for FFELP Lenders and Servicers (*Continued*)

23. Do you want this Destination Point (TG Number/Mailbox) to receive enrollment files from NSLDS?

- Yes No, go to Item 25

A. If yes, for which Lender/Servicer?

Name of Lender/Servicer _____

Lender/Servicer Code _____

- Check if address is same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

City _____ State _____ Zip _____

B. SAIG mailbox TG _____ Effective Date ____/____/____

24. Do you want this Destination Point Administrator (DPA) to have access to COD Online Services for Income-Driven Repayment (IDR) Request Process?

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

- Yes No, go to Item 26

A. If yes, for which Lender/Servicer?

Name of Lender/Servicer _____

Lender/Servicer Code _____

If you are adding this service to an existing Destination Point, provide the TG Number _____

B. What is the role of the new user?

- View Only

Office Use Only

Customer Number _____

TG Number _____

SAIG Enrollment Application

Step Two

Section 3: Services for FFELP Lenders and Servicers (Continued)

25. Do you want this Destination Point (TG Number/Mailbox) to send and receive batch files for Total and Permanent Disability (TPD) Loan Holder Notification?

(Note: Paper enrollment for this service is not available. You must enroll online at <https://fsawebenroll.ed.gov>.)

- Yes
- No, go to Step Three

A. If yes, for which Lender/Servicer?

Name of Lender/Servicer _____

Lender/Servicer Code _____

Check if address is same as in Item 4.

If the mailing address is different from Item 4, provide the following address information:

Business address _____

City _____ State _____ Zip _____

B. SAIG mailbox TG _____ Effective Date ____/____/____

Go to Step Three

Office Use Only
Customer Number _____
TG Number _____

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators

Each Destination Point Administrator (DPA) must read, sign, and submit this statement (with original signatures) and must keep a copy for the organization's records.

1. Responsibilities of the Primary DPA and the Non-Primary DPA:

- Must ensure that SAIG computing resources are used only for official organization business.
- Must ensure that a substantially Established Relationship with the applicant is in place (e.g., the applicant has applied for admission to the institution, the applicant has included the institution on the FAFSA[®] form, or the Lender holds a loan for the borrower) before accessing Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs and to obtain privacy protected information about the student.
- Only the DPA listed in Step One, Item 4, page 15 and referenced in Item 13, page 21; Item 21, page 27; Item 25, page 29 is permitted to use the National Student Loan Data System (NSLDS).
- Must use software provided by the Department to monitor SAIG mailbox activity. This software will keep track of who is using the Destination Point (TG/FT Number/Mailbox), what information is being accessed, the date and time of access, and the batch number (if applicable).
- By applying for access to Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, must consent to monitoring, recording, and auditing, and acknowledge that information gained in this manner may be disclosed by the Department to an appropriate third-party (e.g., law enforcement personnel).
- Must ensure that all Federal Student Aid applicant information (including Federal tax information) is protected from access by or disclosure to unauthorized personnel. In the event of an unauthorized disclosure or breach of student applicant information or other sensitive information (such as personally identifiable information), the DPA must immediately notify Federal Student Aid at support@fps.ed.gov within 24 hours after the incident is known or identified for postsecondary education institutions at <https://fsapartners.ed.gov/title-iv-program-eligibility/cybersecurity/cybersecurity-breach-intake> and for all others by notifying Federal Student Aid at support@fps.ed.gov.
- Must ensure that password sharing, the sharing of system access, and the use of any tools that allow access to the SAIG are strictly prohibited. (These tools are called "authenticators.")
- Must ensure that access is provided only to systems, networks, data, control information, and software for which the DPA is authorized.
- Must ensure that procedures for sanitizing stored information are followed (e.g., overwriting electronic media that contain sensitive information before reuse).
- The Non-Primary DPA must inform the organization's Primary DPA when access to a Federal Student Aid system or other Federal agencies' systems for the purposes of administering the HEA programs, is no longer required (i.e. the individual is leaving a position or his or her job responsibilities have changed).
- The information provided to the Primary and Non-Primary DPA by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the Primary and Non-Primary DPA, becomes his or her responsibility. Therefore, the Primary and Non-Primary DPA must protect the privacy of all information that has been provided by the U.S. Department of Education. The Primary and Non-Primary DPA understand that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an agency under false pretenses shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)(3)).
- The federal tax information (FTI) provided to the Primary and Non-Primary DPA by the U.S. Department of Education is protected by the Internal Revenue Code of 1986, as amended. Protecting FTI, once it is entrusted to the Primary and Non-Primary DPA, becomes his or her responsibility. The Primary and Non-Primary DPA understand that any person, including himself or herself, who knowingly and willfully conduct:
 - **unauthorized disclosure of a tax return or return information** is punishable as a felony by a fine in any amount not exceeding \$5,000, or imprisonment of not more than 5 years, or both, together with the costs of prosecution (26 U.S.C. §7213).

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators (*Continued*)

- *unauthorized inspection of a tax return or return information* is punishable by a fine of up to \$1,000, or imprisonment of not more than 1 year, or both, together with the costs of prosecution (26 U.S.C. §7213A).
- The Primary and Non-Primary DPA understand, pursuant to 26 U.S.C. §7431 a taxpayer may bring civil action for damages against an officer or employee who has inspected or disclosed, knowingly or by reason of negligence, such taxpayer's tax return or return information in violation of any provision of IRC §6103
- The Primary and Non-Primary DPA understand that any person, including him or herself, who knowingly and willfully use an access device (18 U.S.C. 1029(e)(1)) issued to another person or obtained by fraud or false statement to access the U.S. Department of Education information technology systems for fraud, commercial advantage, or private financial gain shall be guilty of a felony and is subject to a fine of up to \$20,000, imprisonment for up to five years, or both, under provisions of the United States Code (20 U.S.C. 1097(e)).

2. Additional Requirements of the Primary DPA:

- Must ensure that all users are aware of and comply with all of the requirements to protect and secure data from Departmental or other Federal agencies' systems for the purposes of administering the HEA programs, sources using SAIG. Including access and disclosure requirements pertaining to federal tax information (FTI) (outlined in 3. Agreements below).
- Must maintain copies of all SAIG enrollment documents submitted to the Department, including the signed "Responsibilities of the Primary and Non-Primary Destination Point Administrators" application for all DPA's and the certification signed by the organization's CEO/President/Chief Officer or Designee.
- Must maintain a signed Federal Student Aid User of Electronic Services Statement (see Attachment B) for anyone who is enrolled in Electronic Services (FAFSA Online Services or EDconnect).
- At least on an annual basis, must validate all DPA and user access rights for the organization.
- At least on an annual basis, must monitor the organization's NSLDS user access by creating reports using the NSLDS website.
- Must ensure that the organization has a process to inform the Primary DPA of any changes in a user's need for access to FSA systems or other Federal agencies' systems for the purposes of administering the HEA programs, because of changes to job responsibilities or termination of employment. The Primary DPA must immediately deactivate or delete user access rights for organization employees who no longer require access.

3. Agreements

The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) that intentional submission of false or misleading information to the U.S. Department of Education is subject to a fine of up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Code (including 18 U.S.C. 1001). The Primary DPA and/or the Non-Primary DPA also agree(s) to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) the unauthorized inspection (viewing) and disclosure of federal tax information (FTI) may lead to criminal and/or civil penalties pursuant to 26 U.S.C. 7213; 7213A; and §7431. Primary DPA and/or the Non-Primary DPA further agree(s) and understand(s) a taxpayer may bring civil action for damages against an officer or employee who has inspected or disclosed, knowingly or by reason of negligence, such taxpayer's tax return or return information in violation of any provision of IRC §6103.

The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) to adhere to the strict confidentiality requirements when accessing FTI under 26 U.S.C. §6103 and ensure that all Federal Student Aid applicant information (including federal tax information) is used for the application, award, and administration of financial aid to an applicant consistent with 20 U.S.C. §1090 and redisclosure requirements of FTI under 26 U.S.C. §6103(l)(13).

Section 490 of the Higher Education of 1965, provides for criminal penalties for any person, who knowingly and willfully uses an access device (18 U.S.C. 1029(e)(1)) issued to another person or obtained by fraud or false statement to access the U.S. Department of Education information technology systems for fraud, commercial advantage, or private financial gain. As such, The Primary DPA and/or the Non-Primary DPA agree(s) and understand(s) that intentional use an access device (18 U.S.C. 1029(e)(1)) issued to another person or obtained by fraud or false statement to access the U.S. Department of Education information technology systems for fraud, commercial advantage, or private financial gain shall be guilty of a felony and is subject to a fine of up to \$20,000, imprisonment for up to five years, or both, under provisions of the United States Code (20 U.S.C. 1097(e)).

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators (Continued)

4. Privacy Act Statement

We use the information that you provide on this application to enroll your organization and its users for services with Federal Student Aid systems.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM AND DISCLOSURE OF SOCIAL SECURITY NUMBERS:

Title IV of the Higher Education Act of 1965, as amended (HEA); 20 U.S.C. 1070 *et seq.*; Section 31 U.S.C. 7701; and Executive Order 9397 (November 23, 1943), as amended by Executive Order 13478 (November 18, 2008) authorize the collection of Social Security numbers of users of this system. The Social Security number is voluntary in order to identify individuals for Federal purposes, but you will not be provided with access to or use of the system if you do not provide a Social Security number.

PURPOSE(S):

The information in this system entitled “Student Aid Internet Gateway (SAIG), Participation Management System” (18-11-10) is maintained for the purposes of: (1) Processing stored data from the SAIG Enrollment Forms (web and paper versions); (2) maintaining the SAIG Enrollment website (titled <https://fsaweбенroll.ed.gov>); (3) managing the assignment of individual electronic SAIG mailbox numbers, known as “TG/FT numbers”; (4) authorizing users of the FAFSA Processing System (FPS), NSLDS, Common Origination and Disbursement (COD) System, Financial Management System (FMS), Enterprise Complaint System (ECS) (now known as Customer Engagement Management System [CEMS]), and the Access and Identity Management System (AIMS); and (5) or other Federal agencies’ systems for the purposes of administering the HEA programs.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

The Department may disclose information contained in a record in this system of records under the routine uses listed in the system of records notice, which was published on March 1, 2018 (83 Fed. Reg. 8855-8859) (<https://www.federalregister.gov/documents/2018/03/01/2018-04141/privacy-act-of-1974-system-of-records>) without the consent of the individual if the disclosure is compatible with the purposes for which the record was collected. These disclosures may be made on a case-by-case basis or, if the Department has complied with the computer matching requirements of the Privacy Act of 1974, as amended (Privacy Act), under a computer matching agreement.

(1) **Program Disclosures.** The Department may disclose records maintained in the SAIG, Participation Management System, to other Federal agencies’ systems for the purpose of allowing authorized users who are eligible to participate in the electronic exchange of data with the Department to transmit files to and from the following databases and access the Department’s websites online for the purposes of administering or assisting in administering programs authorized under Title IV of the HEA:

- (a) COD System;
- (b) FPS;
- (c) NSLDS;
- (d) FMS;
- (e) ECS/CEMS;
- (f) FSA Partner Connect;
- (g) AIMS; and
- (h) Other Federal agencies’ systems for the purposes of administering the HEA programs.

The Department will only disclose records from this system to other Federal agencies’ systems for purposes of administering or assisting in administering programs authorized under Title IV of the HEA and only after the Department has approved in writing a request from other Federal agencies’ systems to access these records.

(2) **Freedom of Information Act (FOIA) Advice or Privacy Act Disclosure.** The Department may disclose records to the Department of Justice (DOJ) or the Office of Management and Budget (OMB) if the Department seeks advice regarding whether records maintained in the system of records are required to be disclosed under the FOIA or the Privacy Act.

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators (Continued)

- (3) **Disclosure to the DOJ.** The Department may disclose records to the DOJ to the extent necessary for obtaining DOJ advice on any matter relevant to an audit, inspection, or other inquiry related to the programs covered by this system.
- (4) **Contract Disclosure.** If the Department contracts with an entity to perform any function that requires disclosing records to the contractor's employees, the Department may disclose the records to those employees. As part of such a contract, the Department shall require the contractor to agree to establish and maintain safeguards to protect the security and confidentiality of the records in the system.
- (5) **Litigation and Alternative Dispute Resolution (ADR) Disclosures.**
- (a) *Introduction.* In the event that one of the following parties is involved in judicial or administrative litigation or ADR, or has an interest in judicial or administrative litigation or ADR, the Department may disclose certain records to the parties described in paragraphs (b), (c), and (d) of this routine use under the conditions specified in those paragraphs:
- i. The Department, or any of its components;
 - ii. Any Department employee in his or her official capacity;
 - iii. Any Department employee in his or her individual capacity where the DOJ agrees to or has been requested to provide or arrange for representation of the employee;
 - iv. Any Department employee in his or her individual capacity where the Department has agreed to represent the employee;
 - v. The United States where the Department determines that the litigation is likely to affect the Department or any of its components.
- (b) *Disclosure to DOJ.* If the Department determines that disclosure of certain records to the DOJ is relevant and necessary to judicial or administrative litigation or ADR, and is compatible with the purpose for which the records were collected, the Department may disclose those records as a routine use to the DOJ.
- (c) *Adjudicative Disclosures.* If the Department determines that disclosure of certain records to an adjudicative body before which the Department is authorized to appear or to a person or entity designated by the Department or otherwise empowered to resolve or mediate disputes, is relevant and necessary to the judicial or administrative litigation or ADR, the Department may disclose those records as a routine use to the adjudicative body, person, or entity.
- (d) *Parties, Counsel, Representatives, and Witnesses.* If the Department determines that disclosure of certain records to a party, counsel, representative, or witness is relevant and necessary to the judicial or administrative litigation or ADR, the Department may disclose those records as a routine use to the party, counsel, representative, or witness.
- (6) **Research Disclosure.** The Department may disclose records to a researcher if the official serving or acting as the Chief Operating Officer of Federal Student Aid determines that the individual or organization to which the disclosure would be made is qualified to carry out specific research related to functions or purposes of this system of records. The official may disclose records from this system of records to that researcher solely for the purpose of carrying out that research related to the functions or purposes of this system of records. The researcher shall be required to agree to maintain safeguards to protect the security and confidentiality of the disclosed records.
- (7) **Congressional Member Disclosure.** The Department may disclose records to a Member of Congress in response to an inquiry from the Member made at the written request of the individual whose records are being disclosed. The Member's right to the information is no greater than the right of the individual who requested it.
- (8) **Enforcement Disclosure.** In the event that information in this system of records indicates, either on its face or in connection with other information, a violation or potential violation of any applicable statute, regulation, or order of a competent authority, the Department may disclose the relevant records to the appropriate agency, whether foreign, Federal, State, Tribal, or local, charged with the responsibility of investigating or prosecuting that violation or charged with enforcing or implementing the statute, Executive Order, rule, regulation, or order issued pursuant thereto.
- (9) **Employment, Benefit, and Contracting Disclosure.**
- (a) *For Decisions by the Department.* The Department may disclose a record to a Federal, State, or local agency maintaining civil, criminal, or other relevant enforcement or other pertinent records, or to another public authority or professional organization, if necessary to obtain information relevant to a Department decision concerning the hiring or retention of an employee or other personnel action, the issuance of a security clearance, the letting of a contract, or the issuance of a license, grant, or other benefit.

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators (Continued)

(b) *For Decisions by Other Public Agencies and Professional Organizations.* The Department may disclose a record to a Federal, State, local, or foreign agency or other public authority or professional organization, in connection with the hiring or retention of an employee or other personnel action, the issuance of a security clearance, the reporting of an investigation of an employee, the letting of a contract, or the issuance of a license, grant, or other benefit, to the extent that the record is relevant and necessary to the receiving entity's decision on the matter.

(10) **Employee Grievance, Complaint, or Conduct Disclosure.** If a record is relevant and necessary to an employee grievance, complaint, or disciplinary action involving a present or former employee of the Department, the Department may disclose a record from this system of records in the course of the investigation, fact-finding, mediation, or adjudication, to any party to the grievance, complaint, or action; to the party's counsel or representative; to a witness; or to a designated fact-finder, mediator, or other person designated to resolve issues or decide the matter.

(11) **Labor Organization Disclosure.** The Department may disclose records from this system of records, to an arbitrator to resolve disputes under a negotiated grievance process or to officials of a labor organization recognized under 5 U.S.C. chapter 71 when relevant and necessary to their duties of exclusive representation.

(12) **Disclosure in the Course of Responding to a Breach of Data.** The Department may disclose records from this system to appropriate agencies, entities, and persons when (a) the Department suspects or has confirmed that there has been a breach of the system of records; (b) the Department has determined that as a result of the suspected or confirmed breach there is a risk of harm to individuals, the Department (including its information systems, programs, and operation), the Federal Government, or national security; and (c) the disclosure made to such agencies, entities, and persons is reasonably necessary to assist in connection with the Department's efforts to respond to the suspected or confirmed breach or to prevent, minimize, or remedy such harm.

(13) **Disclosure in Assisting another Agency in Responding to a Breach of Data.** The Department may disclose records from this system to another Federal agency or Federal entity, when the Department determines that information from this system of records is reasonably necessary to assist the recipient agency or entity in (a) responding to a suspected or confirmed breach or (b) preventing, minimizing, or remedying the risk of harm to individuals, the recipient agency or entity (including its information systems, programs, and operations), the Federal Government, or national security, resulting from a suspected or confirmed breach.

SAIG Enrollment Application

Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrators (Continued)

PRIMARY AND NON-PRIMARY DPA RESPONSIBILITIES:

The information provided to the Primary and Non-Primary DPA by the U.S. Department of Education is protected by the Internal Revenue Code of 1954, as amended and the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the Primary and Non-Primary DPA, becomes his or her responsibility. Therefore, the Primary and Non-Primary DPA agree to protect the privacy of all information that has been provided by the U.S. Department of Education. The Primary and Non-Primary DPA understand that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an organization under false pretenses shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)(3)). The Primary DPA and the Non-Primary DPA further agree and understand that any person, including him or herself, who knowingly and willfully use an access device (18 U.S.C. 1029I(1)) issued to another person or obtained by fraud or false statement to access the U.S. Department of Education information technology systems for fraud, commercial advantage, or private financial gain shall be guilty of a felony and is subject to a fine of up to \$20,000, imprisonment for up to five years, or both, under provisions of the United States Code (20 U.S.C. 1097(e)).

I certify that I have read these responsibilities, understand them, and will protect all data obtained through or provided to U.S. Department of Education systems.

Without the information provided on the SAIG enrollment application, a DPA or the Participating entity would be denied access to electronically transmit reports and data via the SAIG and would be denied access to all websites affiliated with this agreement as appropriate. Signature below affirms that you have read these Responsibilities and agree to abide by them.

Non-Primary Destination Point Administrator's Name _____ (Printed name – Required)
Signature _____ Date _____ (Must match name in Item-4 - original signature must be submitted. Stamped or electronic signatures will not be accepted. Required)
Primary Destination Point Administrator's Name _____ (Printed name – Required)
Signature _____ (Original signature must be submitted. Stamped or electronic signatures will not be accepted. Required)

**Sign and send this application as instructed
in “Submitting Enrollment Application and Signature Pages.”**

Go to Step Four

<p style="text-align: center;">Office Use Only</p> Customer Number _____ TG/FT Number _____
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SAIG Enrollment Application

Step Four: Certification of the President/CEO or Designee

Designation of Authorizing Official

If you as the President or CEO wish to designate someone other than yourself to sign SAIG enrollment applications, you may do so by completing the designation statement below and signing Box 1. Have your designee complete and sign Box 2.

If you do not want to assign a designee, leave Box 1 empty and sign Box 2.

I hereby designate _____ with the title _____, to be my
(Name of New Designee – Required) (Position Title of New Designee – Required)
responsible authorizing official for all future Federal Student Aid System enrollment applications. All related responsibilities of the President/CEO shall be carried out by this designee. As President/CEO, I agree to assume the responsibility for such actions associated with this and future enrollment agreements. This designation is effective as of the date signed below.

Note: Authorized Official name and signature must match information on file with ED.

Box 1 President/CEO _____ (Printed name of President/CEO – Required)
Title _____ (Position title – Required)
Signature _____ Date _____ (Original signature must be submitted. Stamped or electronic signatures will not be accepted. Required)

Responsibilities of the President/CEO or Designee

As the President/CEO or Designee, I certify that:

- I or my designee will notify FPS Help Desk within one business day, by email at support@fps.ed.gov or call 1-800-330-5947 when any person no longer serves as a designated authorizing official, Primary DPA, or Non-Primary DPA.
- I will not permit unauthorized use or sharing of SAIG passwords or codes that have been issued to anyone at my organization.
- Each person who is a SAIG DPA for my organization has read and signed a copy of “Step Three: Responsibilities of the Primary and Non-Primary Destination Point Administrator.”
- Each person who is a SAIG DPA for my organization has made a copy of the signed Step Three document for their own files and a copy is maintained at my organization.
- My organization has provided security due diligence and verifies that administrative, operational, and technical security controls are in place and are operating as intended. Additionally, my organization verifies that it performs appropriate due diligence to ensure that, at a minimum, any employee who has access to FSA ISIR data meets applicable state security requirements for personnel handling sensitive personally identifiable information.
- My organization has ensured the standards for protecting federal tax information (FTI) have been implemented according to Internal Revenue Code (IRC) 26 U.S.C. §6103 – Confidentiality and disclosure of returns and return information and pursuant to 20 U.S.C. §483 of the Higher Education Act, as amended – Use of FAFSA® data and FTI data. I further acknowledge violations of the IRC may lead to criminal and/or civil penalties pursuant to 26 U.S.C. 7213; 7213A; and §7431. Penalties apply to willful unauthorized disclosure and inspection of tax return or return information with punishable fines or imprisonment. Additionally, I further acknowledge a taxpayer may bring civil action for damages against an officer or employee who has inspected or disclosed, knowingly or by reason of negligence, such taxpayer’s tax return or return information in violation of any provision of IRC §6103.
- I understand the Secretary may consider any unauthorized disclosure or breach of student records and student applicant information as a demonstration of a potential lack of administrative capability as stated in 34 C.F.R. § 668.16. I further understand that in the event of an unauthorized disclosure or breach of student applicant information or other sensitive information (such as personally identifiable information), the DPA or the Qualified Individual identified under 16 C.F.R. Part 314 must notify Federal Student Aid within 24 hours after the incident is known or identified for postsecondary educational institutions at <https://fsapartners.ed.gov/title-iv-program-eligibility/cybersecurity/cybersecurity-breach-intake> and for all other by notifying Federal Student Aid at support@fps.ed.gov.
- I understand that my organization must cooperate with Federal Student Aid and provide any requested information regarding an unauthorized disclosure or breach as well as report any breach that occurs at my organization’s third-party providers that maintain, store or otherwise utilize the data.
- I understand that I am responsible for the information security of any information provided by Federal Student Aid that may be maintained by, stored by or shared with any third-party entity.

SAIG Enrollment Application

- I have ensured that the Standards for Safeguarding Customer Information (as the term customer information applies to my institution – See Glossary), 16 C.F.R. Part 314, issued by the Federal Trade Commission (FTC), as required by the Gramm-Leach-Bliley (GLB) Act, P.L. 106-102 have been implemented and understand that these Standards provide, among other things, that I implement the following and I understand that failure to implement the requirements of the GLB Act may be considered a lack of administrative capability under 34 C.F.R. § 668.16 by the Secretary. I further acknowledge that my responsibility to safeguard customer information extends beyond Title IV, HEA program recipients:
 - Develop, implement, and maintain a comprehensive information security program that is written in one or more readily accessible parts that meets the requirements for an information security program in 16 C.F.R. Part 314.
 - Designate a qualified individual responsible for overseeing an implementing my institution’s information security program and enforcing my institution’s information security program in compliance with 16 C.F.R. 314.4(a).
 - Base my institution’s information security program on a risk assessment that identifies reasonably foreseeable internal and external risks to the security, confidentiality, and integrity of customer information (as the term customer information applies to my institution – See Glossary) that could result in the unauthorized disclosure, misuse, alteration, destruction, or other compromise of such information, and assesses the sufficiency of any safeguards in place to control these risks as required under 16 C.F.R. 314.4(b).
 - Design and implement safeguards to control the risks my institution identifies through risk assessment that meet the requirements of 16 C.F.R. 314.4(c)(1) through (8).
 - Regularly test or otherwise monitor the effectiveness of the safeguards my institution has implemented that meet the requirements of 16 C.F.R. 314.4(d).
 - Implement policies and procedures to ensure that personnel are able to enact my institution’s information security program and meet the requirements of 16 C.F.R. 314.4(e)(1) through (4).
 - Oversee my institution’s service providers (See Glossary) by meeting the requirements of 16 C.F.R. 314.4(f)(1) through (3).
 - Evaluate and adjust my institution’s information security program in light of the results of the required testing and monitoring required by 16 C.F.R. 314.4(d); any material changes to my institution’s operations or business arrangements; the results of the required risk assessments under 16 C.F.R. 314.4(b)(2); or any other circumstances that I know or have reason to know may have a material impact on my institution’s information security program as required by 16 C.F.R. 314.4(g).
 - Establish an incident response plan that meets the requirements of 16 C.F.R. 314.4(h).
 - Require my institution’s Qualified Individual to report regularly and least annually to those with control over my institution on my institution’s information security program as required by 16 C.F.R. 314.4(i).
- I have signed this certification below and sent the original to the Department. I have retained a copy of this certification at the organization. My signature below affirms that I have read these responsibilities and agree to abide by them.

Box 2 Authorized Official or Designee _____ Title _____ <small>(Printed name of Authorized Official or Designee – Required) (Position title – Required)</small>	
Signature _____ Date _____ <small>(Original signature must be submitted. Stamped or electronic signatures will not be accepted. – Required)</small>	

Name of School or Agency _____

If you are a third-party servicer, acting on behalf of another organization, you must read and sign Box 3 of this certification.

Note: The Authorized Official of the organization you represent must also sign Box 2, above.

Box 3 Authorized Official _____ Title _____ <small>(Printed name of Authorized Official – Required) (Position title – Required)</small>	
Signature _____ Date _____ <small>(Original signature must be submitted. Stamped or electronic signatures will not be accepted. – Required)</small>	
Name of Third-Party Servicer _____	

**Sign and send this application as instructed in
“Submitting Enrollment Application and Signature Pages.”**

Office Use Only
Customer Number _____
TG/FT Number _____

Attachment A: Glossary

Account Maintenance Fee (AMF). AMF is the annual amount of money paid to a Guaranty Agency by the Department based on a percentage of the original principal balance of guaranteed loans outstanding during a fiscal year. The AMF back-up detail provides the loan information used in the calculation.

Annual Reasonability. NSLDS Annual Reasonability is designed to compare the loan-level detail reported to NSLDS and the aggregated loan data reported on the Guaranty Agency Financial Report (GAFR). In order to accurately account for the loan-level detail on NSLDS, NSLDS performs two types of calculations on the NSLDS database: Quarterly Calculations and Yearly Calculations.

Award Year. July 1 of one year through June 30 of the next year. For the Grant Services (Pell Grant, Iraq and Afghanistan Service Grant, and TEACH Grant), eligible students are paid out of funds designated for a particular award year.

Common Origination and Disbursement (COD). COD is a streamlined method for processing Grant Services (Federal Pell Grant, Iraq and Afghanistan Service Grant, and the Teacher Education Assistance for College and Higher Education [TEACH] Grant), Federal Direct Loan and Fiscal Operations and Application to Participate (FISAP) for the Campus Based Programs which are the Federal Work Study (FWS), Federal Supplemental Educational Opportunity Grant (FSEOG), and Federal Perkins Loan data.

Consumer. An individual who obtains or has obtained a financial product or service from you that is to be used primarily for personal, family, or household purposes, or that individual's legal representative. (See 16 C.F.R. 314.2(b)(2) for examples).

Customer. A consumer who has a customer relationship with you. (See *Consumer*.)

Customer information. Any record containing nonpublic personal information about a customer of a financial institution, whether in paper, electronic, or other form, that is handled or maintained by or on behalf of you or your affiliates. (See *Customer*.)

Customer relationship. A continuing relationship between a consumer and you under which you provide one or more financial products or services to the consumer that are to be used primarily for personal, family, or household purposes. (See 16 C.F.R. 314.2(e)(2) for examples). (See *Consumer*.)

Debt Management and Collections System (DMCS). The DMCS houses all defaulted student financial aid debt held by the Department of Education. This includes, but is not limited to, FFELP loans assigned from GAs; Program Overpayments, and Perkins loans assigned from schools; and all Direct Loan program defaults. The system allows ED to place accounts with Private Collections Agencies (PCA) who do the actual collection activities. The system tracks all debts, interest accruals, and payments at the loan level and can associate all loans to a specific borrower. The system also interfaces with other government agencies such as the U.S. Department of the Treasury for the Treasury Offset Program (TOP), Health and Human Services for the National Directory of New Hires (NDNH) information. The system also sends out bills and correspondence as needed.

Designated Entities. An entity that the U.S. Secretary of Education has designated as eligible to receive FAFSA Filing Status Information from an Agency and that has an Established Relationship with the student. A Designated Entity is not permitted to re-disclose FAFSA Filing Status Information.

Destination Point. An organization enrolled in the SAIG that uses an electronic mailbox to send and receive financial aid data using the SAIG. Every Destination Point is administered by a Primary or a Non-Primary Destination Point Administrator (DPA) and has an electronic mailbox number that begins with the letters "TG/FT."

Destination Point Administrator (DPA). An individual representing an organization involved in the administration of Title IV, HEA student financial aid programs (such as a postsecondary institution, FFELP Lender, FFELP GA or third-party servicer) that is enrolled in the SAIG and assigned a Destination Point (TG/FT Number/Mailbox). To enroll as a DPA, an organization's representative must complete and submit for processing the SAIG Enrollment Application to participate in the U.S. Department of Education's Student Aid Internet Gateway. The DPA is responsible for the SAIG users' access to Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, to ensure the data provided by these systems is protected according to the Privacy Act of 1974, as amended, as well as, to ensure users do not inappropriately access records that are not associated with their organization. Note: The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services. Non-Primary DPAs are not allowed to make enrollment changes. An organization may only have one Primary DPA, but may have many Non-Primary DPAs.

EDconnect. ED's telecommunications software that allows PC Windows users to send and receive data through the SAIG.

electronic Cohort Default Rate (eCDR). A measure of the percentage of a sch'ol's student borrowers who have defaulted on their federal student loans.

Electronic Services. Primary Destination Point Administrators (Primary DPAs) and their Secondary Destination Point Administrators (Secondary DPAs) for their organization may enroll users for FAFSA Online Services or EDconnect Entitlement Access. An FSA User ID and password are required to log in to these systems.

Electronic Services User. An individual allowed access to a Destination Point (TG/FT Number/Mailbox) by the assigned Destination Point Administrator (DPA). Electronic Services users are enrolled for FAFSA Online Services or EDconnect by the Primary DPA. These users are associated with the Primary Destination Point and do not require individually assigned mailboxes to access FAFSA Online Services or EDconnect. An Electronic Services user must read and sign a Federal Student Aid User of Electronic Services Statement that the Primary DPA must maintain (see Attachment B).

Enterprise Complaint System (ECS) (now known as Customer Engagement Management System [CEMS]). The ECS/CEMS provides online access for accepting, tracking, resolving, and storing complaints, reports of suspicious activity, and requests for loan forgiveness based on borrower defense to repayment, directly from customers.

Enrollment Reporting (formerly SSCR). A reporting process to NSLDS completed by schools or their servicers to provide student-level data, campus-level enrollment data, and program-level enrollment data. This data is used in the administration of Title IV aid programs by providing critical data to assess the effectiveness of Title IV aid programs, determine outcomes for Title IV aid recipients. Additionally, enrollment data protects the rights of borrowers by ensuring accuracy of loan repayment dates and allowing for automatic in-school deferments, among other measures and uses.

Established Relationship. A relationship between a student FAFSA applicant and a LEA, secondary school, or Designated Entity. In the case of an LEA, an Established Relationship exists where the student FAFSA applicant is enrolled in a secondary school under the legal authority of the LEA or the LEA otherwise is providing services to the FAFSA applicant. In the case of a secondary school, an Established Relationship exists where the student FAFSA applicant is enrolled in the secondary school itself or the secondary school otherwise is providing services to the FAFSA applicant. In the case of a Designated Entity, an Established Relationship exists when the student FAFSA applicant is enrolled in or has registered with or is receiving services from the Designated Entity in order for the Designated Entity to assist the student in pursuit of postsecondary education.

FAFSA Partner Portal. For purposes of this document, a web tool that financial aid administrators use to view student information, make corrections to students' processed information, enter verification of identity results, and request ISIRs. It is located at <https://fafsa.partnerportal.ed.gov>. An FSA User ID is required to access student information.

FAFSA Processing System (FPS). The FPS manages the application and eligibility determination portion of the federal student aid process. It gathers information from applicants via the Free Application for Federal Student Aid (FAFSA) form, applies computerized edits and calculations to determine the student's eligibility, and communicates the results to the student, schools, state agencies, third-party servicers, and other federal computer systems.

Federal Direct Loan (Direct Loan) Program. A federal program in which the U.S. government (not a commercial Lender) provides four types of education loans to student and parent borrowers directly through schools: Federal Direct Subsidized Loans, Federal Direct Unsubsidized Loans, Federal Direct PLUS Loans, and the Federal Direct Consolidation Loans. These loans are referred to collectively as Direct Loans.

Federal Family Education Loan Program (FFELP). A federal loan program under which commercial Lenders provided education loans to student and parent borrowers. The program offered four types of loans: Federal Subsidized Stafford Loan, Federal Unsubsidized Stafford Loan, Federal PLUS Loan, and the Federal Consolidation Loan. No new FFELP loans have been made since July 1, 2010.

Federal Loan Servicer (Title IV Additional Servicer [TIVAS] or Not-For-Profit [NFP]). An entity that services Title IV, HEA loans owned by ED. These loans include, but are not limited to, William D. Ford Federal Direct Loan (Direct Loan) Program loans and Federal Family Education Loan Program (FFELP) Purchased Loans. Current listings of the Department's federal loan servicers, including each servicer's contact information, are available on the Loan Servicing Centers for Schools and Loan Servicer Centers for Students pages located in the Customer Service Center on FSA Partner Connect (<https://fsapartners.ed.gov/help-center/fsa-customer-service-center>).

Federal Pell Grant (Pell Grant) Program. A federal grant program for undergraduate students with financial need.

Federal School Code. The Federal School Code is a unique six-character code assigned by the U.S. Department of Education to schools participating in Title IV, HEA federal student aid programs. Students enter the codes on their FAFSA form to indicate which schools should receive their ISIRs. The FPS uses the Federal School Code to identify the school.

Federal Student Aid (FSA). A performance-based organization in the U.S. Department of Education which administers the Federal Student Aid programs. These programs include the Federal Pell Grant program, the Iraq and Afghanistan Service Grant program, the TEACH Grant program, the Federal Supplemental Educational Opportunity Grant program, the Federal Direct Loan program, the Federal Work Study program, and the Federal Perkins Loan program.

Federal Tax Information (FTI). Safeguarding FTI is critically important to continuously protect taxpayer confidentiality as required by IRC § 6103. FTI consists of federal tax returns and return information (and information derived from it) that is in the agency's possession or control that is covered by the confidentiality protections of the Internal Revenue Code (IRC). FTI is categorized as Sensitive But Unclassified (SBU) information and may contain personally identifiable information (PII). FTI may not be masked to change the character of information to circumvent IRC § 6103 confidentiality requirements. See [Internal Revenue Service \(IRS\) Safeguards Program website and Publication 1075](#) for more information.

FFELP Lender. A Lender is a commercial entity, such as a bank or credit union, that holds FFELP loans.

FFELP Lender Servicer. A Lender Servicer is an outside organization that contracts with a Lender to manage FFELP loans for the Lender.

Financial Aid History. The Guaranty Agency (GA) Financial Aid History (FAH) process is intended to gather loan information as it resides in the National Student Loan Data System (NSLDS) database. In response to a GA FAH request, NSLDS will send a processed extract file containing NSLDS FAH information only for those students who have at least one loan with the requesting FFELP Guaranty Agency/Guaranty Agency Servicers.

Financial Management System (FMS). Federal Student Aid's FMS is a centralized system for all FSA financial transactions. It allows Financial Partner users (for example, lenders, and GAs) to collect, process, maintain, transmit, and report data about financial events. FMS also provides functionality to support financial planning and budgeting activities, accumulate and report cost information, and the preparation of financial statements.

Free Application for Federal Student Aid (FAFSA). The application that the student must file to apply for aid from any Title IV, HEA program, including the Direct Loan program. Students can apply online by using the StudentAid.gov website (<https://studentaid.gov/>). The FAFSA form is also printed and distributed free of charge by the U.S. Department of Education.

FSA Partner Connect. FSA Partner Connect is a unified digital frontend platform for school partners, financial institution partners, FSA staff, and contractors involved in the administration of Title IV financial aid for postsecondary education. FSA Partner Connect will provide centralized access to FSA policy and guidance, FSA training and consolidates access to distinct FSA websites where partners and FSA staff manage Title IV program eligibility and complete aid administration tasks. FSA Partner Connect provides access to data that is processed and maintained by FSA back-end systems responsible for various Title IV functions throughout FSA.

FTI Mailbox. An FTI Mailbox is an electronic mailbox that is used to receive ISIRs with Federal Tax Information (FTI).

Guaranty Agency (GA). An organization with agreements with the U.S. Department of Education to administer certain parts of the Federal Family Education Loan Program (FFELP).

Guaranty Agency Financial Reporting (GAFR). The GAFR service allows GAs to send financial reporting information to Federal Student Aid's FMS using their SAIG mailbox.

GA Servicers. A GA Servicer is an outside organization that contracts with a GA to handle the exchange of Title IV, HEA data between the GA and the Department of Education.

Institutional Student Information Record (ISIR). The term ISIR refers to all processed student information records that are sent electronically to institutions by the FPS. The ISIR contains all information reported on the FAFSA form, key processing results, and NSLDS financial aid history information.

Income Based Repayment (IBR) Plan. The IBR plan is a repayment plan with monthly payments that are limited to 10 or 15 percent of a borrower's discretionary income divided by 12. Discretionary income for this plan is the difference between the adjusted gross income (AGI) and 150 percent of the poverty guideline amount for the borrower's state of residence and family size. It is available in both the Direct Loan and FFELP programs.

Income-Contingent Repayment (ICR) Plan. The ICR plan is a repayment plan in the Direct Loan program with monthly payments that are the lesser of (1) what a borrower would pay on a 12-year standard repayment plan multiplied by an income percentage factor or (2) 20 percent of a borrower's discretionary income divided by 12. Discretionary income for this plan is the difference between the borrower's adjusted gross income (AGI) and the poverty guideline amount for the borrower's state of residence and family size.

Iraq and Afghanistan Service Grant Program. Beginning with the 2010-2011 Award Year, an eligible student whose parent or guardian died as a result of U.S. military service in Iraq or Afghanistan after September 11, 2001, may receive increased amounts of Title IV, HEA student financial assistance. Such students are eligible for increased aid under these provisions if the student was 23 years of age or younger when the parent or guardian died or, if the student was over age 23, he or she was enrolled at an institution of higher education at the time of the parent or guardian's death.

IRS Skip Trace. The Treasury Address Request (TAR) Program, referred to as the IRS Skip Trace Process, generates requests to the Internal Revenue Service (IRS) to provide address information on defaulted borrowers. Selected borrower records on the DMCS are merged with similar records received from guarantors into a skip trace address request file to be sent to the IRS. The IRS will return an address for the borrowers matched, and these addresses will either be entered into the DMCS or forwarded to the requesting entity.

ISIR Datamart. This is a function of FAFSA Online Services that Destination Point Administrators (DPAs) can use to request ISIRs from the FPS.

Lender Reporting System (LaRS). The LaRS allows Lender institutions or their servicers to send financial reporting information to Federal Student Aid's FMS using their SAIG mailbox.

Local Educational Agency (LEA). An LEA is a public board of education or other public authority legally constituted within a State for either administrative control of or direction of, or to perform service functions for, public elementary or secondary schools in a city, county, township, school district, or other political subdivision of a State; or such combination of school districts or counties a State recognizes as an administrative agency for its public elementary and secondary schools; or any other public institution or agency that has administrative control and direction of a public elementary or secondary school.

Mandatory Assignment. GAs assign defaulted FFELP loans to the Department. The data is loaded to the DMCS where it is used to collect monies from defaulted borrowers or otherwise resolve their accounts.

National Directory of New Hires (NDNH). NDNH is the Department of Health and Human Services (HHS), Office of Child Support Enforcements' database utilized by the Department of Education (ED) through a computer matching agreement between ED and HHS to obtain address and employment information on defaulted borrowers. The NDNH database maintains some of the most comprehensive address and employment information on individuals throughout the United States.

National Student Loan Data System (NSLDS). A national database of student loan-level information and selected grant recipient data for loans and grants disbursed under the Title IV, HEA programs.

Non-Primary Destination Point Administrator (Non-Primary DPA). The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned to their Destination Points (TG/FT Number/Mailboxes). Non-Primary DPAs are not allowed to make enrollment changes.

OPE ID Number. The OPE ID is an eight-digit number assigned by the U.S. Department of Education to schools participating in Title IV, HEA programs.

Pay As You Earn Plan. Pay As You Earn is a repayment plan in the Direct Loan Program with monthly payments that are limited to 10 percent of a borrower's discretionary income divided by 12. Discretionary income for this plan is the difference between the borrower's adjusted gross income (AGI) and 150 percent of the poverty guideline amount for the borrower's state of residence and family size.

A borrower is a new borrower for the Pay As You Earn plan if (1) the borrower has no outstanding balance on a Direct Loan or FFEL Program loan as of October 1, 2007 or have no outstanding balance on a Direct Loan or FFEL Program loan when the borrower obtains a new loan on or after October 1, 2007, and (2) the borrower receives a disbursement of a Direct Subsidized Loan, Direct Unsubsidized Loan, or student Direct PLUS Loan on or after October 1, 2011, or the borrower receives a Direct Consolidation Loan based on an application received on or after October 1, 2011. However, a borrower is not considered a new borrower if the Direct Consolidation Loan received repays loans that would make the borrower ineligible under part (1) of this definition.

Primary Destination Point Administrator (Primary DPA). An individual who has been appointed by the organization as the responsible party for the enrollment of the organization's additional Non-Primary DPAs which result in the establishment of their SAIG Mailboxes and may include FAFSA Online Services users. The Primary DPA is responsible for the SAIG users' access to Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, to ensure the data provided by these systems is protected according to the Privacy Act of 1974, as amended, as well as to ensure users do not inappropriately access records that are not associated with their organization. Note: The Primary DPA is responsible for enrolling additional Non-Primary DPAs for specific SAIG services assigned to their Destination Points (TG Number/Mailboxes).

Revised Pay As You Earn (REPAYE)/Saving on a Valuable Education (SAVE) Plan. The Revised Pay As You Earn (REPAYE) is a repayment plan in the Direct Loan Program with monthly payments that are limited to 10 percent of a borrower's discretionary income divided by 12. Discretionary income for this plan is the difference between the borrower's adjusted gross income (AGI) and 150 percent of the poverty guideline amount for the borrower's state of residence and family size. The Saving on a Valuable Education (SAVE) Plan replaces the existing Revised Pay As You Earn (REPAYE) Plan beginning Summer 2023 in the Direct Loan Program. Borrowers who have undergraduate and graduate loans will pay a weighted average of between 5% and 10% of their income based upon the original principal balances of their loans. The SAVE Plan increases the income exemption from 150% to 225% of the poverty line.

SAIG Mailbox. An electronic mailbox that is used to send and receive financial aid data using the SAIG. It is also referred to as a Destination Point. (See *Destination Point*.)

Service provider. Any person or entity that receives, maintains, processes, or otherwise is permitted access to customer information through its provision of services directly to a financial institution that is subject to 16 C.F.R. Part 314.

Social Security Number (SSN). The number assigned to an individual by the Social Security Administration and used as the identifying number for many Federal purposes, including identifying an SAIG participant and applicants for the Title IV, HEA programs.

Student Aid Internet Gateway (SAIG). The SAIG links thousands of Destination Points across the United States, its territories, and certain ED-designated foreign countries to the Higher Education Act's Title IV, HEA student financial aid programs and delivery system. Organizations enroll individuals as DPAs in the SAIG. DPAs are assigned Destination Points (electronic mailboxes) for receiving and transmitting data to various Title IV, HEA Federal Student Aid systems such as NSLDS, FPS, and COD. (See *Destination Point and Destination Point Administrator*.)

Student Applicant Information or Title IV Information. Any information used in the administration of the Title IV federal student aid programs, information about students or prospective students, and information about their family members an institution obtains to evaluate student eligibility for federal student aid, including but not limited to: Personally Identifiable Information (PII), Institutional Student Information Record (ISIR), Student Aid Report (SAR), and data collected by the FAFSA form as authorized by Section 483 of the HEA.

Stop Student Debt Relief Scams Act of 2019. Public Law 116-251, explicitly makes unauthorized access to the U.S. Department of Education information technology systems and the misuse of identification devices issued by the Department a criminal act with fines up to \$20,000 and/or 5 years imprisonment. It also directs the Department to prevent improper access to the data system and any other system used to administer a program under Title IV of the Higher Education Act of 1965, as amended, by any person or entity for the purpose of assisting a student in managing loan repayment or applying for any repayment plan, consolidation loan, or other benefit authorized by this title, unless such access meets the requirements of an authorized person or entity. Furthermore, it requires the Department to take action to prevent and address the improper use of access devices, detecting common patterns of improper use; maintain a reporting system to alert the Secretary of potentially improper use of Department IT systems; and proactively contacting Federal student loan borrowers whose Federal student loan accounts likely demonstrate improper use in accordance with the provisions of this Act. It also directs Federal exit counseling to warn Federal loan borrowers about debt relief scams and to provide clean and simple disclosures in communications with borrowers who are applying for or requesting assistance with Federal Direct Loan Program.

TDClient. ED's telecommunications software that allows Mainframe/Midrange users to send and receive data through the SAIG.

Teacher Education Assistance for College and Higher Education (TEACH) Grant Program. The TEACH Grant Program provides up to \$4,000 a year in grant assistance to a student who agrees to serve for at least four years as a full-time teacher in a high-need field in a public or private elementary or secondary school that serves students from low-income families. A TEACH Grant recipient who does not complete the required teaching within a specified timeframe, or fails to meet certain other requirements of the TEACH Grant Program, will be required to repay the TEACH Grant as a Direct Unsubsidized Loan under the William D. Ford Federal Direct Loan (Direct Loan) Program.

TG/FT Number. A TG/FT Number is the identifier for an electronic mailbox which is referenced to as an SAIG Mailbox or Destination Point. The TG/FT Number is a five-digit alphanumeric number, preceded by "TG/FT."

The Title IV, Federal Student Aid Systems. These systems are maintained by the Department for the purposes of administering programs authorized under the Higher Education Act of 1965, as amended (HEA). The Department's Title IV, Federal Student Aid Systems include the: FAFSA Processing System (FPS), National Student Loan Data System (NSLDS) Online, Common Origination and Disbursement (COD) System, Financial Management System (FMS), Debt Management and Collections System (DMCS), Title IV Additional Servicers (TIVAS), and Access Information Management System (AIMS).

Title IV, HEA student financial aid programs. Student assistance programs authorized by Title IV of the Higher Education Act of 1965, as amended, (HEA).

Title IV Information. See *Student Applicant Information*.

Total and Permanent Disability (TPD). The TPD program was created to support the regulatory requirement to track borrower claims for total and permanent disability discharge for one year. The regulations require monitoring of income and loan status for a period of one year after certification of disability by a physician. Borrowers determined to be ineligible after the one year period will be returned to active servicing status. However, if the borrower still meets the eligibility criteria at the end of this period, final discharge of the student loan is granted.

Transfer Student Monitoring (TSM). A function of the NSLDS that monitors student transfers from one school to another. Following a student transfer, the new school sends identifying information about the student to NSLDS and will then receive data updates that will allow the school to disburse and/or deliver aid to the student.

Treasury Offset Program (TOP). The Treasury Offset Process refers defaulted borrower debts to the U.S. Department of Treasury with the ultimate objective of collecting past due accounts by means of offset of federal and/or state funds due the borrower, such as tax refunds.

U.S. Department of Education (ED, Department). The federal agency responsible for the administration of federal student aid programs under Title IV of the HEA through Federal Student Aid (FSA).

Unauthorized Disclosure or Breach. The loss of control, compromise, unauthorized disclosure, unauthorized acquisition, unauthorized access, or any similar occurrence where (1) a person other than an authorized user accesses or potentially accesses student Title IV Information or (2) an authorized user accesses or potentially accesses student Title IV Information for an other than authorized purpose, whether physical or electronic.

Attachment B: Federal Student Aid User of Electronic Services Statement

Any individual who is not a Destination Point Administrator and who accesses Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, and/or uses resources that access Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, whether by batch or online, must read and sign this statement. The original Federal Student Aid User of Electronic Services Statement must be maintained by the organization. The user should keep a copy of the signed statement for his or her records.

The user understands that intentional submission of false or misleading information to the U.S. Department of Education is subject to a fine up to \$10,000, imprisonment for up to five years, or both, under provisions of the United States Criminal Code (including 18 U.S.C. 1001). The user also agrees to comply with all provisions of Section 483 of the Higher Education Act of 1965, as amended.

The user understands the intentional use of an access device (18 U.S.C. 1029(e)(1)) issued to another person or obtained by fraud or false statement to access the U.S. Department of Education information technology systems for fraud, commercial advantage, or private financial gain shall be guilty of a felony and is subject to a fine of up to \$20,000, imprisonment for up to five years, or both, under provisions of the United States Code (20 U.S.C. 1097(e)).

The user understands that the information provided by the U.S. Department of Education is protected by the Privacy Act of 1974, as amended. Protecting this information, once it is entrusted to the user, becomes his or her responsibility. Therefore, the user agrees to protect the privacy of all information provided to him or her by the U.S. Department of Education. The user understands that any person, including himself or herself, who knowingly and willfully requests or obtains any record concerning an individual from an organization under false pretenses, shall be guilty of a misdemeanor and is subject to a fine of up to \$5,000 (5 U.S.C. 552a(i)(3)).

Responsibilities of the Electronic Services User

Appropriate uses of Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, by an Electronic Services User:

- Must use Electronic Services computing resources only for official government business.
- Must ensure that a substantially Established Relationship with the applicant is in place (e.g., the applicant has applied for admission to the institution, the applicant has included the institution on the FAFSA[®] form, or the lender holds a loan for the borrower) before accessing Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs, to obtain privacy protected information about the student.
- Must ensure that all Federal Student Aid applicant information (including federal tax information) is used for the application, award, and administration of financial aid to an applicant consistent with 20 U.S.C. §1090 and redisclosure requirements of FTI under 26 U.S.C. §6103(1)(13).
- Must adhere to the strict confidentiality requirements when accessing FTI under 26 U.S.C. §6103.
- Must know the name of the Primary DPA and how to contact that individual.
- Must protect all Federal Student Aid systems or other Federal agencies' systems for the purposes of administering the HEA programs from access by or disclosure to unauthorized personnel.
- Must report immediately to the Primary DPA any security incidents, potential threats, or vulnerabilities that involve Electronic Services.
- Must report to the Primary DPA any compromise, suspected compromises, or incidents of sharing of a password or any other authenticator.
- Must access only those systems, networks, data, control information, and software for which he or she is authorized.
- Must ensure that all Electronic Services information is marked according to its sensitivity and is properly controlled and stored.
- Must inform the organization's Primary DPA the Electronic Services User no longer needs access to a Federal Student Aid system (i.e., the individual is leaving his or her position or his or her job responsibilities have changed).
- Must not add code that might be harmful to the SAIG or Electronic Services.

My signature below affirms that I have read this Statement and agree to abide by these rules.

TG#/FT# _____ Electronic Services User's Name _____
(Print)

Electronic Services User's Job Title _____

Phone # (_____) _____

Electronic Services User's Signature _____ Date _____

Primary DPA Signature _____ Date _____

(This statement with an original signature [not a stamped signature] must be maintained by the Primary DPA.)

**Do Not Submit This User Statement to The Department of Education.
The Original Must Be Retained By The Organization.**

Attachment C: Burden Statement

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless such collection displays a valid OMB control number. The valid OMB control number for this information collection is 1845-0002. Public reporting burden for this collection of information is estimated to average 40 minutes per initial paper application response, 20 minutes per initial web application online, 15 minutes per updated response on paper, and 10 minutes per updated response online, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. The obligation to respond to this collection is required to obtain or retain a benefit as cited in the Higher Education Act of 1965, as amended (HEA); 20 U.S.C. 1070 et seq. If you have comments or concerns regarding the status of your individual submission of this application, please contact U.S. Department of Education, Systems Integration Division, 830 First Street NE, Washington, DC 20212 directly.