

\* Indicates required

FCC 220.  
SCL-ASG/TC

# FEDERAL COMMUNICATIONS COMMISSION

## FCC Application for Assignment (ASG) or Transfer of Control (TC) of a Cable Landing License

Approved by OMB No.  
3060-0944  
Estimated time per  
response: 3 to 120  
hours  
Edition date: August  
2024

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Review to Submit

See Instructions [↗](#) [Print Form](#) [↗](#)

### 1. Licensee Information

\* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Applicant/Licensee Legal Entity Type

Individual

Other:

These are the choices

-- None --

Individual

Unincorporated Association

Government Entity

Corporation

Limited Liability Company

General Partnership

Limited Partnership

Limited Liability Partnership

Other

## 2. Licensee Contact Information

Check here if same as Licensee

\*FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Relationship

-- None --

These are the choices

- None --
- Same
- Legal counsel
- Engineer
- Other

### 3. Assignor/Transferor Information

\* FRN

--	--

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Legal Entity Type (Select One)

These are the choices

#### 4. Assignor/Transferor Contact Information

Check here if same as Assignor/Transferor

\* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Relationship

-- None --

These are the choices

-- None --

Legal counsel  
Engineer  
Other

**5. Assignee/Transferee Information**

\*FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State



Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Legal Entity Type (Select One)

These are the choices

Individual

-- None --

- Individual
- Unincorporated Association
- Government Entity Corporation
- Limited Liability Company
- General Partnership
- Limited Partnership
- Limited Liability Partnership
- Other

## 6. Assignee/Transferee Contact Information

Check here if same as Assignee/Transferee

\* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Relationship

Same

These are the choices

-- None --

Same

Legal counsel

Engineer

Other

### 7. Additional Contacts

The Applicant must designate a point of contact who is located in the United States and is a resident, for the execution of lawful requests and as an agent for legal services.

### 7.a. Contact for execution of lawful requests

\* Individual Name

\* Company

\* Telephone number

\* Fax number

\* Email

\* Street address

Street Address 2

\* City

\* State

\* Zip/Postal Code

Country

USA

**7.b. Alternate contact for execution of lawful requests**

Select if no alternate contact has been designated

\* Alternate Individual Name

\* Alternate Company

\* Alternate Telephone Number

\* Alternate Fax Number

\* Alternate Email

\* Alternate Street Address

Alternate Street Address 2

\* Alternate City

\* Alternate State

\* Alternate Zip/Postal Code

Alternate Country

### 7.c. Agent for legal service of process

Select if agent is same as contact for execution of lawful requests

\*Individual Name

\*Company

\*Telephone Number

\*Fax Number

\*Email

\*Street Address

Street Address 2

\*City

\*State

\* Zip/Postal Code

Country

**7.d. Alternate agent for legal service of process**

Select if no alternate contact has been designated

\* Alternate Individual Name

\* Alternate Company

\* Alternate Telephone Number

\* Alternate Fax Number

\* Alternate Email

\* Alternate Street Address

Alternate Street Address 2

\* Alternate City

\* Alternate State

\* Alternate Zip/Postal Code

Alternate Country

\* 8. Identify the Government, State, or Territory under the laws of which a corporate or partnership Assignee/Transferee and Assignor/Transferor is organized.

<input type="button" value="Add"/>			<input type="button" value="Remove All"/>		
Actions	(a) Applicant Name (the transferor/assignor and the transferee/assignee names)	(b1) Government where Applicant is Organized	(b2) State or Territory where Applicant is Organized		
				No data to display	

## Application Information

\* 9. Enter the name of the cable system and authorization sign and associated file numbers (SCL-LIC or SCL-MOD) of the cable landing license(s) for which the Assignee is seeking authority to assign

<input type="button" value="Add"/>	<input type="button" value="Remove All"/>
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Actions	(a) Authorization ID	(b1) Name of Cable System	(b2) File Number of Current Cable Landing License
No data to display			

\* 10. Brief Description Of Transaction

\* 11. Is this an assignment of authorization or transfer of control?

- Assignment of authorization     Transfer of control

\* 12. Is this a pro forma or substantive transaction?

- Substantive     Pro forma

### Pro Forma (Non-Substantive) Transfer of Control

\* 13. Is the Transfer of Control of the authorization:

- Voluntary     Involuntary

\* 13.a. If involuntary, identify the type. 

- A bankruptcy trustee appointed under involuntary bankruptcy
- An independent receiver appointed by a court of competent jurisdiction in a foreclosure action
- In the case of death or legal disability, to a person or entity legally qualified to succeed the deceased or disabled person under the laws of the place having jurisdiction over the estate involved
- Other

\* If 'Other' is checked, identify the type:

\* 13.b. Date of event causing the involuntary transfer of control:

YYYY-MM-DD



If entered date is prior to 30 days before current date, the following blue text box appears:

You must submit in an attachment an explanation as to why the filing was not provided to the Commission no later than thirty days (30) or less after the event causing the involuntary transfer of control.

## Related Filings

\* 14. Is there a separately filed request related to this transaction filed by the Applicants?

Yes  No

If yes, enter below the ICFS File No(s), ULS File No(s), and Docket No(s) for the related filings.

\* ICFS:

\* ULS:

\* Docket No(s):

## Transaction Information

\* 15. Was the Cable Landing License granted on or after March 15, 2002?

Yes  No

**Note: If "Yes" is selected for Q 15, the following blue text box is displayed:**

The Applicant must demonstrate eligibility for streamlined processing in an attachment under section 1.767(k) of the Commission's rules.

\*16. The Transferee certifies that the transfer of control was pro forma and, together with all previous pro forma transactions, does not result in a change of the Licensee's ultimate control as required in section 1.767(g) of the Commission's rules. In an attachment provide an explanation for this certification.

\*17. Enter on a segment specific basis, the percentage of voting and equity interests being transferred in the cable system, including the U.S. cable landing station for each cable system.

Add

Remove All

Actions	(a) Name of Cable System	(b) Segment or U.S. Cable Landing Station (CLS)	(c) Percentage of Voting Interest in Cable Being Transferred by Segment/CLS	(d) Percentage of Equity Interest in Cable Being Transferred by Segment/CLS
No data to display				

### Foreign Ownership

\* 18. Does any individual or entity that is not a U.S. citizen hold a 10% or greater direct or indirect equity or voting interest, or a controlling interest, in the Transferee?

- Yes    No

\* 18.a. Does this application qualify for exclusion from referral to the Executive Branch because the only reportable foreign ownership is through wholly owned intermediate holding companies and the ultimate ownership and control is held by U.S. citizens or entities?

- Yes    No

18.b. Applicant for which an individual or entity that is not a U.S. citizen holds a 10% or greater direct or indirect equity or voting interest, or a controlling interest, in the Applicant, will submit:

- Responses to standard questions, prior to or at the same time the Applicant files its application with the Commission, pursuant to part 1, subpart CC, of the Commission's rules, to the Committee for the Assessment of Foreign Participation in the United States Telecommunications Services Sector (Committee). The required information shall be submitted separately from the application and shall be submitted directly to the Committee.
  - A complete and unredacted copy of its FCC application(s), including the file number(s) and docket number(s), to the Committee within three (3) business days of filing it with the Commission.
- \*The Applicant(s) acknowledges these requirements.

## Ownership Information

- \* 19. Will any person or entity directly or indirectly have 10% or more of the equity interests and/or voting interests, or a controlling interest, of the Licensee? 
- Yes  No

Complete the table below, and in an attachment, provide a detailed ownership listing and ownership diagram as required by [section 1.767\(a\)\(8\)\(i\)](#) of the Commission's rules.

- \* 19.a. Provide, in the fields below, the name, address, citizenship, and principal business of any person or entity that directly or indirectly owns/will own at least 10% of the equity and/or voting interests or a controlling interest of the Licensee ("interest holder") and the percentage of equity and/or voting interests owned by each of those entities to the nearest 1%. Also provide, in an attachment, a detailed ownership listing and ownership diagram, as required by section 1.767(a)(8)(i) of the Commission's rules.

Add

Remove All

Actions	(a) Name of Individual or Entity that Directly or Indirectly Owns 10% or More of the Equity and/or Voting Interests of Applicant or Has a Controlling ("Interest Holder")	(b) Address of Interest Holder	(c) Citizenship or Country of Incorporation of Interest Holder	(c)(1) Dual or More Citizenships (if applicable)	(d) Principal Business of Interest Holder	(e) Name of the Entity in Which the Interest Holder has a Direct 10% or More Equity and/or Voting Interest	(f) Equity Interest Held by Interest Holder (%)	(g) Voting Interest Held by Interest Holder (%)
	No data to display							

\*20. Does the Applicant have any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules? 

Yes  No

**Note: If Q20 is "yes" the following blue text box appears:**

In an attachment identify the interlocking directorates pursuant to 63.18(h) of the Commission's rules.

### Foreign Carrier Affiliation

\*21. Is the Transferee a foreign carrier or is it affiliated with a foreign carrier in any foreign country? 

Yes  No

Complete the table below and in an attachment, provide the information and certifications required by 47 CFR § 1.767(a)(8)(ii) through (iv).

For column (c)(1), choose from one of the following options:

- (a) The applicant is a foreign carrier in that country.
- (b) The applicant controls a foreign carrier in that country.
- (c) There exists any entity that owns more than 25 percent of the applicant, or controls the applicant, or controls a foreign carrier in that country.

- (d) Two or more foreign carriers (or parties that control foreign carriers) own, in the aggregate, more than 25 percent of the applicant and are parties to, or the beneficiaries of, a contractual relation (e.g., a joint venture or market alliance) affecting the provision or marketing of arrangements for the terms of acquisition, sale, lease, transfer and use of capacity on the cable in the United States; or
- (e) Non-standard affiliation (provide explanation).

\* 21.a. Provide the affiliation information below:

<input type="button" value="Add"/> <input type="button" value="Remove All"/>							
Actions	(a) Name of Foreign Carrier	(b) Country of Affiliation	(c)(1) Identify the Type of Affiliation	(c)(2) Explanation for non- standard Affiliation	(d) Does the Applicant seek to land and operate a submarine cable connecting the United States to this country?	(e) Does Applicant/Affiliate Carrier Own or Control Cable Landing Station in this Country?	(f) Is this country a member of the World Trade Organization?
					No data to display		

\* 21.b. Does the Licensee/Transferee agree to accept and abide by the reporting requirements in section 1.767(l) of the Commission's rules?



- Yes
  No

\* If No, explain:

\* 21.c. If a foreign carrier affiliation notification related to this transaction was filed pursuant to section 1.768 of the Commission's rules, provide the file number of the filing (SCL-FCN)

## Application Fees

\* 22. Will a fee be paid?

Yes  No

\* 22.a. If yes, select the appropriate fee code for the application.

Fee Amount

\$0

**If "no" and "other" are selected, the following is displayed**

\* 22.a. If no, indicate reason for fee exemption.

Governmental Entity  Noncommercial Educational License  Other

\* 22.b. Fee exempt explanation .

## Waivers


\* 23. Does the Applicant request a waiver(s) of the Commission's rules?

Yes  No

If yes, attach the request with a supporting narrative and documentation.

\* 23.a. Identify the rule section(s) for which a waiver is sought below.

23.b. Attach a statement explaining the waiver request and identifying the rule number(s) involved:

\* Attach File 

## Attachments

- \*24. The Applicant has uploaded an attachment describing the transaction and explaining how it meets the Commission's rules and this transaction is in the public interest.
- \*25. The Transferee has uploaded an explanation for its certification that the assignment or transfer of control was pro forma and, together with all previous pro forma transactions, does not result in a change of the Licensee's ultimate control, as required in section 1.767(g)(7) of the Commission's rules. ?  
 Yes  N/A
- \*26. The Applicant has uploaded an explanation as to why the notification was not provided to the Commission no later than thirty days (30) after the consummation in accordance with section 1.767(g)(7) of the Commission's rules. ?  
 Yes  N/A
- \*27. The Transferee has uploaded an explanation of its anticipated pro forma transaction and has certified that the transfer of control will be pro forma and, together with all previous pro forma transactions, will not result in a change of the Licensee's ultimate control as required in section 1.767(g)(7) of the Commission's rules. ?  
 Yes  N/A
- \*28. The Applicant has uploaded an attachment to provide a detailed ownership listing and ownership diagram responding to section 63.18(h) of the Commission's rules. ?  
 Yes  N/A
- \*29. The Applicant has uploaded an attachment identifying any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules. ?  
 Yes  N/A
30. The Applicant has uploaded a statement showing that its application qualifies for exclusion from referral to the Executive Branch under section 1.40001(a)(2) of the Commission's rules. ?  
 Yes  N/A
- \*31. The Applicant has uploaded an attachment that includes a statement of how the application qualifies for streamlined processing under section 1.767(k) of the Commission's rules. ?  
 Yes  N/A
- \*32. The Applicant has uploaded an attachment providing the information and certifications required by section 1.767(a)(8)(ii-iv) of the Commission's rules. ?  
 Yes  N/A
- \*33. The Applicant has uploaded a statement supporting the waiver request and identifying the rule number(s) involved, along with other material information.  
 Yes  N/A
- \*34. The Applicant has uploaded an Executive Branch agency service list as set forth in section 1.767(j) of the Commission's rules. ?  
 Yes  N/A



## Attachments/Confidential Treatment of Attachments

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35. Is the Applicant requesting confidential treatment of an attachment(s) under section 0.459 of the Commission's rules? 
- Yes  No

The Applicant must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information. The Applicant must also upload both the Redacted Public version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section below.

36. The Applicant has uploaded the attachment(s) listed below

Attachment No.	File Name	Description of Attachment	Confidential	Action
1	(a) Confidential Non-Redacted Version call_signs_table.txt	Form Attachment	<input checked="" type="checkbox"/>	
	(b) Public Redacted Version	Upload Public Redacted Filing		
	(c) Public Version of Confidential Treatment Request and Supporting Statement	Upload Public Version of Confidential		

Attach File

## National Security/Law Enforcement Certification Statements

- \*37. In submitting this form, the Applicant certifies that it will:
- Comply with all applicable Communications Assistance for Law Enforcement Act (CALEA) requirements and related rules and regulations, including any and all FCC orders and opinions governing the application of CALEA, pursuant to the Communications Assistance for Law Enforcement Act and the Commission's rules and regulations in Title 47, part 1, subpart Z;
  - Make communications to, from, or within the United States, as well as records thereof, available in a form and location that permits them to be subject to a valid and lawful request or legal process in accordance with U.S. law, including but not limited to:
    - The Wiretap Act, 18 U.S.C. § 2510 et seq.;
    - The Stored Communications Act, 18 U.S.C. § 2701 et seq.;
    - The Pen Register and Trap and Trace Statute, 18 U.S.C. § 3121 et seq.; and
    - Other court orders, subpoenas or other legal process;
  - Designate a point of contact who is located in the United States and is a U.S. citizen or lawful U.S. permanent resident, for the execution of lawful requests and as an agent for legal service of process;
  - Ensure the continuing accuracy and completeness of all information submitted, whether at the time of submission of the application or subsequently in response to either the Commission or the Committee's request, as required under section 1.65(a) of the Commission's rules, and to inform the Commission and the Committee of any substantial and significant changes while an application is pending;

- Ensure that after the application is no longer pending for purposes of section 1.65 of the Commission's rules, it will notify the Commission and the Committee of any changes in the authorization holder or licensee information and/or contact information promptly, and in any event within thirty (30) days; and
- Fulfill the conditions and obligations set forth in the certifications set out in section 63.18(q) of the Commission's rules or in the grant of an application or authorization and/or that if the information provided to the United States Government is materially false, fictitious, or fraudulent, it may be subject to all remedies available to the United States Government, including but not limited to revocation and/or termination of the Commission's authorization or license, and criminal and civil penalties, including penalties under 18 U.S.C. § 1001.

## **General Certification Statements**

\*38. In submitting this form,

- **For cable landing licenses granted on or after March 15, 2002, the Transferee certifies that it accepts and will abide by the routine conditions in section 1.767(g) of the Commission's rules, including but not limited to:**
  - acknowledges that the licensee(s) are prohibited from agreeing to accept special concessions directly or indirectly from any foreign carrier, including any entity that owns or controls a foreign cable landing station, where the foreign carrier possesses sufficient market power on the foreign end of the route to affect competition adversely in the U.S. market, and from agreeing to accept special concessions in the future;
  - shall maintain de jure and de facto control of the U.S. portion of the cable system, including the cable landing stations in the United States, sufficient to comply with the requirements of the Commission's rules and any specific conditions of the license;
  - shall comply with the requirements of section 1.768 of the Commission's rules;
  - must notify the Commission within 30 days of the date the cable is placed into service;
  - acknowledge that the cable landing license shall expire 25 years from the in-service date, unless renewed or extended upon proper application. Upon expiration, all rights granted under the license shall be terminated;
  - shall file annual international circuit capacity reports as required by section 43.82 of the Commission's rules;
  - shall file submarine cable outage reports as required in 47 CFR part 4;
  - acknowledges that the cable landing license is revocable by the Commission after due notice and opportunity for hearing pursuant to section 2 of the Cable Landing License Act, 47 U.S.C. § 35, or for failure to comply with the terms of the license or with the Commission's rules.
- **For cable landing licenses granted before March 15, 2002, the Transferee certifies that it accepts and will abide by the conditions set out in the cable landing license, as modified.**
- **The Applicant certifies that it has provided all the required information and certifications under section 1.767 of the Commission's rules.**

- The Applicant(s) certifies that, pursuant to section 1.767(j) of the Commission's rules, on the date of filing this application with the Commission, it shall also send a complete copy of the application, or any major amendments or other material filings regarding the application, to: U.S. Coordinator, EB/CIP, U.S. Department of State, 2201 C Street, NW., Washington, DC 20520-5818; Office of Chief Counsel/NTIA, U.S. Department of Commerce, 14th St. and Constitution Ave., NW., Washington, DC 20230; and Defense Information Systems Agency, ATTN: GC/DO1, 6910 Cooper Avenue, Fort Meade, MD 20755-7088, and shall certify such service on a service list attached to the application or other filing.
- The Applicant certifies that neither it nor any other party to the application is subject to a denial of Federal benefits, including FCC benefits, pursuant to section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR § 1.2002(b) for the meaning of "party to the application" for these purposes. (This certification does not apply to applications filed in services exempted under § 1.2002(c) of the rules, or to Federal, State or local governmental entities or subdivisions thereof. See 47 CFR § 1.2002(c).)
- The Applicant certifies that all of its statements made in this application and in the attachments or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

### Parties Authorized to Sign

39. Licensee Signature

First Name

MI

Last Name

Suffix

Title:

Signature

Date:

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL  
 OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE  
 BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001),  
 AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT  
 (U.S. Code, Title 47, Section 35), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

40. Transferor Signature

First Name

Last Name

MI

Suffix

Title:

Signature

Date:

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL  
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE  
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001),  
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT  
(U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

41. Transferee Signature

First Name

Last Name

MI

Suffix

Title:

Signature

Date:

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL  
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE  
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001),  
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT  
(U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

**Note: If Q15 is answered "no" and 15.b. is also answered "no" - then the following blue text box appears:**

\* 15. Was the Cable Landing License granted on or after March 15, 2002?

Yes  No

15.b. Has the Cable landing License been modified to allow for post-transaction notification of pro forma assignments and transfers of control?

Yes  No

The Transferee acknowledges that it must obtain approval from the Commission for the pro forma transfer of control at least 30 days prior to the transfer of control and must notify the Commission no later than 30 days after either consummation of the pro forma transfer of control or a decision not to consummate the pro forma transfer of control.





🔗

Save as Draft

Review to Submit

**Note: The following pop-up is displayed for 19.a. when "add" button is selected:**

Add Row

\* (a) Name of Individual or Entity that Directly or Indirectly Owns 10% or More of the Equity and/or Voting Interests of Applicant or Has a Controlling Interest ("Interest Holder")

\* (b) Address of Interest Holder

\* (c) Citizenship or Country of Incorporation of Interest Holder

(c)(1) Dual or More Citizenships (if applicable)

\* (d) Principal Business of Interest Holder

\* (e) Name of the Entity in Which the Interest Holder has a Direct 10% or More Equity and/or Voting Interest

\* (f) Equity Interest Held by Interest Holder (%)

\* (g) Voting Interest Held by Interest Holder (%)

Cancel

Add

