

* Indicates required

FCC 214
ITC - T/C&ASG

FEDERAL COMMUNICATIONS COMMISSION

FCC Application for Assignment or Transfer of Control of an International Section 214 Authorization

Approved by OMB
3060-0686
Estimated Time Per
Response: 3-120 hours
Edition Date: August
2024

Save as Draft

Review to Submit

See Instructions [↗](#) [Print Form](#) [↗](#)

1. Authorization Holder Information

* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Legal Entity Type (Select One)

Individual

These are the choices

-- None --
Individual
Unincorporated Association
Government Entity
Corporation
Limited Liability Company
General Partnership
Limited Partnership
Limited Liability Partnership
Other

2. Authorization Holder Contact Information

Check here if same as Licensee

*FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Relationship

-- None --

These are the choices

-- None --

-- None --

Same

Legal counsel

Engineer

Other

3. Assignor/Transferor Information

* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Legal Entity Type (Select One)

Individual

These are the choices

-- None --

-- None --

- Individual
- Unincorporated Association
- Government Entity
- Corporation
- Limited Liability Company
- General Partnership

4. Assignor/Transferor Contact Information

Check here if same as Assignor/Transferor

* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

Attachment Uploaded	
City	
State	
Zip Code/Postal Code	
Country	
Attention	
Title	
Phone	
Fax	
Email	
Relationship	

-- None --

These are the choices

-- None --

Same
Legal counsel
Engineer
Other

5. Assignee/Transferee Information

* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

Legal Entity Type (Select One)

Individual

These are the choices

-- None --

-- None -- Same

Legal counsel

Engineer

Other

Limited Partnership

Limited Liability Partnership

Other

6. Assignee/Transferee Contact Information

Check here if same as Assignee/Transferee

* FRN

Name

Doing Business As (DBA)

Street Address

Street Address 2

City

State

Zip Code/Postal Code

Country

Attention

Title

Phone

Fax

Email

These are the choices

Relationship

Same

Legal counsel

Engineer

Other

7. Additional Contacts

The Applicant must designate a point of contact who is located in the United States and is a U.S. citizen or lawful U.S. permanent resident, for the execution of lawful requests and as an agent for legal service of process. See 47 CFR § 63.18(q)(1)(iii). ❌

7.a. Contact for execution of lawful requests

* Individual Name

* Company

* Telephone number

* Fax number

* Email

* Street address

Street Address 2

* City

* State

* Zip/Postal Code

Country

USA

7.b. Alternate contact for execution of lawful requests

Select if no alternate contact has been designated

* Alternate Individual Name

* Alternate Company

Alternate Telephone Number

Alternate Fax Number

Alternate Email

Alternate Street Address

Alternate Street Address 2

Alternate City

Alternate State

Alternate Zip/Postal Code

Alternate Country

7.c. Agent for legal service of process

Select if agent is same as contact for execution of lawful requests

* Individual Name

* Company

* Telephone Number

* Fax Number

* Email

* Street Address

Street Address 2

* City

* State

* Zip/Postal Code

Country

USA

Select if no alternate contact has been designated

Alternate Individual Name

Alternate Company

Alternate Telephone Number

*Alternate Fax Number

*Alternate Email

*Alternate Street Address

Alternate Street Address 2

*Alternate City

Alternate State

Alternate Zip/Postal Code

Alternate Country

8. Identify the Government, State, or Territory under the laws of which a corporate or partnership Assignee/Transferee and Assignor/Transferor is organized.

Actions	(a) Applicant Name (the transferor/assignor and the transferee/assignee names)	(b1) Government where Applicant is Organized	(b2) State or Territory where Applicant is Organized
No data to display			

Application Information

*9. Name and call sign/file numbers of international section 214 authorization being assigned or transferred.

*10. Brief Description of the Transaction

11. Is this an assignment of authorization or transfer of control?

Assignment of Authorizationj Transfer of control

12. Is this a pro forma or substantive transaction?

Substantive Pro forma

Pro Forma (Non-Substantive) Assignment

*13. Is the Assignment of the authorization:

Voluntary Involuntary

Related Filings

*14. Have the applicant(s) filed any other applications/petitions/waivers related to this transaction?

Yes No

If yes, enter below the ICFS File No(s), ULS File No(s), and Docket No(s) for the related filings.

*ICFS:

*ULS:

*Docket No(s):

Transaction Information

15. Provide the consummation date of the transaction.

YYYY-MM-DD



16. The Assignee certifies that the assignment or transfer of control was pro forma, as defined in section 63.24 of the Commission's rules, and together with all previous pro forma transactions, does not result in a change of the authorization holder's ultimate control. In an attachment, provide an explanation for this certification.

The applicant must demonstrate eligibility for streamlined processing in an attachment under section [47 CFR § 63.12](#) of the Commission's rules.

* 17. Enter the File Number(s)/ AuthID(s) of the international section 214 authorization(s) for which the Assignee is notifying the Commission of the assignment:

Add

Remove All

Actions

(a) File Number(s)

(b) AuthID(s)

No data to display

* 18. Is this a partial assignment of assets?

- Yes No

Foreign Ownership

* 19. Does any individual or entity that is not a U.S. citizen hold a 10% or greater direct or indirect equity or voting interest, or a controlling interest, in the Assignee?

Yes No

19.a. Does this applicant qualify for exclusion from referral to the Executive Branch because (1) the only reportable foreign ownership is through wholly owned intermediate holding companies and the ultimate ownership and control is held by U.S. citizens or entities; (2) the Applicant has an existing international section 214 authorization that is conditioned on compliance with an agreement with an Executive Branch agency concerning national security and/or law enforcement, there are no new reportable foreign owners of the Applicant since the effective date of the agreement, and the Applicant agrees to continue to comply with the terms of that agreement; and/or (3) the Applicant was reviewed by the Executive Branch within 18 months of the filing of the application and the Executive Branch had not previously requested that the Commission condition the Applicant's international section 214 authorization on compliance with an agreement with an Executive Branch agency concerning national security and/or law enforcement and there are no new reportable foreign owners of the Applicant since that review?

Yes No

Applicant must make and attach a specific showing in its application that it qualifies for the exclusion(s), as provided in 47 CFR § 1.40001(a)(2).

Ownership Information

* 20. Will any person or entity directly or indirectly have 10% or more of the equity interests and/or voting interests, or a controlling interest, of the Authorization Holder? 

Yes No

Complete the table below, and in an attachment, provide a detailed ownership listing and ownership diagram, as required by section 63.18(h) of the Commission's rules.

* 20.a. Provide, in the fields below, the name, address, citizenship, and principal business of any person or entity that directly or indirectly owns/will own at least 10% of the equity and/or voting interests or a controlling interest of the Authorization Holder ("interest holder") and the percentage of equity and/or voting interests owned by each of those entities to the nearest 1%. Also provide, in an attachment, a detailed ownership listing and ownership diagram, as required by section 63.18(h) of the Commission's rules.

Add

Remove All


Actions	(a) Name of individual or Entity that Directly or Indirectly Owns 10% or More of the Equity and/or Voting Interests of Applicant or Has a Controlling Interest ("Interest Holder")	(b) Address of Interest Holder	(c) Citizenship or Country of Incorporation of Interest Holder	(c)(1) Dual or More Citizenship (if applicable)	(d) Principal Business of Interest Holder	(e) Name of the Entity in Which the Interest Holder has a Direct 10% or More Equity and/or Voting Interest	(f) Equity Interest Held by Interest Holder (%)	(g) Voting Interest Held by Interest Holder (%)
No data to display								

*21. Will the Authorization Holder have any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules? 

- Yes No

In an attachment identify the interlocking directorates pursuant to section 63.18(h) of the Commission's rules.

Foreign Carrier Affiliations

*22. Is the Assignee a foreign carrier or affiliated with a foreign carrier as defined in section 63.09(d) and (e) of the Commission's rules? 

- Yes No

Complete the table below and in an attachment, provide the information and certifications required by [47 CFR § 63.18\(i\) through \(m\)](#).

For column (c)(1), choose from one of the following options:

- (a) The applicant is a foreign carrier in that country.
- (b) The applicant controls a foreign carrier in that country.
- (c) Any entity that owns more than 25% of the Applicant, or that controls the Applicant, controls a foreign carrier in that country.

- (d) Two or more foreign carriers (or parties that control foreign carriers) own, in the aggregate, more than 25 percent of the Applicant and are parties to, or the beneficiaries of, a contractual relation (e.g., a joint venture or market alliance) affecting the provision or marketing of international basic telecommunications services in the United States; or
- (e) Non-standard affiliation (provide explanation).

22.a. Provide the affiliation information below:

Add

Remove All

(a) Name of Foreign Carrier	(b) Country of Affiliation	(c)(1) Identify the Type of Affiliation	(c)(2) Explanation for non-standard Affiliation	(d) Does the Applicant seek to provide international telecommunications service to this country?	(e) Is this country a member of the World Trade Organization
No data to display					

22.b. Does the Applicant seek to be classified as non-dominant on any route listed in the application pursuant to section 63.10 of the Commission's rules? ?

- Yes No

The Applicant must provide in an attachment information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules.

22.c. Identify the routes for which the Applicant is requesting to be classified as a "non-dominant" carrier. ?

22.d. Has the Applicant uploaded an attachment providing information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules?

- Yes No

If you select no on 22b., the following appears:

22.e. The Applicant agrees to the requirements of section 63.10(c), (d) & (e) for the following routes:

Application Fees

23. Will a fee be paid?

- Yes No

23.a. If yes, select the appropriate fee code for the application.

--	--

Fee Amount

\$0

Waivers

* 24. Does the Applicant request a waiver(s) of the Commission's rules?


- Yes No

If yes, attach the request with a supporting narrative and documentation.

* 24.a. Identify the rule section(s) for which a waiver is sought below.






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24.b. Attach a statement explaining the waiver request and identifying the rule number(s) involved:

* Attach File 

Attachments

- * 25. The Applicant has uploaded an attachment describing the transaction and explaining how it meets the Commission's rules and that this transaction is in the public interest.

- *26. The Applicant has uploaded an attachment to provide a detailed ownership listing and ownership diagram responding to section 63.18(h) of the Commission's rules.
- Yes N/A
27. The Applicant has uploaded an attachment identifying any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules.
- Yes N/A
- *28. The Assignee has uploaded information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules.
- Yes N/A
- *29. The Applicant has uploaded an explanation for its certification that the assignment or transfer of control was pro forma, as defined in section 63.24 of the Commission's rules, and together with all previous pro forma transactions, does not result in a change of the authorization holder's ultimate control. 
- Yes N/A
- *30. The Applicant has uploaded an explanation as to why the pro forma notification was not provided to the Commission no later than thirty days (30) or less after the consummation in accordance with section 63.24(f) of the Commission's rules. 
- Yes N/A
- *31. The Applicant has uploaded a statement showing that its application qualifies for exclusion from referral to the Executive Branch under section 1.40001(a)(2) of the Commission's rules. 
- Yes N/A
- *32. The Applicant has uploaded an attachment that includes a statement of how the application qualifies for streamlined processing under section 63.12 of the Commission's rules. 
- Yes N/A
- *33. The Applicant has uploaded an attachment providing the information and certifications required by section 63.18(i) through (m) of the Commission's rules. 
- Yes N/A

34. The Applicant has uploaded a statement supporting the waiver request and identifying the rule number(s) involved, along with other material information.

- Yes N/A

Attachments/Confidential Treatment of Attachments

*35. Is the Applicant requesting confidential treatment of an attachment(s) under section 0.459 of the Commission's rules?

- Yes No

The Applicant must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information. The Applicant must also upload both the Redacted Public version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section below.

Attachment No.	File Name	Description of Attachment	Confidential	Action
1	(a) Confidential Non-Redacted Version cert_file_paths.txt	Form Attachment	<input checked="" type="checkbox"/>	
	(b) Public Redacted Version	Upload Public Redacted Filing		
	(c) Public Version of Confidential Treatment Request and Supporting Statement	Upload Public Version of Confidential		

National Security/Law Enforcement Certification Statements

*36. In submitting this form, the Applicant certifies that it will:

- Comply with all applicable Communications Assistance for Law Enforcement Act (CALEA) requirements and related rules and regulations, including all FCC orders and opinions governing the application of CALEA, pursuant to the Communications Assistance for Law Enforcement Act and the Commission's rules and regulations in Title 47, part 1, subpart Z;
- Make communications to, from, or within the United States, as well as records thereof, available in a form and location that permits them to be subject to a valid and lawful request or legal process in accordance with U.S. law, including but not limited to:
 - The Wiretap Act, 18 U.S.C. § 2510 et seq.;
 - The Stored Communications Act, 18 U.S.C. § 2701 et seq.;
 - The Pen Register and Trap and Trace Statute, 18 U.S.C. § 3121 et seq.; and
 - Other court orders, subpoenas or other legal process;
- Designate a point of contact who is located in the United States and is a U.S. citizen or lawful U.S. permanent resident, for the execution of lawful requests and as an agent for legal service of process;
- Ensure the continuing accuracy and completeness of all information submitted, whether at the time of submission of the application or subsequently in response to either the Commission or the Committee's request, as required under section 1.65(a) of the Commission's rules, and to inform the Commission and the Committee of any substantial and significant changes while an application is pending;
- Ensure that after the application is no longer pending for purposes of section 1.65 of the Commission's rules, it will notify the Commission and the Committee of any changes in the authorization holder or licensee information and/or contact information promptly, and in any event within thirty (30) days; and
- Fulfill the conditions and obligations set forth in the certifications set out in section 63.18(q) of the Commission's rules or in the grant of an application or authorization and/or that if the information provided to the United States Government is materially false, fictitious, or fraudulent, it may be subject to all remedies available to the United States Government, including but not limited to revocation and/or termination of the Commission's authorization or license, and criminal and civil penalties, including penalties under 18 U.S.C. § 1001.

General Certification Statements

- * 37. In submitting this form,
- The Assignee certifies that for any country in which the Assignee affiliated with a foreign carrier as defined in section 63.09(d) and (e) of the Commission's rules that is not a member of the World Trade Organization, it has demonstrated in an attachment whether the foreign carrier has market power or lacks market power under the criteria in section 63.10(a) of the Commission's rules.

- The Assignee certifies that it has not agreed to accept special concessions directly or indirectly from a foreign carrier with respect to any U.S. international route where the foreign carrier possesses sufficient market power on the foreign end of the route to affect competition adversely in the U.S. market and will not enter into such agreements in the future (section 63.14).
- The Assignee will designate an agent for service in the District of Columbia if required by section 413 of the Communications Act within 30 days of providing service.
- The Assignee certifies that it has provided, in an attachment, a narrative description of the transaction, provided all the required information and certifications, and a complete statement setting forth the facts that show how the assignment or transfer will serve the public interest, as required under section 214 of the Communications Act and sections 63.18 and 63.24 of the Commission's rules.
- The Assignee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits, including FCC benefits, pursuant to section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR § 1.2002(b) for the meaning of "party to the application" for these purposes. (This certification does not apply to applications filed in services exempted under § 1.2002(c) of the rules, or to Federal, State or local governmental entities or subdivisions thereof. See 47 CFR § 1.2002(c).)
- The Assignee certifies that all of its statements made in this application and in the attachments or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

Parties Authorized to Sign

38. Authorization Holder Signature

First Name

MI

Last Name

Suffix

Title

Signature

Date

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001),
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT
(U.S. Code, Title 47, Section 312(a)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

39. Assignor Signature

First Name

Last Name

MI

Attachment Uploaded

Suffix

Title

Signature

Date

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18 Section 1001),
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT
(U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

40. Assignee Signature

First Name

Last Name

MI

	Attachment Uploaded
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Suffix

--

Title

--

Signature

--

Date

--

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18 Section 1001),
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT
(U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

