Instructions for Waiver Application for International 214 Authorization Office of International Affairs

ITC-WAV

Purpose of Form

This form is used to request a waiver of the rules regarding international section 214 authorizations. 47 CFR §§ 63.09 et seq.

Who Must File This Form and When

Any party seeking waiver from the rules regarding an international section 214 authorization.

Description of Form

The waiver application forms the basis for the grant of Applicant's request. This form consists of a main form and the ability to file an attachment to support the request. The Applicant is encouraged to upload a single document in machine readable format, including all required information. The Applicant must fill in all required fields, upload required documents, make all required certifications, and sign the form before submitting the application.

Information Current and Complete

Information filed in the application with the Commission must be kept current and complete under section <u>1.65</u> of the Commission's rules. Section 63.50 of the Commission's rules permits the applicant or the party to the application as a matter of right to amend any application prior to the date of any final action taken by the Commission and requires the Applicant to notify the Commission regarding any substantial and significant changes in the information provided in the application while it remains pending. 47 CFR § 63.50.

To amend a submitted waiver request, use a separate form, ITC-AMD.

Applicable Rules and Regulations

Section 1.3 of the Commission's rules allows parties to request waiver of the rules when good cause is shown.

The provisions of this chapter may be suspended, revoked, amended, or waived for good cause shown, in whole or in part, at any time by the Commission, subject to the provisions of the Administrative Procedure Act and the provisions of this chapter. Any provision of the rules may be waived by the Commission on its own motion or on petition if good cause therefor is shown. 47 CFR § 1.3.

The rules regarding international section 214 authorizations are contained in Part 63 of the Commission's rules. 47 CFR §§ 63.09 et seq.

Other International Section 214 (ITC) Forms

ITC-214 Form

o This form is used to request authority under section 214 to provide international services.

• ITC-AMD Form

o This form is used to amend a pending application related to an international section 214 authorization.

ITC-ASG&TC Form

o This form is used for an assignment of an international section 214 authorization or the transfer of control of an authorization holder. The form is used for both substantive and pro forma transactions.

• ITC-DSC Form

o This form is used to notify the Commission of an international section 214 authorization holder's intention to discontinue, reduce or impair international service.

ITC-MOD Form

o This form is used to modify an existing international section 214 authorization, for example to add or remove a condition on an existing authorization.

• ITC-FCN Form

o The form is used by a licensee to notify the Commission of new foreign carrier affiliations.

• ITC-RPT Form

o This form is used to file the reports required for a carrier classified at dominant for the provision of particular services on particular routes due to an affiliation with a foreign carrier with market power in a destination country in accordance with section 63.10(c) of the Commission's rules.

ITC-STA Form

o This form is used to request Special Temporary Authority related to an international section 214 authorization, such as to provide service prior to grant of the authorization or related to an unauthorized transaction related to the authorization.

FCC Notice Required By The Paperwork Reduction Act

We have estimated that on average each response to this collection of information will take 2 hours. Our estimate includes the time to read the instructions, review existing records, gather and maintain the required data, and actually complete and review the form or response. If you have any comments on this burden estimate, or on how we can improve the collection and reduce the burden, please e-mail them to pra@fcc.gov or send them to the Federal Communications Commission, AMDPERM, Paperwork Reduction Project (3060-0404), Washington, DC 20554.

The Applicant is not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection, unless it displays a

currently valid OMB control number of if we fail to provide you with this notice. This collection has been assigned an OMB control number of 3060-0686. This notice is required by the Paperwork Reduction Act of 1995, P.L. 104-13, October 1, 1995, 44 U.S.C. Section 3507.

For Assistance

For technical assistance with completing the forms, contact the ICFS Helpline at (202) 418-2222 or ICFSINFO@fcc.gov. For general questions about the form requirements, contact the Office of International Affairs, Telecommunications and Analysis Division at (202) 418-1480 or at FCC-OIA-TAD@fcc.gov.

FILING INSTRUCTIONS

Remember to save your draft application periodically by clicking the save button. ICFS will time-out out after 15 minutes of no activity, and failure to save will result in loss of any information entered into the application form after the last save.

Applicant Information

Item A.1. Enter the Waiver Parent File No.

Item A.2. Enter the Waiver Parent Call Sign.

<u>Item 1</u>. Enter the information requested. Some data will be pre-populated using the data associated with Applicant's FCC Registration Number (FRN).

When the Applicant enters its FRN, the Applicant Information will pre-populate with its FRN data in CORES. To modify these pre-populated data, update the data associated with the FRN in CORES. https://www.fcc.gov/licensing-databases/commission-registration-system-fcc

Enter any missing data and sections that are not already populated from CORES, such as the "Applicant/Legal Entity Type" or "Doing Business As (DBA)" name.

Contact Information

<u>Item 2</u>. Identify the contact representative, if different from the Applicant. The contact information can be imported automatically from CORES if the Applicant supplied an FRN, but fields are still editable.

If the contact representative is the same as the person indicated in Item 1, then check the box "Same as Applicant." If the contact representative is not the same as the Applicant provide the requested information.

- Provide the name of a person in your organization, your outside counsel, or other representative
 whom we can contact if there are questions regarding your application. This person should have
 decisional authority over the contents of your application.
- Provide the Company name if different from Applicant name in Item 1 or repeat "Company" name here.
- Provide the contact representative's address, phone number, fax number, and email.
- Provide your "Doing Business As (DBA)" name. If the Applicant is not operating its business using a DBA, you may leave this section blank.
- Indicate how the contact person is related to the Applicant. For example, select "Legal Counsel" if the contact is the Applicant's counsel.

Application Information

<u>Item 3</u>. Provide a brief description of the waiver request. For example, "Company A requests a waiver of the requirement in section 63.18(h) of the Commission's rules to provide information on interlocking directorates."

<u>Item 4</u>. An application fee is required for this form. If the answer is "Yes" to Item 4, then select the fee code from the drop down menu. If the answer is "No" to Item 4, then indicate the reason for the fee exemption by checking the appropriate box. If the reason is "other," briefly describe your rationale in the text box provided.

Note that the FCC may not be able to start its review of a submitted application until the associated application fee is paid. To determine the required fee amount, refer to Subpart G of Part 1 of the Commission's Rules (47 CFR Part 1, Subpart G) and the current Fee Filing Guide. The current Fee Filing Guide can be downloaded from the FCC's website at http://www.fcc.gov/fees, by calling the FCC's Form Distribution Center at (800) 418-FORM (3676), or by faxing your request to the FCC's Fax Information System at 1-866-418-0232.

If a request for waiver/deferral of the FCC application fees has been filed with the FCC, provide the datestamped copy of the request filed with the Commission's Office of the Managing Director as an attachment.

Attachments

<u>Item 5</u>. Confirm by checking "Yes" that you have submitted an attachment to support the waiver request. Provide a single attachment in a machine readable format.

Confidential Treatment of Attachments

<u>Item 6</u>. Applicant can check the "Yes/No" box to Indicate whether the Applicant requests confidential treatment of any part of this filing under section 0.459 of the Commission's rules by checking "Yes" or No."

If "Yes," the Applicant must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information.

If "Yes," the Applicant must also upload both the Redacted <u>Public</u> version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section.

The Applicant can file a confidential attachment. The Applicant can upload attachments at the end of the form using the Attachment Upload button.

In the Table provided, the Applicant(s) will enter an attachment number to identify the attachment(s) uploaded; provide a short description of the information included in each attachment in the text box; and indicate whether confidential treatment is requested by checking the box.

Note: Each document required to be filed as an attachment should be current as of the date of filing. Each page of every attachment must be identified with the number or letter, the number of the page, and the total number of pages.

Certification Statements and Acknowledgements

<u>Item 7</u>. The Applicant must certify acknowledgement of all requirements listed here and elsewhere in this form, as appropriate, by clicking on the single indicated checkbox. These include:

- The Applicant certifies that it has identified the rule number(s) involved in the waiver request and submitted all statements and exhibits to support this waiver request.
- The Applicant certifies that all of its statements made in this Application and in the attachments or documents incorporated by reference are material, are part of this Application, and are true, complete, correct, and made in good faith.

Party Authorized to Sign

Item 8. Enter all the requested information. If the carrier is a corporation or other business entity, the person submitting the application must be an officer. Willful false statements are punishable by fine and or/imprisonment (U.S. Code, Title 18, Section 1001). By signing this, you certify that you are a party authorized to sign and all statements made in this application and in the attachment or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001), AND/OR REVOCATION OF ANY STATION AUTHORIZATION (U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).