

\* Indicates required  
FCC 214  
ITC-MOD

# FEDERAL COMMUNICATIONS COMMISSION

## Application for Modification of International Section 214 Authorization

Approved by OMB  
360-0686  
Estimated Time Per Response: 9-120 hours  
Edition Date: August 2024

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Review to Submit

See Instructions [Print Form](#)

A.1 This is an application for modification of file number:

ITC-214-20240627-00037

Please note that any changes filed in this modification will be reflected in the underlying ITC filing upon Commission acceptance of the modification with history of changes in ICFS

\* A.2 Applicant must attach a narrative statement describing the changes to the application being made in this modification.

### 1. Applicant Information

\* FRN

0000000018

Name

Hua Lu

Attention

Doing Business As (DBA)

Federal Communications Commission

Title

CORES admin user

Street Address

445 12th ST, NW

Phone

+202024182424

Street Address 2

Fax

+202024185000

City

Washington

Email

hua.lu@fcc.gov

State

\* Applicant/Licensee Legal Entity Type

-- None --

Zip Code/Postal Code

20554

Country

USA

-- None --

Individual

Unincorporated Association

Government Entity Corporation

Limited Liability Company

General Partnership

Limited Partnership

Limited Liability Partnership

Other

### 2. Contact Information

Check here if same as Applicant

FRN

0000000018

Name

Hua Lu

Attention



\* Alternate Fax Number

\* Alternate State

\* Alternate Email

\* Alternate Zip/Postal Code

Alternate Country

USA

**3.c. Agent for legal service of process**

Select if agent is same as contact for execution of lawful requests

\* Individual Name

\* Company

\* Street Address

Street Address 2

\* Telephone Number

\* City

\* State

\* Zip/Postal Code

Country

USA

**3.d. Alternate agent for legal service of process**

Select if no alternate contact has been designated

\* Alternate Individual Name

\* Alternate Street Address

\* Alternate Company

Alternate Street Address 2

\* Alternate Telephone Number

\* Alternate City

\* Alternate Fax Number

\* Alternate State

\* Alternate Zip/Postal Code

Alternate Country

USA

\* 4. Identify the Government, State, or Territory under the laws of which a corporate or partnership the Applicant is organized.

Actions	(a) Applicant Name	(b) State or Territory where Applicant is Organized

Actions	(a) Applicant Name Attachment Uploaded: Jacob	(b1) Government where Applicant is Organized Afghanistan	(b2) State or Territory where Applicant is Organized
 			

### Application Information

\*5. Brief Application Description

6. Service Type(s): (check all that apply) 

- 6.a. Global Facilities-Based Authority pursuant to section 63.18(e)(1) of the Commission's rules.
- 6.b. Limited Facilities-Based Authority pursuant to section 63.18(e)(1)(ii) of the Commission's rules.

\*6.b.1 Identify the countries for which the Applicant does not request authorization

Albania

- 6.c. Global Resale Authority pursuant to section 63.18(e)(2) of the Commission's rules.
- 6.d. Limited Resale Authority pursuant to section 63.18(e)(2)(ii) of the Commission's rules.

\*6.d.1. Identify the countries for which the Applicant does not request authorization

Algeria

6.e. Other Authorizations pursuant to section 63.18(e)(3) of the Commission's rules.

\*If the Applicant seeks "Other Authorization" to acquire facilities or to provide services under 63.18(e)(3), select one of more of the choices below and provide in an attachment a complete description of the request and the information required by section 63.18 of the Commission's rules.

- 6.e.1. Facilities-Based Service to a single destination country
- 6.e.2. Resale Service to a single destination country
- 6.e.3. Overseas Cable Construction (for common carrier submarine cables)
- 6.e.4. Other Requests


\*6.e.4.a. Other Requests


\*6.f. Are there additional Applicants for an Overseas Cable Construction 214 Authorization?

- 6.f.1. Single Applicant
- 6.f.2. Multiple Applicants (Consortium)

5.f.2.a. Who is the Lead Applicant for this Overseas Cable Construction Authorization?

Actions	FRN	Name
No data to display		

Related Supplement A's 

To [View/Edit](#) a Supplement record, select from the provided drop-down below and then click on the [View/Edit](#) button. 

To [File an Additional](#) Supplement record, click on the "File Additional Supplement" button.

\*6.f.2.b. How many Applicants are seeking to become holders of this Overseas Cable Construction Authorization?

Provide the "Lead" Applicant Ownership and Foreign Carrier Affiliation information in this form and for each additional Applicant, use the "Additional Applicants/ITC-214-Supplement A" for additional Applicant information (available after initial save)

\*6.g. Are the facilities to be used by the Applicant to provide facilities-based international service categorically excluded from environmental processing as defined by section 1.1306 of the Commission's rules? 

- Yes
- No

In an attachment, provide an environmental assessment as described in section 1.1311 of the Commission's rules.  

See 47 CFR § 1.1311. Attachment Uploaded

\*7. Is the Applicant(s) requesting streamlined processing?

Yes  No

Applicant must submit an attachment that includes a statement of how the application qualifies for streamlined processing under section 63.12 of the Commission's rules.  

See 47 CFR § 63.12.

\*8. Has the Commission previously granted the Applicant an international section 214 authorization?

Yes  No

Below, provide the AuthID(s) and/or File Number(s) held by the Applicant.

\*8.a. AuthID(s):

\*8.b. File Number(s):

### Related Filings

\*9. Is there a separately related application filed by the Applicant?

Yes  No

If yes, enter below the ICFs File No(s), ULS File No(s), and Docket No(s) for the related filings.

\*9.a. ICFs:

\*9.b. ULS:

\*9.c. Docket:

### Foreign Ownership

\*10. Does any individual or entity that is not a U.S. citizen hold a 10% or greater direct or indirect equity or voting interest, or a controlling interest, in any Applicant?

Yes  No

\*10.a. Does this application qualify for exclusion from referral to the Executive Branch because (1) the only reportable foreign ownership is through wholly owned intermediate holding companies and the ultimate ownership and control is held by U.S. citizens or entities; (2) the Applicant has an existing international section 214 authorization that is conditioned on compliance with an Executive Branch agency concerning national security and/or law enforcement, there are no new reportable foreign owners of the Applicant since the effective date of the agreement, and the Applicant agrees to continue to comply with the terms of that agreement; and/or (3) the Applicant was reviewed by the Executive Branch within 18 months of the filing of the application and the Executive Branch had not previously requested that the Commission condition the Applicant's international section 214 authorization on compliance with an Executive Branch agency concerning national security and/or law enforcement and there are no new reportable foreign owners of the Applicant since that review?


Yes  No

10.b. Applicant for which an individual or entity that is not a U.S. citizen holds a 10% or greater direct or indirect equity or voting interest, or a controlling interest, in the Applicant, will submit:

- Responses to standard questions, prior to or at the same time the Applicant files its application with the Commission pursuant to part 1, subpart CC, of the Commission's rules; to the Committee for the Assessment of Foreign Participation in the United States Telecommunications Services Sector (Committee). The required information shall be submitted separately from the application and shall be submitted directly to the Committee. The standard questions and instructions for submitting the responses to the Committee are available on the FCC website.
- A complete and unredacted copy of its FCC application(s), including the file number(s) and docket number(s), to the Committee within three (3) business days of filing it with the Commission.

\*The Applicant(s) acknowledge(s) these requirements.

### Ownership Information

\*11. Does any person or entity directly or indirectly hold 10% or more of the equity interests and/or voting interests, or a controlling interest, of the Applicant? 

Yes  No

Complete the table below and, in an attachment, provide a detailed ownership listing and ownership diagram, as required by section 63.18(h) of the Commission's rules.

Attachment Uploaded

\* 11.a. Provide, in the fields below, the name, address, citizenship, and principal business of any person or entity that directly or indirectly holds at least 10% of the equity and/or voting interests or a controlling interest of the Applicant ("interest holder"), and the percentage of equity and/or voting interests owned by each of those entities to the nearest 1%. Also provide, in an attachment, a detailed ownership listing and ownership diagram as required by section 63.18(h) of the Commission's rules.

(a) Name of Individual or Entity that Directly or Indirectly Owns 10% or More of the Equity and/or Voting Interests of Applicant or Has a Controlling Interest ("Interest Holder")	(b) Address of Interest Holder	(c) Citizenship or Country of Incorporation of Interest Holder	(c)(1) Dual or More Citizenships (if applicable)	(d) Principal Business of Interest Holder	(e) Name of the Entity in Which the Interest Holder has a Direct 10% or More Equity and/or Voting Interest	(f) Equity Interest Held by Interest Holder (%)	(g) Voting Interest Held by Interest Holder (%)
No data to display							

\* 12. Will the Applicant have any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules?  Yes  No

**Foreign Carrier Affiliation**

\* 13. Is the Applicant a foreign carrier or affiliated with a foreign carrier as defined in sections 63.09(d) and (e) of the Commission's rules?  Yes  No

Complete the table below and, in an attachment, provide the information and certifications required by section 63.18(j) through (m) of the Commission's rules.

For column (c)(1), choose from one of the following options:

- (a) The applicant is a foreign carrier in that country.
- (b) The applicant controls a foreign carrier in that country.
- (c) Any entity that owns more than 25% of the Applicant, or that controls the Applicant, controls a foreign carrier in that country.
- (d) Two or more foreign carriers (or parties that control foreign carriers) own, in the aggregate, more than 25 percent of the Applicant and are parties to, or the beneficiaries of, a contractual relation (e.g., a joint venture or market alliance) affecting the provision or marketing of international basic telecommunications services in the United States; or
- (e) Non-standard affiliation (provide explanation).

\* 13.a. Provide the affiliation information below:

(a) Name of Foreign Carrier	(b) Country of Affiliation	(c)(1) Identify the Type of Affiliation	(c)(2) Explanation for non-standard Affiliation	(d) Does the Applicant seek to provide international telecommunications service to this country?	(e) Is this country a member of the World Trade Organization?
No data to display					

\* 13.b. Does the Applicant seek to be classified as non-dominant on any route listed in the application pursuant to section 63.10 of the Commission's rules?  Yes  No

The Applicant must provide in an attachment information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules.

\* 13.c. Identify the routes for which the Applicant is requesting to be classified as a "non-dominant" carrier.

\* 13.d. Has the Applicant uploaded an attachment providing information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules?  Yes  No

**If you select no, the following appears:**

**Application Fees**

\* 14. Will a fee be paid?  Yes  No

\* 14.a. If no, indicate reason for fee exemption.  
 Governmental Entity  Noncommercial Educational License  Other

\* 14.b. Fee exempt explanation.

\* 14.a. If yes, select the appropriate fee code for the application.

Fee Amount: \$0

## Waivers

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\*15. Does the Applicant request a waiver(s) of the Commission's rules?

Yes  No


If yes, attach the request with a supporting narrative and documentation.

\*15.a. Identify the rule section(s) for which a waiver is sought below.


15.b. Attach a statement explaining the waiver request and identifying the rule number(s) involved:

\* Attach File 


## Attachments

\*16. If the Applicant seeks "Other Authorization" to acquire facilities or to provide services under section 63.18(e)(3), the Applicant has uploaded a complete description of the request and the information required by section 63.18 of the Commission's rules. 


Yes  N/A

\*17. The Applicant has uploaded an attachment that includes a statement of how the application qualifies for streamlined processing under section 63.12 of the Commission's rules. 

Yes  N/A

\*18. The Applicant has provided an environmental assessment as described in section 1.1311 of the Commission's rules. 

Yes  N/A

\*19. The Applicant has uploaded an attachment to provide a detailed ownership listing and ownership diagram responding to section 63.18(h) of the Commission's rules. 


Yes  N/A

\*20. The Applicant has uploaded an attachment identifying any interlocking directorates with a foreign carrier, pursuant to section 63.18(h) of the Commission's rules. 

Yes  N/A

\*21. The Applicant has uploaded an attachment providing the information and certifications required by section 63.18(i) through (m) of the Commission's rules. 

Yes  N/A

\*22. The Applicant has uploaded an attachment providing information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules. 

Yes  N/A


\*23. The Applicant has uploaded a statement supporting the waiver request and identifying the rule number(s) involved, along with other material information.

Yes  N/A

\*24. The Applicant has uploaded a statement showing that its application qualifies for exclusion from referral to the Executive Branch under section 1.40001(a)(2) of the Commission's rules. 




Yes  N/A

## Attachments/Confidential Treatment of Attachments

\*25. Is the Applicant requesting confidential treatment of an attachment(s) under section 0.459 of the Commission's rules? 

Yes  No

The Applicant must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information. The Applicant must also upload both the Redacted Public version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section below.

Attachment No.	File Name	Description of Attachment	Confidential	Action
1	(a) Confidential Non-Redacted Version cycle_4_demo.txt	Form Attachment	<input checked="" type="checkbox"/>	
	(b) Public: Redacted Version	Upload Public: Redacted Filing		
	(c) Public Version of Confidential Treatment Request and Supporting Statement	Upload Public Version of Confidential Treatment Request (with supporting statement, identifying the		

Attach File

Attachment Uploaded

### National Security/Law Enforcement Certification Statements

- \*26. In submitting this form, the Applicant certifies that it will:
  - Comply with all applicable Communications Assistance for Law Enforcement Act (CALEA) requirements and related rules and regulations, including any and all FCC orders and opinions governing the application of CALEA, pursuant to the Communications Assistance for Law Enforcement Act and the Commission's rules and regulations in Title 47, part 1, subpart Z;
  - Make communications to, from, or within the United States, as well as records thereof, available in a form and location that permits them to be subject to a valid and lawful request or legal process in accordance with U.S. law, including but not limited to:
    - The Wiretap Act, 18 U.S.C. § 2510 et seq.;
    - The Stored Communications Act, 18 U.S.C. § 2701 et seq.;
    - The Pen Register and Trap and Trace Statute, 18 U.S.C. § 3121 et seq.; and
    - Other court orders, subpoenas or other legal process;
  - Designate a point of contact who is located in the United States and is a U.S. citizen or lawful U.S. permanent resident, for the execution of lawful requests and as an agent for legal service of process;
  - Ensure the continuing accuracy and completeness of all information submitted, whether at the time of submission of the application or subsequently in response to either the Commission or the Committee's request, as required under section 1.65(a) of the Commission's rules, and to inform the Commission and the Committee of any substantial and significant changes while an application is pending;
  - Ensure that after the application is no longer pending for purposes of section 1.65 of the Commission's rules, it will notify the Commission and the Committee of any changes in the authorization holder or licensee information and/or contact information promptly, and in any event within thirty (30) days; and
  - Fulfill the conditions and obligations set forth in the certifications set out in section 63.18(g) of the Commission's rules or in the grant of an application or authorization and/or that if the information provided to the United States Government is materially false, fictitious, or fraudulent, it may be subject to all remedies available to the United States Government, including but not limited to revocation and/or termination of the Commission's authorization or license, and criminal and civil penalties, including penalties under 18 U.S.C. § 1001.

### General Certification Statements

- \*27. In submitting this form,
  - The Applicant certifies that for any country in which the Applicant is affiliated with a foreign carrier as defined in section 63.09(d) and (e) of the Commission's rules that is not a member of the World Trade Organization, it has demonstrated in an attachment whether the foreign carrier has market power or lacks market power under the criteria in section 63.10(a) of the Commission's rules.
  - If applying for facilities-based authority, the Applicant certifies that it will comply with the terms and conditions contained in sections 63.21 and 63.22 of the Commission's rules.
  - If applying for resale-based authority, the Applicant certifies that it will comply with the terms and conditions contained in sections 63.21 and 63.23 of the Commission's rules.
  - The Applicant certifies that, pursuant to section 63.14, it has not agreed to accept special concessions directly or indirectly from a foreign carrier with respect to any U.S. international route where the foreign carrier possesses sufficient market power on the foreign end of the route to affect competition adversely in the U.S. market and will not enter into such agreements in the future.
  - The Applicant certifies that it has provided all the required information and certifications under section 63.18 of the Commission's rules.
  - The Applicant certifies that neither it nor any other party to the application is subject to a denial of Federal benefits, including FCC benefits, pursuant to section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR § 1.2002(b) for the meaning of "party to the application" for these purposes. (This certification does not apply to applications filed in services exempted under § 1.2002(c) of the rules, or to Federal, State or local governmental entities or subdivisions thereof. See 47 CFR § 1.2002(c).)
  - The (Lead) Applicant certifies that all of its statements made in this Application (including any Supplement(s)) and in the attachments or documents incorporated by reference are material, are part of this Application, and are true, complete, correct, and made in good faith.

28. Party Authorized to Sign

\* First Name

MI

\* Last Name

Suffix

\* Title

\* Signature

Date

2024-07-23

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE



BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18 Section 1001), AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 35, AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

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Application Status

-1

Subsystem

ITC

Filing Type

MOD

Number

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Modified From

ITC-214-20240627-00037

ICFS Filing

URL

https://fccsystemstest.servicenow.com/ibfs?id=app&subsystem=ITC&type=MOD&number=DRAFT-ITC-MOD-20240723-00074

Message

JSON: Form data before changes

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Required Information

A.2 Applicant must attach a narrative statement describing the changes to the application being made in this modification.

Alternate Fax Number	Alternate Email	Alternate Street Address	Alternate City	Alternate State	Alternate Zip/Postal Code	Individual Name	Company	Telephone number	Fax number	Email	Street address	City	State	Zip/Postal Code	Alternate Individual Name	Alternate Company	Alternate Telephone Number
Alternate Telephone Number	Alternate Fax Number	Alternate Email	Alternate City	Alternate State	Alternate Zip/Postal Code	Alternate Name	Alternate Zip/Postal Code	Alternate Zip/Postal Code	5. Brief Application Description	6.e.4.a. Other Requests	6.f.2.b. How many Applicants are seeking to become holders of this Overseas Cable Construction Authorization?	Alternate Individual Name	Alternate Company	Alternate Telephone Number			

8.a. AuthID(s): | 8.b. File Number(s): | 9.a. ICFS: | 9.b. ULS: | 9.c. Docket:

11.a. Provide, in the fields below, the name, address, citizenship, and principal business of any person or entity that directly or indirectly holds at least 10% of the equity and/or voting interests or a controlling interest of the Applicant ("interest holder") and the percentage of equity and/or voting interests owned by each of those entities to the nearest 1%. Also provide, in an attachment, a detailed ownership listing and ownership diagram as required by Section 63.18(h) of the Commission's rules.

13.a. Provide the affiliation information below: | 13.c. Identify the routes for which the Applicant is requesting to be classified as a "non-dominant" carrier. | 13.d. Has the Applicant uploaded an attachment providing information to demonstrate that it qualifies for non-dominant classification under section 63.10 of the Commission's rules?

