

FEDERAL COMMUNICATIONS COMMISSION

Notification of Foreign Carrier Affiliation of a U.S. International Carrier as Required by Section 63.11 of the Commission's Rules

Save as Draft

Review to Submit

See Instructions [↗](#) [Print Form](#) [↗](#)

1. Applicant Information

*FRN	<input type="text"/>	
Name	<input type="text"/>	Attention <input type="text"/>
Doing Business As (DBA)	<input type="text"/>	Title <input type="text"/>
Street Address	<input type="text"/>	Phone <input type="text"/>
Street Address 2	<input type="text"/>	Fax <input type="text"/>
City	<input type="text"/>	Email <input type="text"/>
State	<input type="text"/>	*Legal Entity Type <input type="text"/>
Zip Code/Postal Code	<input type="text"/>	<ul style="list-style-type: none">-- None --IndividualUnincorporated AssociationGovernment EntityCorporationLimited Liability CompanyGeneral PartnershipLimited PartnershipLimited Liability PartnershipOther
Country	<input type="text"/>	

2. Contact Information

Check here if same as Authorized Carrier

*FRN	<input type="text"/>	
Name	<input type="text"/>	Attention <input type="text"/>
Doing Business As (DBA)	<input type="text"/>	Title <input type="text"/>
Street Address	<input type="text"/>	Phone <input type="text"/>

Street Address 2

City

Contact State

Zip Code/Postal Code

Country

Fax

Email

*Relationship

-- None --

-- None --

Same

Legal counsel

Engineer

Other

*3. Identify the Government, State, or Territory under the laws of which each corporate or partnership Carrier is organized:

Actions	(a) Licensee Name	(b1) Government where Applicant is Organized	(b2) State or Territory where Applicant is Organized
No data to display			

Notification Information

*4. Brief Description of Notification:

5. Identify the type of notification for foreign carrier affiliation: ⓘ

*5.a. Prior Notification as required by section 63.11(a) of the Commission's rules.

If filing prior notification, as required by section 63.11(g)(2) of the Commission's rules, the Authorized carrier must demonstrate that it continues to serve the public interest for the Authorized carrier to operate on the route for which it proposes to acquire an affiliation with the foreign carrier authorized to operate in the non-WTO Member country. Such a showing shall include a demonstration as to whether the foreign carrier lacks market power in the non-WTO Member country with reference to the criteria in 47 CFR § 63.10(a). If the Authorized Carrier is unable to make the required showing, the Authorized Carrier shall agree to comply with the dominant carrier safeguards contained in section 63.10(c), effective upon the acquisition of the affiliation.

5.a.1. Prior Notification Table [See instructions for further information about submitting this information.](#)

Actions	(a) Name of Foreign Carrier	(b) Projected Date of Closing
No data to display		

*5.a.2. Does the Authorized Carrier request confidential treatment of the filing for the first 20 days after filing, under section 63.11(i) of the Commission's rules?
 Yes No

5.b. Post-Consummation Notification pursuant to the exceptions in section 63.11(b) of the Commission's rules.

6. Identify the international section 214 authorization(s) held by the authorized carrier subject to this notification: [See instructions for further information about submitting this information.](#)

Actions	(a1) File Number	(a2) Auth ID	(b) Type of Authorization
No data to display			

*7. Has the Authorized Carrier provided a narrative description of the Foreign Carrier Affiliation?

Yes No

*8. Is there an accompanying Assignment or Transfer of Control Application filed with the Commission?

Yes No

*8.a. ICFS file number(s) of the Assignment or Transfer of Control Application:

*8.b. Date of Filings:

*9. Does the Authorized Carrier have a 10% or greater direct/indirect foreign owner?

Yes No

*10. Is there a separately filed waiver request associated with this Notification?

Yes No

*10.a. ITC-WAV File Number(s):

Foreign Carrier Information

11. As required by section 63.11(e) of the Commission's rules, provide the name(s) of the foreign carrier(s) that the Authorized Carrier is or seeks to become affiliated with, the country or countries in which the foreign carrier is authorized to provide telecommunications services to the public, what services the Authorized Carrier is authorized to provide in those countries, the FCC file numbers under which each such authorization was granted, and whether the country is a member of the WTO:

Affiliated Foreign Carriers [See instructions for further information about submitting this information.](#)

Actions	(a) Name of Foreign Carrier	(b) Country or Countries in which foreign carrier is authorized to provide telecommunications services to the public	(c) What services the U.S. Authorized Carrier is authorized to provide in each named country	(c1) Facilities-Based Service	(c2) Resale Service	(c3) Resale service solely through the resale of unaffiliated U.S. carriers	(d1) What is the FCC ICFS file number under which the U.S. Authorized Carrier is authorized to provide service in each named country?	(d2) Auth ID:	(e) Is this country a member of the World Trade Organization?
No data to display									

12. Does the Authorized carrier seek to be classified as non-dominant on the routes to a country listed in response to Q11 pursuant to section 63.10 of the Commission's rules? [See instructions for further information about submitting this information.](#)

Yes No

*12.a. Effective upon the acquisition of the affiliation the authorized carrier agrees to comply with the dominant carrier safeguards in section 63.10(c), (d) and (e) on the following routes:

Ownership Information

*13. Does any person or entity have a 10% or greater direct or indirect ownership of the Authorized Carrier? [?](#)

Yes No

*13.a. Provide, in the fields below, the name, address, citizenship, and principal business of any person or entity that directly or indirectly owns at least 10% of the equity of the Authorized Carrier ("interest holder"), and the percentage of equity owned by each of those entities (to the nearest 1%). Provide information regarding any direct ten percent or greater ownership interests held in the Authorized Carrier. Also provide, in an attachment, a detailed ownership listing as required by section 63.11(e) and the name of any interlocking directorates with each foreign carrier named in this notification, as defined in section 63.09(g)(1) of the Commission's rules.

Actions	(a) Name of Individual or Entity that Directly or Indirectly Owns at Least 10% of the Equity of the Authorized Carrier ("Interest Holder")	(b) Address of Interest Holder	(c) Citizenship or Country of Incorporation of Interest Holder	(c)(1) Dual or More Citizenships (if applicable)	(d) Principal Business of Interest Holder	(e) Name of the Entity in Which the Interest Holder has at Least a Direct 10% Equity Interest	(f) Percentage Owned by Interest Holder
No data to display							

*14. Does the Applicant have any interlocking directorates with foreign carrier(s) named in this notification, pursuant to section 63.11(e)(7) of the Commission's rules? [?](#)

Yes No

Application Fees

If you select no, the following appears:

*15. Will a fee be paid?

Yes No

*15.a If no, indicate reason for fee exemption.

Governmental Entity Noncommercial Educational License Other

*15.b. Fee exempt explanation.

*15.a If yes, select the appropriate fee code for the application.

Fee Amount

Waivers

*16. Does the Applicant request a waiver(s) of the Commission's rules?

Yes No

If yes, attach the request with a supporting narrative and documentation.

*16.a. Identify the rule section(s) for which a waiver is sought below.

*16.b. Attach a statement explaining the waiver request and identifying the rule number(s) involved:

Attachments

- *17. The Authorized Carrier has uploaded a narrative description of the Foreign Carrier Affiliation as required by the Commission's rules.
18. The Authorized Carrier has uploaded an attachment demonstrating that it continues to serve the public interest for it to operate on any route for which it proposes to acquire an affiliation with the foreign carrier authorized to operate in a non-WTO Member country as required by section 61.11(e)(9) of the Commission's rules. If applicable, the Authorized Carrier has uploaded an attachment demonstrating as to whether the foreign carrier lacks market power in the non-WTO Member country with reference to the criteria in section 63.10(a) of the Commission's rules. If the Authorized Carrier is unable to make the required showing, the Authorized Carrier agrees to comply with the dominant carrier safeguards contained in section 63.10(c), effective upon the acquisition of the affiliation.
19. The Authorized Carrier has uploaded an explanation as to why the notification was not provided to the Commission at least 45 days prior to consummation in accordance with section 63.11(a) of the Commission's rules.
20. The Authorized Carrier has uploaded the information required by section 0.459 of the Commission's rules for a request for confidential treatment of the filing.
21. The Authorized Carrier has uploaded an attachment demonstrating that it qualifies for non-dominant classification pursuant to section 63.10 of the Commission's rules the routes listed in this notification.
22. The Authorized Carrier has uploaded a statement supporting the waiver request and identifying the rule number(s) involved, along with other material information.

Attachments/Confidential Treatment of Attachments

*23. Is the Applicant requesting confidential treatment of an attachment(s) under section 0.459 of the Commission's rules?

Yes No

The Applicant must upload a supporting statement for the "confidential treatment request(s)" identifying the applicable rule(s) and providing other supporting materials or information. The Applicant must also upload both the Redacted Public version and the Non-Redacted Confidential version of the attachment(s) in the Attachments section below.

Attachment No.	File Name	Description of Attachment	Confidential	Action
----------------	-----------	---------------------------	--------------	--------

No Attached Files

Continuing Accuracy

*24. The Authorized Carrier acknowledges that it shall maintain the continuing accuracy of information provided pursuant to section 63.11(h) of the Commission's rules for a period of 45 days after the filing of this form.

See 47 CFR § 63.11(h).

General Certification Statements

*25. In submitting this form,

• The Authorized Carrier certifies that it has not agreed to accept special concessions directly or indirectly from any foreign carrier with respect to any U.S. international route where the foreign carrier possesses market power on the foreign end of the route. See 47 CFR § 63.14. The Authorized Carrier acknowledges that it shall immediately inform the Commission if at any time, not limited to 45 days, that the representations in the "special concessions" certification are no longer accurate.

• The Authorized Carrier certifies that it has provided all the required information and certifications under section 63.18 of the Commission's rules.

• The Applicant certifies that neither it nor any other party to the application is subject to a denial of Federal benefits, including FCC benefits, pursuant to section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See 47 CFR § 1.2002(b) for the meaning of "party to the application" for these purposes. (This certification does not apply to applications filed in services exempted under § 1.2002(c) of the rules, or to Federal, State or local governmental entities or subdivisions thereof. See 47 CFR § 1.2002(c).)

• The Authorized Carrier certifies that all of its statements made in this Application and in the attachments or documents incorporated by reference are material, are part of this Application, and are true, complete, correct, and made in good faith.

26. Party Authorized to Sign

*First Name

MI

*Last Name

Suffix

*Title

*Signature

Date

FAILURE TO SIGN THIS FORM MAY RESULT IN DISMISSAL
OF THE APPLICATION AND FORFEITURE OF ANY FEES PAID

WILLFUL FALSE STATEMENTS MADE ON THIS FORM ARE PUNISHABLE
BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001),
AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT
(U.S. Code, Title 47, Section 312(a)), AND/OR FORFEITURE (U.S. Code, Title 47, Section 503)

Allow Internal Users to View Draft if in Draft State

Save as Draft

Review to Submit

