

SUPPORTING STATEMENT
United States Patent and Trademark Office
Applications for Trademark Registration
OMB CONTROL NUMBER 0651-0009
2024

A. JUSTIFICATION

- 1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

The United States Patent and Trademark Office (USPTO) administers the Trademark Act (Act), 15 U.S.C. 1051 *et seq.*, which provides for the federal registration of trademarks, service marks, collective trademarks and service marks, collective membership marks, and certification marks. Individuals and businesses that use or intend to use such marks in commerce may file an application to register their marks with the USPTO. Registered marks may remain on the register indefinitely, so long as the owner of the registration files the necessary maintenance documents. The rules implementing the Act are set forth in 37 CFR part 2.

This information collection addresses submissions covered by 37 CFR part 2 for initial applications regarding the registration of trademarks, service marks, collective trademarks and service marks, collective membership marks, and certification marks. Trademarks can be registered on either the Principal or Supplemental Register. The Act and rules mandate that each certificate of registration include the mark, the goods and/or services in connection with which the mark is used, ownership information, dates of use, and certain other information. The USPTO also provides similar information concerning pending applications. The register and pending application information may be accessed by an individual or by businesses to determine the availability of a mark. By accessing the USPTO's information, parties may reduce the possibility of initiating use of a mark previously adopted by another. The federal trademark registration process may thereby reduce the number of filings between both litigating parties and the courts.

Table 1 provides the specific statutes and regulations authorizing the USPTO to collect the information discussed above:

Table 1: Information Requirements

Item No.	Requirement	Statute	Regulation
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1	Use-Based Trademark/Service Mark Applications, including: <ul style="list-style-type: none"> - Trademark/Service Mark Application - Collective Trademark/Service Mark Application - Collective Membership Mark Application - Certification Mark Application - Supplemental Register Application 	15 U.S.C. §§ 1051-1054, 1061-1063, 1091-1096	37 CFR part 2, 2.32, 2.34-2.38, 2.41-2.47, 2.51-2.54, 2.56, 2.59, 2.76, 2.80-2.89
2	Intent to Use Trademark/Service Mark Application, including: <ul style="list-style-type: none"> - Trademark/Service Mark Application - Collective Trademark/Service Mark Application - Collective Membership Mark Application - Certification Mark Application - Supplemental Register Application 	15 U.S.C. §§ 1051-1054, 1061-1062, 1091, 1094, 1095	37 CFR part 2, 2.32, 2.34-2.38, 2.41-2.47, 2.51-2.54, and 2.80-2.87
3	Applications for Registration of Trademark/Service Mark under §44, including: <ul style="list-style-type: none"> - Trademark/Service Mark Application - Collective Trademark/Service Mark Application - Collective Membership Mark Application - Certification Mark Application - Supplemental Register Application 	15 U.S.C. § 1126	37 CFR part 2, 2.22, 2.32, 2.34-2.38, 2.41-2.47, 2.51-2.54, and 2.86

2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.

The information in this information collection is a matter of public record and is used by the public for a variety of private business purposes related to establishing and enforcing trademark rights. The information is available at USPTO facilities and can also be accessed at the USPTO's website. Additionally, the USPTO provides the information to other entities, including Patent and Trademark Resource Centers (PTRCs). The PTRCs maintain the information for use by the public.

The information in this collection must be submitted electronically through the Trademark Electronic Application System (TEAS) or Trademark Center. In limited circumstances, applicants may also be permitted to submit the information in paper form by mail, fax, or hand delivery. This collection contains five electronic forms. In addition, TEAS Plus applications are only available for trademark/service mark applications.

The information collected, maintained, and used in this collection is based on OMB and USPTO guidelines. This includes the basic information quality standards established in the Paperwork Reduction Act (44 U.S.C. Chapter 35), in OMB Circular A-130, and in the USPTO Information Quality Guidelines.

Table 2 outlines how this collection of information is used by the public and the USPTO:

Table 2: Needs and Uses

Item No.	Form/ Function	Form No.	Needs and Uses
1	Use-Based Trademark/Service Mark Applications (TEAS Standard)	PTO 1478 PTO 1479 PTO 1480 PTO 1481 PTO 1482	<ul style="list-style-type: none"> Used by the public to complete and submit use-based applications for registration of trademarks/service marks, collective trademarks/service marks, collective membership marks, and certification marks that identify goods and/or services classified in single or multiple classes. Used by the USPTO to receive, process, and review use-based applications for registration of trademarks/service marks, collective trademarks/service marks, collective membership marks, and certification marks.
1	Use-Based Trademark/Service Mark Applications (TEAS Plus)	PTO 1478	<ul style="list-style-type: none"> Used by the public to complete and submit use-based applications for registration of trademarks/service marks for a reduced filing fee. Used by the USPTO to receive, process, and review use-based applications for registration of trademarks/service marks.
2	Intent to Use Trademark/Service Mark Application (TEAS Standard)	PTO 1478 PTO 1479 PTO 1480 PTO 1481 PTO 1482	<ul style="list-style-type: none"> Used by the public to complete and submit intent to use applications for registration of trademarks/service marks, collective trademarks/service marks, collective membership marks, and certification marks that identify goods and/or services classified in single or multiple classes. Used by the USPTO to receive, process, and review intent to use applications for registration of trademarks/service marks, collective trademarks/service marks, collective membership marks, and certification marks.
2	Intent to Use Trademark/Service Mark Application (TEAS Plus)	PTO 1478	<ul style="list-style-type: none"> Used by the public to complete and submit intent to use applications for registration of trademarks/service marks for a reduced filing fee. Used by the USPTO to receive, process, and review intent to use applications for registration of trademarks/service marks.
3	Applications for Registration of Trademark/Service Mark under 44 (TEAS Standard)	PTO 1478 PTO 1479 PTO 1480 PTO 1481 PTO 1482	<ul style="list-style-type: none"> Used by the public to complete and submit applications seeking a priority filing date and/or registration based upon foreign registration of a mark. Used by the USPTO to receive, process, and review applications for registration of marks based upon earlier filed foreign applications or a foreign registration.
3	Applications for Registration of Trademark/Service Mark under 44 (TEAS Plus)	PTO 1478	<ul style="list-style-type: none"> Used by the public to complete and submit applications seeking a priority filing date and/or registration based upon foreign registration of a mark for a reduced filing fee. Used by the USPTO to receive, process, and review applications for registration of marks based upon earlier filed foreign applications or a foreign registration.

- 3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

The USPTO offers the public a variety of IT systems useful both for making submissions to the USPTO and for tracking the status of these submissions. This information collection involves four information technology (IT) systems that are publicly accessible through the USPTO website: Trademark Search, TEAS, Trademark Center, and Trademark Status and Document Retrieval (TSDR).

- 4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.**

This information collection does not solicit any data already available to the USPTO. This information collection does not create a duplication of effort.

- 5. If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.**

The information collected is the minimum needed to execute the transaction and to ensure that the recording of the assignment is complete. The collection of information does not impose a significant economic impact or put an unnecessary burden on small entities or small businesses. The same information is required of every applicant and is not available from any other source.

- 6. Describe the consequence to federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.**

This information collection could not be conducted less frequently, since the information is collected only when voluntarily submitted by the public. If the information were not collected, the public would not be able to apply for trademarks, service marks, collective trademarks and service marks, collective membership marks, and certification marks. Additionally, if this information were not collected, the USPTO could not comply with the requirements of the Trademark Act and rules of practice (15 U.S.C. § 1051-1054, 1061-1062, 1091, 1094 1095, 1126; 35 U.S.C. § 41 and 44; and 37 CFR part 2).

7. Explain any special circumstances that would cause an information collection to be conducted in a manner:
- requiring respondents to report information to the agency more often than quarterly;
 - requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;
 - requiring respondents to submit more than an original and two copies of any document;
 - requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records, for more than three years;
 - in connection with a statistical survey, that is not designed to produce valid and reliable results that can be generalized to the universe of study;
 - requiring the use of a statistical data classification that has not been reviewed and approved by OMB; • that includes a pledge of confidentiality that is not supported by authority established in statute or regulation, that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or
 - requiring respondents to submit proprietary trade secrets, or other confidential information unless the agency can demonstrate that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.

There are no special circumstances associated with this collection of information.

- 8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden. Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported. Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.**

The 60-Day Notice was published in the *Federal Register* on April 24, 2024 (89 FR 31143).¹ The comment period ended on June 24, 2024. No comments were received.

- 9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

This information collection does not involve a payment or gift to any respondent.

- 10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy. If the collection requires a system of records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.**

Trademark applications are open to public inspection. Confidentiality is not required in the processing of trademark applications.

This collection may contain information which is subject to the Privacy Act.

This information is collected on registration of trademarks, service marks, collective trademarks and service marks, collective membership marks, and certification marks. Individuals and businesses that use, or intend to use such marks in commerce may file an application to register their marks with the USPTO.

The following SORN provides privacy disclosures and information about USPTO's handling of personally identifiable information (PII) that is part of this collection:

¹ <https://www.govinfo.gov/content/pkg/FR-2024-04-24/pdf/2024-08756.pdf>.

PAT/TM 26 Trademark Applications and Registration Records; published February 18, 2020 (85 FRN 8847).²

This SORN identifies the categories of records in the system that include the name, citizenship, domicile, email address, postal address, and telephone number of the trademark applicant or registrant, and applicant's or registrant's legal or other authorized representative(s), an attorney's law firm or company affiliation and professional licensing information, and other information pertaining to an applicant's or registrant's activities in connection with the applied-for or registered mark. Records in this system include trademark applications, applicant and registrant declarations, office actions, registration certificates, and correspondence generated in the course of the prosecution of a trademark application or maintenance of a trademark registration.

11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.

None of the required information in this information collection is considered to be sensitive.

12. Provide estimates of the hour burden of the collection of information. The statement should:

- **Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.**
- **If this request for approval covers more than one form, provide separate hour burden estimates for each form and aggregate the hour burdens.**
- **Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included under 'Annual Cost to Federal Government'.**

² <https://www.govinfo.gov/content/pkg/FR-2020-02-18/pdf/2020-03068.pdf>.

- **Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information.**

Table 3 calculates the burden hours and costs of this information collection to the public, based on the following factors:

- **Respondent Calculation Factors**

The USPTO estimates that it will receive approximately 581,377 responses per year from 581,377 respondents for this information collection.

The USPTO estimates that approximately 99.99% of the annual responses for this collection will be submitted electronically via the Trademark Center, which customers may access through the USPTO website.

- **Burden Hour Calculation Factors**

The USPTO estimates that it takes the public approximately between 45 minutes (0.75 hours) to 1 hour to gather the necessary information, prepare the appropriate document(s), and submit the information to the USPTO. Using these burden factors, USPTO estimates that the total respondent hourly burden for this information collection is 508,392 hours per year.

- **Cost Burden Calculation Factors**

The USPTO uses a professional rate of \$447 per hour for respondent cost burden calculations, which is the median rate for intellectual property attorneys in private firms as shown in the 2023 *Report of the Economic Survey* published by the American Intellectual Property Law Association (AIPLA).

Using these hourly rates, the USPTO estimates that the total respondent cost burden for this information collection is \$227,252,118 per year.

Table 3: Total Burden Hours and Hourly Costs to Private Sector Respondents

Item No.	Item	Estimated Annual Respondents	Responses per Respondent	Estimated Annual Responses	Estimated Time For Response (hours)	Estimated Burden (hour/year)	Rate ³ (\$/hour)	Estimated Annual Respondent Cost Burden
		(a)	(b)	(a) x (b) = (c)	(d)	(c) x (d) = (e)	(f)	(e) x (f) = (g)
1	Use-Based Trademark/Service Mark Applications (TEAS Standard)	71,914	1	71,914	0.83 (50 minutes)	59,689	\$447	\$26,680,983

³ 2023 Report of the Economic Survey, published by the Committee on Economics of Legal Practice of the American Intellectual Property Law Association (AIPLA); pg. F-41. The USPTO uses the average billing rate for intellectual property work in all firms which is \$447 per hour (<https://www.aipla.org/home/news-publications/economic-survey>).

1	Use-Based Trademark/Service Mark Applications (TEAS Plus)	217,872	1	217,872	1	217,872	\$447	\$97,388,784
1	Use-Based Trademark/Service Mark Applications (Paper)	1	1	1	1	1	\$447	\$447
2	Intent to Use Trademark/Service Mark Application (TEAS Standard)	121,227	1	121,227	0.75 (45 minutes)	90,920	\$447	\$40,641,240
2	Intent to Use Trademark/Service Mark Application (TEAS Plus)	142,832	1	142,832	0.83 (50 minutes)	118,551	\$447	\$52,992,297
3	Intent to Use Trademark/Service Mark Application (Paper)	1	1	1	1	1	\$447	\$447
3	Application for Registration of Trademark/Service Mark under 37 CFR 44 (TEAS Standard)	18,632	1	18,632	0.75 (45 minutes)	13,974	\$447	\$6,246,378
3	Application for Registration of Trademark/Service Mark under 37 CFR 44 (TEAS Plus)	8,897	1	8,897	0.83 (50 minutes)	7,385	\$447	\$3,301,095
3	Application for Registration of Trademark/Service Mark under 37 CFR 44 (Paper)	1	1	1	1	1	\$447	\$447
	Totals	581,377	---	581,377	---	508,394	---	\$227,252,118

13. Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).

- **The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and (b) a total operation and maintenance and purchase of services component. The estimates should take into account costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the time period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling and testing equipment; and record storage facilities.**

- If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collections services should be a part of this cost burden estimate. In developing cost burden estimates, agencies may consult with a sample of respondents (fewer than 10), utilize the 60-day pre-OMB submission public comment process and use existing economic or regulatory impact analysis associated with the rulemaking containing the information collection, as appropriate.

This collection has non-hourly cost burdens in fees paid by the public, processing fees provided by the public, and associated postage costs for mailing items to the USPTO.

The total (non-hour) respondent cost burden for this collection is estimated to be \$166,906,580 per year, which includes \$166,523,050 in fees, \$383,500 in processing fees, and \$30 in postage.

Filing Fees

A complete application must include a filing fee for each class of goods and services. Therefore, the total filing fees associated with this information collection can vary depending on the number of classes in each application. The total filing fees shown in the table below reflect the minimum filing fees associated with this information collection

Table 4: Filing Fees

Item No.	Fee Code(s)	Item	Estimated Annual Responses (a)	Filing fee (\$) (b)	Total non-hour cost burden (yr) (a) x (b) = (c)
1	7009	Use-Based Trademark/Service Mark Applications (TEAS Standard)	71,914	\$350	\$25,169,900
1	7007	Use-Based Trademark/Service Mark Applications (TEAS Plus)	217,872	\$250	\$54,468,000
1	6001	Use-Based Trademark/Service Mark Applications (Paper)	1	\$750	\$750
2	7009	Intent to Use Trademark/Service Mark Application (TEAS Standard)	121,227	\$350	\$42,429,450
2	7007	Intent to Use Trademark/Service Mark Application (TEAS Plus)	142,832	\$250	\$35,708,000
2	6001	Intent to Use Trademark/Service Mark Application (Paper)	1	\$750	\$750
3	7009	Applications for Registration of Trademark/Service Mark under 37 CFR 44	18,632	\$350	\$6,521,200

Item No.	Fee Code(s)	Item	Estimated Annual Responses (a)	Filing fee (\$) (b)	Total non-hour cost burden (yr) (a) x (b) = (c)
		(TEAS Standard)			
3	7007	Applications for Registration of Trademark/Service Mark under 37 CFR 44 (TEAS Plus)	8,897	\$250	\$2,224,250
3	6001	Applications for Registration of Trademark/Service Mark under 44 (Paper)	1	\$750	\$750
		Totals	581,377	---	\$166,523,050

Processing Fees

The USPTO charges a processing fee of \$100 per class for TEAS Plus applications that do not meet the TEAS Plus filing requirements. The total processing fees associated with this information collection can vary depending on the number of classes in each application.

The total processing fees shown in the table below reflect the minimum processing fees associated with this information collection.

Table 5: Processing Fees

Item No.	Item	Estimated Annual Responses (a)	Filing Fee (\$) (b)	Non-hourly Cost Burden (a) x (b) = (c)
1	Processing fee for use-based application that fails to meet the additional filing requirements for reduced filing fee (TEAS Plus)	1,911	\$100	\$191,100
2	Processing fee for intent-to-use application that fails to meet the additional filing requirements for reduced filing fee (TEAS Plus)	1,742	\$100	\$174,200
3	Processing fee for Section 44 application that fails to meet the additional filing requirements for reduced filing fee (TEAS Plus)	182	\$100	\$18,200
	Totals	3,835	---	\$383,500

Postage Costs

In limited circumstances, applicants may be permitted to submit the information in paper form by mail, fax, or hand delivery. Applicants and registrants incur postage costs when submitting information to the USPTO by mail through the United States Postal Service (USPS). The USPTO estimates that the average postage cost for a mailed submission, using a Priority Mail legal flat rate envelope, will be \$10.15. The USPTO estimates approximately 3 submissions per year may be mailed to the USPTO, for an estimated total postage cost of \$30 per year.

14. Provide estimates of annualized costs to the federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies may also aggregate cost estimates from Items 12, 13, and 14 in a single table.

The USPTO employs GS-7 and GS-14 employees to process and examine submissions for this information collection. Of the three GS-7 employees, one is at step 3 and the other two are at step 10.

The USPTO estimates that the cost of a GS-7, step 3 employee is \$37.15 per hour (GS hourly rate of \$28.58 with 30% (\$8.57) added for benefits and overhead). The USPTO estimates that the cost of a GS-7, step 10 employee is \$45.29 per hour (GS hourly rate of \$34.84 with 30% (\$10.45) added for benefits and overhead). The average estimated hourly rate for the GS-7 employees (one at step 3 and two at step 10) is \$42.58.

The USPTO estimates that the cost of a GS-14, step 6 employee is \$101.30 per hour (GS hourly rate of \$77.92 with 30% (\$23.38) added for benefits and overhead).

The USPTO estimates that it takes an employee 5 minutes (0.08 hours) to process the applications and 48 minutes (0.8 hours) to examine the application submitted in this collection.

Table 6 calculates the burden hours and costs to the federal government for processing this information collection:

Table 6: Burden Hour/Cost to the Federal Government

Item No.	Item	Estimated Annual Responses (a)	Estimated Burden Hours (b)	Estimated Hourly Burden (a) x (b) = (c)	Rate ⁴ (\$/hr) (d)	Total Federal Government Cost (c) x (d) = (e)
1	Use-Based Trademark/Service Mark Applications (TEAS Standard)	71,914	0.08 (5 minutes)	5,753	\$42.58	\$244,963
			0.80 (48 minutes)	57,531	\$101.30	\$5,827,890
1	Use-Based Trademark/Service Mark Applications (TEAS Plus)	217,872	0.08 (5 minutes)	17,430	\$42.58	\$742,169
			0.80 (48 minutes)	174,298	\$101.30	\$17,656,387
1	Use-Based Trademark/Service Mark Applications (Paper)	1	0.08 (5 minutes)	0	\$42.58	\$0
			0.80 (48 minutes)	1	\$101.30	\$101

⁴ https://www.opm.gov/policy-data-oversight/pay-leave/salaries-wages/salary-tables/pdf/2024/DCB_h.pdf.

2	Intent to Use Trademark/Service Mark Application (TEAS Standard)	121,227	0.08 (5 minutes)	9,698	\$42.58	\$412,941
			0.80 (48 minutes)	96,982	\$101.30	\$9,824,277
2	Intent to Use Trademark/Service Mark Application (TEAS Plus)	142,832	0.08 (5 minutes)	11,427	\$42.58	\$486,562
			0.80 (48 minutes)	114,266	\$101.30	\$11,575,146
2	Intent to Use Trademark/Service Mark Application (Paper)	1	0.08 (5 minutes)	0	\$42.58	\$0
			0.80 (48 minutes)	1	\$101.30	\$101
3	Applications for Registration of Trademark/Service Mark under 37 CFR §44 (TEAS Standard)	18,632	0.08 (5 minutes)	1,491	\$42.58	\$63,487
			0.80 (48 minutes)	14,906	\$101.30	\$1,509,978
3	Applications for Registration of Trademark/Service Mark under 37 CFR §44 (TEAS Plus)	8,897	0.08 (5 minutes)	712	\$42.58	\$30,317
			0.80 (48 minutes)	7,118	\$101.30	\$721,053
3	Applications for Registration of Trademark/Service Mark under 37 CFR §44 (Paper)	1	0.08 (5 minutes)	0	\$42.58	\$0
			0.80 (48 minutes)	1	\$101.30	\$101
Totals		581,377	- - -	511,615	- - -	\$49,095,473

15. Explain the reasons for any program changes or adjustments reported on the burden worksheet.

Table 7: ICR Summary of Burden

	Requested	Program Change Due to New Statute	Program Change Due to Agency Discretion	Change Due to Adjustment in Agency Estimate	Change Due to Potential Violation of the PRA	Previously Approved
Annual Number of Responses	581,377	0	0	74,540	0	506,837
Annual Time Burden (Hr)	508,394	0	0	130,564	0	377,830
Annual Cost Burden (\$)	166,906,580	0	0	13,912,048	0	152,994,532

Change in Respondents and Hourly Burden due to Adjustment in Agency Estimate

The total number of respondents has increased by 74,540 due to estimated fluctuations in the number of responses/submissions in this information collection. This decrease in the number of respondents and responses results in an increase of 130,564 hours in the annual time burden estimates.

Changes in Annual (Non-hour) Costs due to Adjustment in Agency Estimate

For this renewal, the USPTO estimates that the total annual (non-hour) costs will increase by \$13,912,048 from the previous approval. This decrease is due to estimated fluctuations in submissions for items that require a fee.

- 16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.**

The USPTO does not plan to publish this information for statistical use.

- 17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.**

The forms in this information collection will display the OMB Control Number and the expiration date of OMB approval.

- 18. Explain each exception to the topics of the certification statement identified in "Certification for Paperwork Reduction Act Submissions."**

This collection of information does not include any exceptions to the certificate statement.

B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS

This collection of information does not employ statistical methods.