

SSV Generic Clearance Request List of Attachments

1. **Attachment B:** Consent form to obtain participant consent for participation and recording of the cognitive interview session
2. **Attachment C:** Recruitment email sent to participants
3. **Attachment D:** Screenshots of the draft instruments
4. **Attachment E:** 2023 SSV surveys - summary and incident forms



UNITED STATES DEPARTMENT OF COMMERCE
Economics and Statistics Administration
U.S. Census Bureau
Washington, DC 20233-0001



U.S. Department of Justice
Office of Justice Programs
Bureau of Justice Statistics
Washington, DC 20531

PURPOSE

The Bureau of Justice Statistics (BJS) is conducting a short study with assistance from the U.S. Census Bureau on how to collect information in order to produce the best statistics possible. You are invited to take part in this study, which seeks to help BJS improve survey questions for BJS's Survey of Sexual Victimization (SSV). If you agree to participate, you will be asked during an interview to answer a few questions about the survey design and content and discuss your answers to these questions. The purpose of this study is to test modifications to the questions and definitions included in the SSV survey forms. Your responses and feedback will help BJS improve the quality of the SSV survey form.

The Survey of Sexual Victimization, in part, fulfills BJS's mandates under the Prison Rape Elimination Act of 2003 (PREA, P.L., 108-79). The SSV collects information about the allegations and substantiated incidents of sexual victimization in adult correctional and juvenile justice facilities.

AUTHORITY AND CONFIDENTIALITY

BJS is authorized by law to conduct this survey under Title 34 U.S.C. Section 10132. BJS awarded funds through an Interagency Agreement to the U.S. Census Bureau to operate as BJS's data collection agent and administer the SSV survey. BJS and the Census Bureau are both required by law to protect the privacy and confidentiality of the data you provide (Title 34 U.S.C. Section 10231 and 28 C.F.R. Part 22).

BJS and the Census Bureau can use your responses only for statistical and research purposes and are not permitted to publicly release your responses in a way that could identify you, your business, organization, or institution. Additionally, per the Federal Cybersecurity Enhancement Act of 2015, your data are protected from cybersecurity risks through screening of the systems that transmit your data.

This study has been approved by the Office of Management and Budget (OMB). This eight-digit OMB number, 1121-0292, confirms this approval and expires on 02/28/2024. Without this approval, we could not conduct this study.

VOLUNTARY PARTICIPATION

Your participation is completely voluntary. There is no penalty if you choose to not participate. You may tell an interviewer at any time that you want to stop the survey, or you may skip questions you do not want to answer.

BURDEN ESTIMATE

We estimate that completing this interview will take no more than 60 minutes.

QUESTIONS

For comments regarding the burden estimate, contact econ.content@census.gov.

For questions about the SSV survey or interview procedures, contact Greta Clark at 800-253-2078 or Greta.B.Clark@census.gov.

For general questions about the SSV program, contact Emily Buehler at 202-598-1036 or Emily.buehler@usdoj.gov.



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CONSENT FORM

We would like to audio record what you say during the interview. Only the project staff who work on this study will hear the recording, which will simply be used to ensure we have understood your answers. If you don't want to be recorded, that's okay.

Please Check the appropriate options below to indicate your consent.

- I have read the above, understand that my participation is voluntary, and I agree to participate.
- I agree for this interview to be audio-recorded.
- I do not agree for this interview to be audio-recorded.
- I do not agree to participate in the study.

 Signature of Research Participant

 Date

 Printed Name of Research Participant

Attachment C: Participant recruitment email

SUBJECT: 2023 Survey of Sexual Victimization (SSV) Cognitive Interview Invitation

Dear [TITLE] [NAME],

The Bureau of Justice Statistics (BJS) and its data collection partner, the U.S. Census Bureau, are working to revise the 2023 Survey of Sexual Victimization (SSV). The SSV helps BJS meet its mandates under the Prison Rape Elimination Act of 2003 (PREA; P.L. 108–79). It is administered to all federal and state prison systems; all facilities operated by the U.S. military and U.S. Immigration and Customs Enforcement; all state juvenile justice systems; and representative samples of public and private jail jurisdictions, private prisons, jails holding adults in Indian country, and locally and privately operated juvenile facilities. It has been administered to annually since 2004 and provides national estimates of the number of allegations and substantiated incidents of sexual victimization in adult correctional and juvenile justice facilities.

Importantly, before we implement any changes to this survey, we need to obtain direct feedback from a small number of facilities to ensure the survey questions and instructions are as clear as possible. I'm writing to ask for your facility's participation in providing feedback. You were specifically selected to ensure input from different types of facilities across the country, and I sincerely hope that you can participate.

If you agree to support this data collection effort by providing feedback, we will:

- provide copies of the revised SSV summary and incident forms to your point of contact;
- ask them to review the survey and think about how you would answer questions; and
- schedule a 1-hour phone interview (maximum) with Census Bureau staff to discuss the survey.

We hope to collect this feedback throughout February and March, and our team will be flexible to accommodate your schedule.

Please let me know if you are willing to provide feedback on the 2023 SSV, or if you have additional questions about this request.

Sincerely,

Emily D. Buehler, Ph.D.
Bureau of Justice Statistics
U.S. Department of Justice
(202) 598-1036
emily.buehler@usdoj.gov

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)


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¹ There are six different versions of the Summary Form that are sent to the different types of facilities. The definitions, categories, and changes are all the same across the six forms.

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OMB No. 1121-0292: Approval Expires xx/xx/xxxx

FORM SSV-2 (10-27-2022)		SURVEY OF SEXUAL VICTIMIZATION, 2023 State Prison Systems Summary Form	U.S. DEPARTMENT OF JUSTICE BUREAU OF JUSTICE STATISTICS AND ACTING AS COLLECTION AGENT U.S. DEPT. OF COMMERCE U.S. CENSUS BUREAU
DATA SUPPLIED BY			
Name		Title	
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number	City	State ZIP Code
TELEPHONE	Area code Number	FAX NUMBER	Area Code Number
E-MAIL ADDRESS			

(Please correct any error in name, mailing address, and ZIP Code)

<p>What facilities are included in this data collection?</p> <p>All State-operated confinement facilities that are intended for adults but sometimes hold juveniles.</p> <ul style="list-style-type: none"> • INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners. • INCLUDE State-operated local detention facilities in Alaska, Connecticut, Delaware, Hawaii, Rhode Island, and Vermont. • EXCLUDE privately operated facilities and facilities operated and administered by local governments. (These facilities will be contacted directly for data on sexual victimization.) • EXCLUDE facilities that hold only juveniles. (These facilities will be contacted directly for data on sexual victimization.) <p>What inmates and incidents are included in this data collection?</p> <p>Inmates under your custody between January 1, 2023, and December 31, 2023.</p> <ul style="list-style-type: none"> • INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff. • EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions. 	<p>Reporting instructions:</p> <ul style="list-style-type: none"> • Please complete the entire SSV-2 Form. • If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided. • If the answer to a question is "not applicable," write "NA" in the space provided. • If the answer to a question is "none" or "0," write "0" in the space provided. <p>Substantiated incidents of sexual victimization:</p> <ul style="list-style-type: none"> • Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization. <p>Returning forms:</p> <ul style="list-style-type: none"> • If you need assistance, please call Greta Clark at the U.S. Census Bureau toll-free at 1-800-253-2078, or e-mail greta.b.clark@census.gov • Please return your completed summary and substantiated incident forms by November 12, 2024. • You may complete these forms online at: https://ssv.census.gov/ • MAIL TO: U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000 • FAX (TOLL FREE): 1-888-262-3974
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Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

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Section I - INMATE-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

1. Does your State prison system record allegations of inmate-on-inmate SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation as to why your State prison system does not record inmate-on-inmate **SEXUAL ABUSE** allegations, in the space below, and skip to Item 4.*

2. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL ABUSE were reported?

Number reported _____

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

3. Of the allegations reported in Item 2, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated _____

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated _____

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded _____

- The investigation determined that the event did NOT occur.

d. Investigation ongoing _____

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 3a through 3d) _____

- The total should equal the number reported in Item 2.

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4. Does your State prison system record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 Yes → **Do you record all reported allegations or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section II.

5. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or inmate perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 6a through 6d)

- The total should equal the number reported in Item 5.

Section II – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

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<p>7. Does your State prison system record allegations of STAFF SEXUAL ABUSE? <i>(See definitions on page 3.)</i></p> <p>01 <input type="checkbox"/> Yes → Do you record all reported occurrences, or only substantiated ones?</p> <p>01 <input type="checkbox"/> All 02 <input type="checkbox"/> Substantiated only</p> <p>02 <input type="checkbox"/> No → <i>Please provide an explanation in the space below and then skip to Item 10.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<p>10. Does your State prison system record allegations of STAFF SEXUAL HARASSMENT? <i>(See definitions on page 3.)</i></p> <p>01 <input type="checkbox"/> Yes</p> <p>02 <input type="checkbox"/> No → <i>Please provide an explanation in the space below and then skip to Item 13.</i></p> <div style="border: 1px solid black; height: 80px; width: 100%;"></div>
<p>8. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?</p> <p>Number reported <input type="text"/></p> <ul style="list-style-type: none">If an allegation involved multiple victimizations, count only once. <p>9. Of the allegations reported in Item 8, how many were – <i>(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)</i></p> <p>a. Substantiated <input type="text"/></p> <p>b. Unsubstantiated <input type="text"/></p> <p>c. Unfounded <input type="text"/></p> <p>d. Investigation ongoing <input type="text"/></p> <p>e. TOTAL (Sum of Items 9a through 9d) <input type="text"/></p> <ul style="list-style-type: none">The total should equal the number reported in Item 8.	<p>11. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?</p> <p>Number reported <input type="text"/></p> <ul style="list-style-type: none">If an allegation involved multiple victims or staff, count only once. <p>12. Of the allegations reported in Item 11, how many were – <i>(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)</i></p> <p>a. Substantiated <input type="text"/></p> <p>b. Unsubstantiated <input type="text"/></p> <p>c. Unfounded <input type="text"/></p> <p>d. Investigation ongoing <input type="text"/></p> <p>e. TOTAL (Sum of Items 12a through 12d) <input type="text"/></p> <ul style="list-style-type: none">The total should equal the number reported in Item 11.

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Section III - PRIVATE AND LOCAL ALLEGATIONS	NOTES
<p>13. Did any of the allegations reported in Items 2, 5, 8, or 11 occur in a privately operated facility?</p> <p>01 <input type="checkbox"/> Yes 02 <input type="checkbox"/> No</p> <p>14. Did any of the allegations reported in Items 2, 5, 8, or 11 occur in a facility operated and administered by local governments?</p> <p>01 <input type="checkbox"/> Yes 02 <input type="checkbox"/> No</p>	
Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION	
<p>15. What is the total number of substantiated incidents reported in Items 3a, 6a, 9a, and 12a?</p> <p>Total substantiated incidents <input type="text"/></p> <p>→ Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.</p>	

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DEFINITIONS

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- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

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Section II – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

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Survey of Sexual Victimization, YYYY
Substantiated Incident Form (Adult) – SSV-IA

1. On what date did the incident occur?
(If more than one date, report the most recent and check the box.)

MM DD YYYY [BOX] multiple dates

2. In what facility did the incident occur?

Name _____
City/Place _____

3. Did the incident occur in the following locations?

	Yes	No
01. In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)		
02. In the perpetrator's cell or room		
03. In a dormitory or other multiple housing unit		
04. In a common area (e.g., shower, dayroom, bathroom)		
05. In a temporary holding cell or intake area within the facility		
06. In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		
07. In an instructional area (e.g., classroom, school, library, conference room)		
08. In a recreation area (e.g., yard, courtyard, gymnasium)		
09. In a medical area (e.g., infirmary, health clinic)		
10. In a staff area (e.g., office, break room, counselor's office)		
11. Offsite or while in transit		
12. Other – specify [BOX]		
13. Location unknown		
14. Location not applicable (e.g., involved written, phone, or virtual communication)		

4. Did the incident take place in an area subject to video monitoring? Yes No Unknown

5. Did the incident occur during the following times?

	Yes	No
01. Morning (6 a.m. to noon)		
02. Afternoon (noon to 6 p.m.)		
03. Evening (6p.m. to midnight)		
04. Overnight (midnight to 6 a.m.)		
05. Time unknown		

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6. Who reported the incident?

	Yes	No
01. Victim		
02. Another inmate (non-victim)		
03. Victim's family or friend		
04. Correctional officer or <u>front line</u> staff		
05. Administrative staff		
06. Medical, healthcare, or mental health staff		
07. Instructor, teacher, or counselor		
08. Other staff (e.g., kitchen worker, maintenance staff)		
09. Chaplain or other religion official		
10. Perpetrator		
11. Perpetrator's family or friend		
12. Grievance coordinator, grievance process, or ombudsman		
13. Attorney or legal guardian (e.g., other than family member)		
14. Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)		
15. Other – specify [BOX]		

7. What was the type of sexual victimization incident? (See definitions on page 13.)

- 01. Inmate-on-inmate sexual abuse
→ Complete sections A and B
- 02. Inmate-on-inmate sexual harassment
→ Complete sections A and B
- 03. Staff-on-inmate sexual abuse
→ Complete sections A and C
- 04. Staff-on-inmate sexual harassment
→ Complete sections A and C

SECTION A – VICTIMS OF SEXUAL VICTIMIZATION

8. How many victims were involved in the incident?

Number of victims _____

→ If more than two victims were involved, please complete this form online.

9. Victim #1: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

10. Victim #1: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

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11. Victim #1: What was the victim's age at the time of the incident?
_____ years

12. Victim #1: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Other Pacific Islander

13. Victim #1. Did the victim sustain any physical injury during the incident? Yes No

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

14. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

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15. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

→ If there were no other victims, please skip to Section B on pages 6-8 if the incident involved an inmate perpetrator or Section C on pages 9-12 if the incident involved a staff perpetrator.

16. Victim #2: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

17. Victim #2: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 02. Transgender
- 03. Gender nonconforming
- 04. Unknown

18. Victim #2: What was the victim's age at the time of the incident?

___ years

19. Victim #2: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

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22. Victim #2. Did the victim sustain any physical injury during the incident?

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

23. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

24. Victim #2: After the incident was reported, was the victim –

	Yes	No
12. Separated from perpetrator		
13. Confined to own cell or room		
14. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
15. Placed in a medical unit, ward, or hospital		
16. Placed in a camera room, under close surveillance, or increased supervision		
17. Transferred to another housing unit or dorm, or given a single room or cell		
18. Given a higher custody level or different unit within the facility		
19. Transferred to another facility		
20. Issued a disciplinary report or loss of privileges		
21. Other – specify [BOX]		
22. None of the above		

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→ If the perpetrator was a staff member, go to Section C on pages 9-12.

SECTION B – INMATE-PERPETRATED SEXUAL VICTIMIZATION

25. Did the following occur as part of the substantiated incident?

	Yes	No
01. Verbal comments or gestures of a derogatory or offensive sexual nature		
02. Repeated and unwelcome sexual advances or requests for sexual favors		
03. Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature		
04. Intentional and non-consensual or coerced touching of the genitalia, anus, groin, breast inner thigh, or the buttocks		
05. Nonconsensual sexual act that resulted from pressure or coercion (without force)		
06. Nonconsensual sexual act that resulted from physical force (or the threat of force)		
07. Other – specify [BOX]		

26. Did the incident involve the following types of pressure, coercion, or force?

	Yes	No
01. Persuasion or talked into sexual activity		
02. Bribery or blackmail		
03. Gave the victim drugs or alcohol		
04. Offered protection from other inmates		
05. Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)		
06. Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated		
07. Physically held victim down or restrained in some way, including having other inmates restrain the victim		
08. Physical harm or injury		
09. Other – specify [BOX]		
10. None of the above		

27. How many inmate perpetrators were involved in the incident?

Number of inmate perpetrators ____

→ If more than two inmate perpetrators were involved, please complete this form online.

28. Perpetrator #1: What was the inmate perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

29. Perpetrator #1: What was the inmate perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

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28. Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?
_____ years

29. Perpetrator #1: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Other Pacific Islander

30. Perpetrator #1: After the incident, was the inmate perpetrator –

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

→ If there were no other perpetrators, please skip to Notes on page 12 (if applicable) or submit form.

31. Perpetrator #2: What was the inmate perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

32. Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

33. Perpetrator #2: What was the inmate perpetrator's age at the time of the incident?
_____ years

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34. Perpetrator #2: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

35. Perpetrator #2: After the incident, was the inmate perpetrator – (Mark [X] for all that apply.)

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

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→ If the perpetrator was an inmate, go to Section B on pages 6-8.

SECTION C. STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

36. Did the following occur as part of the substantiated incident?

	Yes	No
01. Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature		
02. Wrote letters, showed pictures, talked on the phone, or through video conferencing		
03. Exhibited grooming behaviors such as providing gifts, special privileges, or favors to inmate		
04. Indecent exposure, invasion of privacy, or voyeurism		
05. Any attempt, threat, or request related to abusive sexual contact or nonconsensual sexual act		
06. Any touching or kissing for sexual gratification		
07. Pressure or abuse of power resulting in a nonconsensual sexual act		
08. Physical force resulting in a nonconsensual sexual act		
09. Other – specify [BOX]		

37. How many staff perpetrators were involved in the incident?

Number of staff _____

→ If more than two staff were involved, please complete this incident form online.

38. Staff #1: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

39. Staff #1: What was the staff perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

40. Staff #1: What was the age of the staff perpetrator at the time of the incident?

_____ years

41. Staff #1: What was the staff's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

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→ If the perpetrator was an inmate, go to Section B on pages 6-8.

SECTION C. STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

36. Did the following occur as part of the substantiated incident?

	Yes	No
01. Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature		
02. Wrote letters, showed pictures, talked on the phone, or through video conferencing		
03. Exhibited grooming behaviors such as providing gifts, special privileges, or favors to inmate		
04. Indecent exposure, invasion of privacy, or voyeurism		
05. Any attempt, threat, or request related to abusive sexual contact or nonconsensual sexual act		
06. Any touching or kissing for sexual gratification		
07. Pressure or abuse of power resulting in a nonconsensual sexual act		
08. Physical force resulting in a nonconsensual sexual act		
09. Other – specify [BOX]		

37. How many staff perpetrators were involved in the incident?

Number of staff _____

→ If more than two staff were involved, please complete this incident form online.

38. Staff #1: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

39. Staff #1: What was the staff perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

40. Staff #1: What was the age of the staff perpetrator at the time of the incident?

_____ years

41. Staff #1: What was the staff's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

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42. Staff #1: Was the staff perpetrator involved in the incident –

- 01. Full- or part-time paid employee
- 02. Contract employee or vendor
- 03. Volunteer or intern
- 04. Other – specify [BOX]

43. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?

- 01. Correctional officer or supervisory staff
- 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- 04. Education staff, including instructors, teachers, librarians, and education assistants
- 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
- 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
- 07. Other program staff
- 08. Volunteer or intern
- 09. Other staff – specify [BOX]

44. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?

- 01. Less than 6 months
- 02. 6 months to 1 year
- 03. 1 to 5 years
- 04. 5 to 10 years
- 05. More than 10 years

45. Staff #1: After the incident, was the staff perpetrator–

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

→ If there were no other staff perpetrators involved, please skip to Notes on page 12 (if applicable) or submit form.

46. Staff #2: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

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47. Staff #2: What was the staff perpetrator's gender identity? (See definitions on page 14.)
01. Male
 02. Female
 03. Transgender
 04. Gender nonconforming
 05. Unknown
48. Staff #2: What was the age of the staff perpetrator at the time of the incident?
____ years
49. Staff #2: What was the staff's race or ethnicity? (Select [X] all that apply.)
01. White
 02. Hispanic or Latino
 03. Black or African American
 04. Asian
 05. American Indian or Alaska Native
 06. Middle Eastern or North African
 07. Native Hawaiian or Pacific Islander
50. Staff #2: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
51. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteer or intern
 09. Other staff – specify [BOX]
52. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years

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53. Staff #2: After the incident, was the staff perpetrator—

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

NOTES

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DEFINITIONS

Sexual Victimization

Inmate-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

Inmate-on-inmate sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

Staff-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)-(5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

Staff-on-inmate sexual harassment: Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

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Sex and gender categories

Intersex: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions or male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

Gender nonconforming: A person whose appearance or manner does not conform to traditional societal gender expectations.

Transgender: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

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Survey of Sexual Victimization, YYYY
Substantiated Incident Form (Juvenile) – SSV-IJ

1. On what date did the incident occur?
(If more than one date, report the most recent and check the box.)

MM DD YYYY [BOX] multiple dates

2. In what facility did the incident occur?

Name _____

City/Place _____

3. Did the incident occur in the following locations?



	Yes	No
01. In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)		
02. In the perpetrator's cell or room		
03. In a dormitory or other multiple housing unit		
04. In a common area (e.g., shower, dayroom, bathroom)		
05. In a temporary holding cell or intake area within the facility		
06. In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		
07. In an instructional area (e.g., classroom, school, library, conference room)		
08. In a recreation area (e.g., yard, courtyard, gymnasium)		
09. In a medical area (e.g., infirmary, health clinic)		
10. In a staff area (e.g., office, break room, counselor's office)		
11. Offsite or while in transit		
12. Other – specify [BOX]		
13. Location unknown		
14. Location not applicable (e.g., involved written, phone, or virtual communication)		

4. Did the incident take place in an area subject to video monitoring? Yes No Unknown

5. Did the incident occur during the following times?

	Yes	No
01. Morning (6 a.m. to noon)		
02. Afternoon (noon to 6 p.m.)		
03. Evening (6p.m. to midnight)		
04. Overnight (midnight to 6 a.m.)		
05. Time unknown		

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6. Who reported the incident?

	Yes	No
01. Victim		
02. Another youth (non-victim)		
03. Victim's family or friend		
04. Correctional officer or <u>front line</u> staff		
05. Administrative staff		
06. Medical, healthcare, or mental health staff		
07. Instructor, teacher, or counselor		
08. Other staff (e.g., kitchen worker, maintenance staff)		
09. Chaplain or other religion official		
10. Perpetrator		
11. Perpetrator's family or friend		
12. Grievance coordinator, grievance process, or ombudsman		
13. Attorney or legal guardian (e.g., other than family member)		
14. Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)		
15. Other – specify [BOX]		

7. What was the type of sexual victimization incident? (See definitions on page 13.)

- 01. Youth-on-youth sexual abuse
→ Complete sections A and B
- 02. Youth-on-youth sexual harassment
→ Complete sections A and B
- 03. Staff-on-youth sexual abuse
→ Complete sections A and C
- 04. Staff-on-youth sexual harassment
→ Complete sections A and C

SECTION A – VICTIMS OF SEXUAL VICTIMIZATION

8. How many victims were involved in the incident?

Number of victims _____

→ If more than two victims were involved, please complete this form online.

9. Victim #1: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

10. Victim #1: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

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11. Victim #1: What was the victim's age at the time of the incident?
_____ years

12. Victim #1: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

13. Victim #1. Did the victim sustain any physical injury during the incident? Yes No

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

14. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

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15. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

→ If there were no other victims, please skip to Section B on pages 6-8 if the incident involved a youth perpetrator or Section C on pages 9-12 if the incident involved a staff perpetrator.

16. Victim #2: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

17. Victim #2: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 02. Transgender
- 03. Gender nonconforming
- 04. Unknown

18. Victim #2: What was the victim's age at the time of the incident?

_____ years

19. Victim #2: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black of African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

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20. Victim #2. Did the victim sustain any physical injury during the incident?

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

21. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

22. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

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→ If the perpetrator was a staff member, go to Section C on pages 9-12.

SECTION B – YOUTH-PERPETRATED SEXUAL VICTIMIZATION

23. Did the following occur as part of the substantiated incident?

	Yes	No
01. Verbal comments or gestures of a derogatory or offensive sexual nature		
02. Repeated and unwelcome sexual advances or requests for sexual favors		
03. Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature		
04. Intentional and non-consensual or coerced touching of the genitalia, anus, groin, breast inner thigh, or the buttocks		
05. Nonconsensual sexual act that resulted from pressure or coercion (without force)		
06. Nonconsensual sexual act that resulted from physical force (or the threat of force)		
07. Other – specify [BOX]		

24. Did the incident involve the following types of pressure, coercion, or force?

	Yes	No
01. Persuasion or talked into sexual activity		
02. Bribery or blackmail		
03. Gave the victim drugs or alcohol		
04. Offered protection from other youths		
05. Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)		
06. Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated		
07. Physically held victim down or restrained in some way, including having other youths restrain the victim		
08. Physical harm or injury		
09. Other – specify [BOX]		
10. None of the above		

25. How many youth perpetrators were involved in the incident?

Number of youth perpetrators ____

→ If more than two youth perpetrators were involved, please complete this form online.

26. Perpetrator #1: What was the youth perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

27. Perpetrator #1: What was the youth perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

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28. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
_____ years

29. Perpetrator #1: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

30. Perpetrator #1: After the incident, was the youth perpetrator –

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

→ If there were no other perpetrators, please skip to Notes on page 12 (if applicable) or submit form.

31. Perpetrator #2: What was the youth perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

32. Perpetrator #2: What was the youth perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

33. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?

_____ years

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

34. Perpetrator #2: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

35. Perpetrator #2: After the incident, was the youth perpetrator – (Mark [X] for all that apply.)

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

Screenshots of the Draft Instrument
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→ If the perpetrator was a youth, go to Section B on pages 6-8.

SECTION C. STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

36. Did the following occur as part of the substantiated incident?

	Yes	No
01. Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature		
02. Wrote letters, showed pictures, talked on the phone, or through video conferencing		
03. Exhibited grooming behaviors such as providing gifts, special privileges, or favors to youth		
04. Indecent exposure, invasion of privacy, or voyeurism		
05. Any attempt, threat, or request related to abusive sexual contact or nonconsensual sexual act		
06. Any touching or kissing for sexual gratification		
07. Pressure or abuse of power resulting in a nonconsensual sexual act		
08. Physical force resulting in a nonconsensual sexual act		
09. Other – specify [BOX]		

37. How many staff perpetrators were involved in the incident?

Number of staff _____

→ If more than two staff were involved, please complete this form online.

38. Staff #1: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

39. Staff #1: What was the staff perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

40. Staff #1: What was the age of the staff perpetrator at the time of the incident?

_____ years

41. Staff #1: What was the staff's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

42. Staff #1: Was the staff perpetrator involved in the incident –

- 01. Full- or part-time paid employee
- 02. Contract employee or vendor
- 03. Volunteer or intern
- 04. Other – specify [BOX]

43. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?

- 01. Correctional officer or supervisory staff
- 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
- 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
- 04. Education staff, including instructors, teachers, librarians, and education assistants
- 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
- 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
- 07. Other program staff
- 08. Volunteers or interns
- 09. Other staff – specify [BOX]

44. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?

- 01. Less than 6 months
- 02. 6 months to 1 year
- 03. 1 to 5 years
- 04. 5 to 10 years
- 05. More than 10 years

45. Staff #1: After the incident, was the staff perpetrator–

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

→ If there were no other staff perpetrators involved, please skip to Notes on page 12 (if applicable) or submit form.

46. Staff #2: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

47. Staff #2: What was the staff perpetrator's gender identity? (See definitions on page 14.)
01. Male
 02. Female
 03. Transgender
 04. Gender nonconforming
 05. Unknown
48. Staff #2: What was the age of the staff perpetrator at the time of the incident?
____ years
49. Staff #2: What was the staff's race or ethnicity? (Select [X] all that apply.)
01. White
 02. Hispanic or Latino
 03. Black or African American
 04. Asian
 05. American Indian or Alaska Native
 06. Middle Eastern or North African
 07. Native Hawaiian or Pacific Islander
50. Staff #2: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
51. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteers or interns
 09. Other staff – specify [BOX]
52. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

53. Staff #2: After the incident, was the staff perpetrator—

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

NOTES

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

DEFINITIONS

Sexual Victimization

Youth-on-youth sexual abuse: Sexual abuse of a youth, detainee, or resident by another youth, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

Youth-on-youth sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth, detainee, or resident directed toward another.

Staff-on-youth sexual abuse: Sexual abuse of a youth, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the youth, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of a youth, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

Staff-on-youth sexual harassment: Repeated verbal comments or gestures of a sexual nature to a youth, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Screenshots of the Draft Instrument
2023 Survey of Sexual Victimization (SSV)
(February 8, 2023)

Sex and gender categories

Intersex: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

Gender nonconforming: A person whose appearance or manner does not conform to traditional societal gender expectations.

Transgender: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

FORM **SSV-1**
(01-10-2023)

SURVEY OF SEXUAL VICTIMIZATION, 2023
Federal Bureau of Prisons
Summary Form

U.S. DEPARTMENT OF JUSTICE
 BUREAU OF JUSTICE STATISTICS
 AND ACTING AS COLLECTION AGENT
 U.S. DEPT. OF COMMERCE
 U.S. CENSUS BUREAU

DATA SUPPLIED BY

Name		Title			
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number		City	State	ZIP Code
TELEPHONE	Area code	Number	FAX NUMBER	Area Code	Number
E-MAIL ADDRESS					

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All confinement facilities operated by the Federal Bureau of Prisons.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.

- **EXCLUDE privately-operated facilities. (These facilities will be contacted directly for data on sexual victimization.)**

What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.

- **EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.**

Reporting instructions:

- Please complete the entire SSV-1 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "0," write "0" on the line.

Substantiated incidents of sexual victimization:

- Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call **Greta Clark** at the **U.S. Census Bureau** toll-free at **1-800-253-2078**, or e-mail **govs.ssv@census.gov**
- **Please return your completed summary and substantiated incident forms by November 12, 2024.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section I - INMATE-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

1. Does the Federal Bureau of Prisons record allegations of inmate-on-inmate SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation as to why the Federal Bureau of Prisons does not record inmate-on-inmate **SEXUAL ABUSE** allegations, in the space below, and skip to Item 4.*

2. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

3. Of the allegations reported in Item 2, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing .

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL *(Sum of Items 3a through 3d)*

- The total should equal the number reported in Item 2.

4. Does the Federal Bureau of Prisons record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 Yes → **Do you record all reported allegations or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section II.

5. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or inmate perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 6a through 6d)

- The total should equal the number reported in Item 5.

Section II – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

7. Does the Federal Bureau of Prisons record allegations of STAFF SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation in the space below and then skip to Item 10.*

8. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.

9. Of the allegations reported in Item 8, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing ..

e. TOTAL *(Sum of Items 9a through 9d)*

- The total should equal the number reported in Item 8.

10. Does the Federal Bureau of Prisons record allegations of STAFF SEXUAL HARASSMENT? *(See definitions on page 3.)*

01 Yes

02 No → *Please provide an explanation in the space below and then skip to Item 13.*

11. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

12. Of the allegations reported in Item 11, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL *(Sum of Items 12a through 12d)*

- The total should equal the number reported in Item 11.

**Section III - TOTAL SUBSTANTIATED
INCIDENTS OF SEXUAL VICTIMIZATION**

NOTES

13. What is the total number of substantiated incidents reported Items 3a, 6a, 9a, and 12a?

Total substantiated incidents

→ Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

FORM **SSV-2**
(10-27-2022)

SURVEY OF SEXUAL VICTIMIZATION, 2023
State Prison Systems
Summary Form

U.S. DEPARTMENT OF JUSTICE
 BUREAU OF JUSTICE STATISTICS
 AND ACTING AS COLLECTION AGENT
 U.S. DEPT. OF COMMERCE
 U.S. CENSUS BUREAU

DATA SUPPLIED BY

Name		Title			
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number		City	State	ZIP Code
TELEPHONE	Area code	Number	FAX NUMBER	Area Code	Number
E-MAIL ADDRESS					

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All State-operated confinement facilities that are intended for adults but sometimes hold juveniles.

- INCLUDE prisons, penitentiaries, and correctional institutions; boot camps; community correction facilities; halfway houses; prison farms; reception, diagnostic, and classification centers; road camps; forestry and conservation camps; vocational training facilities; prison hospitals; and drug and alcohol treatment facilities for prisoners.
- INCLUDE State-operated local detention facilities in Alaska, Connecticut, Delaware, Hawaii, Rhode Island, and Vermont.

- **EXCLUDE privately operated facilities and facilities operated and administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)**

- EXCLUDE facilities that hold only juveniles. (These facilities will be contacted directly for data on sexual victimization.)

What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- **EXCLUDE incidents involving inmates held in local jails and facilities in other jurisdictions.**

Reporting instructions:

- Please complete the entire SSV-2 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- If the answer to a question is "none" or "0," write "0" in the space provided.

Substantiated incidents of sexual victimization:

- Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call **Greta Clark** at the **U.S. Census Bureau** toll-free at **1-800-253-2078**, or e-mail **greta.b.clark@census.gov**
- **Please return your completed summary and substantiated incident forms by November 12, 2024.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

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Section I - INMATE-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

1. Does your State prison system record allegations of inmate-on-inmate SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation as to why your State prison system does not record inmate-on-inmate **SEXUAL ABUSE** allegations, in the space below, and skip to Item 4.*

2. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

3. Of the allegations reported in Item 2, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 3a through 3d)

- The total should equal the number reported in Item 2.

4. Does your State prison system record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 Yes → **Do you record all reported allegations or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section II.

5. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or inmate perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

6. Of the allegations reported in Item 5, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 6a through 6d)

- The total should equal the number reported in Item 5.

Section II – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

7. Does your State prison system record allegations of STAFF SEXUAL ABUSE?

(See definitions on page 3.)

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Item 10.

8. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.

9. Of the allegations reported in Item 8, how many were – (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 9a through 9d)

- The total should equal the number reported in Item 8.

10. Does your State prison system record allegations of STAFF SEXUAL HARASSMENT?

(See definitions on page 3.)

01 Yes

02 No → Please provide an explanation in the space below and then skip to Item 13.

11. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

12. Of the allegations reported in Item 11, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 12a through 12d)

- The total should equal the number reported in Item 11.

Section III – PRIVATE AND LOCAL ALLEGATIONS

NOTES

13. Did any of the allegations reported in Items 2, 5, 8, or 11 occur in a privately operated facility?

01 Yes

02 No

14. Did any of the allegations reported in Items 2, 5, 8, or 11 occur in a facility operated and administered by local governments?

01 Yes

02 No

Section IV – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

15. What is the total number of substantiated incidents reported in Items 3a, 6a, 9a, and 12a?

Total substantiated incidents

→ **Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.**

FORM **SSV-3**
(12-8-2022)**SURVEY OF SEXUAL VICTIMIZATION, 2023**
Local Jail Jurisdictions
Summary FormU.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU**DATA SUPPLIED BY**

Name		Title			
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number		City	State	ZIP Code
TELEPHONE	Area code	Number	FAX NUMBER	Area Code	Number
E-MAIL ADDRESS					

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All confinement facilities usually operated by a local law enforcement agency that are intended for adults but sometimes hold juveniles.

- INCLUDE all jails and city/county correctional centers that hold inmates beyond arraignment. Report on ALL inmates, including those held in separate holding or lockup areas within your facility.
- INCLUDE multi-jurisdictional facilities (e.g., regional jails).
- INCLUDE special jail facilities (e.g., medical/treatment/release centers, halfway houses, and work farms).

- **EXCLUDE privately-operated jails. (These facilities will be contacted directly for data on sexual victimization.)**

What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.

- **EXCLUDE inmates held in other jurisdictions.**

Reporting instructions:

- Please complete the entire SSV-3 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (☒) the box beside each figure.
- Sections II, III, and IV: if the answer to a question is "none" or "zero," write "0" on the line.

Substantiated incidents of sexual victimization:

- Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call **Greta Clark** at the **U.S. Census Bureau** toll-free at **1-888-369-3613, option 2**, or e-mail **govs.ssv@census.gov**
- **Please return your completed summary and substantiated incident forms by October 29, 2024.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

1. How many persons under the supervision of your local jail jurisdiction were—

a. CONFINED in your jail facilities on December 31, 2023?

- INCLUDE persons on transfer to treatment facilities but who remain under your jurisdiction.
- INCLUDE persons out to court while under your jurisdiction.
- INCLUDE persons held for other jurisdictions.
- EXCLUDE persons housed in facilities operated by two or more jurisdictions or those held in privately-operated jails.
- EXCLUDE inmates on AWOL, escape, or long-term transfer to other jurisdictions.
- EXCLUDE all persons in non-residential community-based programs run by your jail (e.g., electronic monitoring, house arrest, community service, day reporting, work programs).

Male Female

Inmates on December 31, 2023

b. ADMITTED to your jail facilities during 2023?

- INCLUDE new admissions only, i.e., persons officially booked into and housed in your facilities by formal legal document and by the authority of the courts or some other official agency.
- INCLUDE repeat offenders booked on new charges.
- EXCLUDE returns from escape, work release, medical appointments/treatment facilities, and bail or court appearances.

Male Female

New admissions during 2023

2. Between January 1, 2023, and December 31, 2023, what was the average daily population of all jail confinement facilities operated by your jurisdiction?

- To calculate the average daily population, add the number of persons for each day during the period January 1, 2023, through December 31, 2023, and divide the result by 365.

Male Female

Average daily population

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

3. Does your local jail jurisdiction record allegations of inmate-on-inmate SEXUAL ABUSE? (See definitions on page 2.)

01 Yes → Do you record all reported occurrences, or only substantiated ones?

01 All

02 Substantiated only

02 No → Please provide an explanation as to why your local jail jurisdiction does not record inmate-on-inmate **SEXUAL ABUSE** allegations, in the space below, and skip to Item 6.

4. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

5. Of the allegations reported in Item 4, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing .

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 5a through 5d)

- The total should equal the number reported in Item 4.

6. Does your local jail jurisdiction record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 Yes → Do you record all reported allegations or only substantiated ones?

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section III.

7. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or inmate perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

8. Of the allegations reported in Item 7, how many were—

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL Sum of Items 8a through 8d)

- The total should equal the number reported in Item 7.

Section III – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

9. Does your local jail jurisdiction record allegations of STAFF SEXUAL ABUSE?
(See definitions on page 4.)

- 01 Yes → **Do you record all reported occurrences, or only substantiated ones?**
 - 01 All
 - 02 Substantiated only

02 No → *Please provide an explanation in the space below and then skip to Item 12.*

10. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, or staff, count only once.

11. Of the allegations reported in Item 10, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 11a through 11d)

- The total should equal the number reported in Item 10.

12. Does your local jail jurisdiction record allegations of STAFF SEXUAL HARASSMENT?
(See definitions on page 4.)

01 Yes → **Can these allegations be counted separately from allegations of STAFF SEXUAL ABUSE?**

01 Yes

02 No → Skip to Item 15.

02 No → Please provide an explanation in the space below and then skip to Item 15.

13. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

14. Of the allegations reported in Item 13, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 14a through 14d)

- The number should equal the number reported in Item 13.

Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

15. What is the total number of substantiated incidents reported in Items 5a, 8a, 11a, and 14a?

Total substantiated incidents

→ **Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.**

NOTES

FORM **SSV-4**
(12-8-2022)

SURVEY OF SEXUAL VICTIMIZATION, 2023
Other Correctional Facilities
Summary Form

U.S. DEPARTMENT OF JUSTICE
 BUREAU OF JUSTICE STATISTICS
 AND ACTING AS COLLECTION AGENT
 U.S. DEPT. OF COMMERCE
 U.S. CENSUS BUREAU

DATA SUPPLIED BY

Name		Title			
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number		City	State	ZIP Code
TELEPHONE	Area code	Number	FAX NUMBER	Area Code	Number
E-MAIL ADDRESS					

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

- **PRIVATELY OPERATED FACILITIES:** All privately owned or operated confinement facilities including prisons, jails, detention centers, community-based facilities, and other correctional facilities that are intended for adults but sometimes hold juveniles. INCLUDE privately operated multi-jurisdictional facilities.
- **FACILITIES OPERATED BY OR FOR:**
- **THE UNITED STATES MILITARY**
- **THE BUREAU OF IMMIGRATION AND CUSTOMS ENFORCEMENT**
- **TRIBAL AUTHORITIES**
- **THE BUREAU OF INDIAN AFFAIRS**

What inmates and incidents are included in this data collection?

Inmates under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving inmates under the authority, custody, or care of your confinement or community-based facilities or staff.
- EXCLUDE inmates held in other jurisdictions.

Reporting instructions:

- Please complete the entire SSV-4 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and IV: If the answer to a question is "none" or "zero," write "0" on the line.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the **U.S. Census Bureau** toll-free at **1-888-369-3613, option 2**, or e-mail **govs.ssv@census.gov**
- **Please return your completed summary and substantiated incident forms by October 29, 2023.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE) TO: 1-888-262-3974**

Burden statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 30 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

Section I – GENERAL INFORMATION

Section II – INMATE-ON-INMATE SEXUAL VICTIMIZATION

1. How many persons under the supervision of your facility were—

a. CONFINED on December 31, 2023?

- INCLUDE persons on transfer to treatment facilities but who remain under your jurisdiction.
- INCLUDE persons out to court while under your jurisdiction.
- INCLUDE persons held for other jurisdictions.
- EXCLUDE inmates on AWOL, escape, or long-term transfer to other jurisdictions.
- EXCLUDE all persons in non-residential community-based programs run by your facility (e.g., electronic monitoring, house arrest, community service, day reporting, work programs).

Male Female

Inmates on December 31, 2023

b. ADMITTED to your facility during 2023?

- INCLUDE new admissions only, i.e., persons officially booked into and housed in your facilities by formal legal document and by the authority of the courts or some other official agency.
- INCLUDE repeat offenders booked on new charges.
- EXCLUDE returns from escape, work release, medical appointments/treatment facilities, and bail or court appearances.

Male Female

New admissions during 2023

2. Between January 1, 2023, and December 31, 2023, what was the average daily population of your confinement facility?

- To calculate the average daily population, add the number of persons for each day during the period January 1, 2023, through December 31, 2023, and divide the result by 365.

Male Female

Average daily population

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

3. Does your facility record allegations of inmate-on-inmate SEXUAL ABUSE?

(See definitions on page 2.)

01 Yes → Do you record all reported occurrences, or only substantiated ones?

01 All

02 Substantiated only

02 No → Please provide an explanation as to why your facility does not record inmate-on-inmate SEXUAL ABUSE allegations, in the space below, and skip to item 6.

[Empty text box for explanation]

4. Between January 1, 2023, and December 31, 2022, how many allegations of inmate-on-inmate SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

5. Of the allegations reported in Item 4, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 5a through 5d)

- The total should equal the number reported in Item 4.

6. Does your facility record allegations of inmate-on-inmate SEXUAL HARASSMENT? (See definitions on page 2.)

01 Yes → Do you record all reported allegations or only substantiated ones?

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section III.

[Empty text box for explanation]

7. Between January 1, 2023, and December 31, 2023, how many allegations of inmate-on-inmate SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or inmate perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

8. Of the allegations reported in Item 7, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 8a through 8d)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 8a through 8d)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 8a through 8d)

- The total should equal the number reported in Item 7.

Section III – STAFF-ON-INMATE SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

9. Does your facility record allegations of STAFF SEXUAL ABUSE? (See definitions on page 4.)

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation in the space below and then skip to Item 12.*

10. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.

11. Of the allegations reported in Item 10, how many were — (Please contact the agency or office responsible for investigation allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 11a through 11d)

- The total should equal the number reported in Item 10.

Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

12. Does your facility record allegations of STAFF SEXUAL HARASSMENT? (See definitions on page 4.)

01 Yes → **Can these allegations be counted separately from allegations of STAFF SEXUAL ABUSE?**

01 Yes

02 No → Skip to Item 15.

02 No → Please provide an explanation in the space below and skip to Item 15.

13. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

14. Of the allegations reported in Item 13, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 14a through 14d)

- The total should equal the number reported in Item 13.

15. What is the total number of substantiated incidents reported in Items 5a, 8a, 11a, and 14a?

Total substantiated incidents

→ **Please complete a Substantiated Incident Form (Adult, SSV-IA) for each substantiated incident of sexual victimization.**

NOTES

FORM **SSV-5**
(12-9-2022)

SURVEY OF SEXUAL VICTIMIZATION, 2023
State Juvenile Systems
Summary Form

U.S. DEPARTMENT OF JUSTICE
 BUREAU OF JUSTICE STATISTICS
 AND ACTING AS COLLECTION AGENT
 U.S. DEPT. OF COMMERCE
 U.S. CENSUS BUREAU

DATA SUPPLIED BY

Name

Title

OFFICIAL ADDRESS

Number and street or P.O. Box/Route Number

City

State

ZIP Code

TELEPHONE

Area code

Number

FAX NUMBER

Area Code

Number

E-MAIL ADDRESS

(Please correct any error in name, mailing address, and ZIP Code)

What facilities are included in this data collection?

All State-operated juvenile residential placement facilities used to house juveniles and youthful offenders, regardless of age or reason for placement.

- INCLUDE State-operated juvenile residential facilities such as: detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps, wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.

- **EXCLUDE privately operated facilities and facilities operated or administered by local governments. (These facilities will be contacted directly for data on sexual victimization.)**

What persons and incidents are included in this data collection?

Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.

- **EXCLUDE incidents involving juveniles or youthful offenders not held in facilities operated by your State juvenile system.**

Reporting instructions:

- Please complete the entire SSV-5 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and V: if the answer to a question is "none" or "zero," write "0" on the line.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the **U.S. Census Bureau** toll-free at **1-800-253-2078** or email **greta.b.clark@census.gov**
- **Please return your completed summary and substantiated incident forms by November 12, 2024.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

Under the Paperwork Reduction Act, we cannot ask you to respond to a collection of information unless it displays a currently valid OMB control number. The burden of this collection is estimated to average 60 minutes per response, including reviewing instructions, searching existing data sources, gathering necessary data, and completing and reviewing this form. Send comments regarding this burden estimate or any aspect of this survey, including suggestions for reducing this burden, to the Director, Bureau of Justice Statistics, 810 Seventh Street, NW, Washington, DC 20531. Do not send your completed form to this address.

DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS

- Any person under the jurisdiction of your State’s juvenile system or youthful offender authority, regardless of age or reason for placement.

FACILITIES

INCLUDE all State-operated facilities used to house juveniles or youthful offenders charged with or court-adjudicated for:

- Any offense that is illegal for both adults and juveniles;

OR

- An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE State-operated facilities used ONLY to house juveniles for:

- Non-criminal purposes (neglect, abuse, abandonment, or dependency);

OR

- Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.

Section I – GENERAL INFORMATION

1. On December 31, 2023, how many facilities operated by your State held juveniles or youthful offenders CHARGED WITH or COURT-ADJUDICATED FOR AN OFFENSE?

Number of facilities

- Count all juvenile residential facilities where young persons who have committed offenses may be housed overnight.
- Count each facility with a separate physical location only once. Do not count separate living/sleeping units, wings, floors, dorms, barracks, or cottages within a single facility.

2. On December 31, 2023, how many persons held in the facilities reported in Item 1 were —

a. Males

b. Females

c. TOTAL (Sum of Items 2a and 2b)

- Count persons held in the facilities reported in Item 1 regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2023.

3. On December 31, 2023, how many persons held in the facilities reported in Item 1 were —

a. Age 17 or younger

b. Age 18 to 20

c. Age 21 or older

d. TOTAL (Sum of Items 3a through 3c should equal Item 2c)

- Count all persons held in the facilities reported in Item 1 regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2023.

4. Between January 1, 2023, and December 31, 2023, how many persons were admitted to or discharged from the facilities reported in Item 1?

a. TOTAL number admitted . . .

b. TOTAL number discharged .

- Include all persons admitted into your State-operated juvenile residential facilities by a formal legal document, by the authority of the courts, or by some other official agency.
- Include all persons discharged from your State-operated juvenile residential facilities after a period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to other States, and deaths.
- Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities operated by your State, or temporary release including work/school release, medical appointments, other treatment facilities, or court appearances.

Section II – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

5. Does your State juvenile system record allegations of youth-on-youth SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

- 01 All
- 02 Substantiated only

02 No → *Please provide an explanation as to why your State juvenile system does not record youth-on-youth **SEXUAL ABUSE** allegations, in the space below, and skip to item 8.*

6. Between January 1, 2023, and December 31, 2023, how many allegations of youth-on-youth SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

7. Of the allegations reported in Item 6, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 7a through 7d)

- The total should equal the number reported in Item 6.

8. Does your State juvenile system record allegations of youth-on-youth SEXUAL HARASSMENT? (See definitions on page 3.)

01 Yes → **Do you record all reported allegations or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section III.

9. Between January 1, 2023, and December 31, 2023, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or youth perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

10. Of the allegations reported in Item 9, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 10a through 10d)

- The total should equal the number reported in Item 9.

Section III - STAFF-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

11. Does your State juvenile system record allegations of STAFF SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Item 14.

12. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.

13. Of the allegations reported in Item 12, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 13a through 13d)

- The total should equal the number reported in Item 12.

14. Does your State juvenile system record allegations of STAFF SEXUAL ABUSE? (See definitions on page 4.)

01 Yes → **Can these allegations be counted separately from allegations of STAFF SEXUAL ABUSE?**

01 Yes

02 No → Skip to Item 17.

02 No → Please provide an explanation in the space below and then skip to Item 17.

15. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

16. Of the allegations reported in Item 15, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing .

e. TOTAL (Sum of Items 16a through 16d)

- The total should equal the number reported in Item 15.

Section IV – PRIVATE AND LOCAL ALLEGATIONS

NOTES

17. Did any of the allegations reported in Items 6, 9, 12, or 15 occur in a privately operated facility?

01 Yes

02 No

18. Did any of the allegations reported in Items 6, 9, 12, or 15 occur in a facility operated or administered by local governments?

01 Yes

02 No

Section V – TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

19. What is the total number of substantiated incidents reported in Items 7a, 10a, 13a, and 16a?

Total substantiated incidents

→ Please complete a Substantiated Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

FORM **SSV-6**
(12-9-2022)**SURVEY OF SEXUAL VICTIMIZATION, 2023**
Locally or Privately-Operated Juvenile Facilities
Summary FormU.S. DEPARTMENT OF JUSTICE
BUREAU OF JUSTICE STATISTICS
AND ACTING AS COLLECTION AGENT
U.S. DEPT. OF COMMERCE
U.S. CENSUS BUREAU**DATA SUPPLIED BY**

Name		Title			
OFFICIAL ADDRESS	Number and street or P.O. Box/Route Number		City	State	ZIP Code
	TELEPHONE	Area code	Number	FAX NUMBER	Area Code
E-MAIL ADDRESS					

*(Please correct any error in name, mailing address, and ZIP Code)***What facilities are included in this data collection?**

All juvenile residential placement facilities operated or administered by a local government and all privately owned or operated facilities that are used to house juveniles and youthful offenders, regardless of age or reason for placement.

- INCLUDE locally-operated juvenile residential facilities; privately owned or operated juvenile residential facilities; detention centers, training schools, long-term secure facilities; reception or diagnostic centers; group homes or halfway houses; boot camps; ranches; forestry camps, wilderness or marine programs, or farms; runaway or homeless shelters; and residential treatment centers for juveniles.

- **EXCLUDE State operated juvenile residential facilities. (These facilities will be contacted directly for data on sexual victimization.)**

What persons and incidents are included in this data collection?

Juveniles and youthful offenders, regardless of age or reason for placement, under your custody between January 1, 2023, and December 31, 2023.

- INCLUDE incidents involving juveniles or youthful offenders under the authority, custody, or care of your confinement or community-based facilities or staff.
- **EXCLUDE incidents involving juveniles or youthful offenders held in facilities operated by your State juvenile system.**

Reporting instructions:

- Please complete the entire SSV-6 Form.
- If the answer to a question is "not available" or "unknown," write "DK" (do not know) in the space provided.
- If the answer to a question is "not applicable," write "NA" in the space provided.
- Section I: when exact numeric answers are not available, provide estimates and mark (X) the box beside each figure.
- Sections II, III, and V: if the answer to a questions "none" or "zero," write "0" on the line.

Substantiated incidents of sexual violence:

- Please complete an Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Returning forms:

- If you need assistance, please call the **U.S. Census Bureau** toll-free at **1-888-369-3613, option 2**, or e-mail **govs.ssv@census.gov**
- **Please return your completed summary and substantiated incident forms by November 12, 2024.**
- **You may complete these forms online at: <https://ssv.census.gov/>**
- **MAIL TO:** U.S. Census Bureau, P.O. Box 5000, Jeffersonville, IN 47199-5000
- **FAX (TOLL FREE): 1-888-262-3974**

Burden Statement

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DEFINITIONS

JUVENILES and YOUTHFUL OFFENDERS

- Any person under the custody or care of a juvenile residential facility owned or operated by a local government or private agency.

FACILITIES

INCLUDE all juvenile residential placement facilities operated or administered by a local government and all privately owned or operated facilities that are used to house juveniles and youthful offenders charged with or court-adjudicated for:

- Any offense that is illegal for both adults and juveniles;

OR

- An offense that is ILLEGAL in your State for juveniles, but not for adults (running away, truancy, incorrigibility, curfew violations, and liquor violations).

EXCLUDE all State-operated facilities and locally or privately-operated facilities used ONLY to house juveniles for:

- Non-criminal behavior (neglect, abuse, abandonment, or dependency);

OR

- Being Persons in Need of Services (PINS) or Children in Need of Services (CHINS) who have assigned beds for reasons other than offenses.

Section I – GENERAL INFORMATION

1. Is this facility owned by a

- 01 Private agency
- 02 Native American Tribal Government
- 03 State
- 04 County
- 05 Local or municipal government
- 06 Other – Specify

2. Is this facility operated by a

- 01 Private agency
- 02 Native American Tribal Government
- 03 State
- 04 County
- 05 Local or municipal government
- 06 Other – Specify

3. On December 31, 2023, how many persons held in this facility were —

a. Males

b. Females

c. TOTAL (Sum of Items 3a and 3b) .

- Count persons held in the facility regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2023.

4. On December 31, 2023, how many persons held in this facility were —

a. Age 17 or younger

b. Age 18 to 20

c. Age 21 or older

d. TOTAL (Sum of Items 4a through 4c should equal Item 3c)

- Count all persons held in the facility regardless of age or reason for placement. Include persons who were temporarily away but had assigned beds on December 31, 2023.

5. Between January 1, 2023, and December 31, 2023, how many persons were admitted to or discharged from this facility?

a. TOTAL number admitted . .

b. TOTAL number discharged .

- Include all persons admitted to this facility by a formal legal document, by the authority of the courts, or by some other official agency.
- Include all persons discharged from this facility after a period of confinement including sentence completion, pretrial releases, transfers to adult jurisdictions or to other States, and deaths.
- Exclude admissions and discharges resulting from returns from escape, administrative transfers to other juvenile facilities, or temporary release including work/school release, medical appointments, other treatment facilities, or court appearances.

Section II – YOUTH-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

This survey now utilizes the PREA standard definitions for types of sexual victimization. These categories are:

SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- (4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

SEXUAL HARASSMENT

Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

6. Does your facility record allegations of youth-on-youth SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation as to why your facility does not record youth-on-youth **SEXUAL ABUSE** allegations, in the space below, and skip to item 9.*

7. Between January 1, 2023 and December 31, 2023, how many allegations of youth-on-youth SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.
- Exclude any allegations that were reported as consensual.

8. Of the allegations reported in Item 7, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

- The event was investigated and determined to have occurred, based on a preponderance of the evidence (28 C.F.R. §115.72).

b. Unsubstantiated

- The investigation concluded that evidence was insufficient to determine whether or not the event occurred.

c. Unfounded

- The investigation determined that the event did NOT occur.

d. Investigation ongoing .

- Evidence is still being gathered, processed or evaluated, and a final determination has not yet been made.

e. TOTAL (Sum of Items 8a through 8d)

- The total should equal the number reported in Item 7.

9. Does your facility record allegations of youth-on-youth SEXUAL HARASSMENT?

(See definitions on page 3.)

01 Yes → **Do you record all reported allegations or only substantiated ones?**

01 All

02 Substantiated only

02 No → Please provide an explanation in the space below and then skip to Section III.

10. Between January 1, 2023, and December 31, 2023, how many allegations of youth-on-youth SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or youth perpetrators, count only once.
- Exclude any allegations that were reported as consensual.

11. Of the allegations reported in Item 10, how many were — (Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)

a. **Substantiated**

b. **Unsubstantiated**

c. **Unfounded**

d. **Investigation ongoing**

e. **TOTAL** (Sum of Items 11a through 11d)

- The total should equal the number reported in Item 10.

Section III - STAFF-ON-YOUTH SEXUAL VICTIMIZATION

DEFINITIONS

STAFF SEXUAL ABUSE

Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- (1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- (2) Contact between the mouth and the penis, vulva, or anus;
- (3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- (6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- (7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- (8) Voyeurism by a staff member, contractor, or volunteer.

STAFF SEXUAL HARASSMENT

Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

12. Does your facility record allegations of STAFF SEXUAL ABUSE?

01 Yes → **Do you record all reported occurrences, or only substantiated ones?**

01 All

02 Substantiated only

02 No → *Please provide an explanation in the space below and then skip to Item 15.*

13. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL ABUSE were reported?

Number reported

- If an allegation involved multiple victimizations, count only once.

14. Of the allegations reported in Item 13, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 14a through 14d)

- The total should equal the number reported in Item 13.

15. Does your facility record allegations of STAFF SEXUAL HARASSMENT ? *(See definitions on page 4.)*

01 Yes → **Can these allegations be counted separately from allegations of STAFF SEXUAL ABUSE?**

01 Yes

02 No → *Skip to Item 18*

02 No → *Please provide an explanation in the space below and then skip to Item 18.*

16. Between January 1, 2023, and December 31, 2023, how many allegations of STAFF SEXUAL HARASSMENT were reported?

Number reported

- If an allegation involved multiple victims or staff, count only once.

17. Of the allegations reported in Item 16, how many were — *(Please contact the agency or office responsible for investigating allegations of sexual victimization in order to fully complete this form.)*

a. Substantiated

b. Unsubstantiated

c. Unfounded

d. Investigation ongoing

e. TOTAL (Sum of Items 17a through 17d)

- The total should equal the number reported in Item 16.

Section IV - TOTAL SUBSTANTIATED INCIDENTS OF SEXUAL VICTIMIZATION

NOTES

18. What is the total number of substantiated incidents reported in Items 8a, 11a, 14a, and 17a.

Total substantiated incidents

→ Please complete a Substantiated Incident Form (Juvenile, SSV-IJ) for each substantiated incident of sexual victimization.

Survey of Sexual Victimization, YYYY
Substantiated Incident Form (Adult) – SSV-IA

1. On what date did the incident occur?
 (If more than one date, report the most recent and check the box.)

MM DD YYYY [BOX] multiple dates

2. In what facility did the incident occur?

Name _____
 City/Place _____

3. Did the incident occur in the following locations?

	Yes	No
01. In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)		
02. In the perpetrator's cell or room		
03. In a dormitory or other multiple housing unit		
04. In a common area (e.g., shower, dayroom, bathroom)		
05. In a temporary holding cell or intake area within the facility		
06. In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		
07. In an instructional area (e.g. classroom, school, library, conference room)		
08. In a recreation area (e.g., yard, courtyard, gymnasium)		
09. In a medical area (e.g., infirmary, health clinic)		
10. In a staff area (e.g., office, break room, counselor's office)		
11. Offsite or while in transit		
12. Other – specify [BOX]		
13. Location unknown		
14. Location not applicable (e.g., involved written, phone, or virtual communication)		

4. Did the incident take place in an area subject to video monitoring? [] Yes [] No [] Unknown

5. Did the incident occur during the following times?

	Yes	No
01. Morning (6 a.m. to noon)		
02. Afternoon (noon to 6 p.m.)		
03. Evening (6p.m. to midnight)		
04. Overnight (midnight to 6 a.m.)		
05. Time unknown		

6. Who reported the incident?

	Yes	No
01. Victim		
02. Another inmate (non-victim)		
03. Victim's family or friend		
04. Correctional officer or front line staff		
05. Administrative staff		
06. Medical, healthcare, or mental health staff		
07. Instructor, teacher, or counselor		
08. Other staff (e.g., kitchen worker, maintenance staff)		
09. Chaplain or other religion official		
10. Perpetrator		
11. Perpetrator's family or friend		
12. Grievance coordinator, grievance process, or ombudsman		
13. Attorney or legal guardian (e.g., other than family member)		
14. Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)		
15. Other – specify [BOX]		

7. What was the type of sexual victimization incident? (See definitions on page 13.)

- 01. Inmate-on-inmate sexual abuse
→ **Complete sections A and B**
- 02. Inmate-on-inmate sexual harassment
→ **Complete sections A and B**
- 03. Staff-on-inmate sexual abuse
→ **Complete sections A and C**
- 04. Staff-on-inmate sexual harassment
→ **Complete sections A and C**

SECTION A – VICTIMS OF SEXUAL VICTIMIZATION

8. How many victims were involved in the incident?

Number of victims _____

→ **If more than two victims were involved, please complete this form online.**

9. Victim #1: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

10. Victim #1: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

11. Victim #1: What was the victim's age at the time of the incident?
 ____ years

12. Victim #1: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Other Pacific Islander

13. Victim #1. Did the victim sustain any physical injury during the incident? Yes No

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

14. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

15. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

→ If there were no other victims, please skip to Section B on pages 6-8 if the incident involved an inmate perpetrator or Section C on pages 9-12 if the incident involved a staff perpetrator.

16. Victim #2: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

17. Victim #2: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 02. Transgender
- 03. Gender nonconforming
- 04. Unknown

18. Victim #2: What was the victim's age at the time of the incident?

___ years

19. Victim #2: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

20. Victim #2. Did the victim sustain any physical injury during the incident?

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

21. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

22. Victim #2: After the incident was reported, was the victim –

	Yes	No
12. Separated from perpetrator		
13. Confined to own cell or room		
14. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
15. Placed in a medical unit, ward, or hospital		
16. Placed in a camera room, under close surveillance, or increased supervision		
17. Transferred to another housing unit or dorm, or given a single room or cell		
18. Given a higher custody level or different unit within the facility		
19. Transferred to another facility		
20. Issued a disciplinary report or loss of privileges		
21. Other – specify [BOX]		
22. None of the above		

→ If the perpetrator was a staff member, go to Section C on pages 9-12.

SECTION B – INMATE-PERPETRATED SEXUAL VICTIMIZATION

23. Did the following occur as part of the substantiated incident?

	Yes	No
01. Verbal comments or gestures of a derogatory or offensive sexual nature		
02. Repeated and unwelcome sexual advances or requests for sexual favors		
03. Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature		
04. Intentional and non-consensual or coerced touching of the genitalia, anus, groin, breast inner thigh, or the buttocks		
05. Nonconsensual sexual act that resulted from pressure or coercion (without force)		
06. Nonconsensual sexual act that resulted from physical force (or the threat of force)		
07. Other – specify [BOX]		

24. Did the incident involve the following types of pressure, coercion, or force?

	Yes	No
01. Persuasion or talked into sexual activity		
02. Bribery or blackmail		
03. Gave the victim drugs or alcohol		
04. Offered protection from other inmates		
05. Threats made (e.g., threatened harm to victim or victim's family, threatened with a weapon)		
06. Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated		
07. Physically held victim down or restrained in some way, including having other inmates restrain the victim		
08. Physical harm or injury		
09. Other – specify [BOX]		
10. None of the above		

25. How many inmate perpetrators were involved in the incident?

Number of inmate perpetrators ____

→ If more than two inmate perpetrators were involved, please complete this form online.

26. Perpetrator #1: What was the inmate perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

27. Perpetrator #1: What was the inmate perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

28. Perpetrator #1: What was the inmate perpetrator's age at the time of the incident?
 _____ years

29. Perpetrator #1: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Other Pacific Islander

30. Perpetrator #1: After the incident, was the inmate perpetrator –

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

➔ If there were no other perpetrators, please skip to Notes on page 12 (if applicable) or submit form.

31. Perpetrator #2: What was the inmate perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

32. Perpetrator #2: What was the inmate perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

33. Perpetrator #2: What was the inmate perpetrator's age at the time of the incident?
 _____ years

34. Perpetrator #2: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

35. Perpetrator #2: After the incident, was the inmate perpetrator – (Mark [X] for all that apply.)

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

→ If the perpetrator was an inmate, go to Section B on pages 6-8.

SECTION C. STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

36. Did the following occur as part of the substantiated incident?

	Yes	No
01. Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature		
02. Wrote letters, showed pictures, talked on the phone, or through video conferencing		
03. Exhibited grooming behaviors such as providing gifts, special privileges, or favors to inmate		
04. Indecent exposure, invasion of privacy, or voyeurism		
05. Any attempt, threat, or request related to abusive sexual contact or nonconsensual sexual act		
06. Any touching or kissing for sexual gratification		
07. Pressure or abuse of power resulting in a nonconsensual sexual act		
08. Physical force resulting in a nonconsensual sexual act		
09. Other – specify [BOX]		

37. How many staff perpetrators were involved in the incident?

Number of staff _____

→ If more than two staff were involved, please complete this incident form online.

38. Staff #1: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

39. Staff #1: What was the staff perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

40. Staff #1: What was the age of the staff perpetrator at the time of the incident?

_____ years

41. Staff #1: What was the staff's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

42. Staff #1: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
43. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteer or intern
 09. Other staff – specify [BOX]
44. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years
45. Staff #1: After the incident, was the staff perpetrator–

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

→ If there were no other staff perpetrators involved, please skip to Notes on page 12 (if applicable) or submit form.

46. Staff #2: What was the staff perpetrator's sex? (See definitions on page 14.)
01. Male
 02. Female
 03. Intersex

47. Staff #2: What was the staff perpetrator's gender identity? (See definitions on page 14.)
01. Male
 02. Female
 03. Transgender
 04. Gender nonconforming
 05. Unknown
48. Staff #2: What was the age of the staff perpetrator at the time of the incident?
_____ years
49. Staff #2: What was the staff's race or ethnicity? (Select [X] all that apply.)
01. White
 02. Hispanic or Latino
 03. Black or African American
 04. Asian
 05. American Indian or Alaska Native
 06. Middle Eastern or North African
 07. Native Hawaiian or Pacific Islander
50. Staff #2: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
51. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteer or intern
 09. Other staff – specify [BOX]
52. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years

53. Staff #2: After the incident, was the staff perpetrator—

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

NOTES

DEFINITIONS

Sexual Victimization

Inmate-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by another inmate, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

Inmate-on-inmate sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one inmate, detainee, or resident directed toward another.

Staff-on-inmate sexual abuse: Sexual abuse of an inmate, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the inmate, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)-(5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of an inmate, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

Staff-on-inmate sexual harassment: Repeated verbal comments or gestures of a sexual nature to an inmate, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Sex and gender categories

Intersex: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

Gender nonconforming: A person whose appearance or manner does not conform to traditional societal gender expectations.

Transgender: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.

Survey of Sexual Victimization, YYYY
Substantiated Incident Form (Juvenile) – SSV-IJ

1. On what date did the incident occur?
 (If more than one date, report the most recent and check the box.)

MM DD YYYY [BOX] multiple dates

2. In what facility did the incident occur?

Name _____
 City/Place _____

3. Did the incident occur in the following locations?

	Yes	No
01. In the victim's cell or room (e.g., if the victim and perpetrator share a cell or room, count as the victim's cell)		
02. In the perpetrator's cell or room		
03. In a dormitory or other multiple housing unit		
04. In a common area (e.g., shower, dayroom, bathroom)		
05. In a temporary holding cell or intake area within the facility		
06. In a program service area (e.g., commissary, kitchen, storage, laundry, cafeteria, workshop, hallway)		
07. In an instructional area (e.g. classroom, school, library, conference room)		
08. In a recreation area (e.g., yard, courtyard, gymnasium)		
09. In a medical area (e.g., infirmary, health clinic)		
10. In a staff area (e.g., office, break room, counselor's office)		
11. Offsite or while in transit		
12. Other – specify [BOX]		
13. Location unknown		
14. Location not applicable (e.g., involved written, phone, or virtual communication)		

4. Did the incident take place in an area subject to video monitoring? [] Yes [] No [] Unknown

5. Did the incident occur during the following times?

	Yes	No
01. Morning (6 a.m. to noon)		
02. Afternoon (noon to 6 p.m.)		
03. Evening (6p.m. to midnight)		
04. Overnight (midnight to 6 a.m.)		
05. Time unknown		

6. Who reported the incident?

	Yes	No
01. Victim		
02. Another youth (non-victim)		
03. Victim's family or friend		
04. Correctional officer or front line staff		
05. Administrative staff		
06. Medical, healthcare, or mental health staff		
07. Instructor, teacher, or counselor		
08. Other staff (e.g., kitchen worker, maintenance staff)		
09. Chaplain or other religion official		
10. Perpetrator		
11. Perpetrator's family or friend		
12. Grievance coordinator, grievance process, or ombudsman		
13. Attorney or legal guardian (e.g., other than family member)		
14. Confidential informant, anonymous tip, hotline, or through monitoring (e.g., camera, telephone, or mail)		
15. Other – specify [BOX]		

7. What was the type of sexual victimization incident? (See definitions on page 13.)

- 01. Youth-on-youth sexual abuse
→ **Complete sections A and B**
- 02. Youth-on-youth sexual harassment
→ **Complete sections A and B**
- 03. Staff-on-youth sexual abuse
→ **Complete sections A and C**
- 04. Staff-on-youth sexual harassment
→ **Complete sections A and C**

SECTION A – VICTIMS OF SEXUAL VICTIMIZATION

8. How many victims were involved in the incident?

Number of victims _____

→ **If more than two victims were involved, please complete this form online.**

9. Victim #1: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

10. Victim #1: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

11. Victim #1: What was the victim's age at the time of the incident?
 _____ years

12. Victim #1: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

13. Victim #1. Did the victim sustain any physical injury during the incident? Yes No

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

14. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

15. Victim #1: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

➔ **If there were no other victims, please skip to Section B on pages 6-8 if the incident involved a youth perpetrator or Section C on pages 9-12 if the incident involved a staff perpetrator.**

16. Victim #2: What was the victim's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

17. Victim #2: What was the victim's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 02. Transgender
- 03. Gender nonconforming
- 04. Unknown

18. Victim #2: What was the victim's age at the time of the incident?

_____ years

19. Victim #2: What was the victim's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black of African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

20. Victim #2. Did the victim sustain any physical injury during the incident?

If "Yes" → a. Did the following injuries occur?

	Yes	No
01. Bruises, black eye, sprains, cuts, scratches, swelling, welts		
02. Bites		
03. Burns		
04. Chipped or knocked out teeth		
05. Anal or vaginal tearing		
06. Knocked unconscious		
07. Broken bones		
08. Knife or stab wounds		
09. Internal injuries		
10. Other – specify [BOX]		

→ b. Did the victim receive medical treatment for these injuries? Yes No

21. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Provided with counseling or mental health treatment		
02. Given a medical examination		
03. Administered a rape kit		
04. Given post-exposure prophylaxis (PEP) and/or emergency contraception		
05. Tested for HIV/AIDS		
06. Tested for other sexually transmitted infections		
07. Offered but declined testing, treatment and/or counseling		
08. Already released/discharged		
09. None of the above		

22. Victim #2: After the incident was reported, was the victim –

	Yes	No
01. Separated from perpetrator		
02. Confined to own cell or room		
03. Placed in or returned to administrative segregation, protective custody, or disciplinary segregation		
04. Placed in a medical unit, ward, or hospital		
05. Placed in a camera room, under close surveillance, or increased supervision		
06. Transferred to another housing unit or dorm, or given a single room or cell		
07. Given a higher custody level or different unit within the facility		
08. Transferred to another facility		
09. Issued a disciplinary report or loss of privileges		
10. Other – specify [BOX]		
11. None of the above		

→ If the perpetrator was a staff member, go to Section C on pages 9-12.

SECTION B – YOUTH-PERPETRATED SEXUAL VICTIMIZATION

23. Did the following occur as part of the substantiated incident?

	Yes	No
01. Verbal comments or gestures of a derogatory or offensive sexual nature		
02. Repeated and unwelcome sexual advances or requests for sexual favors		
03. Indecent exposure, masturbation, voyeurism, or other actions of a derogatory sexual nature		
04. Intentional and non-consensual or coerced touching of the genitalia, anus, groin, breast inner thigh, or the buttocks		
05. Nonconsensual sexual act that resulted from pressure or coercion (without force)		
06. Nonconsensual sexual act that resulted from physical force (or the threat of force)		
07. Other – specify [BOX]		

24. Did the incident involve the following types of pressure, coercion, or force?

	Yes	No
01. Persuasion or talked into sexual activity		
02. Bribery or blackmail		
03. Gave the victim drugs or alcohol		
04. Offered protection from other youths		
05. Threats made (e.g., threatened harm to victim or victim’s family, threatened with a weapon)		
06. Unwanted touching, grabbing, or groping of the victim by surprise or while the victim was asleep or incapacitated		
07. Physically held victim down or restrained in some way, including having other youths restrain the victim		
08. Physical harm or injury		
09. Other – specify [BOX]		
10. None of the above		

25. How many youth perpetrators were involved in the incident?

Number of youth perpetrators _____

→ If more than two youth perpetrators were involved, please complete this form online.

26. Perpetrator #1: What was the youth perpetrator’s sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

27. Perpetrator #1: What was the youth perpetrator’s gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

28. Perpetrator #1: What was the youth perpetrator's age at the time of the incident?
 ____ years

29. Perpetrator #1: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

30. Perpetrator #1: After the incident, was the youth perpetrator –

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

➔ **If there were no other perpetrators, please skip to Notes on page 12 (if applicable) or submit form.**

31. Perpetrator #2: What was the youth perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

32. Perpetrator #2: What was the youth perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

33. Perpetrator #2: What was the youth perpetrator's age at the time of the incident?
 _____ years

34. Perpetrator #2: What was the perpetrator's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

35. Perpetrator #2: After the incident, was the youth perpetrator – (Mark [X] for all that apply.)

	Yes	No
01. Confined to own cell or room		
02. Placed in solitary confinement or disciplinary segregation		
03. Placed in higher custody level, restricted unit or program, within the same facility		
04. Transferred to other unit/cell or separated from victim		
05. Transferred to another facility		
06. Sent to counseling and/or provided other treatment (e.g. post-exposure prophylaxis)		
07. Loss of "good/gain" time, increased in "bad" time or delayed release		
08. Given extra work		
09. Loss of privileges, disciplinary report or conduct violations, or other reprimand		
10. Arrested or referred to law enforcement agency		
11. Referred for prosecution or indicted		
12. Convicted, plead guilty, given new sentence, or fined		
13. Awaiting a legal outcome		
14. Other – specify [BOX]		

→ If the perpetrator was a youth, go to Section B on pages 6-8.

SECTION C. STAFF PERPETRATORS OF SEXUAL VICTIMIZATION

36. Did the following occur as part of the substantiated incident?

	Yes	No
01. Repeated suggestive, derogatory, or obscene verbal comments or gestures of a sexual nature		
02. Wrote letters, showed pictures, talked on the phone, or through video conferencing		
03. Exhibited grooming behaviors such as providing gifts, special privileges, or favors to youth		
04. Indecent exposure, invasion of privacy, or voyeurism		
05. Any attempt, threat, or request related to abusive sexual contact or nonconsensual sexual act		
06. Any touching or kissing for sexual gratification		
07. Pressure or abuse of power resulting in a nonconsensual sexual act		
08. Physical force resulting in a nonconsensual sexual act		
09. Other – specify [BOX]		

37. How many staff perpetrators were involved in the incident?

Number of staff _____

→ If more than two staff were involved, please complete this form online.

38. Staff #1: What was the staff perpetrator's sex? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Intersex

39. Staff #1: What was the staff perpetrator's gender identity? (See definitions on page 14.)

- 01. Male
- 02. Female
- 03. Transgender
- 04. Gender nonconforming
- 05. Unknown

40. Staff #1: What was the age of the staff perpetrator at the time of the incident?

_____ years

41. Staff #1: What was the staff's race or ethnicity? (Select [X] all that apply.)

- 01. White
- 02. Hispanic or Latino
- 03. Black or African American
- 04. Asian
- 05. American Indian or Alaska Native
- 06. Middle Eastern or North African
- 07. Native Hawaiian or Pacific Islander

42. Staff #1: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
43. Staff #1: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteers or interns
 09. Other staff – specify [BOX]
44. Staff #1: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years
45. Staff #1: After the incident, was the staff perpetrator–

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

→ If there were no other staff perpetrators involved, please skip to Notes on page 12 (if applicable) or submit form.

46. Staff #2: What was the staff perpetrator's sex? (See definitions on page 14.)
01. Male
 02. Female
 03. Intersex

47. Staff #2: What was the staff perpetrator's gender identity? (See definitions on page 14.)
01. Male
 02. Female
 03. Transgender
 04. Gender nonconforming
 05. Unknown
48. Staff #2: What was the age of the staff perpetrator at the time of the incident?
____ years
49. Staff #2: What was the staff's race or ethnicity? (Select [X] all that apply.)
01. White
 02. Hispanic or Latino
 03. Black or African American
 04. Asian
 05. American Indian or Alaska Native
 06. Middle Eastern or North African
 07. Native Hawaiian or Pacific Islander
50. Staff #2: Was the staff perpetrator involved in the incident –
01. Full- or part-time paid employee
 02. Contract employee or vendor
 03. Volunteer or intern
 04. Other – specify [BOX]
51. Staff #2: What was the primary position description of the staff perpetrator involved in the incident?
01. Correctional officer or supervisory staff
 02. Maintenance and other facility support staff, including groundskeepers, janitors, cooks, and drivers
 03. Medical or healthcare staff, including counselors, doctors, dentists, psychologists, psychiatrists, social workers, nurses, and medical assistants
 04. Education staff, including instructors, teachers, librarians, and education assistants
 05. Administrator, including wardens, superintendents, assistants, and others in administrative positions
 06. Clerical staff, including secretaries, clerks, receptionists, and other administrative support
 07. Other program staff
 08. Volunteers or interns
 09. Other staff – specify [BOX]
52. Staff #2: At the time of the incident, how long had the staff perpetrator worked at the facility?
01. Less than 6 months
 02. 6 months to 1 year
 03. 1 to 5 years
 04. 5 to 10 years
 05. More than 10 years

53. Staff #2: After the incident, was the staff perpetrator—

	Yes	No
01. Sent to training or counseling		
02. Reprimanded or disciplined		
03. Demoted, diminished responsibilities, suspended temporarily, or placed on administrative leave		
04. Transferred to another facility or unit		
05. Discharged, terminated, or contract not renewed		
06. Staff resigned (prior to completion of investigation)		
07. Staff resigned (after investigation was completed)		
08. Arrested or referred to law enforcement agency		
09. Referred for prosecution or indicted		
10. Convicted, plead guilty, sentenced, or fined		
11. Awaiting a legal outcome		
12. Other – specify [BOX]		
13. No action taken		

NOTES

DEFINITIONS

Sexual Victimization

Youth-on-youth sexual abuse: Sexual abuse of a youth, detainee, or resident by another youth, detainee, or resident includes any of the following acts, if the victim does not consent, is coerced into such act by overt or implied threats of violence, or is unable to consent or refuse:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Penetration of the anal or genital opening of another person, however slight, by a hand, finger, object, or other instrument; and
- 4) Any other intentional touching, either directly or through the clothing, of the genitalia, anus, groin, breast, inner thigh, or the buttocks of another person, excluding contact incidental to a physical altercation.

Youth-on-youth sexual harassment: Repeated and unwelcome sexual advances, requests for sexual favors, or verbal comments, gestures, or actions of a derogatory or offensive sexual nature by one youth, detainee, or resident directed toward another.

Staff-on-youth sexual abuse: Sexual abuse of a youth, detainee, or resident by a staff member, contractor, or volunteer includes any of the following acts, with or without consent of the youth, detainee, or resident:

- 1) Contact between the penis and the vulva or the penis and the anus, including penetration, however slight;
- 2) Contact between the mouth and the penis, vulva, or anus;
- 3) Contact between the mouth and any body part where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 4) Penetration of the anal or genital opening, however slight, by a hand, finger, object, or other instrument, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 5) Any other intentional contact, either directly or through the clothing, of or with the genitalia, anus, groin, breast, inner thigh, or the buttocks, that is unrelated to official duties or where the staff member, contractor, or volunteer has the intent to abuse, arouse, or gratify sexual desire;
- 6) Any attempt, threat, or request by a staff member, contractor, or volunteer to engage in the activities described in paragraphs (1)–(5) of this section;
- 7) Any display by a staff member, contractor, or volunteer of his or her uncovered genitalia, buttocks, or breast in the presence of a youth, detainee, or resident, and
- 8) Voyeurism by a staff member, contractor, or volunteer.

Staff-on-youth sexual harassment: Repeated verbal comments or gestures of a sexual nature to a youth, detainee, or resident by a staff member, contractor, or volunteer, including demeaning references to gender, sexually suggestive or derogatory comments about body or clothing, or obscene language or gestures.

Sex and gender categories

Intersex: A person whose sexual or reproductive anatomy or chromosomal pattern does not seem to fit typical definitions of male or female. Intersex medical conditions are sometimes referred to as disorders of sex development.

Gender nonconforming: A person whose appearance or manner does not conform to traditional societal gender expectations.

Transgender: A person whose gender identity (i.e., internal sense of feeling male or female) is different from the person's assigned sex at birth.