

### **Note to Reviewer**

OSHA is proposing to revise its Occupational Injury and Illness Recording and Reporting (Recordkeeping) regulation (29 CFR part 1904) to require certain employers to submit electronically to OSHA information captured on their completed OSHA 300, 301, and 300A Forms. In addition, OSHA is proposing to remove the current requirement for establishments with 250 or more employees, not in a designated industry, to electronically submit information from their Form 300A to OSHA on an annual basis. **These proposed new requirements will be addressed in a separate new Information Collection Request ICR.**

**SUPPORTING STATEMENT FOR  
THE INFORMATION REQUIREMENT ON RECORDKEEPING AND REPORTING  
OCCUPATIONAL INJURIES AND ILLNESSES (29 CFR PART 1904)  
OFFICE OF MANAGEMENT AND BUDGET  
(OMB) CONTROL NUMBER 1218-0176  
(March 2022)**

This ICR requests a revision to a currently OMB approved Information collection.

**A. JUSTIFICATION**

**1. Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection. Attach a copy of the appropriate section of each statute and regulation mandating or authorizing the collection of information.**

Public Law 91-596, the Occupational Safety and Health Act of 1970, section 24(a) states that:

The Secretary . . . shall develop and maintain an effective program of collection, compilation, and analysis of occupational safety and health statistics.

Further:

. . .the Secretary may promote, encourage, or directly engage in programs of studies, information and communication concerning occupational safety and health statistics.

Section 8(c)(2) of the OSH Act also prescribes that:

The Secretary shall prescribe regulations requiring employers to maintain accurate records of and to make periodic reports on, work-related deaths, injuries and illnesses. . .

Recordkeeping regulations are contained in Title 29 of the Code of Federal Regulations (CFR) Part 1904.

Recordkeeping forms are promulgated under 29 CFR Part 1904, and consist of the OSHA Form 300, the Log of Work-Related Injuries and Illnesses; the OSHA Form 300A, Summary of Work-Related Injuries and Illnesses; and the OSHA Form 301, the Injury and Illness Incident Report. The use of the recordkeeping forms by employers helps to ensure the uniformity of the safety and health data utilized by OSHA and the Bureau of Labor Statistics (BLS).

**2. Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.**

The OSHA Forms package and 29 CFR part 1904 provide employers with the means and specific instructions needed to maintain records of work-related injuries and illnesses. Response to this collection of information is mandatory for employers covered by the regulations, as specified in 29 CFR part 1904, subpart B. Approximately 1,000,000 establishments are regularly required to maintain the forms.

Employers required to keep the occupational injury and illness records pursuant to 29 CFR part 1904 must maintain the required records at each establishment and comply with the annual certification and posting requirements of 29 CFR 1904.32. These employers are required to comply with the requirements of 29 CFR 1904.35, which provide access to records for employees, former employees and their representatives. These employers are also required to comply with the reporting requirements of 29 CFR part 1904 subpart E, which provides for reporting fatality, injury and illness information to the government.

The records kept pursuant to part 1904 are used for many purposes. Generally, hard data are necessary to define the nature and extent of existing occupational health and safety problems, or lack thereof. Hard data on occupational injuries and illnesses provide a baseline for use in evaluating efforts to solve existing health and safety problems. Accurate worksite data are indispensable for use in outcome-oriented efforts to improve the safety and health of America's workers.

The records kept pursuant to part 1904 are used primarily by government, employers, employees, and labor organizations. OSHA has used the information gathered from part 1904 records during its annual data collection to target its programmed inspections and outreach efforts and to comply with the Government Performance and Results Act (GPRA). OSHA also uses information provided in an individual employer's part 1904 records when its compliance officers review them as a part of an on-site OSHA inspection. The information in the records can provide a roadmap for the compliance officer to focus the inspection on the most hazardous aspects of the operation. In short, accurate records are necessary for the optimal prioritization of OSHA's scarce resources.

In addition to OSHA, others use information generated by the part 1904 records. The Bureau of Labor Statistics (BLS) uses the information collected from the part 1904 records of participants in its annual statistical survey to produce national statistics on occupational injuries and illnesses. (Note: The burden associated with the requirements of § 1904.42 are covered under OMB Control Number 1220-0045.) Employers and employees use the records to see -- in a snapshot -- the health and safety record for the establishment. The records provide accurate injury and illness information for each worksite; information

which is indispensable for use by the employer as well as employees in accomplishing data-based problem solving and hazard identification to improve the health and safety conditions of the worksite.

The regulation's provisions requiring regular electronic submission of injury and illness data allow OSHA to acquire information about injuries and illnesses in the workplace. This provides the Agency the ability to identify, target, and remove safety and health hazards, thereby preventing workplace injuries, illnesses, and deaths. The Agency has completed five years of collecting Form 300A data, which are current being used for the Site-Specific Targeting (SST) program.

**3. Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.**

Section 1904.39(a) requires employers to report to OSHA within eight hours a fatality resulting from a work-related incident. Employers must report to OSHA within twenty-four (24) hours the in-patient hospitalization of one or more employees or an employee's amputation or an employee's loss of an eye, as a result of a work-related incident. Employers have three options for reporting the event:

1. By telephone to the nearest OSHA Area Office during normal business hours.
2. By telephone to the 24-hour OSHA hotline (1-800-321-OSHA or 1-800-321-6742).
3. By an online form accessible on OSHA's website at <https://www.osha.gov/pls/ser/serform.html>

Employers may maintain the required Part 1904 records using computers, if the computerized forms contain the same detail and are as readable and comprehensible to the average person as are the Part 1904 forms. On its website OSHA has made available to the public the OSHA Forms 300, 300A and 301 as a Microsoft Excel spreadsheet and in a fillable PDF format. The use of such technology, including the advantages of centralization, reduces employer burden.

Section 1904.41 requires certain employers to submit requested data electronically. OSHA provides a secure website for the electronic submission of data. The website allows for both direct data entry and submission of data through a batch file upload, as appropriate. OSHA also offers a direct submission option via a machine-to-machine Application Programming Interface (API).

**4. Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purpose(s) described in A.2 above.**

OSHA knows of no similar data that are comparable to the data recorded on the OSHA Form 300. Workers'

compensation data are not a viable substitute for the data required by part 1904 because State workers' compensation regulations that define which injuries and illnesses are compensable vary. Furthermore, workers' compensation data are not made available to OSHA by every State.

The OSHA Form 300 and the OSHA Form 301 do not duplicate any existing federal documents. For each recordable occupational injury or illness, as defined by 29 CFR part 1904, subpart C, an employer must complete a line item on OSHA Form 300 and also complete the OSHA Form 301. Employers may use other forms, such as insurance forms or State workers' compensation forms, in lieu of the OSHA Form 301 if the substitute forms contain all the information required by the OSHA Form 301 or are supplemented to do so. OSHA estimates that 60 percent of employers will use substitute forms in lieu of the OSHA Form 301.

The information collected from establishments under 1904.41 overlaps with what is collected by the BLS Survey of Occupational Injuries and Illnesses (1220-0045). However, BLS also collects data from OSHA Form 300A and Form 301 for many employers not subject to 1904.41. Specifically, BLS collects data from these forms for a sample of 240,000 establishments representing all private industry and state and local government, regardless of establishment size and regardless of level of workplace injury and illness risk. BLS collects occupational injury and illness data from employers under a pledge of confidentiality and is prohibited from releasing establishment-specific data to the general public or to OSHA under the Confidential Information Protection and Statistical Efficiency Act of 2002 (CIPSEA). Therefore, OSHA cannot obtain access to the information collected by the BLS at the establishment level, which the Agency needs for inspection targeting purposes. However, OSHA is not prohibited from providing BLS complete access to the information OSHA collects. OSHA has provided the BLS with access to all of the data collected under these provisions.

**5. If the collection of information impacts small businesses or other small entities, describe the methods used to reduce the burden.**

Small employers with fewer than 11 employees in all sectors of the economy and all employers in certain North American Industry Classification System (NAICS) codes are exempt from OSHA recordkeeping, unless pre-notified in writing that they must participate in the BLS Annual Survey of Occupational Injuries and Illnesses, OMB Control No. 1220-0045. See 29 CFR 1904.42. Of the 8 million establishments covered by OSHA and the State Plans, almost 7 million fall under these exemptions. These exemptions do not apply to the requirement that employers must report to OSHA a fatality, in-patient hospitalization, amputation, or loss of an eye as a result of a work-related incident. See 29 CFR 1904.39.

The requirement to provide Form 300A data under 1904.41 are limited to establishments with 20 or more employees in a subset of industries covered by the recordkeeping regulation. These size and industry criteria limit the burden on small employers.

**6. Describe the consequences to Federal program or policy activities if the collection is or is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing the burden.**

Efforts to fulfill the Congressional mandate to assure “safe and healthful working conditions for working men and women . . .” would be severely hampered if OSHA did not require employers to maintain the records required by 29 CFR part 1904, or if OSHA required that the records be kept on less than an annual basis. As explained more fully above in the answer to question 2, the records kept pursuant to Part 1904 are used for many purposes. The absence of these records, or any change in the system which would result in less frequent generation of these records, would adversely impact many programs. The government and private sector’s ability to define -- using hard data -- the nature and extent of existing occupational safety and health problems, and to evaluate occupational safety and health programs, would be severely hampered. Specifically, OSHA and BLS would be unable to conduct their respective annual collections of information generated from the Part 1904 records, and thus their respective programs that utilize those data -- such as OSHA’s programmed inspection program and BLS’s generation of national occupational injury and illness statistics -- would be irreparably harmed. OSHA compliance officers would not have the benefit of current Part 1904 records to help focus their on-site inspections more effectively. In short, OSHA’s ability to optimize the use of its scarce resources would be crippled. Furthermore, employers and employees would also lose a valuable resource -- an up to date “snapshot” of the safety and health record for the establishment -- if the Part 1904 records ceased to exist or were maintained on a less frequent basis.

**7. Explain any special circumstances that would cause an information collection to be conducted in a manner:**

- **Requiring respondents to report information to the agency more often than quarterly;**
- **Requiring respondents to prepare a written response to a collection of information in fewer than 30 days after receipt of it;**
- **Requiring respondents to submit more than an original and two copies of any document;**
- **Requiring respondents to retain records, other than health, medical, government contract, grant-in-aid, or tax records for more than three years;**
- **In connection with a statistical survey that is not designed to produce valid and reliable results that can be generalized to the universe of study;**

- **Requiring the use of statistical data classification that has not been reviewed and approved by OMB;**
- **That includes a pledge of confidentiality that is not supported by authority established in statute or regulation that is not supported by disclosure and data security policies that are consistent with the pledge, or which unnecessarily impedes sharing of data with other agencies for compatible confidential use; or**
- **Requiring respondents to submit proprietary trade secret, or other confidential information unless the agency can prove that it has instituted procedures to protect the information's confidentiality to the extent permitted by law.**

While there is no assurance of confidentiality covering information recorded on these forms and documents, the 1904 regulations include several provisions to protect the privacy of injured or ill employees. While in the possession of the employer, the records are subject to the requirements for access outlined in 29 CFR §§1904.35 and 1904.40. Records obtained by OSHA or other Federal government representatives would be disclosed by the government only in accordance with the Freedom of Information Act (FOIA). Exemption 4 of FOIA protects “trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential.” See 5 U.S.C. §552(b)(4). Exemption §6 of FOIA enables an agency to exempt certain information from disclosure which would be “a clearly unwarranted invasion of personal privacy.” See 5 U.S.C. §552(b)(6).

Furthermore, §§1904.29(b)(6) through (b)(9) provide for the confidentiality of employees who experience 6 different types of injuries and illnesses, including any illness case in which an employee voluntarily requests that his or her name not be entered on the log. Employers are required to code these injury and illness cases and maintain a separate confidential list of employee names associated with the codes. Also, §1904.29(b)(10) requires that, if an employer voluntarily discloses the forms to persons other than those granted access under §§1904.35 and 1904.40, the employer must remove or hide the employees’ names and other personally identifying information, except under the limited circumstances contained in §§1904.29(b)(10)(i) through (iii). The purpose of these provisions is to protect the employee’s privacy.

Employers under 29 CFR §1904.29(b)(3) are required to enter each recordable injury or illness on the OSHA 300 Log and 301 Incident Report within seven (7) calendar days of receiving information that a recordable injury or illness has occurred. Also, 1904.39(a) requires employers to report work-related fatalities incidents to OSHA within 8 hours of occurrence, and work-related in-patient hospitalization, amputation, or loss of eye incidents within 24 hours. OSHA’s investigations of severe injuries and illnesses are most effective when these incidents are reported immediately following their occurrence. Any delay in OSHA’s receipt of

these reports can seriously hinder the Agency's efforts to ensure the hazard no longer exists and to determine the cause of the incident, as the scene can change significantly in a short period of time.

29 CFR §1904.33 requires employers to retain and update the records for five years following the end of the calendar year that the records cover. The five-year retention requirement for OSHA injury and illness records enables employers, employees, and researchers to obtain sufficient data to discover patterns and trends of illnesses and injuries. OSHA has concluded that the five-year retention period adds little additional cost or administrative burden, since relatively few cases will surface more than three years after the injury and illness occurred, and the vast majority of cases are resolved in a short time and do not require updating.

This information collection is otherwise consistent with 5 CFR 1320.5.

**8. If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the agency in response to these comments. Specifically address comments received on cost and hour burden.**

**Describe efforts to consult with persons outside the agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported.**

**Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years, -- even if the collection-of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.**

As required by the Paperwork Reduction Act of 1995 (U.S.C. 3506(c)(2)(A)), OSHA published a notice in the Federal Register on December 29, 2021 (86 FR 74107) Docket No. OSHA-2010-0055 requesting public comment on its revision of the information collection requirements contained in OSHA's Recordkeeping and Reporting Occupational Injuries and Illnesses (29 CFR part 1904). This notice is part of a pre-clearance consultation program intended to provide those interested parties the opportunity to comment on OSHA's request for an extension by the Office of Management and Budget (OMB) of a previous approval of the information collection requirements found in the above regulation. OSHA did not receive any comments in response to this notice.

**9. Explain any decision to provide any payment or gift to respondents, other than remuneration of contractors or grantees.**

The Agency will not provide payments or gifts to the respondents.

**10. Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or agency policy.**

While there is no assurance of confidentiality covering information recorded on these forms and documents, the 1904 regulations include several provisions to protect the privacy of injured or ill employees. While in the possession of the employer, the records are subject to the requirements for access outlined in 29 CFR §§1904.35 and 1904.40. Records obtained by OSHA or other Federal government representatives would be disclosed by the government only in accordance with the Freedom of Information Act (FOIA). Exemption 4 of FOIA protects “trade secrets and commercial or financial information obtained from a person [that is] privileged or confidential.” See 5 U.S.C. §552(b)(4). Exemption 6 of FOIA enables an agency to exempt certain information from disclosure which would be “a clearly unwarranted invasion of personal privacy.” See 5 U.S.C. §552(b)(6).

As discussed in question 7 of this supporting statement, §§1904.29(b)(6) through (b)(9) provide for the confidentiality of employees who experience 6 different types of injuries and illnesses, including any illness case for which an employee voluntarily requests that his or her name not be entered on the log. Employers are required to code these injury and illness cases and maintain a separate confidential list of employee names associated with the codes. Also, § 1904.29(b)(10) requires that, if an employer voluntarily discloses the forms to persons other than those granted access under §§1904.35 and 1904.40, the employer must remove or hide the employees’ names and other personally identifying information, except under the limited circumstances contained in §§1904.29(b)(10)(i) through (iii).

Furthermore, the OSHA 300 and 301 Forms contain the following statement: “This form contains information relating to employee health and must be used in a manner that protects the confidentiality of employees to the extent possible while the information is being used for occupational safety and health purposes.”

**11. Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.**

Column F of the OSHA Form 300 requires the employer to “Describe injury or illness, parts of body affected, and object/substance that directly injured or made person ill.” Asking this question is necessary for OSHA to comply with its statutory mandate. [The Occupational Safety and Health Act of 1970 requires the

Secretary to “prescribe regulations requiring employers to maintain accurate records of, and to make periodic reports on, work-related deaths, injuries and illnesses . . .” 29 U.S.C. 657. The OSH Act further requires the Secretary to “develop and maintain an effective program of collection, compilation, and analysis of occupational safety and health statistics . . . The Secretary shall compile accurate statistics on work injuries and illnesses. . .” and the Secretary may “promote, encourage, or directly engage in programs of studies, information and communication concerning occupational safety and health statistics.” 29 U.S.C. 673.] This is not a situation where the government is prying into the private behavior of individuals; the government is seeking information from employers about occupational injuries and illnesses, in order “to assure so far as possible every working man and woman in the Nation safe and healthful working conditions. . .” 29 U.S.C. 651.

Further, OSHA believes that the regulation does provide protection against “inappropriate” disclosure. The entire log is not disclosable to anyone who wants to see it. The regulation requires disclosure only to: (1) people who, by statute, have official government responsibilities related to occupational safety and health or (2) people who either work or have worked at the establishment, or (3) people who represent people who work or have worked at the establishment. As discussed above, §§ 1904.29(b)(6) through (b)(9) provide for the confidentiality of employees who experience 6 different types of injuries and illnesses, including any illness case which an employee voluntarily requests that his or her name not be entered on the log. In addition, if the employer voluntarily discloses the log to persons other than those granted a right of access, the employer must remove or hide the employees’ names and other personally identifying information in most cases.

OSHA's historical practice of allowing employee access to information on the log -- including the description of the injuries and illnesses -- permits employees and their designated representatives to be informed about the occupational injuries and illnesses recorded in the workplace as well as the employer's recordkeeping practices.

**12. Provide estimates of the hour burden of the collection of information. The statement should:**

- **Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated. Unless directed to do so, agencies should not conduct special surveys to obtain information on which to base hour burden estimates. Consultation with a sample (fewer than 10) of potential respondents is desirable. If the hour burden on respondents is expected to vary widely because of differences in activity, size, or complexity, show the range of estimated hour burden, and explain the reasons for the variance. Generally, estimates should not include burden hours for customary and usual business practices.**

- **If this request for approval covers more than one form, provide separate hour burden estimates for each form.**
- **Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage-rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this should be included in Item 13.**

## **RESPONDENT BURDEN-HOUR AND COST BURDEN DETERMINATIONS**

These determinations reflect the adjustments associated with changes to 1) the number of establishments subject to part 1904; 2) the number of injuries and illnesses experienced by workers; and 3) the number of establishments that submitted their form 300A data to OSHA. The currently approved ICR is partially based on estimates and assumptions that were made regarding the number of submittals of Form 300A data to OSHA as required under 1904.41. OSHA now has five years of data collected under these provisions. The estimates are now based on data actually submitted. The currently approved ICR is based on 2016 County Business Patterns (CBP) and BLS SOII survey data. The estimates in this ICR are based on 2019 CBP data and 2020 BLS data. Lastly, a one-time survey of 55 establishments in the professional sports industry was conducted under the current approval and is not included in this ICR.

### **Wage Rates**

The fringe benefit for employer cost for employee compensation is 30.9% per civilian worker dated September 2021, released at 10:00 am (ET) Thursday, December 16, 2021. The average hourly rate (including a mean fringe benefit factor of 1.45 for civilian workers as reported in the June 2021 data from the BLS Employer Costs for Employee Compensation) for an Occupational Health and Safety Specialists (SOC code 19-5011) is estimated to be \$54.58. The average hourly rate for an industrial production manager (including a mean fringe benefit factor of 1.45 for civilian workers) is estimated to be \$82.39 (SOC code 11-3051). OSHA used the May 2020 BLS OES estimates for these calculations. For historical data, see <http://www.bls.gov/oes/tables.htm>.

**Table 1 – Estimated Wage Rates**

| <b>Occupations</b>  | <b>Standard Occupational Code (SOC)</b> | <b>Mean Hourly Rate</b><br><i>a</i> | <b>Fringe Benefit (Fringe benefit factor)</b><br><i>b</i> | <b>Loaded Wage Rate<sup>1</sup></b><br><i>c = a/1-b</i> |
|---------------------|-----------------------------------------|-------------------------------------|-----------------------------------------------------------|---------------------------------------------------------|
| Occupational Health | 19-5011                                 | \$37.55                             | 0.309                                                     | \$54.58                                                 |

| <b>Occupations</b>             | <b>Standard Occupational Code (SOC)</b> | <b>Mean Hourly Rate<br/><i>a</i></b> | <b>Fringe Benefit (Fringe benefit factor)<br/><i>b</i></b> | <b>Loaded Wage Rate<br/><i>c</i> = <math>a/(1-b)</math></b> |
|--------------------------------|-----------------------------------------|--------------------------------------|------------------------------------------------------------|-------------------------------------------------------------|
| and Safety Specialists         |                                         |                                      |                                                            |                                                             |
| Industrial Production Managers | 11-3051                                 | \$56.82                              | 0.309                                                      | \$82.39                                                     |

The burden hours and cost determinations for recordkeeping and reporting are as follows:

The recordkeeping burden varies greatly from establishment to establishment, depending upon industry, size, expertise, the use of equivalent forms and computer resources. For example, an establishment in a high-risk industry such as meatpacking may have 200 recordable cases in one year requiring 200-line entries on the OSHA Form 300 log, while a telephone communications company establishment of the same size may average approximately 20 recordable cases.

Estimates of the total burden of injury and illness recordkeeping are dependent on the number of establishments required to maintain the injury and illness records and the number of injury and illness cases they record each year. Both of these vary from year-to-year. The case data used to develop the burden estimates in the table which follows are based on injury and illness statistics from the 2020 BLS Survey of Occupational Injuries and Illnesses (SOII). The establishment data are based on 2019 County Business Pattern (CBP) statistics from the US Census Bureau. OSHA used data from the 2012 Census of Agriculture for estimates of farm establishments. Case estimates for the Form 300A reporting requirements are based on 2019 Injury Tracking Application data.

OSHA used the following assumptions to develop the burden estimates:

(A) Time required to complete an entry (other than a needlestick) on the OSHA Form 300 (including research in the regulation) ranges from 5 minutes to 30 minutes and averages 14 minutes (14/60 hour);

(B) Time required to record a needlestick on the OSHA Form 300 averages 5 minutes (5/60 hour);

(C) Time required completing an entry on the OSHA Form 301 (including research) averages 22 minutes (22/60 hour);

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1 The loaded wage rate is calculated as follows; Occupational health and safety Specialist, SOC 19-5011,  $c = 37.55/(1 - 0.309) = \$54.58$  and the Industrial Production Manager, SOC 11-3051,  $c = 56.82/(1 - 0.309) = \$82.39$ .

(D) Based on information gathered from 800 recordkeeping audit inspections, OSHA estimates approximately 40 percent of the cases will be recorded on the OSHA Form 301. Many employers will use an equivalent form such as a State workers' compensation form, insurance form, etc.;

(E) A line entry on a confidential list for a "privacy concern case," as defined under § 1904.29(b)(7), will average 3 minutes (3/60 hour); all recorded needlesticks are privacy concern cases and OSHA estimates 1.5% of other recordable cases are privacy concern cases. This estimate is derived using BLS counts of cases involving Part of Body Code 34 (excluding 341), Nature code 62 or Event code 1116 as a percent of total cases involving days away from work.

(F) Employers are required by 29 CFR 1904.32 to complete, certify and post a summary of occupational injuries and illnesses for each establishment. This applies to all the establishments covered by the regulation, regardless of whether the establishment experienced a recordable case or not. OSHA estimates this will require 58 minutes to complete: Complete OSHA Form 300A - 20 minutes; Company official certification - 30 minutes; Posting summary - 8 minutes (58/60 hour);

(G) Employers are required by 29 CFR 1904.35 to make records available to employees, former employees and employee representatives upon request. OSHA assumes that employers will require 5 minutes (5/60 hour) to pull the relevant form and make it available to the person requesting access. OSHA estimates there will be a request to access the OSHA Form 300 in 7% of the covered establishments. Again, this estimate is based on information obtained during approximately 800 recordkeeping audit inspections. OSHA estimates 10% of injured workers will request access to their OSHA Form 301;

(H) The provisions in 1904.35 also require employers to inform employees of their right to report injuries and illnesses without retaliation and prohibit employers from having policies in place that discourage employees from reporting injuries and illnesses. This requirement can be met by posting a recently revised version of the OSHA Poster. Outreach materials associated with the rule inform employers that they can meet this obligation by posting the revised poster. The public disclosure of information originally supplied by the Federal government to the recipient for the purpose of disclosure to the public is not included within the definition of collection of information (5 CFR 1320.3(c)(2)).

(I) Employers who wish to maintain records in a manner different than required by Part 1904, may submit petitions for recordkeeping exemptions containing specific information as outlined in §1904.38. In the 30-year history of this rule, OSHA has received one petition for a variance to the recordkeeping requirements. That petition was filed and granted in 1972. OSHA does not expect to receive any petition for variances under the time period of this ICR and therefore, has placed 0 burden for this section.

(J) 1904.41 requires establishments with 20 or more employees in certain industries to electronically send their Form 300A data to OSHA annually. OSHA estimates 95% of covered establishments have ready access to the internet. The remaining 5% will require 1 hour providing the data from off-site facilities (e.g., library).

(K) The collection of injury and illness data conducted under 1904.41(a)(3) will be addressed under separate Information Collection Requests (ICR) prior to implementation. OSHA does not anticipate any collections to be conducted under this authority during the next three years and has included zero burden hours in item 12 for this provision.

(L) Employers must report to OSHA when an employee experiences a work-related incident resulting in the death of the worker, in-patient hospitalization, an amputation or the loss of an eye. Based on the number of incidents reported during CY 2016, OSHA estimates that approximately 20,000 reportable incidents will occur each year. Each report will take about 30 minutes (30/60 hour) to complete, including the time to gather, review and reported the information required (employee's names, establishment name, location of incident, time of the incident, number of fatalities or hospitalized employees, contact person, phone number, and a brief description of the incident);

(M) OSHA estimates the turnover of personnel is such that about 20 percent of recordkeeping personnel must learn the basics of the recordkeeping system every year and will require a 1 hour orientation to learn the basics of the recordkeeping system.

(N) Employers are required to include their Employer Identification Number (EIN) with their annual submission of Form 300A data. OSHA estimates an average of 5 minutes (5/60/hour) for an employee to find out his or her employer's EIN and to enter it on the submission form. Note that because employers would only have to provide OSHA their EIN once, this would not be a recurring cost. However, it would be an additional one-time cost for employers who are newly reporting data because, for example, the establishment is new, or the employer newly reached the reporting threshold for employment size.

| <b>Table 2 - Estimated Burden Hours</b>                                                                       |                         |                            |                           |                        |                            |                           |
|---------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------|---------------------------|------------------------|----------------------------|---------------------------|
|                                                                                                               | <b>Current Approval</b> |                            |                           | <b>Requested hours</b> |                            |                           |
| <b>Actions entailing paperwork burden</b>                                                                     | <b>Number of cases</b>  | <b>Unit hours per case</b> | <b>Total burden hours</b> | <b>Number of cases</b> | <b>Unit hours per case</b> | <b>Total burden hours</b> |
| <b>1904.4 - Complete OSHA 301 (Includes research of instructions and case details to complete the form) *</b> | <b>872,423</b>          | <b>22/60</b>               | <b>320,179</b>            | <b>820,070</b>         | <b>22/60</b>               | <b>300,692.33</b>         |

| <b>Table 2 - Estimated Burden Hours</b>                                                                                                  |                         |                            |                           |                        |                            |                           |
|------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------|---------------------------|------------------------|----------------------------|---------------------------|
|                                                                                                                                          | <b>Current Approval</b> |                            |                           | <b>Requested hours</b> |                            |                           |
| <b>Actions entailing paperwork burden</b>                                                                                                | <b>Number of cases</b>  | <b>Unit hours per case</b> | <b>Total burden hours</b> | <b>Number of cases</b> | <b>Unit hours per case</b> | <b>Total burden hours</b> |
| 1904.4 - Line entry on OSHA Form 300 other than needlesticks (Includes research of instructions and case details to complete the form)** | 1,843,412               | 14/60                      | 429,515                   | 1,712,530              | 14/60                      | 399,590.33                |
| 1904.8 - Line entry on OSHA Form 300 for needlesticks (Includes research of instructions and case details to complete the form)***       | 337,645                 | 5/60                       | 28,025                    | 337,645                | 5/60                       | 28,137.08                 |
| 1904.29(b)(6) - Entry on privacy concern case confidential list                                                                          | 365,296                 | 3/60                       | 18,265                    | 363,333                | 3/60                       | 18,166.65                 |
| 1904.32 - Complete, certify and post OSHA Form 300A (Includes research of instructions)                                                  | 1,002,912               | 58/60                      | 969,816                   | 1,028,988              | 58/60                      | 994,688.4                 |
| 1904.35 - Employee Access to the OSHA Form 300                                                                                           | 70,204                  | 5/60                       | 5,827                     | 72,029                 | 5/60                       | 6,002.42                  |
| 1904.35 - Employee Access to the OSHA Form 301                                                                                           | 218,106                 | 5/60                       | 18,103                    | 205,018                | 5/60                       | 17,084.83                 |
| 1904.39 - Report fatalities/hospitalizations/amputations/loss of eye                                                                     | 20,000                  | 30/60                      | 10,000                    | 20,000                 | 30/60                      | 10,000                    |
| 1904.41(a)(1) and (2) - create an account and review navigation                                                                          | 47,012                  | 10/60                      | 7,851                     | 23,865                 | 10/60                      | 3,977.5                   |
| 1904.41(a)(1) and (2) - provide Employer Identification Number (EIN)                                                                     | 463,192                 | 5/60                       | 38,445                    | 23,865                 | 5/60                       | 1,988.75                  |
| 1904.41(a)(1) and (2) - electronic submission of OSHA Form 300A data                                                                     | 442,909                 | 10/60                      | 73,966                    | 285,000                | 10/60                      | 47,500                    |
| 1904.41(a)(2) - electronic submission of OSHA Form 300A data by                                                                          | 20,283                  | 1                          | 20,283                    | 15,000                 | 1                          | 15,000                    |

| <b>Table 2 - Estimated Burden Hours</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                        |                         |                            |                           |                        |                            |                           |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------|----------------------------|---------------------------|------------------------|----------------------------|---------------------------|
|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | <b>Current Approval</b> |                            |                           | <b>Requested hours</b> |                            |                           |
| <b>Actions entailing paperwork burden</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | <b>Number of cases</b>  | <b>Unit hours per case</b> | <b>Total burden hours</b> | <b>Number of cases</b> | <b>Unit hours per case</b> | <b>Total burden hours</b> |
| <b>establishments with no internet connection</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |                         |                            |                           |                        |                            |                           |
| <b>1904.41(a)(3) - Electronic submission of Part 1904 records upon notification</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                            | 0                       | 0                          | 0                         | 0                      | 0                          | 0                         |
| <b>Learning Basics of the Recordkeeping System - turnover of personnel</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                     | 200,582                 | 1                          | 200,582                   | 205,798                | 1                          | 205,798                   |
| <b>1904.38 - Request for variance</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | 0                       | 0                          | 0                         | 0                      | 0                          | 0                         |
| <b>Professional Sports Team One-Time Survey</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | 55                      |                            | 5                         | 0                      | 0                          | 0                         |
| <b>Total Burden Hours</b>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |                         |                            | 2,140,861                 |                        |                            | 2,048,626                 |
| <p><b>*Estimate based on 40% of cases recorded on OSHA Form 300.</b></p> <p><b>**Estimates of recordable cases from the 2020 Annual Survey of Occupational Injuries and Illnesses.</b></p> <p><b>***Guang X. Chen, and E. Lynn Jenkins 2007. Potential Work-Related Bloodborne Pathogen Exposures by Industry and Occupation in the United States Part 1: An Emergency Department Based Surveillance Study AM. J. Ind. Med. 50:183-190 Published 2007 Wiley-Less, Inc.</b></p> <p><b>NOTE: Totals may not sum exactly due to rounding.</b></p> |                         |                            |                           |                        |                            |                           |

OSHA uses the following job categories to calculate costs of the collection:

- 1) An Occupational Health and Safety Specialist is expected to perform the day-to-day recordkeeping duties (i.e., all items included in the Estimated Burden Hours table except for the 501,456 hours necessary for the Certification of the Annual Summary required by 1904.32);
- 2) An Industrial Production Manager is expected to complete the Certification of Annual Summary.

**Table 3 – Summary of the Estimated Burden Hour and Cost**

| <b>Occupation</b>                          | <b>Burden Hours (hours)</b> | <b>Wage Rate</b> | <b>Total</b> |
|--------------------------------------------|-----------------------------|------------------|--------------|
| Occupational Health and Safety Specialists | 1,534,067                   | \$54.58          | \$83,729,377 |

|                               |           |         |               |
|-------------------------------|-----------|---------|---------------|
| Industrial Production Manager | 514,494   | \$82.39 | \$42,389,161  |
| Total                         | 2,048,626 |         | \$126,118,538 |

**13. Provide an estimate of the total annual cost burden to respondents or recordkeepers resulting from the collection of information. (Do not include the cost of any hour burden shown in Items 12 and 14.)**

All of the costs to the regulated community are included in item 12.

**14. Provide estimates of annualized cost to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information. Agencies also may aggregate cost estimates from Items 12, 13, and 14 in a single table.**

OSHA estimates a total cost to the government of approximately \$638,364. This estimated cost is comprised of 1) the personnel salaries of 2 FTE dedicated to interpreting the requirements of Part 1904 (\$341,000); 2) the administration of the data collection under 1904.41 at a unit cost estimate of 28 cents per transaction for an estimated 347,730 transactions (\$97,364); and 3) annual help desk costs of \$200,000.

**15. Explain the reasons for any program changes or adjustments.**

This ICR reflects both program changes and adjustments in burden hours. OSHA estimates a total decrease of 92,235. burden hours from the currently approved estimate of 2,140,861 hours to 2,048,626 hours.

There is a **program change decrease** of 5 hours due to the completion of the one-time survey of professional sports employers.

There is 1) an **adjustment decrease** of the number of establishment subject to maintaining the injury and illness records; 2) an **adjustment decrease** of the number of injury and illness cases recorded on the OSHA forms; 3) an **adjustment decrease** in the number of new accounts created on the ITA; and 4) an **adjustment decrease** in the number of Form 300A data submissions made through the ITA. These account for a net decrease of 92,235 hours.

**16. For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection information, completion of report, publication dates, and other actions.**

Published estimates of occupational injuries and illnesses are based on the results of the BLS Annual Survey of Occupational Injuries and Illnesses (OMB Control No. 1220-0045).

OSHA does not anticipate publishing any complex analyses of the data.

**17. If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be appropriate.**

OSHA seeks approval to not display the expiration date for the OMB approval of this information collection on OSHA Forms 300, 301 and 300A. The expiration date, however, will be published in the Federal Register. The forms contained in this ICR do not change from year to year nor do they expire. Inclusion of an expiration date on the forms could mislead the regulated community to believe they are not required to comply with the 29 CFR part 1904 requirements beyond that date. For example, if an employer has an old copy of the forms package, which is still relevant, but contains an expiration date that has already passed, the respondent may mistakenly believe they are no longer required to maintain these forms.

The expiration date is published on the data collection web portals for both 1904.39 and 1904.41.

**18. Explain each exception to the certification statement.**

OSHA is not seeking such an exception.

**B. COLLECTIONS OF INFORMATION EMPLOYING STATISTICAL METHODS**

This Supporting Statement does not contain any collection of information requirements that employ statistical methods.