

# U. S. Department of Education Office of Career, Technical, and Adult Education

# The Carl D. Perkins Career and Technical Education Act of 2006 (Perkins V)

# GUIDE FOR THE SUBMISSION OF STATE PLANS

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#### **INTRODUCTION**

On July 31, 2018, The Strengthening Career and Technical Education for the 21st Century Act (Public Law 115-224) (Perkins V, the Act, or statute), was signed into law and reauthorized and amended the Carl D. Perkins Career and Technical Education Act of 2006. Section 122(a)(1) of Perkins V requires each eligible agency desiring assistance for any fiscal year under the Act to prepare and submit a State plan to the Secretary. Each eligible agency must develop its State plan in consultation with key stakeholders, the Governor, and other State agencies with authority for career and technical education (CTE), consistent with section 122(c) of Perkins V. Pursuant to section 122(b)(1) of the Act, the eligible agency may choose to submit its State plan as part of a Workforce Innovation and Opportunity Act (WIOA) Combined State Plan.

The U. S. Department of Education's (Department) Office of Career, Technical, and Adult Education (OCTAE) developed and first issued this guide on April 26, 2019, to assist each eligible agency in preparing and submitting a new State Plan under Perkins V and applicable Federal regulations. Now, in January 2025 this guide has been updated to provide data specifications for numerators and denominators for the section 113 core indicators of performance to ensure that the indicators are measured in a manner that is consistent with the statute and to improve the consistency of the data collected from States to facilitate the "aggregate analysis" of performance and State-by-State comparisons that sections 113(b)(3)(C)(iv) and 114(a)(1) of the law, respectively, directs the Department to produce.

Accordingly, the Department has amended this Guide to include data specifications for the numerators and denominators of the core indicators of performance (provided in Table 2) that each eligible agency may use to inform their State determined performance levels (SDPLs) for the period covered by its State plan. The data specifications are effective in Fiscal Year (FY) 2026, which begins July 1, 2026.

# **General State Plan Submission Instructions**

For years subsequent to those covered by the initial State plan submission, <sup>1</sup> each eligible agency must submit one of the following pursuant to section 122(a)(5) of Perkins V to ensure it remains in compliance with the Act.

- Option 1: A subsequent (new) 4-year State Plan including SDPLs for the fiscal years covered by the plan and a budget for the upcoming fiscal year; or
- Option 2: Annual revisions to establish SDPLs, any other State plan revisions that the eligible agency determines to be necessary pursuant to section 122(a)(1) of Perkins V, and a budget for the upcoming fiscal year.

Under either option, an eligible agency may continue to, or newly, submit its Perkins State plan as part of a WIOA Combined State Plan.

To fulfill the obligation for the initial State plans, each eligible agency submitted a 1-Year Transition Plan for FY 2019, covering July 1, 2019 – June 30, 2020, and then a 4-Year State Plan in FY 2020 covering FY 2020-23 (July 1, 2020 – June 30, 2024).

# Option 1: New 4-Year State Plan

Pursuant to section 122(a)(5) of Perkins V and applicable Federal regulations, an eligible agency may submit, after the first 4-year State plan is submitted, subsequent 4-year plans not later than 120 days prior to the end of the 4-year period covered by the preceding State plan. The eligible agency must include the same contents and use the same procedures it used to develop and submit its initial 4-year State Plan as described in section 122(c) of Perkins V and must include a response to Item II.A. – State Plan Development and Consultation of this Guide in its State plan submission.

Each eligible agency must establish SDPLs that meet the requirements of section 113(b)(3)(A)(i)(III) of Perkins V. In addition, the eligible agency must engage in a public comment process pursuant to section 113(b)(3)(B) of Perkins V and include a response to Item D.5. of this Guide in its State plan submission.

Pursuant to section 113(b)(3)(A)(iii), if unanticipated circumstances arise in a State or changes occur related to the improvement in data or measurement approaches, the eligible agency may, at the end of any program year, revise its SDPLs for the remaining years covered by its State plan using the public comment process pursuant to section 113(b)(3)(B) of Perkins V and must include a response to Item D.5 of this Guide in its State plan submission. If the SDPL revisions require a change to its baseline data, the eligible agency should update its response to Item D.4 of this Guide in its State plan submission, as applicable.

For any fiscal years following the submission of the new 4-year State plan, an eligible agency must use the amendment procedure described in 34 CFR 76.140 when "there is a significant and relevant change in the information or the assurances in the plan." Unless otherwise prescribed by the Secretary, the amendment procedure requires the eligible agency to use the same procedures it used to prepare and submit its initial State plan as described in section 122(c) of Perkins V and must include a response to Item II.A. – State Plan Development and Consultation of this Guide in its State plan submission.

## Option 2: Annual Revisions to An Approved 4-Year State Plan

Each eligible agency must establish SDPLs that meet the requirements of section 113(b)(3)(A)(i)(III) of Perkins V. In addition, the eligible agency must engage in a public comment process pursuant to section 113(b)(3)(B) of Perkins V and include a response to Item D.5. of this Guide in its State plan submission. Pursuant to section 113(b)(3)(A)(iii), if unanticipated circumstances arise in a State or changes occur related to the improvement in data or measurement approaches, the eligible agency may, at the end of any program year, revise its SDPLs for the remaining years covered by its State plan using the public comment process pursuant to section 113(b)(3)(B) of Perkins V and must include a response to Item D.5 of this Guide in its State plan submission. If the SDPL revisions require a change to its baseline data, the eligible agency should update its response to Item D.4 of this Guide in its State plan submission, as applicable.

An eligible agency must use the amendment procedure described in 34 CFR 76.140. when "there is a significant and relevant change in the information or the assurances in the plan. Unless otherwise prescribed by the Secretary, the amendment procedure requires the eligible agency to use the same procedures it used to prepare and submit its initial State plan as described in section 122(c) of

Perkins V and include a response to Item II.A. – State Plan Development and Consultation of this Guide in its State plan submission.

#### **Contents of Perkins V State Plans**

State Plans under Perkins V must include the following items—

- A cover page, including a letter providing joint signature authority from the Governor:
- Narrative descriptions required by statute;
- Assurances, certifications, and other forms required by statute and/or applicable Federal regulations, including the Education Department General Administrative Regulations (EDGAR) at 34 CFR Part 76;
- A budget for the upcoming year;
- State determined levels of performance (SDPLs).

#### State Plan Submissions for FY 2026

For FY 2026, which begins July 1, 2026, each eligible agency has the same options (1 and 2) as noted above in making their State plan submission. We believe that some States may need to revise certain SDPLs in response to the updated data specifications. When an eligible agency makes any changes to its data specifications and chooses to make corresponding changes to its SDPLs, it must provide baseline data for that core indicator and include a response to Item D.4 of this Guide in its State plan submission, as applicable. As noted in Option 2, above, the State must follow the public comment process under section 113(b)(3)(B) of Perkins V and must include an updated response to Item D.5 of this Guide in its State plan when it revises its SDPLs for the remaining years covered by its State plan. Because the Perkins statute provides specific procedures for SDPL revisions, the regulatory provisions related to other State Plan amendments in 34 CFR 76.140 are not required.

## State Plans and Revisions in Subsequent Years

In subsequent years, each eligible agency has the same options (1 and 2) as noted above in making its State plan submission.

#### **Timeline for the Issuance of Perkins V Grant Awards**

Table 1 below provides the annual timeline for the Department to issue Perkins V grant awards. Congress appropriates funding for Perkins V State grants in two installments, one of which becomes available on July 1 and a second which becomes available on October 1. In each fiscal year, the Secretary will issue program memoranda with a reminder about the State plan submission requirements and due dates, and estimated State allocations, respectively, for the upcoming fiscal year.

Table 1: Timeline for the Issuance of Perkins V Grant Awards

| Timeline                                     | Actions  |  |  |
|--|--|--|--|
| January                                      | Department issues memorandum with procedural reminders for the submission of Perkins V State Plans for the upcoming program year.                    |  |  |
| No later than March <sup>2</sup>             | Department issues memorandum with estimated allocations for State's Perkins V grant awards for the upcoming program year.                            |  |  |
| Spring Date To Be<br>Determined <sup>3</sup> | Eligible agencies submit their Perkins V State Plans, revisions, if any, and budgets for the upcoming program year to the Perkins State Plan Portal. |  |  |
| June 30                                      | Department approves Perkins V State Plans and/or revisions that meet the requirements of the statute for the upcoming program year.                  |  |  |
| July 1                                       | Department issues 1st installment of State's Perkins V grant awards for the upcoming program year.   |  |  |
| October 1                                    | Department issues supplemental and final installment of State's Perkins V grant awards for the upcoming program year.                                |  |  |

#### **Submission Instructions**

Each eligible agency must submit its Perkins V State Plan and any annual revisions, including budgets and SDPLs, no later than close of business (5:00 pm EST) of each submission year on the date established by the Secretary in accordance with *34 CFR* 76.703(b)(3)(ii). Submissions must be entered into the Perkins V State Plan Portal at <a href="https://perkins.ed.gov">https://perkins.ed.gov</a>. As in years past, the Department will provide eligible agencies with online training and technical assistance before and throughout the Perkins V State Plan submission process.

#### **Approval of State Plans**

Section 122(f)(1) of Perkins V requires the Secretary, not less than 120 days after the eligible agency submits its State Plan to approve such State Plan, or a revision of the plan under section 122(a)(2), including a revision of State determined performance levels in accordance with section 113(b)(3)(A)(ii) and (iii), if the Secretary determines that the State has submitted State determined performance levels that meet the criteria established in section 113(b)(3), including the minimum requirements described in section 113(b)(3)(A)(i)(III). The Secretary shall not

<sup>2</sup> The Department will publish estimated State allocations no later than March provided that an appropriation for the next fiscal year has been enacted into law by this time.

<sup>&</sup>lt;sup>3</sup> Where practicable, the Department may determine that it does not need the full 120 day period allowable under section 122(f) of Perkins V to review State plans and/or revisions and issue Perkins grant awards on schedule on July 1.

<sup>&</sup>lt;sup>4</sup> Hard copy submissions will not be accepted as the Department met the requirement of 2 CFR 76.720(b)(3) for the transition from hard copy to electronic submission of State plans and revisions during the implementation of the *Carl D. Perkins Career and Technical Education Act of 2006*.

disapprove such plan unless the Secretary determines it does not meet the requirements of the Act pursuant to section 122(f)(1) and takes the disapproval actions described in section 122(f)(2) of the Act.

#### **Publication Information**

The Department plans to publish Perkins V State Plans, including SDPLs on its website or through other means available.

## **For Further Information**

For questions regarding the Perkins V State Plan submission requirements or process, an eligible agency should contact its Perkins Regional Coordinator (PRC) under the "Contact Us" tab on the Perkins Collaborative Resource Network (PCRN) at <a href="https://cte.ed.gov">https://cte.ed.gov</a>.

# U. S. Department of Education Office of Career, Technical, and Adult Education

# Carl D. Perkins Career and Technical Education Act of 2006 (Perkins V) State Plan

## I. COVER PAGE

| 1.              | thorized Representative (i.e., Chair, President) of the Eligible Agency identified in Item B. Name:  |
|-----------------|--|
| 2.              | Official Position Title:   |
| 3.              | Agency:  |
| 4.              | Address:   |
| 5.              | Telephone: () 5. Email:  |
| Sta<br>adı      | ate Agency delegated responsibilities by Eligible Agency under section 131(b) for the ministration, operation, or supervision of activities of the State plan ( <i>if applicable</i> ):  |
| Sta<br>adı<br>— | ate Agency delegated responsibilities by Eligible Agency under section 131(b) for the ministration, operation, or supervision of activities of the State plan ( <i>if applicable</i> ):  dividual serving as the State Director for Career and Technical Education:                                  |
| Sta<br>adı      | ate Agency delegated responsibilities by Eligible Agency under section 131(b) for the ministration, operation, or supervision of activities of the State plan ( <i>if applicable</i> ):  dividual serving as the State Director for Career and Technical Education:  Name:                           |
| Sta add         | ate Agency delegated responsibilities by Eligible Agency under section 131(b) for the ministration, operation, or supervision of activities of the State plan ( <i>if applicable</i> ):  dividual serving as the State Director for Career and Technical Education:  Name:  Official Position Title: |
| Sta add         | ate Agency delegated responsibilities by Eligible Agency under section 131(b) for the ministration, operation, or supervision of activities of the State plan ( <i>if applicable</i> ):  dividual serving as the State Director for Career and Technical Education:  Name:                           |

<sup>&</sup>lt;sup>4</sup> Under section 3(18) the term eligible agency means a State board designated or created consistent with State law as the sole State agency responsible for the administration of career and technical education in the State or for the supervision of the administration of career and technical education in the State.

| G.   | Submitting Perkins V State Plan as Part of a Workforce Innovation and Opportunities Act (WIOA) Combined State Plan – <i>Subsequent Years</i> ( <i>Check one</i> ):                            |                                    |  |
|--|---|------------------------------------|--|
|  | ☐ Yes (If yes, please indicate year of submission:<br>☐ No  | )                                  |  |
| H.   | Governor's Joint Signatory Authority of the Perkins V State Plan ( <i>Fill in text box and then one box below</i> ):  |                                    |  |
| I.   | Date that the State Plan was sent to the Governor for signature:  |                                    |  |
|  | ☐ The Governor has provided a letter that he or she is join submission to the Department.   | tly signing the State plan for     |  |
|  | ☐ The Governor has not provided a letter that he or she is submission to the Department.  | jointly signing the State plan for |  |
| J. By signing this document, the eligible entity, through its authorized representative, agree |   |                                    |  |
|  | <ol> <li>To the assurances, certifications, and other forms enclosed.</li> <li>That, to the best of my knowledge and belief, all information plan submission are true and correct.</li> </ol> |                                    |  |
|  | Authorized Representative Identified in Item C Above (Printed Name)   | Telephone:                         |  |
|  | Signature of Authorized Representative  | Date:                              |  |

#### II. NARRATIVE DESCRIPTIONS

#### A. Plan Development and Consultation

- 1. Describe how the State plan was developed in consultation with the stakeholders and in accordance with the procedures in section 122(c)(2) of Perkins V. See Text Box 1 for the statutory requirements for State plan consultation under section 122(c)(1) of Perkins V.
- 2. Consistent with section 122(e)(1) of Perkins V, each eligible agency must develop the portion of the State plan relating to the amount and uses of any funds proposed to be reserved for adult career and technical education, postsecondary career and technical education, and secondary career and technical education after consultation with the State agencies identified in section 122(e)(1)(A)-(C) of the Act. If a State agency, other than the eligible agency, finds a portion of the final State plan objectionable, the eligible agency must provide a copy of such objections and a description of its response in the final plan submitted to the Secretary. (Section 122(e)(2) of Perkins V)
- 3. Describe opportunities for the public to comment in person and in writing on the State plan. (Section 122(d)(14) of Perkins V)

## B. Program Administration and Implementation

### 1. State's Vision for Education and Workforce Development

- a. Provide a summary of State-supported workforce development activities (including education and training) in the State, including the degree to which the State's career and technical education programs and programs of study are aligned with and address the education and skill needs of the employers in the State identified by the State workforce development board. (Section 122(d)(1) of Perkins V)
- b. Describe the State's strategic vision and set of goals for preparing an educated and skilled workforce (including special populations) and for meeting the skilled workforce needs of employers, including in existing and emerging in-demand industry sectors and occupations as identified by the State, and how the State's career and technical education programs will help to meet these goals. (Section 122(d)(2) of Perkins V)

#### **Text Box 1: Statutory Requirements for State Plan Consultation**

- (c) PLAN DEVELOPMENT.—
- (1) IN GENERAL.—The eligible agency shall—
  - (A) develop the State plan in consultation with
    - representatives of secondary and postsecondary career and technical education programs, including eligible recipients and representatives of 2-year minority serving institutions and historically Black colleges and universities and tribally controlled colleges or universities in States where such institutions are in existence, adult career and technical education providers, and charter school representatives in States where such schools are in existence, which shall include teachers, faculty, school leaders, specialized instructional support personnel, career and academic guidance counselors, and paraprofessionals;
    - (ii) interested community representatives, including parents, students, and community organizations;
    - (iii) representatives of the State workforce development board established under section 101 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3111) (referred to in this section as the "State board");
    - (iv) members and representatives of special populations;
    - (v) representatives of business and industry (including representatives of small business), which shall include representatives of industry and sector partnerships in the State, as appropriate, and representatives of labor organizations in the State;
    - (vi) representatives of agencies serving out-of-school youth, homeless children and youth, and at-risk youth, including the State Coordinator for Education of Homeless Children and Youths established or designated under section 722(d)(3) of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11432(d)(3));
    - (vii) representatives of Indian Tribes and Tribal organizations located in, or providing services in, the State; and
    - (viii) individuals with disabilities; and
  - (B) consult the Governor of the State, and the heads of other State agencies with authority for career and technical education programs that are not the eligible agency, with respect to the development of the State plan.
- (2) ACTIVITIES AND PROCEDURES.—The eligible agency shall develop effective activities and procedures, including access to information needed to use such procedures, to allow the individuals and entities described in paragraph (1) to participate in State and local decisions that relate to development of the State plan.
- (3) CONSULTATION WITH THE GOVERNOR.—The consultation described in paragraph (1)(B) shall include meetings of officials from the eligible agency and the Governor's office and shall occur—
  - (A) during the development of such plan; and
  - (B) prior to submission of the plan to the Secretary.

(Section 122(c)(1) of Perkins V)

- c. Describe the State's strategy for any joint planning, alignment, coordination, and leveraging of funds between the State's career and technical education programs and programs of study with the State's workforce development system, to achieve the strategic vision and goals described in section 122(d)(2) of Perkins V, including the core programs defined in section 3 of the Workforce Innovation and Opportunity Act (WIOA) (29 U.S.C. 3102) and the elements related to system alignment under section 102(b)(2)(B) of WIOA (29 U.S.C. 3112(b)(2)(B)); and for programs carried out under this title with other Federal programs, which may include programs funded under the Elementary and Secondary Education Act of 1965 and the Higher Education Act of 1965. (Section 122(d)(3) of Perkins V)
- d. Describe how the eligible agency will use State leadership funds made available under section 112(a)(2) of Perkins V for each of the purposes under section 124(a) of the Act. See Text Box 2 for the required uses of State leadership funds under section 124(a) of Perkins V. (Section 122(d)(7) of Perkins V)

# **Text Box 2: Required Uses of State Leadership Funds**

## (a) GENERAL AUTHORITY.—

From amounts reserved under section 112(a)(2), each eligible agency shall—

- (1) conduct State leadership activities to improve career and technical education, which shall include support for—
  - (A) preparation for non-traditional fields in current and emerging professions, programs for special populations, and other activities that expose students, including special populations, to high-skill, high-wage, and in-demand occupations;
  - (B) individuals in State institutions, such as State correctional institutions, including juvenile justice facilities, and educational institutions that serve individuals with disabilities;
  - (C) recruiting, preparing, or retaining career and technical education teachers, faculty, specialized instructional support personnel, or paraprofessionals, such as preservice, professional development, or leadership development programs; and
  - (D) technical assistance for eligible recipients; and
- (2) report on the effectiveness of such use of funds in achieving the goals described in section 122(d)(2) and the State determined levels of performance described in section 113(b)(3)(A), and reducing disparities or performance gaps as described in section 113(b)(3)(C)(ii)(II).

# 2. Implementing Career and Technical Education Programs and Programs of Study

- a. Describe the career and technical education programs or programs of study that will be supported, developed, or improved at the State level, including descriptions of the programs of study to be developed at the State level and made available for adoption by eligible recipients. (Section 122(d)(4)(A) of Perkins V)
- b. Describe the process and criteria to be used for approving locally developed programs of study or career pathways (see Text Box 3 for the statutory definition of career pathways under section 3(8) of Perkins V), including how such programs address State workforce development and education needs and the criteria to assess the extent to which the local application under section 132<sup>5</sup> will
  - i. promote continuous improvement in academic achievement and technical skill attainment:
  - ii. expand access to career and technical education for special populations; and
  - iii. support the inclusion of employability skills in programs of study and career pathways. (Section 122(d)(4)(B) of Perkins V)

#### c. Describe how the eligible agency will—

- i. make information on approved programs of study and career pathways (including career exploration, work-based learning opportunities, early college high schools, and dual or concurrent enrollment program opportunities) and guidance and advisement resources, available to students (and parents, as appropriate), representatives of secondary and postsecondary education, and special populations, and to the extent practicable, provide that information and those resources in a language students, parents, and educators can understand;
- ii. facilitate collaboration among eligible recipients in the development and coordination of career and technical education programs and programs of study and career pathways that include multiple entry and exit points;
- iii. use State, regional, or local labor market data to determine alignment of eligible recipients' programs of study to the needs of the State, regional, or local economy, including in-demand industry sectors and occupations identified by the State board, and to align career and technical education with such needs, as appropriate;
- iv. ensure equal access to approved career and technical education programs of study and activities assisted under this Act for special populations;
- v. coordinate with the State board to support the local development of career pathways and articulate processes by which career pathways will be developed by local workforce development boards, as appropriate;

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Based on the context of this requirement, the reference to the local application process under "section 132" appears to be a typographical error in the Perkins V statute. The correct section for local applications in Perkins V is section 134. Therefore, eligible agencies should respond to this item using the provisions in section 134 of Perkins V.

- vi. support effective and meaningful collaboration between secondary schools, postsecondary institutions, and employers to provide students with experience in, and understanding of, all aspects of an industry, which may include workbased learning such as internships, mentorships, simulated work environments, and other hands-on or inquiry-based learning activities; and
- vii. improve outcomes and reduce performance gaps for CTE concentrators, including those who are members of special populations. (Section 122(d)(4)(C) of Perkins V)

# **Text Box 3: Statutory Definition of Career Pathways**

The term 'career pathways' has the meaning given the term in section 3 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3102)

- i. Career pathway.--The term ``career pathway" means a combination of rigorous and high-quality education, training, and other services that—
  - (A) aligns with the skill needs of industries in the economy of the State or regional economy involved;
  - (B) prepares an individual to be successful in any of a full range of secondary or postsecondary education options, including apprenticeships registered under the Act of August 16, 1937 (commonly known as the ``National Apprenticeship Act"; 50 Stat. 664, chapter 663; 29 U.S.C. 50 et seq.) (referred to individually in this Act as an ``apprenticeship", except in section 171);
  - (C) includes counseling to support an individual in achieving the individual's education and career goals;
  - (D) includes, as appropriate, education offered concurrently with and in the same context as workforce preparation activities and training for a specific occupation or occupational cluster;
  - (E) organizes education, training, and other services to meet the particular needs of an individual in a manner that accelerates the educational and career advancement of the individual to the extent practicable;
  - (F) enables an individual to attain a secondary school diploma or its recognized equivalent, and at least 1 recognized postsecondary credential; and
  - (G) helps an individual enter or advance within a specific occupation or occupational cluster. (Section 3(7) of the Workforce Innovation and Opportunity Act [Public Law 113- 128])

(Section 3(8) of Perkins V)

- d. Describe how the eligible agency, if it opts to do so, will include the opportunity for secondary school students to participate in dual or concurrent enrollment programs, early college high school, or competency-based education. (Section 122(d)(4)(D) of Perkins V)
- e. Describe how the eligible agency will involve parents, academic and career and technical education teachers, administrators, faculty, career guidance and academic counselors, local business (including small businesses), labor organizations, and representatives of Indian Tribes and Tribal organizations, as appropriate, in the planning, development, implementation, and evaluation of its career and technical education programs. (Section 122(d)(12) of Perkins V)
- f. Include a copy of the local application template that the eligible agency will require eligible recipients to submit pursuant to section 134(b) of Perkins V. See Text Box 4 for the statutory requirements for local applications under section 134(b) of Perkins V.
- g. Include a copy of the comprehensive local needs assessment template and/or guidelines that the eligible agency will require of eligible recipients to meet the requirements of section 134(c) of Perkins V. See Text Box 5 for the requirements for the comprehensive local needs assessment under section 134(c) of Perkins V.
- h. Provide the eligible agency's definition for "size, scope, and quality" that will be used to make funds available to eligible recipients pursuant to section 135(b) of Perkins V.

# **Text Box 4: Statutory Requirements for Local Applications**

- (b) CONTENTS.—The eligible agency shall determine the requirements for local applications, except that each local application shall contain—
- (1) a description of the results of the comprehensive needs assessment conducted under subsection (c):
- (2) information on the career and technical education course offerings and activities that the eligible recipient will provide with funds under this part, which shall include not less than 1 program of study approved by a State under section 124(b)(2), including—
  - (A) how the results of the comprehensive needs assessment described in subsection (c) informed the selection of the specific career and technical education programs and activities selected to be funded:
  - (B) a description of any new programs of study the eligible recipient will develop and submit to the State for approval; and
  - (C) how students, including students who are members of special populations, will learn about their school's career and technical education course offerings and whether each course is part of a career and technical education program of study;
- (3) a description of how the eligible recipient, in collaboration with local workforce development boards and other local workforce agencies, one-stop delivery systems described in section 121(e)(2) of the Workforce Innovation and Opportunity Act (29 U.S.C. 3151(e)(2)), and other partners, will provide—
  - (A) career exploration and career development coursework, activities, or services;
  - (B) career information on employment opportunities that incorporate the most up-to-date information on high-skill, high-wage, or in-demand industry sectors or occupations, as determined by the comprehensive needs assessment described in subsection (c); and
  - (C) an organized system of career guidance and academic counseling to students before enrolling and while participating in a career and technical education program;
- (4) a description of how the eligible recipient will improve the academic and technical skills of students participating in career and technical education programs by strengthening the academic and career and technical education components of such programs through the integration of coherent and rigorous content aligned with challenging academic standards and relevant career and technical education programs to ensure learning in the subjects that constitute a well-rounded education (as defined in section 8101 of the Elementary and Secondary Education Act of 1965);

(Continued on the following page)

# **Text Box 4: Statutory Requirements of Local Applications (continued))**

- (5) a description of how the eligible recipient will—
  - (A) provide activities to prepare special populations for high-skill, high-wage, or indemand industry sectors or occupations that will lead to self-sufficiency;
  - (B) prepare CTE participants for non-traditional fields;
  - (C) provide equal access for special populations to career and technical education courses, programs, and programs of study; and
  - D) ensure that members of special populations will not be discriminated against on the basis of their status as members of special populations;
- (6) a description of the work-based learning opportunities that the eligible recipient will provide to students participating in career and technical education programs and how the recipient will work with representatives from employers to develop or expand work-based learning opportunities for career and technical education students, as applicable;
- (7) a description of how the eligible recipient will provide students participating in career and technical education programs with the opportunity to gain postsecondary credit while still attending high school, such as through dual or concurrent enrollment programs or early college high school, as practicable;
- (8) a description of how the eligible recipient will coordinate with the eligible agency and institutions of higher education to support the recruitment, preparation, retention, and training, including professional development, of teachers, faculty, administrators, and specialized instructional support personnel and paraprofessionals who meet applicable State certification and licensure requirements (including any requirements met through alternative routes to certification), including individuals from groups underrepresented in the teaching profession; and
- (9) a description of how the eligible recipient will address disparities or gaps in performance as described in section 113(b)(3)(C)(ii)(II) in each of the plan years, and if no meaningful progress has been achieved prior to the third program year, a description of the additional actions such recipient will take to eliminate those disparities or gaps.

(Section 134(b) of Perkins V)

#### **Text Box 5: Statutory Requirements for Comprehensive Local Needs Assessment**

- (c) COMPREHENSIVE NEEDS ASSESSMENT.—
- (1) IN GENERAL.—To be eligible to receive financial assistance under this part, an eligible recipient shall—
  - (A) conduct a comprehensive local needs assessment related to career and technical education and include the results of the needs assessment in the local application submitted under subsection (a); and
  - (B) not less than once every 2 years, update such comprehensive local needs assessment.
- (2) REQUIREMENTS.—The comprehensive local needs assessment described in paragraph (1) shall include each of the following:
  - (A) An evaluation of the performance of the students served by the eligible recipient with respect to State determined and local levels of performance established pursuant to section 113, including an evaluation of performance for special populations and each subgroup described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965.
  - (B) A description of how career and technical education programs offered by the eligible recipient are—(i) sufficient in size, scope, and quality to meet the needs of all students served by the eligible recipient; and (ii)(I) aligned to State, regional, Tribal, or local in-demand industry sectors or occupations identified by the State workforce development board described in section 101 of the Workforce Innovation and Opportunity Act (29 U.S.C. 3111) (referred to in this section as the "State board") or local workforce development board, including career pathways, where appropriate; or (II) designed to meet local education or economic needs not identified by State boards or local workforce development boards.
  - (C) An evaluation of progress toward the implementation of career and technical education programs and programs of study.
  - (D) A description of how the eligible recipient will improve recruitment, retention, and training of career and technical education teachers, faculty, specialized instructional support personnel, paraprofessionals, and career guidance and academic counselors, including individuals in groups underrepresented in such professions.
  - (E) A description of progress toward implementation of equal access to high-quality career and technical education courses and programs of study for all students, including— (i) strategies to overcome barriers that result in lower rates of access to, or performance gaps in, the courses and programs for special populations; (ii) providing programs that are designed to enable special populations to meet the local levels of performance; and (iii) providing activities to prepare special populations for high-skill, high-wage, or in-demand industry sectors or occupations in competitive, integrated settings that will lead to self-sufficiency.

(Section 134(c) of Perkins V)

# 3. Meeting the Needs of Special Populations

- a. Describe the eligible agency's program strategies for special populations, including a description of how individuals who are members of special populations
  - i. will be provided with equal access to activities assisted under this Act;
  - ii. will not be discriminated against on the basis of status as a member of a special population;
  - iii. will be provided with programs designed to enable individuals who are members of special populations to meet or exceed State determined levels of performance described in section 113, and prepare special populations for further learning and for high-skill, high-wage, or in-demand industry sectors or occupations;
  - iv. will be provided with appropriate accommodations; and
  - v. will be provided instruction and work-based learning opportunities in integrated settings that support competitive, integrated employment. (Section 122(d)(9) of Perkins V)

# 4. Preparing Teachers and Faculty

a. Describe how the eligible agency will support the recruitment and preparation of teachers, including special education teachers, faculty, school principals, administrators, specialized instructional support personnel, and paraprofessionals to provide career and technical education instruction, leadership, and support, including professional development that provides the knowledge and skills needed to work with and improve instruction for special populations. (Section 122(d)(6) of Perkins V)

## C. Fiscal Responsibility

- 1. Describe the criteria and process for how the eligible agency will approve eligible recipients for funds under this Act, including how
  - a. each eligible recipient will promote academic achievement;
  - b. each eligible recipient will promote skill attainment, including skill attainment that leads to a recognized postsecondary credential; and
  - c. each eligible recipient will ensure the local needs assessment under section 134(c) takes into consideration local economic and education needs, including, where appropriate, in-demand industry sectors and occupations. (Section 122(d)(5) of Perkins V)
- 2. Describe how funds received by the eligible agency through the allotment made under section 111 of the Act will be distributed—
  - a. among career and technical education at the secondary level, or career and technical
    education at the postsecondary and adult level, or both, including how such distribution
    will most effectively provide students with the skills needed to succeed in the
    workplace; and
  - b. among any consortia that may be formed among secondary schools and eligible institutions, and how funds will be distributed among the members of the consortia, including the rationale for such distribution and how it will most effectively provide

students with the skills needed to succeed in the workplace. (Section 122(d)(8) of Perkins V)

- 3. Describe how the eligible agency will adjust the data used to make the allocations to reflect any changes in school district boundaries that may have occurred since the population and/or enrollment data was collected, and include local education agencies without geographical boundaries, such as charter schools and secondary schools funded by the Bureau of Indian Education. (Section 131(a)(3) of Perkins V)
- 4. If the eligible agency will submit an application for a waiver to the secondary allocation formula described in section 131(a)
  - a. include a proposal for such an alternative formula; and
  - b. describe how the waiver demonstrates that a proposed alternative formula more effectively targets funds on the basis of poverty (as defined by the Office of Management and Budget and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2)) to local educational agencies with the State. (Section 131(b) of Perkins V)

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

- 5. If the eligible agency will submit an application for a waiver to the postsecondary allocation formula described in section 132(a)
  - a. include a proposal for such an alternative formula; and
  - b. describe how the formula does not result in a distribution of funds to the eligible institutions or consortia with the State that have the highest numbers of economically disadvantaged individuals and that an alternative formula will result in such a distribution. (Section 132(b) of Perkins V)

Also indicate if this is a waiver request for which you received approval under the prior Carl D. Perkins Career and Technical Education Act of 2006 (Perkins IV).

- 6. If the eligible agency will award reserve funds to eligible recipients under section 112(c) of Perkins V, describe the process and criteria for awarding those funds.
- 7. Provide the State's fiscal effort per student, or aggregate expenditures for the State, that will establish the baseline for the Secretary's annual determination on whether the State has maintained its fiscal effort, and indicate whether the baseline is a continuing level or new level. If the baseline is new, please provide the fiscal effort per student, or aggregate expenditures for the State, for the preceding fiscal year. (Section 211(b)(1)(D) of Perkins V)

# D. Accountability for Results

- 1. Identify and include at least one (1) of the following indicators of career and technical education program quality
  - a. the percentage of CTE concentrators (see Text Box 6 for the statutory definition of a CTE concentrator under section 3(12) of Perkins V) graduating from high school having attained a recognized postsecondary credential;
  - b. the percentage of CTE concentrators graduating high school having attained postsecondary credits in relevant career and technical education programs and programs of study earned through a dual or concurrent enrollment program or another credit transfer agreement; and/or
  - c. the percentage of CTE concentrators graduating from high school having participated in work-based learning. (Section 113(b)(2)(A)(iv)(I) of Perkins V)
- 2. Optional: Include any other measure(s) of student success in career and technical education that are statewide, valid, and reliable, and comparable across the State. (Section 113(b)(2)(A)(iv)(II) of Perkins V) Please note that inclusion of "other" program quality measure(s) is optional for States.
- 3. Provide on the form in Section V.B, for each year covered by the 4-year State plan or annual revisions State determined performance levels for each of the secondary and postsecondary core indicators, with the levels of performance being the same for all CTE concentrators in the State. (Section 113(b)(3)(A)(i)(I) of Perkins V)

# **Text Box 6: Statutory Definition of CTE Concentrator**

The term 'CTE concentrator' means—

- (A) at the secondary school level, a student served by an eligible recipient who has completed at least 2 courses\* in a single career and technical education program or program of study; and
- (B) at the postsecondary level, a student enrolled in an eligible recipient who has—
  - (i) earned at least 12 credits within a career and technical education program or program of study; or
  - (ii) completed such a program if the program encompasses fewer than 12 credits or the equivalent in total. (Section 3(12) of Perkins V)
- \* This means that once a student completes 2 courses in a single CTE program or program of study, they are counted as a CTE concentrator.

(Section 3(12) of Perkins V)

The numerator and denominator data specifications in Table 2 must be used to collect and report performance data for FY 2026 (which begins July 1, 2026) and for subsequent years. An eligible agency must report on FY 2026 performance in its Consolidated Annual Report (OMB #1830-0569) for that year, which may be submitted as early as October 1, 2027, but no later than January 31, 2028. If the eligible agency wishes to modify its SDPLs, it should do so by FY 2026. States continue to be solely responsible for establishing SDPLs at the numerical values of their choice and must engage in the public comment process detailed in section 113(b)(3)(B) of Perkins to the extent that its SDPLs are adjusted.

- 4. Describe the procedure the eligible agency adopted for determining State determined levels of performance described in section 113 of Perkins V, which at a minimum shall include
  - i. a description of the process for public comment under section 113(b)(3)(B) of Perkins V as part of the development of the State determined levels of performance (see Text Box 7 for the statutory requirements for consultation on State determined performance levels under section 113(b)(3)(B) of Perkins V);
  - ii. an explanation for the State determined levels of performance that meet each of the statutory requirements in Text Box 8; and
  - iii. a description of how the State determined levels of performance set by the eligible agency align with the levels, goals and objectives other Federal and State laws, (Section 122(d)(10) of Perkins V).

As part of the procedures for determining State determined levels of performance, describe the process that will be used to establish a baseline for those levels.

5. Provide a written response to the comments regarding State determined performance levels received during the public comment period pursuant to section 113(b)(3)(B) of Perkins V. (Section 113(b)(3)(B)(iii) of Perkins V).

As part of the written response, include a description of any the changes made to the State determined performance levels as a result of stakeholder feedback.

6. Describe how the eligible agency will address disparities or gaps in performance as described in section 113(b)(3)(C)(ii)(II) of Perkins V in each of the plan years, and if no meaningful progress has been achieved prior to the third program year, a description of the additional actions the eligible agency will take to eliminate these disparities or gaps. (Section 122(d)(11) of Perkins V)

As part of the written response, and pursuant to the Report of the Senate Committee on Health, Education, Labor, and Pensions (HELP),<sup>6</sup> the eligible agency could indicate that it will analyze data on the core indicators of performance to identify gaps in performance, explain how they will use evidence-based research to develop a plan to provide support and technical assistance to eligible recipients to address and close such gaps, and how they will implement this plan.

# Text Box 7: Statutory Requirements for Consultation on State Determined Performance Levels

#### (B) PUBLIC COMMENT.—

- (i) IN GENERAL.—Each eligible agency shall develop the levels of performance under subparagraph (A) in consultation with the stakeholders identified in section 122(c)(1)(A).
- (ii) WRITTEN COMMENTS.—Not less than 60 days prior to submission of the State plan, the eligible agency shall provide such stakeholders with the opportunity to provide written comments to the eligible agency, which shall be included in the State plan, regarding how the levels of performance described under subparagraph (A)—
  - (I) meet the requirements of the law;
  - (II) support the improvement of performance of all CTE concentrators, including subgroups of students, as described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965, and special populations, as described in section 3(48); and
  - (III) support the needs of the local education and business community.
- (iii) ELIGIBLE AGENCY RESPONSE.—Each eligible agency shall provide, in the State plan, a written response to the comments provided by stakeholders under clause (ii).

(Section 113(b)(3)(B) of Perkins V)

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See page 11 of Committee Report at <a href="https://www.congress.gov/115/crpt/srpt434/CRPT-115srpt434.pdf">https://www.congress.gov/115/crpt/srpt434/CRPT-115srpt434.pdf</a>.

# III. ASSURANCES, CERTIFICATIONS, AND OTHER FORMS

# A. <u>Statutory Assurances</u>

| ☐ The eligible agency assures | that |
|-------------------------------|------|
|-------------------------------|------|

- 1. It made the State plan publicly available for public comment for a period of not less than 30 days, by electronic means and in an easily accessible format, prior to submission to the Secretary for approval and such public comments were taken into account in the development of this State plan. (Section 122(a)(4) of Perkins V)
- 2. It will use the funds to promote preparation for high-skill, high-wage, or in-demand industry sectors or occupations and non-traditional fields, as identified by the State. (Section 122(d)(13)(C) of Perkins V)
- 3. It will provide local educational agencies, area career and technical education schools, and eligible institutions in the State with technical assistance, including technical assistance on how to close gaps in student participation and performance in career and technical education programs. (Section 122(d)(13)(E) of Perkins V)
- 4. It will comply with the requirements of this Act and the provisions of the State plan, including the provision of a financial audit of funds received under this Act, which may be included as part of an audit of other Federal or State programs. (Section 122(d)(13)(A) of Perkins V)
- 5. None of the funds expended under this Act will be used to acquire equipment (including computer software) in any instance in which such acquisition results in a direct financial benefit to any organization representing the interests of the acquiring entity or the employees of the acquiring entity, or any affiliate of such an organization. (Section 122(d)(13)(B) of Perkins V)
- 6. It will use the funds provided under this Act to implement career and technical education programs and programs of study for individuals in State correctional institutions, including juvenile justice facilities. (Section 122 (d)(13)(D) of Perkins V)

| B. EDGAR | <b>Certifications</b> |
|----------|-----------------------|
|----------|-----------------------|

|  | By submitting a Perkins V State Plan, consistent with 34 CFR 76.104, the eligible agency |
|--|--|
|  | certifies that:  |

- 1. It is eligible to submit the Perkins State plan.
- 2. It has authority under State law to perform the functions of the State under the Perkins program(s).
- 3. It legally may carry out each provision of the plan.
- 4. All provisions of the plan are consistent with State law.
- 5. A State officer, specified by title in Item C on the Cover Page, has authority under State law to receive, hold, and disburse Federal funds made available under the plan.
- 6. The State officer who submits the plan, specified by title in Item C on the Cover Page, has authority to submit the plan.
- 7. The entity has adopted or otherwise formally approved the plan.
- 8. The plan is the basis for State operation and administration of the Perkins program.

| By submitting a Perkins V State Plan revision, consistent with 34 CFR 76.141, the eligible   |
|--|
| agency certifies that it used the same procedures as those it used to prepare and submit its |
| original State plan.   |

# C. Other Forms

|  | The eligible agency | certifies and assures | compliance with th | ne following enclosed | forms: |
|--|---------------------|-----------------------|--------------------|-----------------------|--------|
|--|---------------------|-----------------------|--------------------|-----------------------|--------|

- 1. Assurances for Non-Construction Programs (SF 424B) Form (OMB Control No. 0348-0040) <a href="https://www2.ed.gov/fund/grant/apply/appforms/sf424b.pdf">https://www2.ed.gov/fund/grant/apply/appforms/sf424b.pdf</a>
- 2. Disclosure of Lobbying Activities (SF LLL) (OMB Control No. 4040-0013): https://apply07.grants.gov/apply/forms/sample/SFLLL\_1\_2-V1.2.pdf
- 3. Certification Regarding Lobbying (ED 80-0013 Form): https://www2.ed.gov/fund/grant/apply/appforms/ed80-013.pdf
- 4. General Education Provisions Act (GEPA) 427 Form (OMB Control No. 1894-0005): <a href="https://www2.ed.gov/fund/grant/apply/appforms/gepa427.pdf">https://www2.ed.gov/fund/grant/apply/appforms/gepa427.pdf</a>

#### IV. BUDGET

#### A. Instructions

- 1. On the form in Item IV.B below, provide a budget for the upcoming fiscal year. As you prepare your budget, refer to the statutory descriptions and assurances in Section II.C and Section III.A, respectively, of this guide.
- 2. In completing the budget form, provide--
  - Line 1: The total amount of funds allocated to the eligible agency under section 112(a) of Perkins V. This amount should correspond to the amount of funds noted in the Department's program memorandum with estimated State allocations for the fiscal year.
  - Line 2: The amount of funds made available to carry out the administration of the State plan under section 112(a)(3). *The percent should equal not more than 5 percent of the funds allocated to the eligible agency as noted on Line 1, or \$250,000, whichever is greater.*
  - Line 3: The amount of funds made available to carry out State leadership activities under section 112(a)(2) of Perkins V. The percent should equal not more than 10 percent of the funds allocated to the eligible agency as noted on Line 1.
  - Line 4: The percent and amount of funds made available to serve individuals in State institutions, such as: (a) correctional institutions; (b) juvenile justice facilities; (c) educational institutions that serve individuals with disabilities; or (d) other State institutions pursuant to section 112(a)(2)(A) of Perkins V. The percent of funds should equal not more than 2 percent of the funds allocated to the eligible agency as noted on Line 1.
  - Line 5: The amount of funds to be made available for services that prepare individuals for non-traditional fields pursuant to section 112(a)(2)(B) of Perkins V. *The amount of funds should be not less than \$60,000 and not more than \$150,000*.
  - Line 6: The amount of funds to be made available for the recruitment of special populations to enroll in career and technical education programs pursuant to section 112 (a)(2)(C) of Perkins V. The percent of funds shall not be less than the lesser of an amount equal to 0.1 percent of the funds made available by the eligible agency for State leadership activities as noted on Line 3, or \$50,000.
  - Line 7: The percent and amount of funds to be made available to eligible recipients [local education agencies (secondary recipients) and institutions of higher education (postsecondary recipients)] pursuant to section 112(a)(1) of Perkins V. The percent of funds should be not less than 85 percent of the funds allocated to the eligible agency as noted on Line 1.

- Line 8: The percent and amount, if any, of funds to be reserved and made available to eligible recipients under section 112(c) of Perkins V. *The percent of funds should be not more than 15 percent of the 85 percent of funds noted on Line 7.*
- Line 9: The percent and amount, if any, of funds to be reserved and made available to secondary recipients under section 112(c) of Perkins V.
- Line 10: The percentage and amount, if any, of funds to be reserved and made available to postsecondary recipients under section 112(c) of Perkins V.
- Line 11: The percent and amount of funds to be made available to eligible recipients under section 112(a)(1) of Perkins V. *The percent and amount of funds should represent the funds remaining after subtracting any reserve as noted on Line 8.*
- Line 12: The percent and amount of funds to be distributed to secondary recipients under the allocation formula described in section 131 of Perkins V.
- Line 13: The percent and amount of funds to be distributed to postsecondary recipients under the allocation formula described in section 132 of Perkins V.
- Line 14: The amount of funds to be made available for the State administration match requirement under section 112(b) of Perkins. *The amount of funds shall be provided from non-Federal sources and on a dollar-for-dollar basis.*

# B: Budget Form

| State Name:       |  |
|-------------------|--|
| Fiscal Year (FY): |  |

| Line<br>Number | Budget Item   | Percent of<br>Funds | Amount of<br>Funds |
|----------------|---|---------------------|--------------------|
| 1              | <b>Total Perkins V Allocation</b>   | Not applicable      | \$                 |
| 2              | State Administration  | %                   | \$                 |
| 3              | State Leadership  | %                   | \$                 |
| 4              | Individuals in State Institutions   | %                   | \$                 |
| 4a             | <ul> <li>Correctional Institutions</li> </ul>                                 | Not required        | \$                 |
| 4b             | <ul> <li>Juvenile Justice Facilities</li> </ul>                               | Not required        | \$                 |
| 4c             | <ul> <li>Institutions that Serve<br/>Individuals with Disabilities</li> </ul> | Not required        | \$                 |
| 4d             | - Other State Institutions  | Not required        | \$                 |
| 5              | Non-traditional Training and<br>Employment                                    | Not applicable      | \$                 |
| 6              | Special Populations Recruitment   | %                   | \$                 |
| 7              | Local Formula Distribution  | %                   | \$                 |
| 8              | Reserve   | %                   | \$                 |
| 9              | - Secondary Recipients  | %                   | \$                 |
| 10             | <ul> <li>Postsecondary Recipients</li> </ul>                                  | %                   | \$                 |
| 11             | Allocation to Eligible Recipients   | %                   | \$                 |
| 12             | - Secondary Recipients  | %                   | \$                 |
| 13             | <ul> <li>Postsecondary Recipients</li> </ul>                                  | %                   | \$                 |
| 14             | State Match (from non-federal funds)  | Not applicable      | \$                 |

#### V. STATE DETERMINED PERFORMANCE LEVELS

#### A. Instructions

- 1. On the form in Item V.B, provide State determined performance levels (SDPLs), for the period covered by your State plan submission, for each of the secondary and postsecondary core indicators of performance for all CTE concentrators in the State described in section 113(b) of Perkins V (see Table 3). In preparing your SDPLs, refer to your narrative descriptions in Section II.D of this guide.
- 2. You must begin collecting and reporting performance data consistent with the numerator and denominator specifications in Table 3 of this Guide no later than FY 2026, beginning July 1, 2026.
- 3. In completing the SDPL form, provide—
  - Column 2: Baseline level A State must establish a baseline level of performance in instances where an indicator of performance is added or is significantly modified in a subsequent program year. In any reporting year, if a State's measurement approach changes, the State must determine if it is necessary to establish a new baseline level of performance.
  - Columns 3-10: State determined levels of performance for each year covered by the State plan, beginning for FY 2020, expressed in percentage or numeric form and that meets the requirements of section 113(b)(3)(A)(III) of Perkins V. See Text Box 8 for the statutory requirements for setting State determined levels of performance under section 113(b)(3)(A)(i)(III) of Perkins V.
- 4. Revise, as applicable, the State determined levels of performance for any of the core indicators of performance
  - i. Prior to the third program year covered by the State plan for the subsequent program years covered by the State plan pursuant to section 113(b)(3)(A)(ii); and/or
  - ii. Should unanticipated circumstances arise in a State or changes occur related to improvement in data or measurement approaches pursuant to section 113(b)(3)(A)(iii).

# **Text Box 8: Statutory Requirements for State Determined Performance Levels**

- (III) REQUIREMENTS.—Such State determined levels of performance shall, at a minimum—
  - (aa) be expressed in a percentage or numerical form, so as to be objective, quantifiable, and measurable;
  - (bb) require the State to continually make meaningful progress toward improving the performance of all career and technical education students, including the subgroups of students described in section 1111(h)(1)(C)(ii) of the Elementary and Secondary Education Act of 1965, and special populations, as described in section 3(48); and
  - (cc) have been subject to the public comment process described in subparagraph (B), and the eligible agency has provided a written response;
  - (dd) when being adjusted pursuant to clause (ii), take into account how the levels of performance involved compare with the State levels of performance established for other States, considering factors including the characteristics of actual (as opposed to anticipated) CTE concentrators when the CTE concentrators entered the program, and the services or instruction to be provided;
  - (ee) when being adjusted pursuant to clause (ii), be higher than the average actual performance of the 2 most recently completed program years, except in the case of unanticipated circumstances that require revisions in accordance with clause (iii); and
  - (ff) take into account the extent to which the State determined levels of performance advance the eligible agency's goals, as set forth in the State plan.

(Section 113(b)(3)(A)(i)(III) of Perkins V)

**Table 2: Section 113(b) Core Indicators of Performance** 

| Numerator and Denominator Data Specifications   | <b>Indicator Codes</b> | Indicator Names           |
|---|------------------------|---------------------------|
| Secondary Level   |                        |                           |
| The percentage of CTE concentrators who graduate high school, as measured by the four-year adjusted cohort graduation rate (defined in section 8101 of the Elementary and Secondary Education Act of 1965 (ESEA)).  | 1S1                    | Four-Year Graduation Rate |
| Numerator: The number of CTE concentrators at the secondary level who, in the reporting year, are included in the numerator for the four-year adjusted cohort graduation rate under ESEA section 8101(25)(A)(ii) (i.e., the number of CTE concentrators at the secondary level who graduated from high school with a regular high school diploma as defined in ESEA section 8101(43)* before, during, or at the conclusion of the fourth year of high school or the summer session immediately following the fourth year of high school; plus, if the State has adopted an alternate diploma, all CTE concentrators with the most significant cognitive disabilities who were assessed using an alternate assessment aligned with alternate academic achievement standards under ESEA section 1111(b)(2)(D) of and who graduated with a State-defined alternate diploma that is standards-based, aligned with the State requirements for the regular high school diploma, and obtained within the time period for which the State ensures the availability of a free appropriate public education under section 612(a)(1) of the Individuals with Disabilities Education Act, in the reporting year). |                        |                           |
| Denominator: The number of CTE concentrators at the secondary level who, in the reporting year, were included in the four-year adjusted cohort (i.e., denominator) used to calculate the four-year adjusted cohort graduation rate (as defined in ESEA section 8101(25)).   |                        |                           |
| * Consistent with sections 8101(25)(A)(ii)(II) and 8101(43)(B) of the ESEA, this shall not include any student awarded a recognized equivalent of a diploma, such as a general equivalency diploma, certificate of completion, certificate of   |                        |                           |

| attendance, or similar lesser credential.   |     |                          |
|---|-----|--------------------------|
| (At the State's discretion) The percentage of CTE concentrators who graduate high school, as measured by the extended-year adjusted cohort graduation rate (defined in ESEA section 8101).  Numerator: The number of CTE concentrators at the secondary level who, in the reporting year, are included in the numerator for the extended-year adjusted  | 1S2 | Extended Graduation Rate |
| cohort graduation rate under ESEA section 8101(23)(A)(ii) (i.e., the number of CTE concentrators at the secondary level who earned a regular high school diploma as defined in ESEA section 8101(43)* before, during, or at the conclusion of one or more additional years beyond the fourth year of high school, or a summer session immediately following the additional year of high school; and all students with the most significant cognitive disabilities in the cohort   |     |                          |
| assessed using the alternate assessment aligned to alternate academic achievement standards under ESEA section 1111(b)(2)(D) and awarded a State-defined alternate diploma that is standards-based; aligned with the State requirements for the regular high school diploma; and obtained within the time period for which the State ensures the availability of a free appropriate public education under section 612(a)(1) of the Individuals with Disabilities Education Act (20 U.S.C. 1412(a)(1) in the reporting year). |     |                          |
| Denominator: The number of CTE concentrators at the secondary level who, in the reporting year, were included in the adjusted cohort (i.e., denominator) used to calculate the extended-year adjusted cohort graduation rate (as defined in ESEA section 8101(23)).   |     |                          |
| * Consistent with sections 8101(23)(A)(ii)(II) and 8101(43)(B) of the ESEA, this shall not include any student awarded a recognized equivalent of a diploma, such as a general equivalency diploma, certificate of completion, certificate of attendance, or similar lesser credential.   |     |                          |

| CTE concentrator proficiency in the challenging State academic standards         | 2S1 | Academic Proficiency in  |
|--|-----|--------------------------|
| adopted by the State under ESEA section 1111(b)(1), as measured by the           | 201 | Reading/Language Arts    |
| academic assessments in reading/language arts as described in ESEA section       |     | Treating/ Language Tites |
| 1111(b)(2).  |     |                          |
|  |     |                          |
| Numerator: The number of CTE concentrators who achieved proficiency or           |     |                          |
| higher in reading/language arts in the challenging State academic standards      |     |                          |
| adopted by the State under section 1111(b)(1) of ESEA, as measured by the        |     |                          |
| academic assessments described in ESEA section 1111(b)(2), whose scores were     |     |                          |
| reported by the State in accordance with ESEA section 1111(h)(1)(C)(ii) for any  |     |                          |
| year in which the student was enrolled in the school, and who, in the reporting  |     |                          |
| year, exited secondary education.  |     |                          |
|  |     |                          |
| Denominator: The number of CTE concentrators who took the ESEA                   |     |                          |
| assessment in reading/language arts whose scores were reported by the State in   |     |                          |
| accordance with ESEA section 1111(h)(1)(C)(ii) for any year in which the         |     |                          |
| student was enrolled in school, and who, in the reporting year, exited secondary |     |                          |
| education.   |     |                          |
| CTE concentrator proficiency in the challenging State academic standards         | 2S2 | Academic Proficiency in  |
| adopted by the State under ESEA section 1111(b)(1), as measured by the           | 252 | Mathematics              |
| academic assessments in mathematics as described in ESEA section 1111(b)(2).     |     | Wathematics              |
| dedecime assessments in matternation as described in ESE/1 section 1111(0)(2).   |     |                          |
| Numerator: The number of CTE concentrators who achieved proficiency or           |     |                          |
| higher in mathematics in the challenging State academic standards adopted by     |     |                          |
| the State under ESEA section 1111(b)(1), as measured by the academic             |     |                          |
| assessments described in section 1111(b)(2) of such Act, whose scores were       |     |                          |
| reported by the State in accordance with ESEA section 1111(h)(1)(C)(ii) for any  |     |                          |
| year in which the student was enrolled in the school, and who, in the reporting  |     |                          |
| year, exited secondary education.  |     |                          |
| Denominator: The number of CTE concentrators who took the ESEA                   |     |                          |
| assessment in mathematics whose scores were reported by the State in             |     |                          |
| accordance with ESEA section 1111(h)(1)(C)(ii) for any year in which the         |     |                          |
| student was enrolled in school, and who, in the reporting year, exited secondary |     |                          |

| education.   |     |                                 |
|--|-----|---------------------------------|
| CTE concentrator proficiency in the challenging State academic standards adopted by the State under ESEA section 1111(b)(1), as measured by the academic assessments in science as described in ESEA section 1111(b)(2).   | 2S3 | Academic Proficiency in Science |
| Numerator: The number of CTE concentrators who achieved proficiency or higher in science in the challenging State academic standards adopted by the State under ESEA section 1111(b)(1), as measured by the academic assessments described in ESEA section 1111(b)(2), whose scores were reported by the State in accordance with ESEA section 1111(h)(1)(C)(ii) for any year in which the student was enrolled in the school, and who, in the reporting year, exited secondary education.   |     |                                 |
| Denominator: The number of CTE concentrators who took the ESEA assessment in science whose scores were reported by the State in accordance with ESEA section 1111(h)(1)(C)(ii) for any year in which the student was enrolled in school, and who, in the reporting year, exited secondary education.   |     |                                 |
| The percentage of CTE concentrators who, in the second quarter after exiting from secondary education, are in postsecondary education or advanced training, military service or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C. 12511 et seq.), are volunteers as described in section 5(a) of the Peace Corps Act (22 U.S.C. 2504(a)), or are employed.   | 3S1 | Post-Program Placement          |
| Numerator: The number of students who were CTE concentrators and exited secondary education during the preceding reporting year, who, in the second quarter after exiting from secondary education, were enrolled in postsecondary education, advanced training, military service, or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C 12511 et seq.), or were volunteers as described in section 5(a) of Peace Corps Act (22 U.S.C. 2504(a)), or were employed. |     |                                 |

| Denominator: The number of CTE concentrators who exited secondary education in |  |
|--|--|
| the preceding reporting year.  |  |
|  |  |
|  |  |
|  |  |
|  |  |

**Table 2: Section 113(b) Core Indicators of Performance (continued)** 

| Numerator and Denominator Data Specifications   | Indicator Codes      | Indicator Names  |
|---|----------------------|--|
| Secondary Level (continued)   |                      |  |
| The percentage of CTE concentrators in career and technical education programs and programs of study that lead to non-traditional fields. **  | 4S1                  | Non-traditional Program Concentration                                |
| Numerator: The number of CTE concentrators in secondary CTE programs and programs of study that lead to non-traditional fields who are a gender that comprises less than 25 percent of the individuals employed in the occupation or field of work for which the CTE program prepares students.  Denominator: The number of CTE concentrators in secondary CTE programs and programs of study that lead to non-traditional fields.  ** This means that a student gets counted under this indicator if individuals from their gender comprise less than 25 percent of the individuals employed in the related occupation or field of work. |                      |  |
| The eligible agency must include at least one program quality indicator—5S1, 5S2, that are statewide, valid, reliable, and comparable across the State.   | or 5S3—and may inclu | de any other quality measure(s)                                      |
| The percentage of CTE concentrators graduating from high school having attained a recognized postsecondary credential.  | 5S1                  | Program Quality – Attained<br>Recognized Postsecondary<br>Credential |
| Numerator: The number of CTE concentrators who graduated from high school in the reporting year who have attained a recognized postsecondary credential.  |                      |  |
| Denominator: The number of CTE concentrators who graduated from high school in the reporting year.  |                      |  |

| The percentage of CTE concentrators graduating from high school having attained postsecondary credits in the relevant career and technical education program or program of study earned through a dual or concurrent enrollment or another credit transfer agreement.  Numerator: The number of CTE concentrators who graduated from high school in the reporting year having attained through dual or concurrent enrollment or another credit transfer agreement postsecondary credits in the relevant career and technical education program or program of study in which each student concentrated their studies. | 5S2            | Program Quality – Attained<br>Postsecondary Credits   |
|--|----------------|---|
| Denominator: The number of CTE concentrators who graduated from high school in the reporting year.   |                |   |
| The percentage of CTE concentrators graduating from high school having participated in work-based learning.  | 5S3            | Program Quality – Participated in Work-Based Learning |
| Numerator: The number of CTE concentrators graduating from high school in the reporting year having participated in work-based learning.   |                |   |
| Denominator: The number of CTE concentrators who graduated from high school in the reporting year.   |                |   |
| Other(s) (optional): The percentage of CTE concentrators achieving on any other measure of student success in career and technical education that is statewide, valid, and reliable, and comparable across the State. Please identify.   | 584, 585, 586, | Program Quality – Other                               |
| Numerator: Specified by the eligible agency.   |                |   |
| Denominator: Specified by the eligible agency.   |                |   |

Table 2: Section 113(b) Core Indicators of Performance (continued)

| Numerator and Denominator Data Specifications   | <b>Indicator Codes</b> | Indicator Names                               |
|---|------------------------|---|
| Postsecondary Level   |                        |   |
| The percentage of CTE concentrators who, during the second quarter after program completion, remain enrolled in postsecondary education, are in advanced training, military service, or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C. 12511 et seq.), are volunteers as described in section 5(a) of the Peace Corps Act (22 U.S.C. 2504(a)), or are placed or retained in employment.  | 1P1                    | Post-Program Placement                        |
| Numerator: The number of students who were CTE concentrators at the postsecondary level who completed a CTE program or program of study during the preceding reporting year and who, during the second quarter after program completion, remained enrolled in postsecondary education, were in advanced training, military service, or a service program that receives assistance under title I of the National and Community Service Act of 1990 (42 U.S.C. 12511 et seq.), were volunteers as described in section 5(a) of the Peace Corps Act (22 U.S.C. 2504(a)), or were placed or retained in employment. |                        |   |
| Denominator: The number of students who were CTE concentrators at the postsecondary level who completed a CTE program or program of study during the preceding reporting year.  |                        |   |
| The percentage of CTE concentrators who receive a recognized postsecondary credential during participation in or within 1 year of program completion. <sup>±</sup>  | 2P1                    | Earned Recognized<br>Postsecondary Credential |
| Numerator: The number of students who were CTE concentrators at the postsecondary level who received a recognized postsecondary credential in the preceding reporting year or those who completed their program in the preceding reporting year and earned their credential in the reporting year.  |                        |   |
| Denominator: The number of students who were CTE concentrators at the   |                        |   |

| postsecondary level who completed a CTE program or program of study during the preceding reporting year.  |     |                                       |
|---|-----|---------------------------------------|
| ± This means that a student gets counted under this indicator whether the student obtains the credential during participation or within 1 year of completion. The Department interprets "within 1 year of completion" to have the plain meaning of those words: that the student would be counted if the student obtains the credential in the 1 year following that student's completion of the program. |     |                                       |
| The percentage of CTE concentrators in career and technical education programs and programs of study that lead to non-traditional fields. **  | 3P1 | Non-traditional Program Concentration |
| Numerator: The number of CTE concentrators in postsecondary CTE programs and programs of study that lead to non-traditional fields who are a gender that comprises less than 25 percent of the individuals employed in the occupation or field of work for which the CTE program prepares students.   |     |                                       |
| Denominator: The number of CTE concentrators in postsecondary CTE programs and programs of study that lead to non-traditional fields.   |     |                                       |
| ** This means that a student gets counted under this indicator if individuals from their gender comprise less than 25 percent of the individuals employed in the related occupation or field of work.   |     |                                       |

# B: <u>State Determined Performance Levels (SDPL) Form</u>

| <b>State Name:</b> |  |
|--------------------|--|
|                    |  |

| Column<br>1   | Column<br>2 | Column<br>3 | Column<br>4 | Column<br>5 | Column<br>6 |
|---|-------------|-------------|-------------|-------------|-------------|
| Indicators  | Baseline    |             | Performa    | nce Levels  |             |
| mulcators   | Level       | PY 2025-26  | PY 2026-27  | PY 2027-28  | PY 2028-29  |
| 1S1: Four-Year Graduation<br>Rate                                   |             |             |             |             |             |
| 1S2: Extended Graduation<br>Rate                                    |             |             |             |             |             |
| 2S1: Academic Proficiency in Reading Language Arts                  |             |             |             |             |             |
| 2S2: Academic Proficiency in Mathematics                            |             |             |             |             |             |
| 2S3: Academic Proficiency in Science                                |             |             |             |             |             |
| 3S1: Post-Program Placement   |             |             |             |             |             |
| 4S1: Non-traditional Program Concentration                          |             |             |             |             |             |
| 5S1: Program Quality – Attained Recognized Postsecondary Credential |             |             |             |             |             |

# **B:** State Determined Performance Levels (SDPL) Form (continued)

| Column<br>1   | Column 2 | Column<br>3 | Column<br>4 | Column<br>5 | Column<br>6 |
|---|----------|-------------|-------------|-------------|-------------|
| Indicators  | Baseline |             | Performa    | nce Levels  |             |
|   | Level    | PY 2025-26  | PY 2026-27  | PY 2027-28  | PY 2028-29  |
| 5S2: Program Quality – Attained Postsecondary Credits       |          |             |             |             |             |
| 5S3: Program Quality – Participated in Work- Based Learning |          |             |             |             |             |
| 5S4: Program Quality –<br>Other <sup>13</sup>               |          |             |             |             |             |

<sup>&</sup>lt;sup>7</sup> The Perkins V State Plan Portal will allow an eligible agency to include on this form as many "other" program quality indicators as they choose.

# **B:** <u>State Determined Performance Levels (SDPL) Form (continued)</u>

| Column<br>1                                     | Column<br>2 | Column<br>3 | Column<br>4 | Column<br>5        | Column<br>6 |  |
|---|-------------|-------------|-------------|--------------------|-------------|--|
| Indicators                                      | Baseline    |             | Performa    | Performance Levels |             |  |
| indicators                                      | Level       | PY 2025-26  | PY 2026-27  | PY 2027-28         | PY 2028-29  |  |
| 1P1: Post-Program Placement                     |             |             |             |                    |             |  |
| 2P1: Earned Recognized Postsecondary Credential |             |             |             |                    |             |  |
| 3P1: Non-traditional Program Concentration      |             |             |             |                    |             |  |

| Provide any additional information regarding SDPLs, as necessary: |  |
|---|--|
|   |  |
|   |  |
|   |  |
|   |  |