U.S. Environmental Protection Agency

Information Collection Request

# **TITLE:** NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal)

# **OMB CONTROL NUMBER:** 2060-0610

# **EPA ICR NUMBER:** 2227.08

# **ABSTRACT:** The New Source Performance Standards (NSPS) for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) were promulgated on January 18, 2008, and most-recently amended on August 10, 2022. These regulations apply to existing and new manufacturers, owners, and operators of stationary spark ignition (SI) internal combustion engines (ICE) that commenced construction, modification, or reconstruction either on or after the dates specified at 40 CFR 60.4230(a)(1)-(6). New facilities include those that commenced construction, modification, or reconstruction after the date of proposal. This information is being collected to assure compliance with 40 CFR Part 60, Subpart JJJJ.

In general, all NSPS standards require initial notifications, performance tests, and periodic reports by the owners/operators of the affected facilities. They are also required to maintain records of the occurrence and duration of any startup, shutdown, or malfunction in the operation of an affected facility, or any period during which the monitoring system is inoperative. These notifications, reports, and records are essential in determining compliance, and are required of all affected facilities subject to NSPS.

Any owner/operator subject to the provisions of this part shall maintain a file of these measurements and retain the file for at least two years following the date of such measurements, maintenance reports, and records. All reports required to be submitted electronically are submitted through the EPA's Central Data Exchange (CDX), using the Compliance and Emissions Data Reporting Interface (CEDRI), where either the delegated state or local authority can review them. If there is no such delegated authority, the EPA’s regional offices can review them. All other reports are sent to either the delegated state or local authority. If there is no such delegated authority, the reports are sent directly to the EPA’s regional offices. The use of the term "Designated Administrator" throughout this document refers to the U.S. EPA or a delegated authority, such as a state agency. The term "Administrator" alone refers to the U.S. EPA Administrator.

The “Affected Public” is composed of manufacturers, owners, and operators of stationary spark ignition internal combustion engines.

The “burden” to the “Affected Public” may be found below in Table 1: Annual Respondent Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal). The “burden” to the Federal Government is attributed entirely to work performed by either Federal employees or government contractors and may be found below in Table 2: Average Annual EPA Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal). There are approximately 19,835 existing facilities. None of the facilities in the United States are owned by either state, or local, or tribal entities or by the Federal government. They are all owned and operated by privately-owned, for-profit businesses. We assume that they will all respond to EPA inquiries.

Based on our consultations with industry representatives, there is an average of one affected facility at each plant site and each plant site has only one respondent (i.e., the owner/operator of the plant site).

Over the next three years, approximately 19,835 existing respondents per year will be subject to these standards, and 253 additional respondents per year will become subject to these same standard for an overall average of 20,088 respondents per year.

All electronic collection in this information collection is submitted through EPA's CEDRI, as discussed in this document. Additional Paperwork Reduction Act requirements for CEDRI, including the burden statement and OMB control number, are available at: https://www.epa.gov/electronic-reporting-air-emissions/paperwork-reduction-act-pra-cedri-and-ert.

**Supporting Statement A**

# **NEED AND AUTHORITY FOR THE COLLECTION:**

*Explain the circumstances that make the collection of information necessary. Identify any legal or administrative requirements that necessitate the collection.*

The EPA is charged under Section 111 of the Clean Air Act (CAA), as amended, to establish standards of performance for new stationary sources that reflect:

…application of the best technological system of continuous emissions reduction which (taking into consideration the cost of achieving such emissions reduction, or any non-air quality health and environmental impact and energy requirements) the Administrator determines has been adequately demonstrated. Section 111(a)(l).

The Agency refers to this charge as selecting the best-demonstrated technology (BDT). Section 111 also requires that the Administrator review and, if appropriate, revise such standards every eight years.

In addition, section 114(a) states that the Administrator may require any owner/operator subject to any requirement of this Act to:

(A) Establish and maintain such records; (B) make such reports; (C) install, use, and maintain such monitoring equipment, and use such audit procedures, or methods; (D) sample such emissions (in accordance with such procedures or methods, at such locations, at such intervals, during such periods, and in such manner as the Administrator shall prescribe); (E) keep records on control equipment parameters, production variables or other indirect data when direct monitoring of emissions is impractical; (F) submit compliance certifications in accordance with Section 114(a)(3); and (G) provide such other information as the Administrator may reasonably require.

In the Administrator's judgment, nitrogen oxides (NOx), carbon monoxide (CO), hydrocarbon (HC), non-methane hydrocarbon (NMHC), and volatile organic compound (VOC) emissions from stationary spark ignition internal combustion engines either cause or contribute to air pollution that may reasonably be anticipated to endanger public health and/or welfare. Therefore, the NSPS were promulgated for this source category at 40 CFR Part 60, Subpart JJJJ.

# **PRACTICAL UTILITY/USERS OF THE DATA:**

*Indicate how, by whom, and for what purpose the information is to be used. Except for a new collection, indicate the actual use the agency has made of the information received from the current collection.*

The recordkeeping and reporting requirements in these standards ensure compliance with the applicable regulations which were promulgated in accordance with the Clean Air Act. The collected information is also used for targeting inspections and as evidence in legal proceedings.

Performance tests are required in order to determine an affected facility’s initial capability to comply with these emission standards. Continuous emission monitors are used to ensure compliance with these same standards at all times. During the performance test a record of the operating parameters under which compliance was achieved may be recorded and used to determine compliance in place of a continuous emission monitor.

The notifications required in these standards are used to inform the Agency or its delegated authority when a source becomes subject to the requirements of these regulations. The reviewing authority may then inspect the source to check if the pollution control devices are properly installed and operated, leaks are being detected and repaired, and that these standards are being met. The performance test may also be observed.

The required annual reports are used to determine periods of excess emissions, identify problems at the facility, verify operation/maintenance procedures and for compliance determinations.

Additionally, the EPA is requiring electronic reporting for certain notifications or reports. The EPA is requiring that owners or operators of affected sources would submit electronic copies of annual reports through the EPA's Central Data Exchange (CDX), using the Compliance and Emissions Data Reporting Interface (CEDRI). For annual reports, EPA has developed a template for the reporting form in CEDRI specifically for 40 CFR Part 60, Subpart JJJJ.

# **USE OF TECHNOLOGY:**

*Describe whether, and to what extent, the collection of information involves the use of automated, electronic, mechanical, or other technological collection techniques or other forms of information technology, e.g., permitting electronic submission of responses, and the basis for the decision for adopting this means of collection. Also describe any consideration of using information technology to reduce burden.*

Some of the respondents are using monitoring equipment that automatically records parameter data. Although personnel at the affected facility must still evaluate the data, internal automation has significantly reduced the burden associated with monitoring and recordkeeping at a plant site.

The rule was amended to include electronic reporting provisions on January 30, 2013. Respondents are required to submit electronic copies of annual reports through EPA’s CEDRI. The submittal is an upload of their currently required report in an Excel (xlsx) or XML schema (XSD) file. The annual reports are to be created using Form 5900-596, the electronic template included with this Supporting Statement. The template is an Excel spreadsheet which can be partially completed and saved for subsequent annual reports to limit some of the repetitive data entry. It reflects the reporting elements required by the rule and does not impose additional reporting elements. The OMB Control Number is displayed on the Welcome page of the template, with a link to an online repository that contains the PRA requirements. For purposes of this ICR, it is assumed that there is no additional burden associated with the proposed requirement for respondents to submit the notifications and reports electronically.

Electronic copies of records may also be maintained in order to satisfy federal recordkeeping requirements. For additional information on the Paperwork Reduction Act requirements for CEDRI and ERT for this rule, see: [*https://www.epa.gov/electronic-reporting-air-emissions/paperwork-reduction-act-pra-cedri-and-ert*](https://www.epa.gov/electronic-reporting-air-emissions/paperwork-reduction-act-pra-cedri-and-ert).

# **EFFORTS TO IDENTIFY DUPLICATION:**

*Describe efforts to identify duplication. Show specifically why any similar information already available cannot be used or modified for use for the purposes described in Item 2 above.*

For reports required to be submitted electronically, the information is sent through the EPA's CDX, using CEDRI, where the appropriate EPA regional office can review it, as well as for state and local agencies that have been delegated authority. If a state or local agency has adopted under its own authority its own standards for reporting or data collection, adherence to those non-Federal requirements does not constitute duplication.

For all other reports, if the subject standards have not been delegated, the information is sent directly to the appropriate EPA regional office. Otherwise, the information is sent directly to the delegated state or local agency. If a state or local agency has adopted its own standards to implement the Federal standards, a copy of the report submitted to either the state or local agency can be sent to the Administrator in lieu of the report required by the Federal standards. Therefore, duplication does not exist.

# **MINIMIZING BURDEN ON SMALL ENTITIES:**

*If the collection of information impacts small businesses or other small entities, describe any methods used to minimize burden.*

The majority of the respondents are large entities (i.e., large businesses). However, the impact on small entities (i.e., small businesses) was taken into consideration during the development of these regulations. Due to technical considerations involving the process operations and the types of control equipment employed, the recordkeeping and reporting requirements are the same for both small and large entities. The Agency considers these to be the minimum requirements needed to ensure compliance and, therefore, cannot reduce them further for small entities. To the extent that larger businesses can use economies of scale to reduce their burden, the overall burden will be reduced.

During development of this rule, five of the 21 businesses evaluated were small according to the Small Business Administration (SBA) small business size standard. Applying this data to the respondent universe for this ICR resulted in approximately 24 percent or 4,760 small entities impacted by this ICR.

# **EFFECTS OF LESS FREQUENT COLLECTION:**

*Describe the consequence to Federal program or policy activities if the collection is not conducted or is conducted less frequently, as well as any technical or legal obstacles to reducing burden.*

Less-frequent information collection would decrease the margin of assurance that facilities are continuing to meet these standards. Requirements for information gathering and recordkeeping are useful techniques to ensure that good operation and maintenance practices are applied and emission limitations are met. If the information required by these standards was collected less-frequently, the proper operation and maintenance of control equipment and the possibility of detecting violations would be less likely.

# **GENERAL GUIDELINES:**

*Explain any special circumstances that require the collection to be conducted in a manner inconsistent with PRA Guidelines at 5 CFR 1320.5(d)(2).*

These reporting or recordkeeping requirements do not violate any of the regulations promulgated by OMB under 5 CFR Part 1320.5.

# **PUBLIC COMMENT AND CONSULTATIONS****:**

## **8a. Public Comment**

*If applicable, provide a copy and identify the date and page number of publication in the Federal Register of the Agency's notice, required by 5 CFR 1320.8(d), soliciting comments on the information collection prior to submission to OMB. Summarize public comments received in response to that notice and describe actions taken by the Agency in response to these comments. Specifically address comments received on cost and hour burden.*

An announcement of a public comment period for the renewal of this ICR was published in the *Federal Register* (87 FR 43843) on July 22, 2022. No comments were received on the burden published in the *Federal Register* for this renewal.

## **8b. Consultations**

*Describe efforts to consult with persons outside the Agency to obtain their views on the availability of data, frequency of collection, the clarity of instructions and recordkeeping, disclosure, or reporting format (if any), and on the data elements to be recorded, disclosed, or reported. Consultation with representatives of those from whom information is to be obtained or those who must compile records should occur at least once every 3 years - even if the collection of information activity is the same as in prior periods. There may be circumstances that may preclude consultation in a specific situation. These circumstances should be explained.*

The Agency has consulted industry experts and internal data sources to project the number of affected facilities and industry growth over the next three years. The primary source of information as reported by industry, in compliance with the recordkeeping and reporting provisions in these standard, is the Integrated Compliance Information System (ICIS). ICIS is EPA’s database for the collection, maintenance, and retrieval of compliance data for industrial and government-owned facilities. The growth rate for the industry is based on our consultations with the Agency’s internal industry experts. Approximately 20,088 respondents will be subject to these standards over the three-year period covered by this ICR.

Industry trade association(s) and other interested parties were provided an opportunity to comment on the burden associated with these standards as they were being developed and these same standards have been previously reviewed to determine the minimum information needed for compliance purposes. In developing this ICR, we contacted both Cummins Incorporated, at (800) 286-6467, and the Engine Manufacturer's Association, at (312) 929-1970.

It is our policy to respond after a thorough review of comments received since the last ICR renewal, as well as for those submitted in response to the first Federal Register notice. In this case, no comments were received.

# **PAYMENTS OR GIFTS TO RESPONDENTS:**

*Explain any decisions to provide payments or gifts to respondents, other than remuneration of contractors or grantees.*

The Agency does not intend to provide payments or gifts to respondents as part of this collection.

# **PROVISIONS FOR PROTECTION OF INFORMATION:**

*Describe any assurance of confidentiality provided to respondents and the basis for the assurance in statute, regulation, or Agency policy. If the collection requires a systems of records notice (SORN) or privacy impact assessment (PIA), those should be cited and described here.*

Any information submitted to the Agency for which a claim of confidentiality is made will be safeguarded according to the Agency policies set forth in Title 40, chapter 1, part 2, subpart B - Confidentiality of Business Information (see 40 CFR 2; 41 FR 36902, September 1, 1976; amended by 43 FR 40000, September 8, 1978.

# **JUSTIFICATION FOR SENSITIVE QUESTIONS:**

*Provide additional justification for any questions of a sensitive nature, such as sexual behavior and attitudes, religious beliefs, and other matters that are commonly considered private. This justification should include the reasons why the Agency considers the questions necessary, the specific uses to be made of the information, the explanation to be given to persons from whom the information is requested, and any steps to be taken to obtain their consent.*

The reporting or recordkeeping requirements in these standards do not include sensitive questions.

# **RESPONDENT BURDEN HOURS AND LABOR COSTS****:**

*Provide estimates of the hour burden of the collection of information. The statement should:*

* *Indicate the number of respondents, frequency of response, annual hour burden, and an explanation of how the burden was estimated.*
* *If this request for approval covers more than one form, provide separate hour burden estimates for each form and the aggregate the hour burdens.*
* *Provide estimates of annualized cost to respondents for the hour burdens for collections of information, identifying and using appropriate wage rate categories. The cost of contracting out or paying outside parties for information collection activities should not be included here. Instead, this cost should be included as O&M costs under non-labor costs covered under question 13.*

## **12a. RESPONDENTS/NAICS CODES**

The respondents to the recordkeeping and reporting requirements are manufacturers, owners, and operators of stationary spark ignition internal combustion engines. The United States Standard Industrial Classification (SIC) codes for the respondents affected by these standards and the corresponding North American Industry Classification System (NAICS) codes are found in the following table:

| **Standard (40 CFR Part 60, Subpart JJJJ)** | **SIC Codes** | **NAICS Codes** |
| --- | --- | --- |
| Electric Power Generation, Transmission, or Distribution | 4911, 4931, 4939 | 2211 |
| General Medical and Surgical Hospitals | 8062, 8069 | 62211 |
| Motor and Generator Manufacturing | 3621, 7694 | 335312 |
| Pump and Compressor Manufacturing | 3561, 3563, 3586, 3743 | 33391 |
| Welding and Soldering Equipment Manufacturing | 3548, 3699 | 333992 |
| Pipeline Transportation of Natural Gas | 4922, 4923 | 48621 |
| Crude Petroleum Extraction | 1311 | 211120 |
| Natural Gas Liquid Extraction | 1321 | 211130 |
| National Security | 9711 | 92811 |

Based on our research for this ICR, on average over the next three years, approximately 19,835 existing respondents will be subject to these standards. It is estimated that an additional 253 new respondents per year will become subject to these same standards. The overall average number of respondents, as shown in the table below, is 20,088 per year.

The number of respondents is calculated using the following table that addresses the three years covered by this ICR.

| **Number of Respondents** |
| --- |
|  | Respondents That Submit Reports | Respondents That Do Not Submit Any Reports |  |
| Year | (A)Number of New Respondents 1 | (B)Number of Existing Respondents | (C)Number of Existing Respondents that keep records but do not submit reports | (D)Number of Existing Respondents That Are Also New Respondents | (E)Number of Respondents(E=A+B+C-D) |
| 1 | 253 | 19,582 | 0 | 0 | 19,835 |
| 2 | 253 | 19,835 | 0 | 0 | 20,088 |
| 3 | 253 | 20,088 | 0 | 0 | 20,341 |
| Average | 253 | 19,835 | 0 | 0 | 20,088 |

1 New respondents include sources with constructed, reconstructed and modified affected facilities.

Column D is subtracted to avoid double-counting respondents. As shown above, the average Number of Respondents over the three-year period of this ICR is 20,088.

The total number of annual responses per year is calculated using the following table:

|  |
| --- |
| **Total Annual Responses** |
| (A)Information Collection Activity | (B)Number of Respondents  | (C)Number of Responses | (D)Number of Existing Respondents That Keep Records But Do Not Submit Reports | (E)Total Annual Responses E=(BxC)+D |
| Initial notification (>500 hp non-certified engines) a | 30 | 1 | n/a | 30 |
| Record Engine Maintenance | 20,088 | 1 | n/a | 20,088 |
| Recording hours in non-emergency operation b | 509 | 1 | n/a | 509 |
| Annual Report for emergency stationary SI ICE b | 25 | 1 | n/a | 25 |
| **Total (rounded) c** |   |   |   | **20,700** |
| a We assume 12% of the 253 new respondents, or 30 respondents, will have engines rated at >500 hp.  |
| b I n the 2007 Cost Impacts memo for this rule, EPA estimated that, based on information obtained from the Engine Manufacturers Association, emergency engines make up approximately 5 percent of the total SI population. EPA also assumed that only 50% of engines seek certification. Of the 253 new engines per year, approximately 2.5% (6.3) are non-certified and required to record hours in non-emergency operation. The number of non-certified emergency engines has been incremented since the original ICR (#2227.02) to the number of 509 for year 2 of this ICR. We assume 5% of emergency stationary SI ICE will be required to report to conservatively estimate respondent burden for this activity. (509 x 0.05 = 25 (rounded)). |
| c Totals have been rounded to 3 significant figures. Figures may not add exactly due to rounding. |

The number of Total Annual Responses is 20,700.

## **12b. INFORMATION REQUESTED**

In this ICR, all the data that are recorded or reported is required by the NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ).

A source must make the following reports:

| **Notifications** |
| --- |
| Initial notification requirements for owners/operators of non-emergency non-certified stationary spark ignition internal combustion engines with a maximum horsepower greater than or equal to 500 HP.  | §60.7(a)(1) and §60.4245(c) |
| For owners and operators of non-certified stationary spark ignition internal combustion engines with a maximum horsepower greater than 500 HP, a copy of their performance test that demonstrates compliance as conducted in §60.4244. | §60.4245(d) |

| **Reports** |
| --- |
| Annual report for owners/operators of emergency stationary spark ignition internal combustion engines with a maximum engine power more than 100 HP that operates or is contractually obligated to be available for more than 15 hours per calendar year or that operates for purposes specified in §60.4243(d)(3)(i). | §60.4245(e) |

A source must keep the following records:

| **Recordkeeping** |
| --- |
| Maintain records of initial notifications for sources with non-certified engines with a maximum horsepower greater than or equal to 500 HP. | §60.4245(a)(1), §60.4245(c) |
| Maintain records of all maintenance conducted on any stationary spark ignition internal combustion engines. | §60.4245(a)(2) |
| Maintain manufacturer’s certification information for any certified engine to demonstrate compliance. | §60.4245(a)(3) |
| Maintain records of performance testing on any non-certified engine or certified engine operating in non-certified manner and subject to §60.4243(a)(2) to demonstrate compliance. | §60.4245(a)(4) |
| Maintain records of the hours of operation for emergency stationary spark ignition internal combustion engines documenting how many hours are spent for emergency operation, including what classified the operation as emergency, and how many hours are spent for non-emergency operation. | §60.4245(b) |

Some of the respondents are using monitoring equipment that automatically records parameter data. Although personnel at the affected facility must still evaluate the data, internal automation has significantly reduced the burden associated with monitoring and recordkeeping at a plant site.

The rule was amended to include electronic reporting provisions on January 30, 2013. Respondents are required to submit electronic copies of annual reports through EPA’s CEDRI. The submittal is an upload of their currently required report in an Excel (xlsx) or XML schema (XSD) file. The annual reports are to be created using Form 5900-596, the electronic template included with this Supporting Statement. The template is an Excel spreadsheet which can be partially completed and saved for subsequent annual reports to limit some of the repetitive data entry. It reflects the reporting elements required by the rule and does not impose additional reporting elements. The OMB Control Number is displayed on the Welcome page of the template, with a link to an online repository that contains the PRA requirements. For purposes of this ICR, it is assumed that there is no additional burden associated with the proposed requirement for respondents to submit the notifications and reports electronically.

Electronic copies of records may also be maintained in order to satisfy federal recordkeeping requirements. For additional information on the Paperwork Reduction Act requirements for CEDRI and ERT for this rule, see: https://www.epa.gov/electronic-reporting-air-emissions/paperwork-reduction-act-pra-cedri-and-ert.

## **12c. RESPONDENT ACTIVITIES**

Listed here are Respondent Activities:

* Familiarization with the regulatory requirements.
* Install, calibrate, maintain, and operate a non-resettable hourly meter.
* Perform initial performance test, Reference Method 1 or 1A, 2 or 2C, 3, 3A, or 3B, 4, 7E, 10, 18, 19, 25A, 320, ASTM Method D6522-00, or ASTM Method D6348-03 test, and repeat performance tests if necessary.
* Write the notifications and reports listed above.
* Enter information required to be recorded above.
* Submit the required reports developing, acquiring, installing, and utilizing technology and systems for collecting, validating, and verifying information.
* Develop, acquire, install, and utilize technology and systems for processing and maintaining information.
* Develop, acquire, install, and utilize technology and systems for disclosing and providing information.
* Train personnel to be able to respond to a collection of information.
* Transmit, or otherwise disclose the information.

The specific frequency for each information collection activity within this request is shown at the end of this document in Table 1: Annual Respondent Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal).

## **12d. RESPONDENT BURDEN HOURS AND LABOR COSTS**

The average annual burden to industry over the next three years from these recordkeeping and reporting requirements is estimated to be 38,000 (Total Labor Hours from Table 1 below). These hours are based on Agency studies and background documents from the development of these regulations, Agency knowledge and experience with the NSPS program, the previously-approved ICR, and any comments received.

This ICR uses the following labor rates:

Managerial $157.61 ($75.05 + 110%)

Technical $123.94 ($59.02 + 110%)

Clerical $62.52 ($29.77 + 110%)

These rates are from the United States Department of Labor, Bureau of Labor Statistics, September 2021, “Table 2. Civilian Workers, by occupational and industry group.” The rates are from column 1, “Total compensation.” The rates have been increased by 110 percent to account for varying industry wage rates and the additional overhead business costs of employing workers beyond their wages and benefits, including business expenses associated with hiring, training, and equipping their employees.

The total annual labor costs are $4,620,000. Details regarding these estimates may be found at the end of this document in Table 1: Annual Respondent Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal).

The total annual labor hours are 38,000 hours. Details regarding these estimates may be found below in Table 1: Annual Respondent Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal).

We assume that burdens for managerial tasks take 5% of the time required for technical tasks because the typical tasks for managers are to both review and approve reports. Clerical burdens are assumed to take 10% of the time required for technical tasks because the typical duties of clerical staff are to proofread the reports, make copies, and maintain records.

Furthermore, the annual public reporting and recordkeeping burden for this collection of information is estimated to average 2 hours per response.

# **RESPONDENT CAPITAL AND O&M COSTS:**

*Provide an estimate for the total annual cost burden to respondents or record keepers resulting from the collection of information. (Do not include the cost of any hour burden already reflected on the burden worksheet).*

*The cost estimate should be split into two components: (a) a total capital and start-up cost component (annualized over its expected useful life) and (b) a total operation and maintenance and purchase of services component. The estimates should consider costs associated with generating, maintaining, and disclosing or providing the information. Include descriptions of methods used to estimate major cost factors including system and technology acquisition, expected useful life of capital equipment, the discount rate(s), and the period over which costs will be incurred. Capital and start-up costs include, among other items, preparations for collecting information such as purchasing computers and software; monitoring, sampling, drilling, and testing equipment; and record storage facilities.*

*If cost estimates are expected to vary widely, agencies should present ranges of cost burdens and explain the reasons for the variance. The cost of purchasing or contracting out information collections services should be a part of this cost burden estimate.*

*Generally, estimates should not include purchases of equipment or services, or portions thereof, made: (1) prior to October 1, 1995, (2) to achieve regulatory compliance with requirements not associated with the information collection, (3) for reasons other than to provide information or keep records for the government, or (4) as part of customary and usual business or private practices.*

The capital/startup costs are one-time costs when a facility becomes subject to these regulations. The annual operation and maintenance costs are the ongoing costs to maintain the monitors and other costs such as photocopying and postage.

|  |
| --- |
| **Capital/Startup vs. Operation and Maintenance (O&M) Costs** |
| (A) | (B) | (C) | (D) | (E) | (F) | (G) |
| Burden | Capital/ Startup Cost for One Respondent | Number of New Respondents | Total Capital/Startup Cost, (B X C) | Annual O&M Costs for One Respondent a | Number of Respondents with O&M | Total O&M, (E x F) |
| Engine Certification for Stationary Use b |   |   |   |   |   |   |
|  - 25-300 hp  |   |   |   | $16.69  | 91 | $1,519.01  |
|  - 300-600 hp |   |   |   | $41.10  | 9 | $369.91  |
|  - >600 hp |   |   |   | $196  | 14 | $2,739.33  |
| Initial Test for Engines not Certified | $1,000  | 253 | $253,000  | $0  | 0 | $0  |
| Subsequent Performance Test for Engines > 500 hp c |   |   |   | $1,120  | 2,380 | $2,665,419.29  |
| **Total (rounded) d** |  |  | **$253,000**  |  |  | **$2,670,000**  |

a O&M cost per occurrence for certifications for stationary was increased by 10.5459 percent from previous ICR# 2227.05 to account for the increase in the average annual consumer price index (https://www.bls.gov/cpi/#data) from 2017 to 2021. The original certification costs were estimated in Table 5.2.1-4 of the document, “Final Regulatory Support Document: Control of Emissions from Unregulated Non-road Engines.”

b The distribution of new engine types is based on the same distribution from the previously approved ICR.

c Previously certified engines > 500-hp are required to conduct subsequent performance tests either after 3-years or 8,760 hours of operation after the initial performance test. It is assumed that 12 percent of existing engines, or 2,380 existing engines, will be rated at > 500 hp, have previously had an initial performance test conducted, and are now required to conduct a subsequent test over the next 3-year period.

d Totals have been rounded to 3 significant figures. Figures may not add exactly due to rounding.

The total capital/startup costs for this ICR are $253,000. This is the total of column D in the above table.

The total operation and maintenance (O&M) costs for this ICR are $2,670,000. This is the total of column G in the above table.

The average annual cost for capital/startup and operation and maintenance costs to industry over the next three years of the ICR is estimated to be $2,920,000. These are the recordkeeping costs.

The total annual capital/startup and O&M costs to the regulated entity are $2,920,000. Referenced in Table 1 at the end of this document.

# **AGENCY** **COSTS:**

*Provide estimates of annualized costs to the Federal government. Also, provide a description of the method used to estimate cost, which should include quantification of hours, operational expenses (such as equipment, overhead, printing, and support staff), and any other expense that would not have been incurred without this collection of information.*

## **14a. Agency Activities**

The EPA conducts the following activities in connection with the acquisition, analysis, storage, and distribution of the required information:

* Review notifications and reports, including performance test reports, and excess emissions reports, required to be submitted by industry.
* Audit facility records.
* Input, analyze, and maintain data in the Enforcement and Compliance History Online (ECHO) and ICIS.

## **14b. Agency Burden and Labor Cost**

The only costs to the Agency are those costs associated with analysis of the reported information. The EPA's overall compliance and enforcement program includes such activities as the examination of records maintained by the respondents, periodic inspection of sources of emissions, and the publication and distribution of collected information.

The average annual Agency cost during the three years of the ICR is estimated to be $316,000.

This cost is based on the average hourly labor rate as follows:

 Managerial $70.56 (GS-13, Step 5, $44.10 + 60%)

 Technical $52.37 (GS-12, Step 1, $32.73 + 60%)

 Clerical $28.34 (GS-6, Step 3, $17.71 + 60%)

These rates are from the Office of Personnel Management (OPM), 2022 General Schedule, which excludes locality rates of pay. The rates have been increased by 60 percent to account for the benefit packages available to Federal government employees. Details upon which this estimate is based appear at the end of this document in Table 2: Average Annual EPA Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal).

The average annual Agency burden and cost over next three years is estimated to be 6,130 labor hours at a cost of $316,000; see below in Table 2: Average Annual EPA Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal).

## **14c. Agency Non-Labor Costs**

 There are no anticipated non-labor costs for the Agency.

# **CHANGE IN BURDEN:**

*Explain the reasons for any program changes or adjustments reported in the burden or capital/O&M cost estimates.*

There is an increase in the total estimated burden as currently identified in the OMB Inventory of Approved Burdens. This increase is not due to any program changes or changes in regulatory requirements. The change in the burden and cost estimates is due primarily to an increase in the number of existing sources subject to rule requirements. This increase is based on the growth rate from the prior ICR and assumes continued growth in the manufacture and use of SI ICE. This has led to an increase in O&M costs, as well as in recordkeeping and reporting costs.

There is also a slight increase in costs, which is wholly due to the use of updated labor rates. This ICR uses labor rates from the most recent Bureau of Labor Statistics report (September 2021) to calculate respondent burden costs.

# **PUBLICATION OF DATA****:**

*For collections of information whose results will be published, outline plans for tabulation and publication. Address any complex analytical techniques that will be used. Provide the time schedule for the entire project, including beginning and ending dates of the collection of information, completion of report, publication dates, and other actions.*

The Agency does not intend to publish information gathered through this infromation collection.

# **DISPLAY OF OMB CONTROL NUMBER AND EXPIRATION DATE ON INSTRUMENTS:**

*If seeking approval to not display the expiration date for OMB approval of the information collection, explain the reasons that display would be inappropriate.*

The Agency plans to display the expiration date for OMB approval of the information collection on all instruments.

# **CERTIFICATION STATEMENT:**

*Explain each exception to the topics of the certification statement identified in “Certification for Paperwork Reduction Act Submissions.”*

This information collection complies with all provisions of the Certification for Paperwork Reduction Act Submissions.

# **BURDEN STATEMENT**

The annual public reporting and recordkeeping burden for this collection of information is estimated to average 2 hours per response. ‘Burden’ means the total time, effort, or financial resources expended by persons to generate, maintain, retain, or disclose or provide information either to or for a Federal agency. This includes the time needed to review instructions; develop, acquire, install, and utilize technology and systems for the purposes of collecting, validating, and verifying information, processing and maintaining information, and disclosing and providing information; adjust the existing ways to comply with any previously applicable instructions and requirements; train personnel to be able to respond to a collection of information; search data sources; complete and review the collection of information; and transmit or otherwise disclose the information.

An agency may neither conduct nor sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB Control Number. The OMB Control Numbers for EPA regulations are listed at 40 CFR Part 9 and 48 CFR Chapter 15.

To comment on the Agency's need for this information, the accuracy of the provided burden estimates, and any suggested methods for minimizing respondent burden, including the use of automated collection techniques, EPA has established a public docket for this ICR under Docket ID Number EPA-HQ-OAR-2022-0038. An electronic version of the public docket is available at http://www.regulations.gov/ which may be used to obtain a copy of the draft collection of information, submit or view public comments, access the index listing of the contents of the docket, and to access those documents in the public docket that are available electronically. When in the system, select “search,” then key in the docket ID number identified in this document. The documents are also available for public viewing at the Enforcement and Compliance Docket and Information Center in the EPA Docket Center (EPA/DC), WJC West, Room 3334, 1301 Constitution Ave., NW, Washington, DC. The EPA Docket Center Public Reading Room is open from 8:30 a.m. to 4:30 p.m., Monday through Friday, excluding legal holidays. Due to COVID-19 precautions, entry to the Reading Room is available by appointment only. Please contact personnel in the Reading Room to schedule an appointment. The telephone number for the Reading Room is (202) 566-1744, and the telephone number for the docket center is (202) 566-1752. Also, you can send comments to the Office of Information and Regulatory Affairs, Office of Management and Budget, 725 17th Street, NW, Washington, DC 20503, Attention: Desk Officer for EPA. Please include the EPA Docket ID Number EPA-HQ-OAR- EPA-HQ-OAR-2022-0038 and OMB Control Number 2060-0610 in any correspondence.

# **ADDITIONAL TABLES AND APPENDICES**

Table 1: Annual Respondent Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Burden Item** | **(A) Person-hours per occurrence** | **(B) Number of occurrences per year** | **(C) Person-hours per respondent (C=AxB)** | **(D) Respondents per year a** | **(E)Technical person-hours (E=CxD)** | **(F) Management person-hours (F=Ex0.05)** | **(G) Clerical person-hours (G=Ex0.1)** | **(H) Total Cost b ($)** |
| 1. Applications | N/A |   |   |   |   |   |   |   |
| 2. Surveys and Studies | N/A |   |   |   |   |   |   |   |
| 3. Reporting Requirements |   |   |   |   |   |   |   |   |
| A. Familiarize with regulatory requirements c | 0.5 | 1 | 0.5 | 20,088 | 10,044 | 502 | 1004 | $1,386,800.19  |
| B. Required Activities | N/A |   |   |   |   |   |   |   |
| C. Gather Existing Information | See 3D |   |   |   |   |   |   |   |
| D. Write Report |   |   |   |   |   |   |   |   |
| Initial notification (>500 hp non-certified engines) d | 1 | 1 | 1 | 30 | 30 | 1.5 | 3 | $4,142.18  |
| Subsequent Performance Test (>500 hp certified engines) e | 1 | 1 | 1 | 2,380 | 2,380 | 119 | 238 | $328,640.16  |
| Annual report for emergency stationary SI ICE f | 16 | 1 | 16 | 25 | 400 | 20 | 40 | $55,229.00  |
| **Subtotal for Reporting Requirements** |  |  |   |  | **14,322** | **$1,774,812**  |
| 4. Recordkeeping Requirements |   |   |   |   |   |   |   |   |
| A. Record Engine Maintenance | 1 | 1 | 1 | 20,088 | 20,088 | 1004 | 2,009 | $2,773,600.38  |
| B. Train personnel | N/A |   |   |   |   |   |   |   |
| C. Recording hours in non-emergency operation f | 1 | 1 | 1 | 509 | 509 | 25 | 51 | $70,265.10  |
| D. Records of initial notification, manufacturer's certifications, and performance tests | See 3D |   |   |   |   |   |   |   |
| **Subtotal for Recordkeeping Requirements** |  |  |  |  | **23,686** | **$2,843,865**  |
| **TOTAL LABOR BURDEN AND COST (rounded) g** |  |  |  |  | **38,000** | **$4,620,000**  |
| **TOTAL CAPITAL AND O&M COST (rounded) g** |   |   |   |   |   |   |   | **$2,920,000**  |
| **GRAND TOTAL (rounded) g** |   |   |   |   |   |   |   | **$7,540,000**  |
|  |  |  |  |  |  |  |  |  |
| **Assumptions:** |  |  |  |  |  |  |  |  |
| a We assume there are an average of 19,835 existing respondents and an additional 253 respondents will become subject to the rule each year. |
| b This ICR uses the following labor rates: Managerial $157.61, Technical $123.94, and Clerical $62.52. These rates are from the United States Department of Labor, Bureau of Labor Statistics, September 2021, “Table 2. Civilian Workers, by occupational and industry group.” The rates are from column 1, “Total compensation.” The rates have been increased by 110 percent to account for varying industry wage rates and the additional overhead business costs of employing workers beyond their wages and benefits, including business expenses associated with hiring, training, and equipping their employees. |
| c We assume all new and existing respondents will have to familiarize themselves with the regulatory requirements each year.  |
| d It is assumed that 253 non-certified new engines will become subject to the rule each year over the 3-year period. Based on the estimated distribution of existing engines, it is assumed that 12 percent of new engines, will be rated at >500 hp and require initial notification. (253 x 12% = 30.36, rounded to 30) |
| e Previously certified engines > 500-hp are required to conduct subsequent performance tests either after 3 years or 8,760 hours of operation after the initial performance test. It is assumed that 12 percent of existing engines will be rated at > 500 hp and have previously had an initial performance test conducted and are now required to conduct a subsequent test over the next 3-year period. |
| f We assume it will take 16 hours per annual report based on ICR 1975.06 (NESHAP For Stationary Reciprocating Internal Combustion Engines 40 CFR Part 63, Subpart ZZZZ). In the 2007 Cost Impacts memo for this rule, EPA estimated that, based on information obtained from the Engine Manufacturers Association, emergency engines make up approximately 5 percent of the total SI population. EPA also assumed that only 50% of engines seek certification. Of the 253 new engines per year, approximately 2.5% (6.3) are non-certified and required to record hours in non-emergency operation. The number of non-certified emergency engines submitting reports has been incremented since the original ICR (421 respondents in year 1 of ICR #2227.02) to the number of 509 for year 2 of this ICR. We assume 5% of emergency stationary SI ICE will be required to report to conservatively estimate respondent burden for this activity. (509 x 0.05 = 25 (rounded)) |
| g Totals have been rounded to 3 significant figures. Figures may not add exactly due to rounding. |

Table 2: Average Annual EPA Burden and Cost – NSPS for Stationary Spark Ignition Internal Combustion Engines (40 CFR Part 60, Subpart JJJJ) (Renewal)

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **Activity** | **(A)EPA person-hours per occurrence** | **(B) Number of occurrences per year** | **(C) EPA Person-hours per plant (C=AxB)** | **(D) Plants per year a** | **(E)Technical person-hours (E=CxD)** | **(F) Management person-hours (F=Ex0.05)** | **(G) Clerical person-hours (G=Ex0.1)** | **(H) Total Cost b ($)** |
| Report Review |   |   |   |   |   |   |   |   |
| 1. Initial notification (>500 hp non-certified engines) c  | 2 | 1 | 2 | 30 | 60 | 3 | 6 | $3,523.92  |
| 2. Engine Certification for Non-certified Engine c | 2 | 1 | 2 | 253 | 506 | 25.3 | 50.6 | $29,718.39  |
| 3. Engine Certification from nonroad to stationary  | 1 | 1 | 1 | 0 | 0 | 0 | 0 | $0  |
| 4. Performance Tests c | 2 | 1 | 2 | 2,380 | 4,760 | 238.02 | 476.04 | $279,587.81  |
| 5. Annual reports for emergency stationary SI ICE d | 2 | 1 | 2 | 25 | 50 | 2.5 | 5 | $2,936.60  |
| **TOTAL (rounded) e** |   |   |   |   | **6,130** | **$316,000**  |
|  |  |  |  |  |  |  |  |  |
| **Assumptions:** |  |  |  |  |  |  |  |  |
| a We assume there are an average of 19,835 existing respondents per year and an additional 253 respondents will become subject to the rule each year. |
| b This cost is based on the average hourly labor rate as follows: Managerial $70.56 (GS-13, Step 5, $44.10 + 60%); Technical $52.37 (GS-12, Step 1, $32.73 + 60%); and Clerical $28.34 (GS-6, Step 3, $17.71 + 60%). This ICR assumes that Managerial hours are 5 percent of Technical hours, and Clerical hours are 10 percent of Technical hours. These rates are from the Office of Personnel Management (OPM), 2022 General Schedule, which excludes locality, rates of pay. The rates have been increased by 60 percent to account for the benefit packages available to government employees. |
| c After full implementation, existing sources are no longer subject to these activities. It is assumed that 253 non-certified new engines will become subject to the rule each year over the 3-year period. Based on the estimated distribution of existing engines, it is assumed that 12 percent of new engines, will be rated at >500 hp and require initial notification. Additionally, previously certified engines > 500-hp are required to conduct subsequent performance tests either after 3 years or 8,760 hours of operation after the initial performance test. It is assumed that 12 percent of existing engines will be rated at > 500 hp and have previously had an initial performance test conducted and are now required to conduct a subsequent test over the next 3-year period. The agency is expected to experience burden from evaluating these new sources and subsequent testing of existing sources > 500 hp. |
| d We assume it will take 2 hours to review each annual report based on ICR 1975.06 (NESHAP For Stationary Reciprocating Internal Combustion Engines 40 CFR Part 63, Subpart ZZZZ). EPA estimates that emergency engines make up approximately 5 percent of the total SI population and that 50% of these emergency engines are non-certified and required to report hours in non-emergency operation. Of the 253 new engines per year, approximately 2.5% (6.3) are non-certified and required to record hours in non-emergency operation. The number of non-certified emergency engines submitting reports has been incremented since the original ICR (421 respondents in year 1 of ICR #2227.02) to the number of 509 for year 2 of this ICR. We assume 5% of emergency stationary SI ICE will be required to report to conservatively estimate respondent burden for this activity. (509 x 0.05 = 25 (rounded)) |
| e Totals have been rounded to 3 significant figures. Figures may not add exactly due to rounding. |