

Example from Alpha Pilot. Actual Beta Pilot survey may vary from this, but expects the length and the questions will be similar.

Participant Name:

1 Questionnaire for Importers:

1.1 Stage of Participation

1. Rate the difficulty of each stage of your participation in the Pilot:

(If this stage is not applicable to your experience, select N/A)

| 1. | STAGE OF PARTICIPATION IN PILOT | N/A | 1 Not Difficult | 2 Somewhat Difficult | 3 Moderate | 4 Very Difficult | 5 Extremely Difficult |
|----|--|-----|-----------------------|----------------------------|---------------|------------------------|-----------------------------|
| A | Onboarding: Understanding CPSC's expectations and directions, including: Kick-Off meeting, CATAIR Implementation Guide, and Business Rules documents | | | | | | |
| B | Internal planning to approach the project | | | | | | |
| C | Internally gathering and organizing electronic product data (for either the Reference or Full PGA Message Sets) | | | | | | |
| D | Manually entering data into the Registry | | | | | | |
| E | Preparing for use of the Full PGA Message | | | | | | |
| F | Coordinating with your broker to prepare for project to go live | | | | | | |
| G | Coordinating with your broker to sync ACE Cargo Release entry data with Product Registry reference numbers | | | | | | |
| H | Understanding the CBP warning/rejection messages and working with CPSC to resolve those issues | | | | | | |
| I | Providing PGA data on an ongoing/recurring basis throughout the pilot | | | | | | |
| J | Other: | | | | | | |

2. If you indicated a rating of 3 or higher in the previous question, please provide any additional information regarding the issues you faced in that stage.

1.2 Data

3. Please select the way you submitted data:

Product Registry with reference number

Full PGA Message Set

Both

4. Rate the difficulty of providing the mandatory data fields:

| 2. | DATA | 1 Not Difficult | 2 Somewhat Difficult | 3 Moderate | 4 Very Difficult | 5 Extremely Difficult |
|----|--|-----------------------|----------------------------|---------------|------------------------|-----------------------------|
| A | Product Name | | | | | |
| B | Unique Product Identifier: <ul style="list-style-type: none"> • GS1 Global Trade Item Number (GTIN), or • Universal Product Code (UPC), or • Model Number, or • Serial Number, or • Registered Number | | | | | |
| C | Foreign Manufacturer Name and Address | | | | | |
| D | Laboratory Name and Address | | | | | |
| E | Rule to which the finished product has been certified | | | | | |
| F | Alignment of a Laboratory to a Rule | | | | | |
| G | Certificate check box indicating that a required certificate currently exists | | | | | |

5. If you indicated a rating of 3 or higher in the previous question, please provide any additional information regarding the issues you faced in providing the data.

6. Which unique product identification did you prefer? (Select one):

GS1 Global Trade Item Number (GTIN)

Universal Product Code (UPC)

Model Number

Serial Number

Registered Number

7. Is there an additional product identifier you would have preferred to use?

Please explain:

8. Are there any data elements that were not included in the pilot that you would like to see included? If so, why?

9. If provided the opportunity, would you be interested in having the option to file full certificate data in a subsequent (Beta) pilot?

.....Yes

.....No

Please explain:

1.3 CBP and ACE

10. How did CBP's transition to ACE during the eFiling Alpha Pilot impact your ability to begin filing CPSC PGA data?

11. How did CBP's implementation of numerous other PGA Message Set projects during the eFiling Alpha Pilot impact your ability to begin filing CPSC PGA data?

1.4 Burden

12. How did the Product Registry affect the burden of eFiling the CPSC PGA data?

13. What impact, if any, did eFiling have on broker costs to file entry data?

1.5 General Questions

14. Overall, how difficult did you find it to participate in the eFiling Alpha Pilot?

- Not difficult
- Somewhat difficult
- Moderate
- Very difficult
- Extremely difficult

Please Explain:

15. If you experienced delays in filing data during the pilot, were those delays attributable to any of the following:

Software vendor

..... Broker

..... Your company

..... Other

Please explain:

16. What worked the best/the worst in the eFiling Alpha Pilot?

17. What would have made participating in the eFiling Alpha Pilot easier for you?

18. Other comments/feedback:

1.6 Beta Pilot

CPSC staff's future plans include a more robust test that will likely include significantly more participants, which we are calling the Beta Pilot.

19. Are there any IT enhancements that you would recommend to be added to a Beta Pilot?

20. Would you be interested in participating in a Beta Pilot?

..... Yes

..... No

Please explain:

21. How much time did it take for you to complete this Questionnaire?

Broker Name:

Broker to:

2 Questionnaire for Brokers:

1. Rate the difficulty of each stage of your participation in the Pilot

(If this stage is not applicable to your experience, select N/A)

| 1. | STAGE OF PARTICIPATION IN PILOT | N/A | 1 Not Difficult | 2 Somewhat Difficult | 3 Moderate | 4 Very Difficult | 5 Extremely Difficult |
|----|--|-----|-----------------------|----------------------------|---------------|------------------------|-----------------------------|
| A | Onboarding: Understanding CPSC's expectations and directions, including: Kick-Off meeting, CATAIR Implementation Guide, and Business Rules documents | | | | | | |
| B | Internal planning to approach the project | | | | | | |
| C | Application software changes to code the Reference PGA Message Set | | | | | | |
| D | Application software changes to code the Full PGA Message Set | | | | | | |
| E | Coordinating with the Importer(s) to sync ACE Cargo Release entry data with product reference numbers | | | | | | |
| F | Understanding the CBP warning/rejection messages and working with CPSC to resolve those issues | | | | | | |
| G | Providing PGA data on an ongoing/recurring basis throughout the pilot | | | | | | |
| H | Other: | | | | | | |

2. If you indicated a rating of 3 or higher in the previous question, please provide any additional information regarding the issues you faced in that stage.

3. Please select the way you submitted data in ACE:

Reference PGA Message Set (Product Registry Reference Number)

Full PGA Message Set

Both

2.1 CBP and ACE

4. How did CBP's transition to ACE during the eFiling Alpha Pilot impact your ability to begin filing CPSC PGA data?

5. How did CBP's implementation of numerous other PGA Message Set projects during the eFiling Alpha Pilot impact your ability to begin filing CPSC PGA data?

2.2 Burden

6. How did the CPSC Product Registry reduce the burden on brokers to file CPSC's PGA Message Set?

7. What impact, if any, did eFiling have on the cost to file entries for participating clients?

2.3 General Questions

8. Overall, how difficult did you find it to participate in the eFiling Alpha Pilot?

Not difficult

Somewhat difficult

Moderate

Very difficult

Extremely difficult

Please Explain:

9. What worked the best/the worst in the eFiling Alpha Pilot?

10. What would have made participating in the eFiling Alpha Pilot easier for you?

11. Other comments/feedback

2.4 Beta Pilot

CPSC staff's future plans include a more robust test to include significantly more participants, which we are calling the Beta Pilot.

12. Would you be interested in participating in a Beta Pilot?

Yes

No

Please explain:

13. How much time did it take for you to complete this Questionnaire?