



United States

**Consumer Product Safety Commission**

# eFiling Beta Pilot Q&A Session

February 16<sup>th</sup>, 2023

*Disclaimer: This presentation was prepared by CPSC Staff and may not necessarily reflect the views of the Commission.*

Welcome! 

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## Importers and Brokers

Please complete polling questions to sign-in for today's session.

**Polling Question**

What is your name and the name of the company you are representing?

# Meeting Agenda

- eFiling Program Overview
- Certificate Data Elements for eFiling
- eFiling (PGA Message Set) Options
- eFiling Trade Industry Benefits
- Role of Importers
- eFiling IT Development
- eFiling Product Registry Components
  - Creating a Business Account & Product Collections
  - Inviting Users to Collaborate
  - Adding Products to a Collection
  - Editing Products to a Collection
  - Importer Participation Process
- Discussion

# eFiling Program Overview

## What is eFiling?



eFiling is a CPSC initiative that **modernizes how certificate data is processed** by enabling importers of regulated consumer products to electronically file (eFile) certain data elements from a certificate of compliance with U.S. Customs and Border Protection (CBP) and CPSC, via a Partner Government Agency (PGA) Message Set.

## Why eFiling?



Currently, CPSC only asks for certificate data once a product has been identified for exam and, therefore, cannot use certificate data in **its risk assessment and targeting** in advance of a shipment arriving. Once rulemaking is completed, importers of regulated consumer products will **electronically file certain certificate of compliance data** elements with CPSC via the PGA Message Set at Entry, or at Entry Summary if both Entry and Entry Summary are filed together.

## eFiling Program Timeline



# Certificate Data Elements for eFiling

Identification of the finished product

Each consumer product safety rule to which the finished product has been certified

Date when the finished product was manufactured

Place where the finished product was manufactured, produced, or assembled

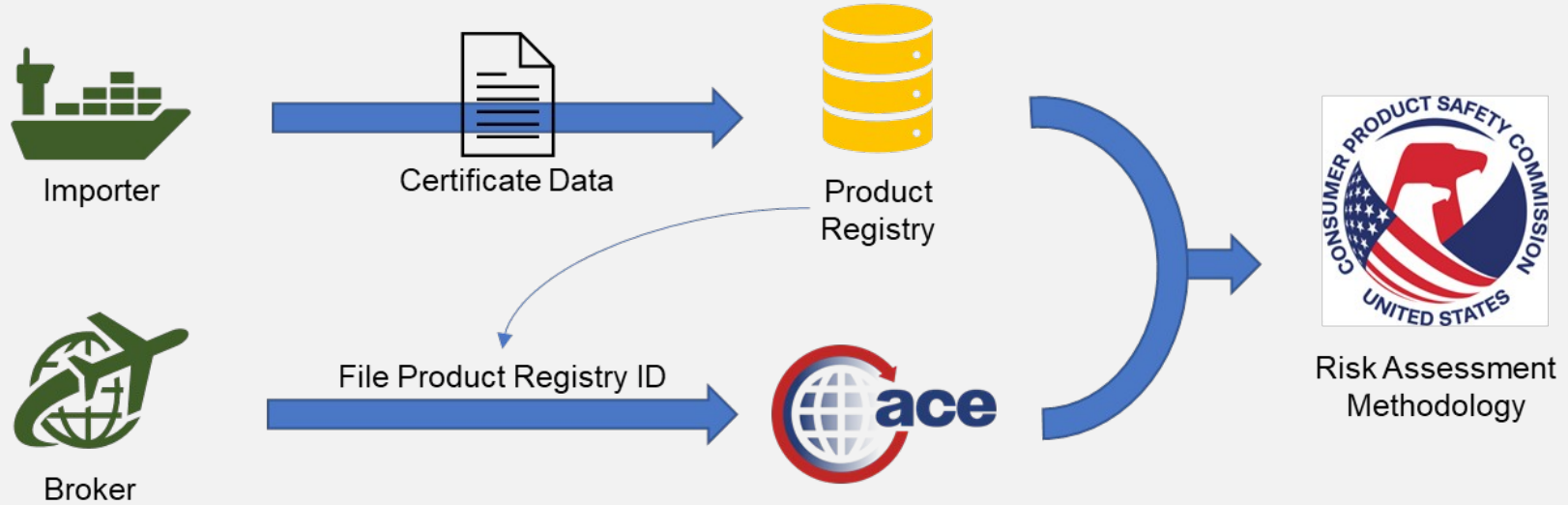
Date when the finished product was most recently tested for compliance

Parties on whose testing a certificate depends

A check box indicating that a required certificate currently exists for the finished product

# eFiling (PGA Message Set) Options




## Option 1: Reference Message Set



## Option 2: Full Message Set



# eFiling Trade Industry Benefits

|   |   |   |
|---|---|---|
| 1 |  Shorter Review Clock                            | <ul style="list-style-type: none"><li>• Beta Pilot participants will benefit from a <b>shorter 2-Way Messaging Clock</b></li><li>• Air and Truck Shipments: <b>4 business hours</b> after entry is filed</li><li>• Ocean Shipments: <b>8 business hours</b> after entry is filed</li></ul>                        |
| 2 |  Reduction in Risk Score                         | <ul style="list-style-type: none"><li>• Beta Pilot participants may see a <b>reduction of their risk score</b> over time based on the amount of data provided</li><li>• Reductions in Risk Scores may result in <b>reduced hold times</b> and <b>fewer exams</b> which may reduce costs to the importer</li></ul> |
| 3 |  No Disruptions to Operations During the Pilot | <ul style="list-style-type: none"><li>• Normal operations will continue with <b>no extra scrutiny or holds</b> during the Beta Pilot</li><li>• If an exam is needed during the Beta Pilot, <b>participants' holds will be prioritized</b></li></ul>   |

# eFiling Trade Industry Benefits

4



**Greater Focus  
on Higher Risk  
Products**

- CPSC's increased focus on higher risk shipments will **result in fewer holds to check for certificates**
- Holds will be more likely **performed for substantial violations** rather than for certificates as certificate data will be provided ahead of time
- Fewer holds may result in **reduced costs to the importer**

5



**Access to CPSC  
Staff During  
the Pilot**

- CPSC Staff will be readily available **to answer product regulation questions** during the Beta Pilot

6



**Inform  
Rulemaking**

- Feedback provided by participants during the Beta Pilot **will be used to inform rulemaking** for full implementation of eFiling



# Role of Importers

Importers play an essential role in the success of the eFiling process through the **oversight and filing** of their data for testing in the Beta Pilot. Specific importer responsibilities are highlighted below:



Identify a broker partner, if not a self-filer



Work with broker partner to identify CPSC regulated products that will be included in the Beta Pilot



Manage certificate data in an electronic format that can be transmitted into the Full Message Set or the Product Registry with broker support

# Importer Participation Process

## Phase I: Participant Onboarding and Training



1  
Notify CPSC of interest  
to participate



2  
Identify a Broker  
partner  
(if applicable)



3  
Complete Beta Pilot  
Onboarding & System  
Training



## Phase II: Beta Pilot Program Participation



6  
Provide periodic  
feedback to CPSC



5  
File PGA  
Message Set



4  
Enter certificate data in  
the Product Registry  
(optional)

# eFiling IT Development



CPSC is actively consulting with a **working group comprised of 9 importers** to inform the development of the eFiling Product Registry

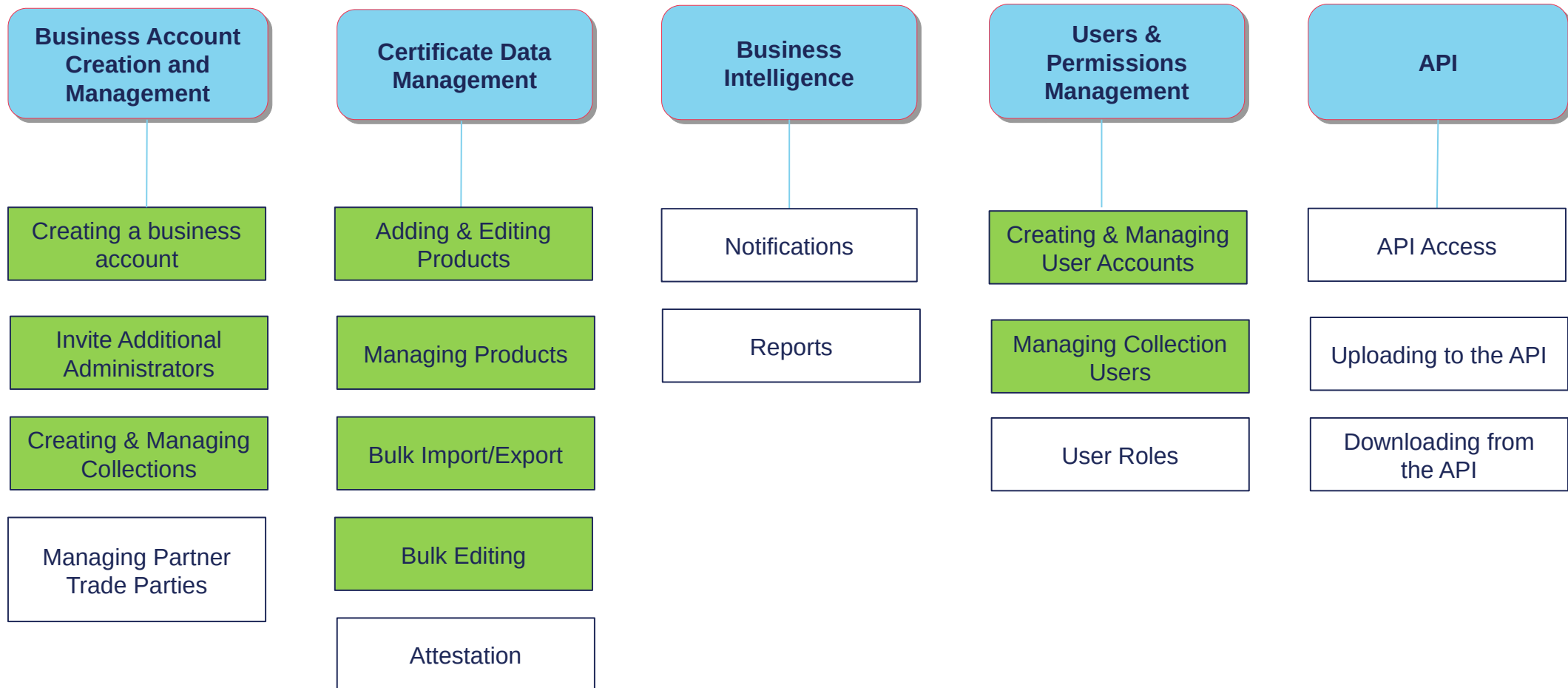
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## Product Registry Working Group

- Comprised of 9 importers (and their broker/industry partners) who are also participants in the Beta Pilot
- Participate in bi-weekly IT development meetings to provide iterative feedback on the development of the Product Registry including:
  - System Requirements
  - Functionality
  - Data Elements
- Test the integration of the Product Registry to their internal systems which will serve as a blueprint for the remaining Beta Pilot participants

The bi-weekly IT development meetings are posted to the CPSC calendar and are open to the public to join and listen in on the discussion

# eFiling Product Registry Components



 Reviewed to Date

# Creating a Business Account & Product Collection\*

eFiling > Company information Jane Doe

**Company Information** EDIT

Name: Storm Imports  
Email: compliance@stormimports.com  
Phone number: 555-555-1234  
Website: www.stormimports.com  
Importer ID: 256-7325

**Company Account Admins** ADD A USER

| User ID               | Name     | Phone        | Role          | Company   |
|-----------------------|----------|--------------|---------------|---|
| jane@stormimports.com | Jane Doe | 555-555-1134 | Account Admin | Storm Imports <span style="float: right;">EDIT</span> |

**Company Product Collections** CREATE A REGISTRY

No product collections have been created yet

\*Screenshots are for demonstration purposes and are subject to change as development continues

# Adding Products to a Collection\*

eFiling > Storm Solutions products > Add Products Jane Doe

Dashboard

Registries

Help

Settings

Product Testing Attestation

**Product Details**

Product Name\*  Trade/Brand Name\*

Product ID Type\*  Product ID\*

Additional Product ID and Type

|     |            |
|-----|------------|
| UPC | 1212-2342  |
| SKU | 1212212342 |
| UPC | 1212-2342  |
| SKU | 1212212342 |

[+ ADD PRODUCT IDENTIFIER](#)

**Product Description**

Save and close

\*Screenshots are for demonstration purposes and are subject to change as development continues

# Editing Products in a Collection\*

eFiling > Storm Solutions products Jane Doe

Company name: Storm Solutions Certifier ID: Storm-Imports-West INVITE A USER MANAGE USERS

### STORM SOLUTIONS PRODUCTS

Import Export ADD PRODUCTS

Select All Bulk Actions Search All Enter a date range Status Complete

MM/DD/YYYY - MM/DD/YYYY

| Product ID   | Product Name                | Version        | Trade/Brand Name | Last Test Date | Manufacturer Name  | Status   |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
|--|-----------------------------|----------------|------------------|----------------|--------------------|----------|---------|-----|-----------|-----|-----------|-----|-----------|----------|-------------------------|----------------|-----------|----------------------------|-------|--------------|------------|----------------------|--|-------|------------|--------------------------|-------|-------|------------|
| <input type="checkbox"/> <a href="#">5895-9946</a>   | Brown Coffee Table          | HE6KE7         | AromaStore       | 4/14/2023      | Graph Matic        | Complete | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <div style="display: flex; justify-content: space-between;"><div><b>Product Details</b><p>Additional IDs:</p><table border="1"><tr><td>UPC</td><td>765234823</td></tr><tr><td>SKU</td><td>927364382</td></tr><tr><td>UPC</td><td>590467393</td></tr></table><p>Product / Model Description: Coffee table<br/>Product / Model Color: Brown<br/>Product / Model: Brown Modern</p></div><div><b>Manufacturer Details</b><p>Manufacturer Name: Graph Matic<br/>Manufacturer GLN:<br/>Manufacture Date: 2/14/2023<br/>Production Start Date: 4/14/2023<br/>Production End Date: 8/14/2023</p></div><div><b>Testing Details</b><table border="1"><thead><tr><th>Lab Name</th><th>CPSC ID (if accredited)</th><th>Citation Codes</th><th>Test Date</th></tr></thead><tbody><tr><td>Vartest Laboratories, Inc.</td><td>1203C</td><td>19463, 45343</td><td>05/16/2023</td></tr><tr><td>Cypress Laboratories</td><td></td><td>19882</td><td>05/16/2023</td></tr><tr><td>Clara Laboratories, Inc.</td><td>1345C</td><td>34587</td><td>05/16/2023</td></tr></tbody></table><p>Certificate: GCC<br/>Attestation Complete: Yes</p></div></div> |                             |                |                  |                |                    |          |         | UPC | 765234823 | SKU | 927364382 | UPC | 590467393 | Lab Name | CPSC ID (if accredited) | Citation Codes | Test Date | Vartest Laboratories, Inc. | 1203C | 19463, 45343 | 05/16/2023 | Cypress Laboratories |  | 19882 | 05/16/2023 | Clara Laboratories, Inc. | 1345C | 34587 | 05/16/2023 |
| UPC  | 765234823                   |                |                  |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| SKU  | 927364382                   |                |                  |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| UPC  | 590467393                   |                |                  |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| Lab Name   | CPSC ID (if accredited)     | Citation Codes | Test Date        |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| Vartest Laboratories, Inc.   | 1203C                       | 19463, 45343   | 05/16/2023       |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| Cypress Laboratories   |                             | 19882          | 05/16/2023       |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| Clara Laboratories, Inc.   | 1345C                       | 34587          | 05/16/2023       |                |                    |          |         |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <input type="checkbox"/> <a href="#">5828-6922</a>   | Heavy Duty Storage Shelving | 69RIHC         | Repair Group Co  | 4/6/2023       | Altra Systems      | Expired  | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <input type="checkbox"/> <a href="#">2649-3447</a>   | Hawaiian Shirts             | PN3IZ3         | Konex            | 4/26/2023      | Armstrong and Sons | Complete | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <input type="checkbox"/> <a href="#">8755-5243</a>   | Shaggy Rugs                 | 08BL01         | Harber-Hilpert   | 11/30/2023     | Cole Ltd           | Complete | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <input type="checkbox"/> <a href="#">4438-2579</a>   | Vanilla Candle              | ECS7OS         | Wyman LLC        | 12/8/2023      | Boyer LLC          | Complete | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |
| <input type="checkbox"/> <a href="#">2536-3948</a>   | Leather Crossbody Bags      | QY0APQ         | Shanahan Inc     | 2/28/2023      | Haag Group         | Complete | Actions |     |           |     |           |     |           |          |                         |                |           |                            |       |              |            |                      |  |       |            |                          |       |       |            |

Results: 200 Items per page: 10 Page 1 of 20

\*Screenshots are for demonstration purposes and are subject to change as development continues

# Inviting a User to Collaborate\*

The screenshot displays the eFiling interface for 'Storm Solutions products'. The user is logged in as Jane Doe. The interface includes a sidebar with navigation options: Dashboard, Registries, Help, and Settings. The main content area shows the 'STORM SOLUTIONS PRODUCTS' table with columns for Products ID, Version#, Description, and Status. A modal dialog titled 'Invite a User' is open, allowing the user to enter an email address (jack@stormimports.com) and a role (Editor). The dialog has 'SEND INVITATION' and 'CANCEL' buttons. The table below the dialog shows 10 rows of product data, all with a 'Status' of 'Incomplete'.

Company name: Storm Solutions Certifier ID: 123-2323

INVITE A USER MANAGE USERS

STORM SOLUTIONS PRODUCTS Import Export ADD PRODUCTS

Select All Bulk Actions Search All Enter a date range Status Complete

| Products ID  | Version# | Description  | Status     |
|--|----------|--|------------|
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Complete   |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Complete   |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Complete   |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Complete   |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Incomplete |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | ipsum dolor sit amet...  | Incomplete |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet... | Incomplete |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet... | Incomplete |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet... | Incomplete |
| <input type="checkbox"/> <a href="#">1234-1212</a> | 1.2      | Furniture Storm Solutions 11/22/22 Lorem ipsum dolor sit amet... | Incomplete |

Results: 200 Items per page: 10 Page 1 of 20

\*Screenshots are for demonstration purposes and are subject to change as development continues



# Discussion

## Open Forum for Participant Questions

If you have questions, please do one of the following:



Raise your hand



Type your question in the chat box

Please complete the polling question to share your participation interest.

### Polling Question

Are you interested in being an eFiling Beta Pilot participant?

- A. Yes – I would like to be a participant, please contact me.
- B. Maybe – I need more time to discuss internally before deciding.

# Thank You

- If your organization is interested in participating in the eFiling Beta Pilot, please send an email to: [eFilingPilot@cpsc.gov](mailto:eFilingPilot@cpsc.gov)
  - In your email, please indicate your intent to participate and identify industry partner(s) with whom you will be participating
- Additional questions can be submitted to the eFiling mailbox: [eFilingPilot@cpsc.gov](mailto:eFilingPilot@cpsc.gov)
- Additional information and resources on the eFiling initiative are available on the CPSC webpage: [www.CPSC.gov/efiling](http://www.CPSC.gov/efiling)