Pre-Waiver/Post-Waiver Comparison

The State will compare two groups of specific SNAP participants to assess the changes in pa

Pre-ESAP Research Cohort

The research cohort includes all households that meet ESAP criteria and received SNAP bef
implemented. For the pre-ESAP period, the State shall identify all households comprised or
members with no earned income who were participating in SNAP in **[Month]**. These house
research cohort for the pre-ESAP comparison period. For each case identified, the State wil
information in the template below for each month between **[Month]** and **[Month]**:

Elderly and/or Disabled Households with No Earned Income before ESAP Implementation

Table A: Elderly and/or Disabled Households with No Earned Income before ESAP I	Month 1	Month 2	Month 3
Total Cases in **[Month 1]**:	This number would not c		
Number of households that completed the periodic report			
Number of households that left SNAP in reporting month due to:			
Failure to return periodic report			
Failure to recertify			
Ineligibility (death)			
Ineligibility (other)			
Number of closed cases that return to SNAP in reporting month			
Average months off program			
Closure Reason for reopened cases			
Failure to return periodic report			
Failure to recertify			
Ineligibility (other)			
Number of cohort cases on SNAP in reporting month			

Post Implementation ESAP Cohort

Once the ESAP is in effect, the State will identify an ESAP cohort for the post-ESAP compa
will include all ESAP cases participating 4 months after the start of the Project. The State w

will include all ESAP cases participating 4 months after the start of the Project. The State w
data points, with the exception of data about interim reporting, since this requirement is wai
ESAP cases that close due to failure to recertify, the State will need to follow the ESAP coh
implementation.

FNS recommends collecting this data for all households, not a sample of 200.

Elderly and/or Disabled Households with No Earned Income after ESAP Implementation Te

Table B: Elderly and/or Disabled Households with No Earned Income After ESAP Im			
	Month 1	**Month 2**	**Month 3**
Total Cases in [**insert Month that is 4 Months after ESAP Implementation**]	This number would not c		
Number of households that left SNAP in reporting month due to:			
Failure to recertify			
Ineligibility (death)			
Ineligibility (other)			
Number of closed cases that return to SNAP in reporting month			
Average months off program			
Closure Reason for reopened cases			
Failure to recertify*			
Ineligibility (other)			
Number of cohort cases on SNAP in reporting month			

*This data will not be available until the earliest SNAP case that was transferred to the Proj

articipation

fore the ESAP is
aly of elderly and/or disabled
eholds will become the
l provide the following

Template

mplementation		
Month 4	…	Final Month
hange over time		

arison. The ESAP cohort
ill collect the same monthly

emplate

plementation		
Month 4	…	Final Month
hange over time		

ect comes up for renewal.

Month 1 = 4 months after ESAP implementation

Certifications/Recertification (broken out by fiscal year):

For all Project applications, the State shall provide:

1. Number of initial certification applications approved.
2. Number of initial certification applications denied.
3. Number of recertification applications approved.
4. Number of recertification applications denied.
5. How many of the above recertifications required an interview.
6. The total number of ESAP participants.

Certification/ Recertification Reporting Template

Table 1: Certification/Recertification Data (All ESAP applications for F			
	Approved		Den
	Interviewed	Not Interviewed	Interviewed
Initial Certification		NA	
Recertification			
Total			

Total Number of ESAP Participants (in fiscal year):

FY)
nied
Not Interviewed

Quality Control and Error Rates: (broken out by fiscal year)

Please note: When submitting reports to FNS, do NOT include clients' Personally Identifiable Information (I

1. The State will randomly sample 200 active ESAP cases. The State will review the applications f

2. The State will randomly sample 100 denied and terminated ESAP applications, and review the a

3. The State shall perform a full quality control review based on the Quality Control 310 Handboo

4. For active error rates, the State will provide payment accuracy information with a brief descript

5. The State will also provide information on all active cases where a variance in payment was dis
spreadsheet. Unlike the error threshold (FY 2019 = $37) used in the calculation of the active error
over or under the true benefit the household should have received. Additional rows may be added

6. For negative cases, the State will provide the CAPER rate, and the reason for denial, as either p
verification or additional information) or client ineligibility (due to income, fleeing felon, drug co
resources).

7. The State may conduct these reviews via telephone.

8. The State should report on all errors by case.

Error Rate Reporting Template

Table 2: Active Error Rate (n = 200 active cases)

Active Cases	Overpayment (Based on error threshold)		Underpayment (Based on error thresh	
	Number of Cases	**Average $ Amount**	**Number of Cases**	**Aver Amc**
Value				
Cause of Error	**Overpayment (Number of Cases)**		**Underpayment (Number of Cases**	
Household Composition				
Medical Deduction Error				
Shelter Deduction Error				
Earned Income*				
Unearned Income				
Total Number of Errors				

* Also ineligible for Project, error calculated according to normal program rules.

Payment Error Rate for ESAP cases (in fiscal year):

CAPER Rate for ESAP cases (in fiscal year):

Quality Control Reporting Template

Table 3: Quality Control Summary (n = 100 negative cases)

Cases Denied & Terminated	New Certification (Number of Cases)	Recertification (Number of Cases)
Procedural Denial		
Incomplete Application		
Failure to Provide Verification		
Ineligibility Denial		
Income		
Fleeing Felon		
Drug Felony		
Lottery/Gambling Winnings		
Resources		
Client Request		
Alien Status		
ESAP Ineligible (e.g. not a senior, has earned income)*		

* Number of ESAP ineligible cases which were then processed under "normal" program

Payment Variance Worksheet

Client	Type of Case	Client Contact	Overpayment or Underpayment		Cause
	Certification or Recertification C/R	Interviewed Y/N	Over or Under	Dollar Amount	Household Composition
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					

Payment Variance Worksheet
case where the client received an over or underpayment of any amount.

Notes on Variance Worksheet:

PII).

for payment accuracy.
applications for the negative error rate.
k.
ion of the source of the error.

covered in the below payment variance
rate, payment variance is any amount
, if needed.

rocedural (e.g. failure to provide
nvict, alien status, and self-reported

	Total Payment Error (Based on error threshold)	
age $ ount	Number of Cases	Average $ Amount
)	Total Errors　　　(Number of Cases)	

| | | Outside of | |

	Periodic Report (Number of Cases)	Outside of Cert/Recert/Periodic Report (Number of Cases)	Total

·am rules:

			Include any

se of Error

(Check all that apply)

Medical Deduction Error	Shelter Deduction Error	Earned Income	Unearned Income

Timeliness: (broken out by fiscal year)

Please note: When submitting reports to FNS, do NOT include clients' Personally Identifiable Information (PII).

For the 200 active cases:

1. The State should differentiate between expedited and regular 30-day applications and indicate the num type of application processed within the statutory requirements for application processing.

2. The State should report the percent of all Project participants processed within current timeliness stan

Timeliness Reporting Template

Table 4: Initial Timeliness (n = 100)
Number of normal applications process within 30 days
Number of expedited application processed within 7 days
Percent of all cases processed within statutory timeframes (APT rate for ESAP cases)

Table 5: Recertification Timeliness (n = 100)
Number of normal applications process within 30 days
Number of expedited application processed within 7 days
Percent of all cases processed within statutory timeframes (APT rate for ESAP cases)

mber of each

idards.