

Under the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number

**PROCESSING FEE  
UNDER 37 CFR 1.17(f), (g)(1), (g)(2), &  
(h) TRANSMITTAL**

(Fees are subject to annual revision)

[Page 1 of 2]

Application Number

Filing Date

First Named Inventor

Art Unit

Examiner Name

Practitioner Docket No.

**Enclosed is a petition filed under 37 CFR \_\_\_\_\_ that requires a processing fee (37 CFR 1.17(f), (g), or (h)). Payment of \$ \_\_\_\_\_ is enclosed.**This form should be included with the above-mentioned petition and faxed or mailed to the Office using the appropriate Mail Stop (e.g., Mail Stop Petition), if applicable. *For transmittal of processing fees under 37 CFR 1.17(i), see form PTO/SB/17i.* Applicant asserts small entity status. See 37 CFR 1.27. Applicant certifies micro entity status. See 37 CFR 1.29.

Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously.

**Payment of Fees** The Commissioner is hereby authorized to charge the following fees to Deposit Account No. \_\_\_\_\_: petition fee under 37 CFR 1.17(f), (g) or (h) any deficiency of fees and credit of any

overpayments

 Check in the amount of \$ \_\_\_\_\_ is enclosed. Payment via USPTO patent electronic filing system. Payment by credit card (Form PTO-2038 or equivalent is enclosed). Do not provide credit card information on this form.**Processing Fees Under 37 CFR 1.17(f):****Undiscounted Fee \$450 (Fee Code 1462)    Small Entity Fee \$180 (Fee Code 2462)    Micro Entity Fee \$90 (Fee Code 3462)**

For papers filed under:

§ 1.36(a) - for revocation of a power of attorney by fewer than all of the applicants.

§ 1.53(e) - to accord a filing date.

§ 1.182 - for decision on a question not specifically provided for in an application for patent.

§ 1.183 - to suspend the rules in an application for patent.

§ 1.741(b) - to accord a filing date to an application under § 1.740 for extension of a patent term.

§ 1.1023(b) - to review the filing date of an international design application.

**Processing Fees Under 37 CFR 1.17(g)(1):****Undiscounted Fee \$235 (Fee Code 1463)    Small Entity Fee \$94 (Fee Code 2463)    Micro Entity Fee \$47 (Fee Code 3463)**

For papers filed under:

§ 1.12 - for access to an assignment record.

§ 1.14 - for access to an application.

§ 1.46 - for filing an application on behalf of an inventor by a person who otherwise shows sufficient proprietary interest in the matter.

§ 1.55(f) - for filing a belated certified copy of a foreign application.

§ 1.55(g) - for filing a belated certified copy of a foreign application.

§ 1.57(a) - for filing a belated certified copy of a foreign application.

§ 1.59 - for expungement of information.

§ 1.136(b) - for review of a request for extension of time when the provisions of § 1.136(a) are not available.

§ 1.377 - for review of decision refusing to accept and record payment of a maintenance fee filed prior to expiration of a patent.

§ 1.550(c) - for patent owner requests for extension of time in *ex parte* reexamination proceedings.§ 1.956 - for patent owner requests for extension of time in *inter partes* reexamination proceedings.

§ 5.12 - for expedited handling of a foreign filing license.

§ 5.15 - for changing the scope of a license.

§ 5.25 - for retroactive license.

A Federal agency may not conduct or sponsor, and a person is not required to respond to, nor shall a person be subject to a penalty for failure to comply with an information collection subject to the requirements of the Paperwork Reduction Act of 1995, unless the information collection has a currently valid OMB Control Number. The OMB Control Number for this information collection is 0651-0059. Public burden for this form is estimated to average 5 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the information collection. Send comments regarding this burden estimate or any other aspect of this information collection, including suggestions for reducing this burden to the Chief Administrative Officer, United States Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450 or email [InformationCollection@uspto.gov](mailto:InformationCollection@uspto.gov). **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.** If filing this completed form by mail, send to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**PROCESSING FEE  
UNDER 37 CFR 1.17(f), (g)(1), (g)(2), & (h)  
TRANSMITTAL**

[Page 2 of 2]

**Processing Fees Under 37 CFR 1.17(g)(2)(i):**

**Undiscounted Fee \$300 (Fee Code 14FS)    Small Entity Fee \$120 (Fee Code 24FS)    Micro Entity Fee \$60 (Fee Code 34FS)**

For papers filed under:

§ 1.103(a) - for suspension of action for cause (first request).

**Processing Fees Under 37 CFR 1.17(g)(2)(ii):**

**Undiscounted Fee \$450 (Fee Code 14SS)    Small Entity Fee \$180 (Fee Code 24SS)    Micro Entity Fee \$90 (Fee Code 34SS)**

For papers filed under:

§ 1.103(a) - for suspension of action for cause (second and subsequent requests).

**Processing Fees Under 37 CFR 1.17(h):**

**Undiscounted Fee \$150 (Fee Code 1464)    Small Entity Fee \$60 (Fee Code 2464)    Micro Entity Fee \$30 (Fee Code 3464)**

For papers filed under:

§ 1.19(g) - to request documents in a form other than provided in this part.

§ 1.84 - for accepting color drawings or photographs.

§ 1.91 - for entry of a model or exhibit.

§ 1.102(d) - to make an application special.

§ 1.138(c) - to expressly abandon an application to avoid publication.

§ 1.313 - to withdraw an application from issue.

SUBMITTED BY Signature	Registration No. (Attorney/Agent)	Telephone
Name (Print/Type)		Date

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013). <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>

Routine uses of the information in this record may include disclosure to:

- 1) law enforcement, in the event that the system of records indicates a violation or potential violation of law;
- 2) a federal, state, local, or international agency, in response to its request;
- 3) a contractor of the USPTO having need for the information in order to perform a contract;
- 4) the Department of Justice for determination of whether the Freedom of Information Act (FOIA) requires disclosure of the record;
- 5) a Member of Congress submitting a request involving an individual to whom the record pertains, when the individual has requested the Member's assistance with respect to the subject matter of the record;
- 6) a court, magistrate, or administrative tribunal, in the course of presenting evidence, including disclosures to opposing counsel in the course of settlement negotiations;
- 7) the Administrator, General Services Administration (GSA), or their designee, during an inspection of records conducted by GSA under authority of 44 U.S.C. 2904 and 2906, in accordance with the GSA regulations and any other relevant (i.e., GSA or Commerce) directive, where such disclosure shall not be used to make determinations about individuals;
- 8) another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c));
- 9) the Office of Personnel Management (OPM) for personnel research purposes; and
- 10) the Office of Management and Budget (OMB) for legislative coordination and clearance.

If you do not furnish the information requested on this form, the USPTO may not be able to process and/or examine your submission, which may result in termination of proceedings, abandonment of the application, and/or expiration of the patent.