

Federal Financial Institutions Examination Council



## Foreign Branch Report of Condition—FFIEC 030

### Report at the close of business

Month / Day / Year

This report is required by law (12 U.S.C. § 321, 324, and 602 (FRB); 12 U.S.C. § 161 and 602 (OCC); and 12 U.S.C. § 1828 (FDIC)).

The Federal Financial Institutions Examination Council regards the information provided by each respondent as confidential. If it should subsequently be determined that any information

collected on this form must be released, respondents will be notified.

A Federal agency may not conduct or sponsor, and an organization is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

### Name of Bank

Name (FORB 9017)

Street Address (FORB 9028)

City (FORB 9130)

State (FORB 9200)

### Foreign Branch

Name (FORB Q050)

Street Address (FORB W913)

City / Town (FORB W914)

Country (FORB 9005)

The FFIEC 030 is to be prepared in accordance with federal regulatory authority instructions and must be signed by an authorized officer of the parent U.S. institution. The authorized officer may be the chief financial officer or equivalent senior officer.

I, the undersigned authorized officer of the named parent U.S. institution attest that the FFIEC 030 report (including any consolidated branches) for this report date has been prepared in conformance with the instructions issued by the federal regulatory authority and is true and correct to the best of my knowledge and belief.

### To be completed for the December report only.

Indicate whether the branch(es) meets the annual or quarterly filing criteria for December (Enter "1" for annual; enter "2" for quarterly) .....

|      |  |
|------|--|
| FORB |  |
| 6909 |  |

Printed Name of Authorized Officer (FORB C490)

Signature of Authorized Officer (FORB H321)

Title of Authorized Officer (FORB C491)

Date of Signature (MM/DD/YYYY) (FORB J196)

**Unless this report is submitted electronically, the completed and signed original hard copy report form should be submitted to the appropriate Federal Reserve District Bank.**

## Consolidation Option

Foreign branches that meet the threshold for reporting on a quarterly basis must not be consolidated with any other branch. Banks may, at their option, consolidate the figures for all other branches located in the same country on the report form, using the name and address of the principal branch. If the bank elects to consolidate, complete the following statement.

Figures reported in this report are a consolidation of all \_\_\_\_\_ branches in \_\_\_\_\_ with the exception  
Number (FORB 2991) Country (FORB W917)  
of those branches which meet the thresholds for quarterly reporting.

**Please list the consolidated branches on the lines below:**

|                                     |                           |
|-------------------------------------|---------------------------|
| _____<br>Street Address (FORB FS64) | _____<br>City (FORB FS65) |
| _____<br>Street Address (FORB FS64) | _____<br>City (FORB FS65) |
| _____<br>Street Address (FORB FS64) | _____<br>City (FORB FS65) |
| _____<br>Street Address (FORB FS64) | _____<br>City (FORB FS65) |
| _____<br>Street Address (FORB FS64) | _____<br>City (FORB FS65) |

(If more space is needed, please list addresses for the other branches on a separate page.)

DRAFT

# Schedule RAL--Assets and Liabilities

| U.S. Dollar Amounts in Thousands               |                                                                                                                   | FORB | Amount |       |
|------------------------------------------------|-------------------------------------------------------------------------------------------------------------------|------|--------|-------|
| <b>Assets</b>                                  |                                                                                                                   |      |        |       |
| 1.                                             | Cash items in process of collection, unposted debits, and currency and coin .....                                 | 0022 |        | 1.    |
| 2.                                             | Balances due from U.S. banks (including IBFs and foreign branches of U.S. banks) other than the U.S. parent ..... | 0033 |        | 2.    |
| 3.                                             | Balances due from foreign banks (including U.S. branches and agencies of foreign banks and their IBFs) .....      | 0034 |        | 3.    |
| 4.                                             | Securities:                                                                                                       |      |        |       |
| a.                                             | Securities and obligations of U.S. government and states and political subdivisions in the U.S. ...               | 6597 |        | 4.a.  |
| b.                                             | Other securities (debt and equity) .....                                                                          | 0423 |        | 4.b.  |
| 5.                                             | Trading assets .....                                                                                              | 2146 |        | 5.    |
| 6.                                             | Loans and lease financing receivables:                                                                            |      |        |       |
| a.                                             | Loans secured by real estate .....                                                                                | 1410 |        | 6.a.  |
| b.                                             | Loans to depository institutions .....                                                                            | 2237 |        | 6.b.  |
| c.                                             | Commercial and industrial loans .....                                                                             | 1600 |        | 6.c.  |
| d.                                             | All other loans and all leases .....                                                                              | 2024 |        | 6.d.  |
| e.                                             | Less: unearned income on loans in items 6.a.-d. above .....                                                       | 2123 |        | 6.e.  |
| f.                                             | Total loans and leases, net .....                                                                                 | 2122 |        | 6. f. |
| 7.                                             | Premises and fixed assets (including capitalized leases) .....                                                    | 2145 |        | 7.    |
| 8.                                             | Gross due from head office, U.S. branches, and other foreign branches of this bank .....                          | C482 |        | 8.    |
| 9.                                             | Gross due from consolidated subsidiaries of this bank .....                                                       | C483 |        | 9.    |
| 10.                                            | Other assets .....                                                                                                | 2160 |        | 10.   |
| 11.                                            | TOTAL ASSETS .....                                                                                                | 2170 |        | 11.   |
| <b>Liabilities</b>                             |                                                                                                                   |      |        |       |
| 12.                                            | Deposits of U.S. banks (including IBFs and foreign branches of U.S. banks) .....                                  | 2623 |        | 12.   |
| 13.                                            | Deposits of foreign banks (including U.S. branches and agencies of foreign banks and their IBFs) ..               | 2625 |        | 13.   |
| 14.                                            | Other deposits .....                                                                                              | 2238 |        | 14.   |
| 15.                                            | Liabilities for borrowed money .....                                                                              | 2239 |        | 15.   |
| 16.                                            | Gross due to head office, U.S. branches, and other foreign branches of this bank .....                            | C485 |        | 16.   |
| 17.                                            | Gross due to consolidated subsidiaries of this bank .....                                                         | C486 |        | 17.   |
| 18.                                            | Other liabilities .....                                                                                           | 2930 |        | 18.   |
| 19.                                            | TOTAL LIABILITIES .....                                                                                           | 2950 |        | 19.   |
| <b>Derivatives and Off-Balance-Sheet Items</b> |                                                                                                                   |      |        |       |
| 20.                                            | Commitments to purchase foreign currencies and U.S. dollar exchange (spot, forward, and futures)                  | 3415 |        | 20.   |
| 21.                                            | All other futures and forward contracts (excluding contracts involving foreign exchange) .....                    | 6836 |        | 21.   |
| 22.                                            | Option contracts (e.g., options on Treasuries):                                                                   |      |        |       |
| a.                                             | Written option contracts .....                                                                                    | 6837 |        | 22.a. |
| b.                                             | Purchased option contracts .....                                                                                  | 6838 |        | 22.b. |
| 23.                                            | Standby letters of credit and foreign office guarantees .....                                                     | 6839 |        | 23.   |
| 24.                                            | Commercial and similar letters of credit .....                                                                    | 3411 |        | 24.   |
| 25.                                            | Notional value of all outstanding interest rate swaps .....                                                       | 3450 |        | 25.   |
| <b>Memoranda</b>                               |                                                                                                                   |      |        |       |
| 26.                                            | TOTAL INTEREST-BEARING BALANCES included in items 2 and 3 .....                                                   | 0071 |        | 26.   |
| 27.                                            | TOTAL INTEREST-BEARING DEPOSITS included in items 12, 13, and 14 .....                                            | 6636 |        | 27.   |

← See Insert A

Insert A

## Schedule RAL--A Due From, Due To, and Other

|                                                                                        | FORB | Amount |
|----------------------------------------------------------------------------------------|------|--------|
| 1. Gross due from head office, U.S. branches, and other foreign branches of this bank: |      |        |
| 1.a. Head office and U.S. branches of this bank.....                                   | PR53 |        |
| 1.b. Other foreign branches of this bank.....                                          | PR54 |        |
| 2. Gross due from consolidated subsidiaries of this bank:                              |      |        |
| 2.a. Consolidated subsidiaries of this bank in the U.S. ....                           | PR55 |        |
| 2.b. Consolidated subsidiaries of this bank in foreign countries .....                 | PR56 |        |
| 3. Gross due to head office, U.S. branches, and other foreign branches of this bank:   |      |        |
| 3.a. Head office and U.S. branches of this bank.....                                   | PR57 |        |
| 3.b. Other foreign branches of this bank.....                                          | PR58 |        |
| 4. Gross due to consolidated subsidiaries of this bank:                                |      |        |
| 4.a. Consolidated subsidiaries of this bank in the U.S. ....                           | PR59 |        |
| 4.b. Consolidated subsidiaries of this bank in foreign countries .....                 | PR60 |        |
| 5. Assets that are claims on U.S. addressees other than depository institutions.....   | 1369 |        |
| 5.a. Loans secured by real estate .....                                                | PV51 |        |
| 5.b. Loans to nondepository institutions .....                                         | PV52 |        |
| 5.c. Commercial and industrial loans .....                                             | PV53 |        |
| 5.d. All other loans and all leases .....                                              | PV54 |        |
| 6. Liabilities to U.S. addressees other than depository institutions.....              | 2964 |        |