

Date: October 28, 2024

OMB Control No.: 3235-0672

Subject: Non-Material Change to Tips, Complaints, Referrals System

Agency: US Securities and Exchange Commission (SEC)

The Office of Market Intelligence (OMI) within the Division of Enforcement of the U.S. Securities and Exchange Commission operates the Tips, Complaints, Referrals (TCR) System, which records and tracks information about potential securities law violations. The TCR system provides a form for members of the public to submit tips, complaints, and referrals to the SEC.

In the coming weeks, OMI will implement certain non-material changes to the TCR System, including the public-facing form. Form changes include minor cosmetic changes, corrections of typos, and limits to quantities for some fields.