

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055 REPORTING**

	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
2														
3	Previously Approved ICR		Review of Regulations					Comparison with Current OMB Inventory						
4	Section of Regulation/Form	Title	Section of Regulation/Form	Title	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment	Change in Burden Hours Due to Program Change	Total Difference in Burden Hours	Notes
5			A	B	C	D	E = C x D	F	G = E x F	H	I = G - H	J	K	
6	STATE/LOCAL/TRIBAL GOVERNMENT LEVEL		STATE/LOCAL/TRIBAL GOVERNMENT LEVEL											
7	State Agencies		State Agencies											
8	226.3(c)	SAs that have been approved to administer the Program must enter into written agreement with FNS for the administration of the Program in the State (Federal/State agreement).	226.3(c)	SAs that have been approved to administer the Program must enter into written agreement with FNS for the administration of the Program in the State (Federal/State agreement).	19	0	0	1.00	0.00	0.00		0.00	0.00	In this ICR, this burden is 0 hours because the burden for completing the FNS-74 form will be captured under the upcoming renewal of the SAE Funds ICR, OMB #0584-0067.
9	226.6(b)(1)	SAs must review applications submitted by new institutions.	226.6(b)(1)	SAs must review applications submitted by new institutions.	56	5	280	1.00	280.00	280.00		0.00	0.00	Burden of the State agency to review the application of new institutions.
10	226.6(b)(2)	SAs must review updated documentation submitted by renewing institutions.	226.6(b)(2)	SAs must review updated documentation submitted by renewing institutions.	56	390	21,840	0.50	10,920.00	10,920.00		0.00	0.00	Burden of the State agency to review updated documentation from renewing institutions.
11			226.6(b)(2)(iii)(D)(2)	SAs must develop a process to share information on any institution, facility, or FPIs not approved to administer or participate in the programs as described under paragraph (b)(2)(iii)(A)(1) of this section. The SA must work closely with any other Child Nutrition Program SA within the State to ensure information is shared for program purposes and on a timely basis. The process must be approved by FNS.	56	1	56	1	56.00	0	0	56.00	56.00	
12			226.6(b)(2)(iii)(L)	SA must ensure that the MSSOs operations, as described in paragraph (b)(1)(xviii), are up-to-date. If the MSSO has facilities not previously reported to the SA, as described in paragraph (b)(1)(xviii), the MSSO must update the information.	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
13	226.6(b)(3)	SAs must notify an institution of approval or disapproval of its application within 30 calendar days of receipt of a complete application. The agreement shall contain an assurance that the State agency will comply with policy, instructions, guidance, and handbooks issued by FNS.	226.6(b)(3)	SAs must notify an institution of approval or disapproval of its application within 30 calendar days of receipt of a complete application. The agreement shall contain an assurance that the State agency will comply with policy, instructions, guidance, and handbooks issued by FNS.	56	15	840	0.25	210.00	210.00		0.00	0.00	
14	226.6(b)(4)	SAs must enter into written agreement with an institution that has been approved for participation in the Program (State/Institution agreement).	226.6(b)(4)	SAs must enter into a permanent written agreement with an institution that has been approved for participation in the Program (State/Institution agreement).	56	5	280	0.50	140.00	140.00		0.00	0.00	
15	226.6(c)(1)(iii)(A) 226.6(c)(2)(iii)(A) 226.6(c)(6)(i)(A)	SAs must notify an institution's executive director and chairman of the board of directors that the institution has been determined to be seriously deficient. At the same time the notice is issued, the SAs must add the institution to the SA list, along with the basis for the serious deficiency determination, and provide a copy of the notice to the appropriate FNS Regional Office (FNSRO).	226.6(c)(4)	SAs must notify an institution's executive director and chairman of the board of directors that the institution has been determined to be seriously deficient. At the same time the notice is issued, the SAs must add the institution to the SA list, along with the basis for the serious deficiency determination, and provide a copy of the notice to the appropriate FNS Regional Office (FNSRO).	56	5	280	0.25	70.00	140.00		-70.00	-70.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
16	226.6(c)(1)(iii)(B) 226.6(c)(2)(iii)(B) 226.6(c)(3)(iii)(B) 226.6(c)(6)(ii)(C)	SAs must submit a copy of successful corrective action (temporary deferment or serious deficiency determination) notices to FNSRO for new, renewing, and participating institutions.	226.6(c)(5)(i)(A)	SAs must submit a copy of successful corrective action (temporary deferment or serious deficiency determination) notices to FNSRO for new, renewing, and participating institutions.	56	3.5	196	0.25	49.00	98.00		-49.00	-49.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
17	226.6(c)(1)(iii)(C) 226.6(c)(2)(iii)(C) 226.6(c)(3)(iii)(C) 226.6(c)(6)(ii)(D)	SAs must submit a copy of application denial and proposed disqualification notice to FNSRO.	226.6(c)(6)	SAs must submit a copy of application denial and proposed disqualification notice to FNSRO.	56	1.5	84	0.25	21.00	42.00		-21.00	-21.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
18	226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C) 226.6(c)(6)(ii)(C)	SAs must submit copies of disqualification notices to the FNSRO for new, renewing, and participating institutions.	226.6(c)(8)	SAs must submit copies of disqualification notices to the FNSRO for new, renewing, and participating institutions.	56	1.5	84	0.25	21.00	42.00		-21.00	-21.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
19	226.6(c)(3)(i)	If a SA holds an agreement with an institution operating in more than one State that has been disqualified from the Program by another SA and has been placed on the National Disqualified List, the SA must terminate the institution's agreement effective no later than 45 days from the date of the institution's disqualification by the other SA.	226.6(c)(3)(i)	If a SA holds an agreement with an institution operating in more than one State that has been disqualified from the Program by another SA and has been placed on the National Disqualified List, the SA must terminate the institution's agreement effective no later than 45 days from the date of the institution's disqualification by the other SA.	56	3	168	0.25	42.00	42.00		0.00	0.00	
20	226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(i) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C) 226.6(c)(6)(ii)(E)	SAs must submit copies of disqualification notices and supportive documentation to the FNSRO.	226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(i) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C) 226.6(c)(6)(ii)(E)	SAs must submit copies of disqualification notices and supportive documentation to the FNSRO.	56	3	168	0.25	42.00	42.00		0.00	0.00	
21	226.6(c)(8)(C)(ii)	SA must provide the appropriate FNSRO the name, mailing address, and date of birth of each day care home provider whose agreement is terminated for cause, within 10 days of receiving a notice of termination and disqualification from a sponsoring organization.	226.6(c)(8)(C)(ii)	SA must provide the appropriate FNSRO the name, mailing address, and date of birth of each day care home provider whose agreement is terminated for cause, within 10 days of receiving a notice of termination and disqualification from a sponsoring organization.	56	12	672	0.25	168.00	168.00		0.00	0.00	
22	226.6(d)(1) 226.6(e)	SAs must establish licensing/compliance review procedures for child care centers, at-risk afterschool care centers, day care homes, outside-school hours care centers, and adult day centers. Because SAs currently administer the Program in accordance with licensing/compliance review procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	226.6(d)(1) 226.6(e)	SAs must establish licensing/compliance review procedures for child care centers, at-risk afterschool care centers, day care homes, outside-school hours care centers, and adult day centers. Because SAs currently administer the Program in accordance with licensing/compliance review procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	10	1	10	1.00	10.00	10.00		0.00	0.00	
23	226.6(d)(3)	SAs must establish alternate procedures for review of institutions for which licensing or approval is not available.	226.6(d)(3)	SAs must establish alternate procedures for review of institutions for which licensing or approval is not available.	10	1	10	3.00	30.00	30.00		0.00	0.00	
24	226.6(e)(1)(ix)(A)	SAs must coordinate with the NSLP State agency to ensure the receipt of a list of schools in the State in which at least one-half of the children enrolled are certified eligible to receive free or reduced-price meals.	226.6(e)(1)(ix)(A)	SAs must coordinate with the NSLP State agency to ensure the receipt of a list of schools in the State in which at least one-half of the children enrolled are certified eligible to receive free or reduced-price meals.	56	1	56	0.50	28.00	28.00		0.00	0.00	
25	226.6(f)(1)(i)	SAs must annually inform institutions that are pricing programs of their responsibility to ensure that free and reduced-price meals are served to participants unable to pay the full price.	226.6(f)(1)(i)	SAs must annually inform institutions that are pricing programs of their responsibility to ensure that free and reduced-price meals are served to participants unable to pay the full price.	56	1	56	0.25	14.00	14.00		0.00	0.00	
26	226.6(f)(1)(ii)	SAs must annually provide all institutions a copy of the income standards to be used by institutions for determining the eligibility of participants for free and reduced-price meals under the Program. These standards are provided in Appendix E5, Child Nutrition Programs Income Eligibility Guidelines.	226.6(f)(1)(ii)	SAs must annually provide all institutions a copy of the income standards to be used by institutions for determining the eligibility of participants for free and reduced-price meals under the Program. These standards are provided in Appendix E5, Child Nutrition Programs Income Eligibility Guidelines.	56	1	56	0.50	28.00	28.00		0.00	0.00	

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27	226.6(f)(1)(viii)(A)	SAs must provide day care home sponsoring organizations a list of elementary schools in which at least one-half of the children enrolled receive free/reduced-price meals on an annual basis.	226.6(f)(1)(viii)(A)	SAs must provide day care home sponsoring organizations a list of elementary schools in which at least one-half of the children enrolled receive free/reduced-price meals on an annual basis.	56	11	616	0.25	154.00	154.00		0.00	0.00	
28	226.6(f)(1)(viii)(D)	SAs must provide day care home sponsors a listing of State-funded programs, participation in which a parent or child will qualify for a meal served to a child in a tier II home for the tier I rate of reimbursement on an annual basis.	226.6(f)(1)(viii)(D)	SAs must provide day care home sponsors a listing of State-funded programs, participation in which a parent or child will qualify for a meal served to a child in a tier II home for the tier I rate of reimbursement on an annual basis.	56	11	616	0.25	154.00	154.00		0.00	0.00	
29	226.6(f)(1)(viii)(E)	SAs must submit to the SNAP SA a list of family day care home providers receiving Tier I benefits on an annual basis.	226.6(f)(1)(viii)(E)	SAs must submit to the SNAP SA a list of family day care home providers receiving Tier I benefits on an annual basis.	56	1	56	0.25	14.00	14.00		0.00	0.00	
30	226.6(f)(1)(ix)(A)	SAs must provide at-risk afterschool care centers and sponsoring organizations the list of schools in which one-half of children enrolled are eligible for free/reduced-price meals on an annual basis.	226.6(f)(1)(ix)(A)	SAs must provide at-risk afterschool care centers and sponsoring organizations the list of schools in which one-half of children enrolled are eligible for free/reduced-price meals on an annual basis.	56	1	56	2.00	112.00	112.00		0.00	0.00	
31	226.6(f)(3)(iii)	SAs must provide census data to day care home sponsoring organizations.	226.6(f)(3)(iii)	SAs must provide census data to day care home sponsoring organizations.	56	11	616	0.25	154.00	154.00		0.00	0.00	
32	226.6(h)	SAs must submit, to the State commodity distribution agency, a list of institutions receiving commodities, by June 1 of each year.	226.6(h)	SAs must submit, to the State commodity distribution agency, a list of institutions receiving commodities, by June 1 of each year.	15	1	15	0.25	3.75	3.75		0.00	0.00	
33	226.6(i)	SAs must develop a standard contract in accordance with section 226.21 for use between institutions and food service management companies. The contract must stipulate the requirements under 226.6(i), as well as adherence to procurement provisions.	226.6(i)	SAs must develop a standard contract in accordance with section 226.21 for use between institutions and food service management companies. The contract must stipulate the requirements under 226.6(i), as well as adherence to procurement provisions.	56	1	56	1.00	56.00	56.00		0.00	0.00	
34	226.6(i)	The SA must establish/revise administrative review (appeal) procedures for day care home providers AND notify the appropriate FNSRO of any change to the procedures or the selected option for offering an administrative review (appeal) to day care home providers.	226.6(i)	The SA must establish/revise administrative review (appeal) procedures for day care home providers AND notify the appropriate FNSRO of any change to the procedures or the selected option for offering an administrative review (appeal) to day care home providers.	18	1	18	0.25	4.50	4.50		0.00	0.00	
35	226.6(m)(5)	SAs must submit to FNSROs, no later than April 1, 2005, the policies and procedures they have developed governing household contacts. Because SAs have already submitted these policies and procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	226.6(m)(5)	SAs must submit to FNSROs, no later than April 1, 2005, the policies and procedures they have developed governing household contacts. Because SAs have already submitted these policies and procedures, now the burden associated with this requirement is to revise/update the established procedures, as necessary.	15	1	15	0.25	3.75	3.75		0.00	0.00	
36	226.6(m)(6)	SAs must annually review at least 33.3 percent of all institutions. As part of its conduct of reviews, the SA must assess each institution's compliance with the requirements of 7 CFR 226 pertaining to: recordkeeping; meal counts; administrative costs; FNS instructions and handbooks; facility licensing and approval (if sponsoring organization); participant enrollment and eligibility; Civil Rights requirements; meal service; training and monitoring of facilities (if sponsoring organization); serious deficiency and termination procedures (if sponsoring organization); classification of Tier I and Tier II day care homes (if sponsoring organization); agreements; procurement standards, policies and actions; and all other Program requirements.	226.6(m)(6)	SAs must annually review at least 33.3 percent of all institutions. As part of its conduct of reviews, the SA must assess each institution's compliance with the requirements of 7 CFR 226 pertaining to: recordkeeping; meal counts; administrative costs; FNS instructions and handbooks; facility licensing and approval (if sponsoring organization); participant enrollment and eligibility; Civil Rights requirements; meal service; training and monitoring of facilities (if sponsoring organization); serious deficiency and termination procedures (if sponsoring organization); classification of Tier I and Tier II day care homes (if sponsoring organization); agreements; procurement standards, policies and actions; and all other Program requirements.	56	129	7,224	20.00	144,480.00	144,480.00		0.00	0.00	
37	226.6(p)	SAs must develop/revise and provide sponsoring organization agreement between sponsor and facilities.	226.6(n)(1)	SAs must develop/revise and provide for the use of a standard form of written permanent agreement between each sponsoring organization and day care home or unaffiliated centers, outside-school-hours-care centers, at-risk afterschool care centers, emergency shelters, or adult day care centers for which it has the responsibility for Program operations. The agreement must specify the rights and responsibilities of both parties.	15	1	15	6	90.00	90	0	0.00	0.00	Citation moved to 226.6(n)(1). No change in burden.
38			226.6(q)	SAs must determine if a sponsoring organization is an MSSO, as described in paragraphs (b)(1)(xv) and (b)(2)(iii)(L). SAs must assume the role of the CSA, if the MSSOs center of operations is located within the State. Each SA that approves an MSSO must follow the requirements described in paragraph (i).	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
39			226.6(q)(1)(i)	SAs must enter into a permanent written agreement with the MSSO, as described in paragraph (b)(4).	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
40			226.6(q)(1)(ii)	SAs must approve the MSSOs administrative budget.	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
41			226.6(q)(1)(iii)	SAs must conduct monitoring of MSSO Program operations within the State, as described in paragraph (k)(4). The SA should coordinate monitoring with the CSA to streamline reviews and minimize duplication of the review content. The SA may base the review cycle on the number of facilities operating within the State.	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
42			226.6(q)(1)(iii)(c)	SAs must provide summaries of the MSSO reviews that are conducted to the CSA. If the SA chooses to conduct a full review, the SA should request the necessary records from the CSA.	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
43			226.6(q)(1)(iv)	SAs must conduct audit resolution activities of MSSOs. The SA must review audit reports, address audit findings, and implement corrective actions, as required under 2 CFR part 200, subpart D, and USDA implementing regulations 2 CFR 400 and 415.	56	5	280	0.25	70.00	0	0	70.00	70.00	
44			226.6(q)(1)(v)	SAs must notify all other State agencies that have agreements with the MSSO of termination and disqualification actions, as described in paragraph (c)(2)(i).	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
45			226.6(q)(2)	If it determines that an MSSOs center of operations is located within the State, the SA must assume the role of the CSA.	56	23	1,288	0.25	322.00	0	0	322.00	322.00	
46			226.6(q)(2)(iii)	The CSA must conduct a full review at the MSSO headquarters and financial records center. The CSA must coordinate the timing of the reviews and make copies of monitoring reports and findings available to all other State agencies that have agreements with the MSSO.	56	23	1,288	20	25,760.00	0	0	25,760.00	25,760.00	
47			226.6(q)(2)(iv)	If an MSSO has for-profit status, the cognizant agency must establish audit thresholds and requirements.	56	6	336	1	336.00	0	0	336.00	336.00	
48	226.6(r)	SAs must provide information on the importance and benefits of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and WIC income eligibility guidelines to participating institutions.	226.6(p)	SAs must provide information on the importance and benefits of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and WIC income eligibility guidelines to participating institutions.	56	1	56	0.25	14	14	0	0.00	0.00	Citation moved to 226.6(p). No change in burden.
49	226.7(c)	Each SA must submit to the FNSRO a written plan for correcting serious deficiencies noted in Management Evaluations/Audits.	226.7(c)	Each SA must submit to the FNSRO a written plan for correcting serious deficiencies noted in Management Evaluations/Audits.	28	1	28	5.00	140.00	140.00		0.00	0.00	
50	226.7(d) (Form FNS-44)	SAs must submit CACFP Report to FNS 30 and 90 days following the month being reported.	226.7(d) (Form FNS-44)	SAs must submit CACFP Report to FNS 30 and 90 days following the month being reported.	56	0	0	0.00	0.00	0.00		0.00	0.00	The burden is 0 hours because the burden is already captured in the Food Programs Reporting System (FPRS) ICR, information collection with OMB Control Number 0584-0594, Form FNS-44.
51	226.7(e)	SAs must submit an annual plan for the use of State administrative expense funds.	226.7(e)	SAs must submit an annual plan for the use of State administrative expense funds.	56	1	56	2.00	112.00	112.00		0.00	0.00	
52	226.7(g)	SAs must review institutions' budgets on an annual basis.	226.7(g)	SAs must review institutions' budgets on an annual basis.	56	390	21,840	2.00	43,680.00	43,680.00		0.00	0.00	
53	226.7(h) 226.7(i) 226.7(j)	SAs must establish procedures for start-ups, advances, and recovery of over-payments. Annually, SAs revise/edit these policies, as necessary.	226.7(h) 226.7(i) 226.7(j)	SAs must establish procedures for start-ups, advances, and recovery of over-payments. Annually, SAs revise/edit these policies, as necessary.	10	1	10	2.00	20.00	20.00		0.00	0.00	
54	226.7(k)	Each SA must process claims for reimbursement to institutions on a monthly basis.	226.7(k)	Each SA must process claims for reimbursement to institutions on a monthly basis.	56	12	672	1.00	672.00	672.00		0.00	0.00	
55	226.9(a)	Each SA must annually assign rates of reimbursement to institutions on the basis of family-size and income information, and national average payment rates.	226.9(a)	Each SA must annually assign rates of reimbursement to institutions on the basis of family-size and income information, and national average payment rates.	56	1	56	0.25	14.00	14.00		0.00	0.00	
56	226.10(e)	SAs must make adjustments to a final Claim for Reimbursement postmarked and/or submitted to the SA no later than 60 days following the last day of the full month covered by the claim.	226.10(e)	SAs must make adjustments to a final Claim for Reimbursement postmarked and/or submitted to the SA no later than 60 days following the last day of the full month covered by the claim.	56	12	672	2.00	1,344.00	1,344.00		0.00	0.00	

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57	226.23(i)	SAs must obtain written consent from children's parents or guardians prior to the use or disclosure of information, if the SA plans to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations.	226.23(i)	SAs must obtain written consent from children's parents or guardians prior to the use or disclosure of information, if the SA plans to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations.	0	0	0	0.00	0.00	0.00		0.00	0.00	
58	226.23(m)(1) 226.23(m)(2)	SAs should enter into a written agreement with the party requesting children's free/reduced-price eligibility information.	226.23(m)(1) 226.23(m)(2)	SAs should enter into a written agreement with the party requesting children's free/reduced-price eligibility information.	0	0	0	0.00	0.00	0.00		0.00	0.00	
59	226.24	Follow the policies and procedures governing title, use, and disposition of equipment obtained by purchase, whose cost was acquired in whole or part with food service equipment assistance funds.	226.24	Follow the policies and procedures governing title, use, and disposition of equipment obtained by purchase, whose cost was acquired in whole or part with food service equipment assistance funds.	0	0	0	0.00	0.00	0.00		0.00	0.00	
60			226.25(a)(2)(i) and 226.25(a)(3)	SAs must identify serious management problems and define a set of standards to help measure the severity of a problem to determine what rises to the level of a serious management problem and how it affects the institution or facility's ability to meet Program requirements.	56	1	56	1.00	56.00	0.00	0.00	56.00	56.00	
61	226.6(c)(1)(iii)(A) 226.6(c)(2)(iii)(A) 226.6(c)(3)(iii)(A) 226.6(c)(6)(ii)(A)	SAs must notify an institution's executive director and chairman of the board of directors that the institution has been determined to be seriously deficient. At the same time the notice is issued, the SAs must add the institution to the SA list, along with the basis for the serious deficiency determination, and provide a copy of the notice to the appropriate FNS Regional Office (FNSRO).	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(6)(i)	SAs must notify an institution's executive director and chairman of the board of directors, and RPIs, that serious management problems have been identified, must be addressed, and corrected. The notice must include all of the required information and the SA must add the institution and RPIs to the SA list and provide a copy of the notice to the appropriate FNSRO.	56	5	280	0.25	70.00	0.00	0.00	70.00	70.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
62	226.6(c)(1)(iii)(B) 226.6(c)(2)(iii)(B) 226.6(c)(3)(iii)(B) 226.6(c)(6)(ii)(B)	SAs must submit a copy of successful corrective action (temporary deferment or serious deficiency determination) notices to FNSRO for new, renewing, and participating institutions.	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(6)(i)(A)	If corrective action has been taken to fully correct each serious management problem, SAs must notify an institution's executive director and chairman of the board of directors, and RPIs, that the serious management problem has been vacated and the SA must update the SA list and provide a copy of the notice to the appropriate FNSRO.	56	3.5	196	0.25	49.00	0.00	0.00	49.00	49.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
63	226.6(c)(1)(iii)(C) 226.6(c)(2)(iii)(C) 226.6(c)(3)(iii)(C) 226.6(c)(6)(ii)(D)	SAs must submit a copy of application denial and proposed disqualification notice to FNSRO.	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(6)(i)(B)	If corrective action has not fully corrected each serious management problem, SAs must notify an institution's executive director and chairman of the board of directors, and RPIs, that the SA proposes to terminate the institution's agreement and disqualify the institution and RPIs. SA must notify the institution of the procedures for seeking a fair hearing in accordance with paragraph f of the proposed termination and proposed disqualifications. At the same time, the SA must update the SA list and provide a copy of the notice to the appropriate FNSRO.	56	1.5	84	0.25	21.00	0.00	0.00	21.00	21.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
64	226.6(c)(1)(iii)(E) 226.6(c)(2)(iii)(D) 226.6(c)(3)(iii)(E) 226.6(c)(5)(i)(C)	SAs must submit copies of disqualification notices to the FNSRO for new, renewing, and participating institutions.	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(6)(iii)(A)-(B)	If appeal is upheld, SAs must notify the institution and facility that confirms the serious management problem is vacated and advise the institution and facility that procedures and policies must be implemented to fully correct the serious management problem. If the fair hearing is denied, SAs must notify the institution's executive director and chairman of the board of directors, and RPIs, that the agreement is terminated and declare the institution or facility seriously deficient. SAs must issue a serious deficiency notice that informs the institution, facility, and RPIs of their disqualification from Program participation. At the same time, the SA must update the SA list and provide a copy of the notice to the appropriate FNSRO.	56	1.5	84	0.25	21.00	0.00	0.00	21.00	21.00	The prior requirements lumped the SD process for new applicants and participating institutions together. The new SD rule keeps new applicants under 226.6 while moving participating institutions to 226.25.
65			226.25(b)	The State agency must maintain a State agency list, made available to FNS upon request, and must include the required information. Within 10 days of receiving a notice of termination and disqualification from a sponsoring organization, the State agency must provide FNS with the information as described in paragraph (b)(i)(A) and (B) of this section.	56	10,570	591,895	0.25	147,973.75	0.00	0.00	147,973.75	147,973.75	
66			226.25(c)(2)(iv)(C)	SAs must receive and approve the corrective action plan within 90 days from the date the institution received the notice and monitor the full implementation of the corrective action plan.	56	3	168	0.25	42.00	0.00	0.00	42.00	42.00	
67			226.25(c)(3)(i) and 226.6(k)(2)	SAs must conduct and prioritize follow-up reviews and more frequent full reviews of institutions with serious management problems, as described in 7 CFR 226.6(k)(6)(ii).	56	39	2,184	20.00	43,680.00	0.00	0.00	43,680.00	43,680.00	
68	226.6(c)(6)(iii)(G)	SAs must terminate an institution's agreement no later than 45 days after the date of the institution's disqualification if FNS determines that institution to be seriously deficient and subsequently disqualifies the institution. At the same time the notice of termination is issued, the SA must add the institution	226.25(d)(1)	SAs must terminate for cause the Program agreement upon declaration of the institution or facility to be seriously deficient.	56	3	168	0.25	42.00	42.00	0.00	0.00	0.00	
69			226.25(d)(2)	SAs must develop a contingency plan for the transfer of facilities if a sponsoring organization is terminated or disqualified to ensure that eligible participants continue to have access to meal service.	56	3	168	2.00	336.00	0.00	0.00	336.00	336.00	
70			226.25(e)(2)(iii)	If all serious management problems have been corrected and all debts have been repaid, SAs may elect to remove an institution and RPIs from the National Disqualified List, and must submit all requests for early removals to the appropriate FNSRO.	56	3	168	0.25	42.00	0.00	0.00	42.00	42.00	
71			226.25(e)(3)(ii)	SAs must enter into written agreements with FNS, consistent with 5 U.S.C. 552(a) of the CMA, in order to participate in a matching program involving a FNS Federal system of records.	56	1	56	1.00	56.00	0.00	0.00	56.00	56.00	
72			226.25(e)(3)(iii)(B)	SAs may request FNS to waive the two-step independent verification and notice requirement of the CMA.	56	1	56	1.00	56.00	0.00	0.00	56.00	56.00	
73	226.6(c)(5)(i)(A)-(B) 226.6(c)(5)(ii)(A)-(B) 226.6(c)(5)(iii)(B) 226.6(c)(5)(iv)(D) 226.6(c)(6)(ii)(B)	SAs must submit copies of proposed suspension of participation notices to the FNSRO.	226.25(f)(1)(i)(A) & (i)(A)	If the SA or sponsoring organization determines that there is an imminent threat to the health or safety of participants, or that there is a threat to public health or safety, the appropriate State or local licensing and health authorities must immediately be notified and take action that is consistent with the recommendations and requirements of those authorities. The SA or sponsoring organization must initiate action for termination and disqualification. The SA must notify the institution's executive director and chairman of the board of directors that the institution's participation has been suspended and that the SA proposes to terminate the institution's agreement and to disqualify the institution and the RPIs. The notice must identify the RPIs and must be sent to those persons as well. If the SA determines that an institution has knowingly submitted a false or fraudulent claim, the SA must initiate action to suspend the institution's participation and must initiate action to terminate the institution's agreement and initiate action to disqualify the institution and the RPIs. At the same time this notice is sent, the SA must add the institution and the RPIs to the State agency list, along with the basis for the suspension and provide a copy of the notice to the appropriate FNSRO.	56	1	56	0.25	14.00	14.00	0.00	0.00	0.00	
74	226.6(k)(4)(i)	Annually submit admin review (appeal) procedures to all institutions	226.25(g)	SAs must annually submit administrative review (appeal) procedures to all institutions.	56	390	21,840	0.02	364.73	364.73	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
75	226.6(k)(4)(ii)	Submit admin review procedures when applicable action taken	226.25(g)(1)(i)	Each SA must submit administrative review (appeal) procedures when applicable action is taken.	56	5	280	0.25	70.00	70.00	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
76	226.6(k)(5)(i)	Notify the institution's executive director and chairman of the board of directors, and the responsible principals and responsible individuals, of the action being taken or proposed, the basis for the action, and the procedures under which the institution and the responsible principals or responsible individuals may request an administrative review (appeal) of the action.	226.25(g)(1)(iii)	SAs must notify the institution's executive director and chairman of the board of directors, and the responsible principals and responsible individuals, of the action being taken or proposed, the basis for the action, and the procedures under which the institution and the responsible principals or responsible individuals may request an administrative review (appeal) of the action.	56	3	168	0.25	42.00	42.00	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
77	226.6(k)(5)(v)	The Administrative Review Official must review documentation submitted to refute the findings contained in the notice of action.	226.25(g)(1)(iv)(E)	SAs must submit written documentation to the hearing official prior to the beginning of the hearing, within 30 days after receiving the notice of action.	56	3	168	2.00	336.00	336.00	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
78	226.6(k)(5)(ii)	Acknowledge the receipt of the request for an administrative review (appeal) within 10 days of its receipt of the request.	226.25(g)(2)	If a hearing is requested, the sponsor, the responsible principals, and responsible individuals must be provided with at least 5 days advance notice of the time and place of the hearing.	56	3	168	0.08	14.03	14.03	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055**

	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
79	226.6(k)(5)(vi)	The Administrative Review Official must hold a hearing if requested in the written request for an administrative review (appeal).	226.25(g)(2)	Hearing official must hold hearing to determine that the SA followed Program requirements in taking action under appeal.	56	3	168	4.00	672.00	672.00	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
80	226.6(k)(5)(ix) 226.6(k)(9)	Inform the SA, the institution's executive director, and chairman of the board of directors, and the responsible principals and responsible individuals, of the administrative review's outcome within 60 days of the State agency's receipt of the request for an administrative review.	226.25(g)(5)(i)-(ii)	Hearing official must inform the SA, sponsor, responsible principals, and responsible individuals of the decision within 60 days of the date the SA received the appeal request	56	3	168	0.50	84.00	84.00	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
81	226.14 (a)	Notify institution of disallowed claim and demand repayment	226.25(h)(3)(i)	SAs must send a necessary demand letter for the collection of unearned payments, including any assessment of interest, as described in 226.14(a), and refer the claim to the appropriate State authority for pursuit of the debt payment. SAs must assess interest on institutions' debts established on or after July 29, 2002, based on the Current Value of Funds Rate and notify the institution that interest will be charged on debts not paid in full within 30 days of the initial demand for remittance up to the date of payment.	56	39	2,184	0.02	36.47	36.47	0.00	0.00	0.00	Pre-existing requirement moved to 226.25. No change in burden.
82			<i>Subtotal</i>		56	12,335,714	690,800,000	0.62	426,170.98	205,131,229	0.00	221,039.75	221,039.75	Pre-existing requirement moved to 226.25. No change in burden.
83	<b>Local Government Agencies</b>		<b>Local Government Agencies</b>											
84			226.6(b)(1)(xix)	Sponsoring organizations approved to participate in the Program in more than one State must provide the required information for the affiliated and unaffiliated centers and day care homes, in addition to contact information.	3	1	3	0.25	0.75	0	0	0.75	0.75	
85	226.6(b)(4)	An institution that has been approved for participation in the Program must enter into written agreement with the SA (State/institution agreement).	226.6(b)(4)	An institution that has been approved for participation in the Program must enter into written agreement with the SA (State/institution agreement).	42	1	42	0.50	21.00	21.00	0.00	0.00	0.00	
86	226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsors and institutions must submit documentation to SAs to demonstrate that facilities are in compliance with licensing/approval criteria.	226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsors and institutions must submit documentation to SAs to demonstrate that facilities are in compliance with licensing/approval criteria.	3,257	1	3,257	0.08	271.96	271.96	0.00	0.00	0.00	
87	226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	3,257	12	39,084	0.50	19,542.00	19,542.00	0.00	0.00	0.00	
88	226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually to the State agency a list of family daycare home providers receiving Tier I benefits based on SNAP participation.	226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually to the State agency a list of family daycare home providers receiving Tier I benefits based on SNAP participation.	83	1	83	0.02	1.39	1.39	0.00	0.00	0.00	
89	226.6(f)(2)(i)	Each participating institution must re-apply to continue its participation at intervals that cannot exceed 36 months.	226.6(f)(2)(i)	Each participating institution must re-apply to continue its participation at intervals that cannot exceed 36 months.	3,257	1	3,257	0.50	1,628.50	1,628.50	0.00	0.00	0.00	
90	226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	9	1	9	1.50	13.50	13.50	0.00	0.00	0.00	
91	226.7(g)	Sponsoring organizations must submit an administrative budget to the State agency annually, and independent centers must submit budgets as frequently as required by the State agency.	226.7(g)	Sponsoring organizations must submit an administrative budget to the State agency annually, and independent centers must submit budgets as frequently as required by the State agency.	3,257	1	3,257	0.50	1,628.50	1,628.50	0.00	0.00	0.00	
92	226.10 226.13(b) 226.15(i)	Sponsors/institutions must report the number of meals claimed for reimbursement to the SA.	226.10 226.13(b) 226.15(i)	Sponsors/institutions must report the number of meals claimed for reimbursement to the SA.	3,257	12	39,084	1.67	65,270.28	65,270.28	0.00	0.00	0.00	
93	226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	1,456	12	17,472	0.50	8,736.00	8,736.00	0.00	0.00	0.00	
94	226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish and provide reimbursement rates for Tier 2 providers with income-eligible children.	226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish and provide reimbursement rates for Tier 2 providers with income-eligible children.	83	5	415	0.30	124.75	124.75	0.00	0.00	0.00	
95	226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	42	1	42	8.00	336.00	336.00	0.00	0.00	0.00	
96	226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	3,257	1	3,257	0.25	814.25	814.25	0.00	0.00	0.00	
97	226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	3,257	1	3,257	0.25	814.25	814.25	0.00	0.00	0.00	
98	226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	3,257	1	3,257	36.00	117,252.00	117,252.00	0.00	0.00	0.00	Handbooks are provided as a reference in Appendix E and include: Appendix E1, 2017 Edition of the Eligibility Manual for School Meals; Appendix E2, At-Risk Handbook; Appendix E3, CACFP Adult Day Care Handbook; Appendix E4, CACFP Duration of Income Eligibility Determinations; Appendix E6, Independent Child Care Centers Handbook; Appendix E8, Creditting Handbook for the CACFP; Appendix E9, Guidance for Management Plans and Budgets – A CACFP Handbook; Appendix E10, Monitoring Handbook for State Agencies – A CACFP Handbook; Appendix E11, Serious Deficiency, Suspension, & Appeals for State Agencies & Sponsoring Organizations – A CACFP
99	226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care centers, emergency shelters, and adult day care centers.)	226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care centers, emergency shelters, and adult day care centers.)	3,257	1	3,257	0.25	814.25	814.25	0.00	0.00	0.00	
100	226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	3,257	0	0	0.00	0.00	0.00	0.00	0.00	0.00	
101	226.16(d)(4)(vi)	Sponsoring organizations must provide each center with written notification of the right of the sponsoring organizations, the SA, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such reviews must show photo identification that demonstrates that they are employees of one of these entities.	226.16(d)(4)(vi)	Sponsoring organizations must provide each center with written notification of the right of the sponsoring organizations, the SA, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such reviews must show photo identification that demonstrates that they are employees of one of these entities.	3,257	1	3,257	0.25	814.25	814.25	0.00	0.00	0.00	
102	226.16(i)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) Immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been suspended, that the day care home has been determined seriously deficient, and that the sponsoring organization proposes to terminate the day care home's agreement for cause; (3) provide a copy of the notice to the State agency.	226.16(i)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) Immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been suspended, that the day care home has been determined seriously deficient, and that the sponsoring organization proposes to terminate the day care home's agreement for cause; (3) provide a copy of the notice to the State agency.	21	1	21	0.25	5.25	5.25	0.00	0.00	0.00	
103	226.17a(e)	Sponsoring organizations must make written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	226.17a(e)	Sponsoring organizations must make written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	564	1	564	1.00	564.00	564.00	0.00	0.00	0.00	

Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055  
REPORTING

	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
104	226.17a(h)	Independent at-risk afterschool care centers or sponsors of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	226.17a(h)	Independent at-risk afterschool care centers or sponsors of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	564	1	564	0.50	282.00	282.00		0.00	0.00	
105	226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	3,791	1	3,791	0.02	63.31	63.31		0.00	0.00	The respondents for this section should only include: 1) independent child care centers, 2) sponsors of centers affiliated and unaffiliated, and 3) Sponsors of tier II day care homes. 226.23: The State agency must not enter into a Program agreement with a new institution until the institution has submitted, and the State agency has approved, a written policy statement concerning free and reduced-price meals to be used in all child and adult day care facilities under its jurisdiction.
106	226.23(i)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	226.23(i)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	29	1	29	0.08	2.42	2.42		0.00	0.00	
107	226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	29	1	29	0.08	2.42	2.42		0.00	0.00	
108			226.25(a)(2)(i) and 226.25(a)(3)	Sponsoring organizations must identify serious management problems and define a set of standards to help measure the severity of a problem to determine what rises to the level of a serious management problem and how it affects the institution or facility's ability to meet Program requirements.	3,257	1	3,257	1.00	3,257.00	0.00		3,257.00	3,257.00	
109	226.16(i)(3)(i)	Sponsoring organizations must notify the day care home that it has been found to be seriously deficient.	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(i)	Sponsoring organizations must notify the day care home or unaffiliated center that serious management problems have been identified, must be addressed, and corrected. The notice must include the required information.	83	1	83	0.25	20.75	20.75		0.00	0.00	Citation moved from 226.16 to 226.25 due to SD rule. Underlying assumptions did not change resulting in no change in burden.
110			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(i)(A)	If corrective action has been taken to fully correct each serious management problem, sponsoring organizations must notify an institution's executive director and chairman of the board of directors, and RPIs, that the serious management problem has been vacated.	3,257	1	3,257	0.25	814.25	0.00		814.25	814.25	
111			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(i)(B)	If corrective action has not fully corrected each serious management problem, sponsoring organizations must notify an institution's executive director and chairman of the board of directors, and RPIs, that the sponsoring organizations proposes to terminate the institution's agreement and disqualify the institution and RPIs.	3,257	1	3,257	0.25	814.25	0.00		814.25	814.25	
112			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(iii)(A)-(B)	If appeal is upheld, sponsoring organizations must notify the institution and facility that confirms the serious management problem is vacated and advise the institution and facility that procedures and policies must be implemented to fully correct the serious management problem. If the fair hearing is denied, sponsoring organizations must notify the institution's executive director and chairman of the board of directors, and RPIs, that the agreement is terminated and declare the institution or facility seriously deficient. Sponsoring organizations must issue a serious deficiency notice that informs the institution, facility, and RPIs of their disqualification from Program participation.	3,257	1	3,257	0.25	814.25	0.00		814.25	814.25	
113			226.25(c)(1)	In response to the notice of serious management problems, the institution, unaffiliated center, or day care home must submit, in writing, what corrective actions it has taken to correct each serious management problem. The corrective action plan must address the root cause of each serious management problem, describe and document the action taken to correct serious management problems, and describe the action's outcome.	3,257	1	3,257	0.25	814.25	0.00		814.25	814.25	
114			226.25(c)(3)(ii)	Sponsoring organizations must conduct reviews, as described in 226.16(d)(4) to confirm that the serious management problem(s) is corrected. A follow-up review must be conducted to confirm that the serious management problem is corrected.	3,257	1	3,257	20.00	65,140.00	0.00		65,140.00	65,140.00	
115			226.25(d)(1)	Sponsoring organizations must terminate for cause the Program agreement upon declaration of the institution or facility to be seriously deficient.	3,257	1	3,257	0.25	814.25	0.00		814.25	814.25	
116	226.16(d)(4)(viii)	Sponsoring organizations that discover in a facility conduct or conditions that pose an imminent threat to the health or safety of participating children or the public must immediately notify the appropriate State or local licensing or health authorities and take action that is consistent with the recommendations and requirements of those authorities.	226.25(f)(1)(i)(A) & 226.25(f)(2)(i)(A)	If the sponsoring organization determines that there is an imminent threat to the health or safety of participants, or that there is a threat to public health or safety, the appropriate State or local licensing and health authorities must immediately be notified and take action that is consistent with the recommendations and requirements of those authorities. The sponsoring organization must initiate action for termination and disqualification and must submit a combined notice of suspension, proposed termination, and proposed disqualification to the day care home provider or unaffiliated center and the RPIs. The notice must identify the RPIs and must be sent to those persons as well. If the sponsoring organization determines that an day care home or unaffiliated center has knowingly submitted a false or fraudulent claim, the sponsoring organization must initiate action to suspend the day care home or unaffiliated center's participation and must initiate action to terminate the day care home or unaffiliated center's agreement and initiate action to disqualify the institution and the RPIs. At the same time this notice is sent, the SA must add the day care home or unaffiliated center and the RPIs to the State agency list, along with the basis for the suspension and provide a copy of the notice to the appropriate FNSRO.	814	1	814	0.25	203.50	203.50		0.00	0.00	Citation moved from 226.16 to 226.25 due to SD rule. Underlying assumptions did not change resulting in no change in burden.
117				Subtotal	3,791	39,827	150,984,000	1.93	291,695.53	219,226.527	0.00	72,469.00	72,469.00	
118				STATE/LOCAL/TRIBAL GOVERNMENT LEVEL TOTAL	3,847	218,816	841,784,000	0.85	717,866.51	424,357.756	0.00	293,508.75	293,508.75	
119	<b>BUSINESS LEVEL</b>		<b>BUSINESS LEVEL</b>											
120	<b>Institutions</b>		<b>Institutions</b>											
121			226.6(b)(1)(xiv)	Sponsoring organizations approved to participate in the Program in more than one State must provide the required information for the affiliated and unaffiliated centers and day care homes and the required contact information.	1116	1	1116	0.25	279	0		279	279	
122	226.6(b)(4)	SA must enter into written agreement with an institution that has been approved for participation in the Program (State/institution agreement).	226.6(b)(4)	SA must enter into written agreement with an institution that has been approved for participation in the Program (State/institution agreement).	238	1	238	0.50	119.00	119.00		0.00	0.00	
123	226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsoring organizations and institutions must submit documentation to SAs in order to demonstrate that facilities are in compliance with licensing/approval criteria.	226.6(d) 226.6(e) 226.6(f)(1)(vi)	Sponsoring organizations and institutions must submit documentation to SAs in order to demonstrate that facilities are in compliance with licensing/approval criteria.	18,601	1	18,601	0.08	1,553.18	1,553.18		0.00	0.00	
124	226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	226.6(f)(1)(iii)	Centers must submit current eligibility information on enrolled participants, in order to calculate a blended rate or claiming percentage in accordance with section 226.9(b).	18,601	12	223,212	0.50	111,606.00	111,606.00		0.00	0.00	

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055 REPORTING**

	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
125	226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually a list of family day care home providers receiving tier I benefits based on SNAP participation.	226.6(f)(1)(viii)(E)	Sponsoring organizations of day care homes must submit annually a list of family day care home providers receiving tier I benefits based on SNAP participation.	540	1	540	0.02	9.02	9.02		0.00	0.00	
126	226.6(f)(2)(i)	Each participating institution must re-apply to continue its participation at intervals that cannot exceed 36 months.	226.6(f)(2)(i)	Each participating institution must re-apply to continue its participation at intervals that cannot exceed 36 months.	18,601	1	18,601	0.50	9,300.50	9,300.50		0.00	0.00	
127	226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	226.6(n)	Sponsoring organizations must participate and provide necessary documentation requested as part of investigations.	51	1	51	1.50	76.50	76.50		0.00	0.00	
128	226.7(g)	Sponsoring organizations must submit an administrative budget to the SA annually, and independent centers must submit budgets as frequently as required by the SA.	226.7(g)	Sponsoring organizations must submit an administrative budget to the SA annually, and independent centers must submit budgets as frequently as required by the SA.	18,601	1	18,601	0.50	9,300.50	9,300.50		0.00	0.00	
129	226.10 226.13(b) 226.15(i)	Sponsoring organizations and institutions must report to the SA the number of meals claimed for reimbursement.	226.10 226.13(b) 226.15(i)	Sponsoring organizations and institutions must report to the SA the number of meals claimed for reimbursement.	18,601	12	223,212	1.67	372,764.04	372,764.04		0.00	0.00	
130	226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	226.10(c)	Sponsoring organizations must submit documentation to verify for profit center eligibility.	8,314	12	99,768	0.50	49,884.00	49,884.00		0.00	0.00	
131	226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish reimbursement rates for Tier 2 providers with income-eligible children.	226.13(d)(3)(i)-(iii)	Sponsoring organizations of family day care homes must establish reimbursement rates for Tier 2 providers with income-eligible children.	540	5	2,700	0.30	811.62	811.62		0.00	0.00	
132	226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	226.15(b)	New and participating institutions must submit to the SA, with its application, all information required for its approval. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	238	1	238	8.00	1,904.00	1,904.00		0.00	0.00	
133	226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	226.15(b)	Participating institutions must submit documentation required for renewal to the SA.	18,601	1	18,601	0.25	4,650.25	4,650.25		0.00	0.00	
134	226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	226.15(g)	Sponsoring organizations of at-risk afterschool care centers must provide information that permits SA to determine eligibility of at-risk afterschool care centers.	18,601	1	18,601	0.25	4,650.25	4,650.25		0.00	0.00	
135	226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	226.15(n)	Each institution must comply with all regulations issued by FNS and the Department, all instructions and handbooks issued by FNS and the Department to clarify or explain existing regulations, and all regulations, instructions and handbooks issued by the SA that are consistent with the provisions established in Program regulations.	18,601	1	18,601	36.00	669,636.00	669,636.00		0.00	0.00	Handbooks are provided as a reference in Appendix E and include: Appendix E1, 2017 Edition of the Eligibility Manual for School Meals; Appendix E2, At-Risk Handbook; Appendix E3, CACFP Adult Day Care Handbook; Appendix E4, CACFP Duration of Income Eligibility Determinations; Appendix E6, Independent Child Care Centers Handbook; Appendix E8, Credentialing Handbook for the CACFP; Appendix E9, Guidance for Management Plans and Budgets – A CACFP Handbook; Appendix E10, Monitoring Handbook for State Agencies – A CACFP Handbook; Appendix E11, Serious Deficiency, Suspension, & Appeals for State Agencies & Sponsoring Organizations – A CACFP Handbook; Appendix E12, Family Day Care Homes Monitor Handbook; and Appendix E13, Collection of Race and Ethnicity Data by Visual Observation and Identification in the CACFP and Summer Food Service Program – Policy Rescission.
136	226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care centers, emergency shelters, and adult day care centers.)	226.15(o)	Institutions must ensure that parents of enrolled children are provided with current information on the benefits and importance of the Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) and the eligibility requirements for WIC participation. (Each institution other than outside-school-hours care centers, at-risk afterschool care centers, emergency shelters, and adult day care centers.)	18,601	1	18,601	0.25	4,650.25	4,650.25		0.00	0.00	
137	226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	226.16(b)	Each sponsoring organization must submit to the SA, with its application, all information required for its approval, and the approval of the facilities under its jurisdiction. The application must demonstrate that the institution has the administrative and financial capability to operate the Program in accordance with the Program regulations.	18,601	0	0	0.00	0.00	0.00		0.00	0.00	
138	226.16(d)(4)(v)	Sponsoring organizations of centers must provide each center with written notification of the right of the sponsoring organization, the State agency, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such reviews must show photo identification that demonstrates that they are employees of one of these entities.	226.16(d)(4)(v)	Sponsoring organizations of centers must provide each center with written notification of the right of the sponsoring organization, the State agency, the Department, and other State and Federal officials to make announced or unannounced reviews of its operations during the center's normal hours of operation, and must also notify sponsored centers that anyone making such reviews must show photo identification that demonstrates that they are employees of one of these entities.	18,601	1	18,601	0.25	4,650.25	4,650.25		0.00	0.00	
139	226.16(i)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been suspended, that the day care home has been determined seriously deficient, and that the sponsoring organization proposes to terminate the day care home's agreement for cause; (3) provide a copy of the notice to the State agency.	226.16(i)(4)	Sponsoring organizations must conduct the following activities if State or local health or licensing officials have cited a day care home for serious health or safety violations: (1) immediately suspend the home's CACFP participation prior to any formal action to revoke the home's licensure or approval; (2) notify the day care home that its participation has been suspended, that the day care home has been determined seriously deficient, and that the sponsoring organization proposes to terminate the day care home's agreement for cause; (3) provide a copy of the notice to the State agency.	135	1	135	0.25	33.75	33.75		0.00	0.00	
140	226.17(e)	Unaffiliated sponsored child care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	226.17(e)	Unaffiliated sponsored child care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00		5,423.12	5,423.12	
141	226.17(f)	Independent child care centers must enter into a written permanent agreement with the State agency. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The SA may terminate this agreement for cause as described in 226.25(a).	226.17(f)	Independent child care centers must enter into a written permanent agreement with the State agency. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The SA may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00		1,710.87	1,710.87	
142	226.17a(e)	Sponsoring organizations must make a written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	226.17a(e)	Sponsoring organizations must make a written application to the SA for any afterschool care program that it wants to operate as an at-risk afterschool care center.	3,220	1	3,220	1.00	3,220.00	3,220.00		0.00	0.00	
143	226.17a(f)(2)(i)	Unaffiliated sponsored afterschool care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the applicable provisions set forth in this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	226.17a(f)(2)(i)	Unaffiliated sponsored afterschool care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the applicable provisions set forth in this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00		5,423.12	5,423.12	
144	226.17a(f)(2)(ii)	Independent afterschool child care centers must enter into a written permanent agreement with the SA. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the applicable provisions set forth in this section. The SA may terminate this agreement for cause as described in 226.25(a).	226.17a(f)(2)(ii)	Independent afterschool child care centers must enter into a written permanent agreement with the SA. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the applicable provisions set forth in this section. The SA may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00		1,710.87	1,710.87	

Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055  
REPORTING

	F	G	H	I	J	K	L	M	N	O	P	Q	R	S
145	226.17a(h)	Independent at-risk afterschool care centers or sponsoring organizations of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	226.17a(h)	Independent at-risk afterschool care centers or sponsoring organizations of at-risk afterschool care centers must advise the SA of any substantive changes to the afterschool care program. Sponsoring organizations that want to add new at-risk afterschool care centers must provide the SA with the information sufficient to demonstrate that the new centers meet the requirements of this section.	3,220	1	3,220	0.50	1,610.00	1,610.00		0.00	0.00	
146			226.19(d)	Unaffiliated sponsored outside-school-hours care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00		5,423.12	5,423.12	
147			226.19a(d)	Unaffiliated sponsored adult day care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must address the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00		1,710.87	1,710.87	
148	226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	226.23	Free/reduced-price meal requirements applicable to independent centers and sponsoring organizations.	21,650	1	21,650	0.02	361.56	361.56		0.00	0.00	The respondents for this section should only include: 1) Independent child care centers, 2) sponsors of centers affiliated and unaffiliated, and 3) Sponsors of tier II day care homes. 226.23: The State agency must not enter into a Program agreement with a new institution until the institution has submitted, and the State agency has approved, a written policy statement concerning free and reduced-price meals to be used in all child and adult day care facilities under its jurisdiction.
149	226.23(i)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	226.23(i)	Child care institutions that plan to use or disclose information about children eligible for free/reduced-price meals in ways not specified in the regulations must obtain written consent from children's parents or guardians prior to the use or disclosure.	167	1	167	0.08	13.94	13.94		0.00	0.00	
150	226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	226.23(m)	A child care institution should have a written agreement or Memorandum of Understanding (MOU) with programs or individuals receiving eligibility information, prior to disclosing children's free and reduced-price meal eligibility information.	167	1	167	0.08	13.94	13.94		0.00	0.00	
151			226.25(a)(2)(i) and 226.25(a)(3)	Sponsoring organizations must identify serious management problems and define a set of standards to help measure the severity of a problem to determine what rises to the level of a serious management problem and how it affects the institution or facility's ability to meet Program requirements.	18,601	1	18,601	1.00	18,601.00	0.00		18,601.00	18,601.00	
152	226.16(i)(3)(i)	Sponsoring organizations must notify the day care home that it has been found to be seriously deficient.	226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(i)	Sponsoring organizations must notify an day care home or unaffiliated center that serious management problems have been identified, must be addressed, and corrected. The notice must include the required information.	540	1	540	0.25	135.00	135.00		0.00	0.00	Citation moved from 226.16 to 226.25 due to SD rule. Underlying assumptions did not change resulting in no change in burden.
153			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(ii)(A)	If corrective action has been taken to fully correct each serious management problem, sponsoring organizations must notify the day care home or unaffiliated center that the serious management problem has been vacated.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
154			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(ii)(B)	If corrective action has not fully corrected each serious management problem, sponsoring organizations must notify the day care home or unaffiliated center that the sponsoring organizations proposes to terminate the institution's agreement and disqualify the institution and RPIs. SA must notify the institution of the procedures for seeking a fair hearing in accordance with paragraph XX of the proposed termination and proposed disqualifications.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
155			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(iii)(A)	If appeal is upheld, sponsoring organizations must notify the day care home or unaffiliated center that confirms the serious management problem is vacated and advise the institution and facility that procedures and policies must be implemented to fully correct the serious management problem.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
156			226.25(a)(2)(ii), 226.25(a)(5), and 226.25(a)(7)(iii)(B)	If the fair hearing is denied, sponsoring organizations must notify the day care home or unaffiliated center that the agreement is terminated and declare the institution or facility seriously deficient. Sponsoring organizations must issue a serious deficiency notice that informs the institution, facility, and RPIs of their disqualification from Program participation.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
157			226.25(c)(1)	In response to the notice of serious management problems, the institution, unaffiliated center, or day care home must submit, in writing, what corrective actions it has taken to correct each serious management problem. The corrective action plan must address the root cause of each serious management problem, describe and document the action taken to correct serious management problems, and describe the action's outcome.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
158			226.25(c)(3)(ii)	Sponsoring organizations must conduct reviews that assess whether the facility has corrected the serious management problems, as described in 226.16(d)(4). Follow-up reviews must be conducted to confirm that the serious management problem is corrected.	18,601	1	18,601	20.00	372,020.00	0.00		372,020.00	372,020.00	
159			226.25(d)(1)	Sponsoring organizations must terminate for cause the Program agreement upon declaration of the institution or facility to be seriously deficient.	18,601	1	18,601	0.25	4,650.25	0.00		4,650.25	4,650.25	
160	226.16(d)(4)(viii)	Sponsoring organizations that discover in a facility conduct or conditions that pose an imminent threat to the health or safety of participating children or the public must immediately notify the appropriate State or local licensing or health authorities and take action that is consistent with the recommendations and requirements of those authorities.	226.25(f)(1)(ii)(A) & 226.25(f)(2)(ii)(A)	If the sponsoring organization determines that there is an imminent threat to the health or safety of participants, or that there is a threat to public health or safety, the appropriate State or local licensing and health authorities must immediately be notified and take action that is consistent with the recommendations and requirements of those authorities. The sponsoring organization must initiate action for termination and disqualification. The sponsoring organization must notify the day care home provider or unaffiliated center's principals that the day care home or unaffiliated center's participation has been suspended and that the SA proposes to terminate the day care home or unaffiliated center's agreement and to disqualify the day care home or unaffiliated center and the RPIs. The notice must identify the RPIs and must be sent to those persons as well. If the sponsoring organization determines that an day care home or unaffiliated center has knowingly submitted a false or fraudulent claim, the sponsoring organization must initiate action to suspend the day care home or unaffiliated center's participation and must initiate action to terminate the day care home or unaffiliated center's agreement and initiate action to disqualify the institution and the RPIs. At the same time this notice is sent, the SA must add the day care home or unaffiliated center and the RPIs to the State agency list, along with the basis for the suspension and provide a copy of the notice to the appropriate FNSRO.	4,650	1	4,650	0.25	1,162.50	1,162.50		0.00	0.00	Citation moved from 226.16 to 226.25 due to SD rule. Underlying assumptions did not change resulting in no change in burden.
161			Subtotal		50,186	19,289	968,048	1.75	1,692,319.53	1,252,116.056	0.00	440,203.47	440,203.47	
162	<b>Facilities</b>		<b>Facilities</b>											

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055**

F		G		H		I		J		K		L		M		N		O		P		Q		R		S				
163	226.11(b)(2) 226.17a(p)	Centers must report each month to the SA the total number of Program meals.	226.11(b)(2) 226.17a(p)	Centers must report each month to the SA the total number of Program meals.	69,647	12	835,764	0.25	208,941.00	208,941.00				0.00	0.00															
164	226.13(d)(1) 226.13(d)(2) 226.13(d)(3) 226.18(e)	Day care home providers must submit daily meal counts to sponsors monthly.	226.13(d)(1) 226.13(d)(2) 226.13(d)(3) 226.18(e)	Day care home providers must submit daily meal counts to sponsors monthly.	89,843	12	1,078,116	0.50	539,058.00	539,058.00				0.00	0.00															
165	226.17(d)	A sponsored center must distribute to parents a copy of the sponsoring organization's notice to parents, if so instructed by its sponsoring organization.	226.17(d)	A sponsored center must distribute to parents a copy of the sponsoring organization's notice to parents, if so instructed by its sponsoring organization.	69,647	1	69,647	0.25	17,411.75	17,411.75				0.00	0.00															
166			226.17(e)	Unaffiliated sponsored child care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00				5,423.12	5,423.12															
167			226.17(f)	Independent child care centers must enter into a written permanent agreement with the State agency. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The SA may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00				1,710.87	1,710.87															
168			226.17a(f)(2)(i)	Unaffiliated sponsored afterschool child care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the applicable provisions set forth in this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00				5,423.12	5,423.12															
169			226.17a(f)(2)(ii)	Independent afterschool child care centers must enter into a written permanent agreement with the SA. The agreement must specify the rights and responsibilities of both parties as required by 226.6(b)(4). At a minimum, the agreement must include the applicable provisions set forth in this section. The SA may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00				1,710.87	1,710.87															
170	226.18(a)(5)	A day care home must promptly inform the sponsoring organization about any change in the number of children enrolled for care or in its licensing or approval status.	226.18(a)(5)	A day care home must promptly inform the sponsoring organization about any change in the number of children enrolled for care or in its licensing or approval status.	89,843	5	449,215	0.25	112,303.75	112,303.75				0.00	0.00															
171	226.18(a)(14)	A day care home must notify their sponsoring organization in advance whenever they are planning to be out of their home during the meal service period.	226.18(a)(14)	A day care home must notify their sponsoring organization in advance whenever they are planning to be out of their home during the meal service period.	89,843	5	449,215	0.25	112,303.75	112,303.75				0.00	0.00															
172			226.19(d)	Unaffiliated sponsored outside-school-hours care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must include the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	21,692	1	21,692	0.25	5,423.12	0.00				5,423.12	5,423.12															
173			226.19a(d)	Unaffiliated sponsored adult day care centers must enter into a written permanent agreement with the sponsoring organization. The agreement must specify the rights and responsibilities of both parties. At a minimum, the agreement must address the provisions set forth in paragraph (b) of this section. The sponsoring organization may terminate this agreement for cause as described in 226.25(a).	6,843	1	6,843	0.25	1,710.87	0.00				1,710.87	1,710.87															
174				<i>Subtotal</i>	188,026	15,783	2,967,565	0.34	1,011,420.22	990,018.25				0.00	21,401.97															
175				<b>BUSINESS LEVEL TOTAL</b>	<b>238,212</b>	<b>16,521</b>	<b>3,935,613</b>	<b>0.69</b>	<b>2,703,739.75</b>	<b>2,242,134.306</b>				<b>0.00</b>	<b>461,605.44</b>															
176	<b>HOUSEHOLD LEVEL</b>		<b>HOUSEHOLD LEVEL</b>																											
177	226.15(e)(2) 226.17(b)(8) 226.18(e)	Households must annually update enrollment documentation, signed by a parent or legal guardian, and include information on enrolled children's normal days and hours of care and the meals normally received while in care.	226.15(e)(2) 226.17(b)(8) 226.18(e)	Households must annually update enrollment documentation, signed by a parent or legal guardian, and include information on enrolled children's normal days and hours of care and the meals normally received while in care.	3,599,004	1.59	5,722,416	0.08	477,821.77	477,821.77				0.00	0.00														Enrollment forms and free and reduced price documentation are different. This number does not include at-risk and emergency shelters. Those centers don't need enrollment forms.	
178	226.23(e)(1)	Households of participants enrolled in institutions, day care home providers who wish to enroll their own eligible children in the Program, and households of all children enrolled in the day care home, as applicable, must apply for free/reduced-price meals. The application must include information on household income	226.23(e)(1)	Households of participants enrolled in institutions, day care home providers who wish to enroll their own eligible children in the Program, and households of all children enrolled in the day care home, as applicable, must apply for free/reduced-price meals. The application must include information on household income	3,599,004	1.59	5,722,416	0.08	477,821.77	477,821.77				0.00	0.00														Appendix D includes a Household Income Statement Template.	
179	226.20(g)	Households must provide a written statement to support the need for substitutions, on a case-by-case basis, for foods and meals for individual participants.	226.20(g)	Households must provide a written statement to support the need for substitutions, on a case-by-case basis, for foods and meals for individual participants.	267,355	1	267,355	0.08	22,324.14	22,324.14				0.00	0.00														A memorandum that explains the nutrition requirements for fluid milk and fluid milk substitutes in the Program is included in Appendix E7. Nutrition Requirements for Fluid Milk and Milk Substitutions.	
180				<b>HOUSEHOLD LEVEL TOTAL</b>	<b>3,599,004</b>	<b>3.254</b>	<b>11,712,188</b>	<b>0.08</b>	<b>977,967.675</b>	<b>977,967.675</b>				<b>0.00</b>	<b>0.00</b>															
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**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting - OMB Control Number 0584-0055  
RECORDKEEPING**

Previously Approved ICR		Review of Regulations				Comparison with Current OMB Inventory							Notes
Section of Regulation / Form	Title	Section of Regulation/Form	Title	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment	Change in Burden Hours Due to Program Change	Total Difference in Burden Hours	
		A	B	C	D	E = C x D	F	G = E x F	H	I = G - H	J = G - H	K = I + J	
<b>STATE/LOCAL/TRIBAL GOVERNMENT LEVEL</b>		<b>STATE/LOCAL/TRIBAL GOVERNMENT LEVEL</b>											
<b>State Agencies</b>		<b>State Agencies</b>											
226.6(b) 226.6(d) 226.6(m)(5) 226.7(h) 226.7(i), 226.7(j) 226.7(k) 226.7(l) 226.8	SAs must establish and maintain Program procedures, such as procedures to determine the eligibility of institutions, including to conduct pre-approval visits; for monitoring institutions and conducting household contacts; for bid and contracts; to annually review information submitted by institutions; for claims processing and payments; for serious deficiencies; for administrative reviews; and to audit institutions.	226.6(b) 226.6(d) 226.6(m)(5) 226.7(h) 226.7(i), 226.7(j) 226.7(k) 226.7(l) 226.8	SAs must establish and maintain Program procedures, such as procedures to determine the eligibility of institutions, including to conduct pre-approval visits; for monitoring institutions and conducting household contacts; for bid and contracts; to annually review information submitted by institutions; for claims processing and payments; for serious deficiencies; for administrative reviews; and to audit institutions.	56	1	56	16.00	896.00	896.00	0.00	0.00	0.00	
226.6(n)	Each SA must maintain on file evidence of complaints received or irregularities noted in connection with the operation of the program.	226.6(n)	Each SA must maintain on file evidence of complaints received or irregularities noted in connection with the operation of the program.	56	21	1,176	1.50	1,764.00	1,764.00	0.00	0.00	0.00	
226.7(b) 226.7(m)	SAs must establish and maintain an acceptable financial management system, adhere to financial management standards and otherwise carry out financial management policies in accordance with 2 CFR part 200, subpart D and USDA implementing regulations 2 CFR part 400, part 415, and part 416, as applicable; and FNS guidance to identify allowable Program costs and set standards for institutional recordkeeping and reporting.	226.7(b) 226.7(m)	SAs must establish and maintain an acceptable financial management system, adhere to financial management standards and otherwise carry out financial management policies in accordance with 2 CFR part 200, subpart D and USDA implementing regulations 2 CFR part 400, part 415, and part 416, as applicable; and FNS guidance to identify allowable Program costs and set standards for institutional recordkeeping and reporting.	56	1	56	80.00	4,480.00	4,480.00	0.00	0.00	0.00	
226.6	SAs must collect and maintain on file CACFP agreements (Federal/State and State/Institutions), records received from applicant and participating institutions, National Disqualified List/State Agency Lists, and documentation of administrative review (appeals) and Program assistance activities, results, and corrective actions.	226.25(b)	SAs must collect and maintain on file CACFP agreements (Federal/State and State/Institutions), records received from applicant and participating institutions, National Disqualified List/State Agency Lists, and documentation of administrative review (appeals) and Program assistance activities, results, and corrective actions.	56	5	280	5.00	1,400.00	1,400.00	0.00	0.00	0.00	Moved citation for pre-approved burden item to new location in 226.25 for SD rule. No new burden added.
		226.25(c)	SAs must collect and maintain on file corrective action plans submitted by institutions, unaffiliated centers, or day care homes, in writing, which must discuss what corrective actions have been taken to correct each serious management problem.	56	3	168	1.50	252.00	0.00	0.00	252.00	252.00	
<i>Subtotal</i>				56	31	1,736	5.06	8,792.00	8,540.00	0.00	252.00	252.00	
<b>Local Government Agencies</b>		<b>Local Government Agencies</b>											
226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(k)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claims, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(k)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claims, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	3,791	3	11,373	1.00	11,373.00	11,373.00	0.00	0.00	0.00	
226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	83	127	10,541	0.03	263.53	263.53	0.00	0.00	0.00	
226.23(h)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	226.23(h)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	83	42	3,486	0.03	87.15	87.15	0.00	0.00	0.00	
<i>Subtotal</i>				3,791	7	25,400	0.46	11,723.68	11,724	0.00	0.00	0.00	
<b>STATE/LOCAL/TRIBAL GOVERNMENT LEVEL TOTAL</b>				<b>3,847</b>	<b>7,054</b>	<b>27,136,000</b>	<b>0.76</b>	<b>20,515.68</b>	<b>20,263.675</b>	<b>0.00</b>	<b>252.00</b>	<b>252.00</b>	
<b>BUSINESS LEVEL</b>		<b>BUSINESS LEVEL</b>											
<b>Institutions</b>		<b>Institutions</b>											
226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(k)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claim, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	226.10(d) 226.11(e) 226.15(e), 226.15(e)(1), 226.15(e)(2) 226.17(b)(8) 226.18(e) 226.22(d) 226.22(k)	Sponsors/institutions must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menus, meal counts, invoices and receipts, all accounts and records to support the claim, licenses, administrative and operating costs records, training documentation, financial management systems records, written code of standards of conduct, procurement history, and any other records required by the SA. All copies of documents and supporting documents submitted to the State must be maintained.	21,650	3	64,950	1.00	64,950.00	64,950.00	0.00	0.00	0.00	
226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	226.15(e)(3)	Sponsoring organizations must maintain documentation used to classify homes as Tier 1.	551	127	69,977	0.03	1,749.43	1,749.43	0.00	0.00	0.00	
226.23(h)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	226.23(h)(6)	Sponsoring organizations must maintain information to verify homes that qualify as Tier 1 based on provider's income.	551	42	23,142	0.03	578.55	578.55	0.00	0.00	0.00	
226.20(f)	Child and Adult Care Food Program Operators (institutions) maintain documentation demonstrating that service sites qualify for the menu planning option to serve vegetables to meet the grains requirement by serving primarily American Indian and Alaska Native children.	226.20(f)	Child and Adult Care Food Program Operators (institutions) maintain documentation demonstrating that service sites qualify for the menu planning option to serve vegetables to meet the grains requirement by serving primarily American Indian and Alaska Native children.	70	1	70	1.250	87.50	87.50	0.00	0.00	0.00	
<i>Subtotal</i>				21,650	7.30	158,139	0.43	67,366.00	67,366	0.00	0.00	0.00	
<b>Facilities</b>		<b>Facilities</b>											

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting - OMB Control Number 0584-0055**  
**RECORDKEEPING**

226.10(d), 226.11(b)(2), 226.15(e), 226.17(b)(8), 226.17(b)(9), 226.17a(p), 226.17a(o), 226.18(a), 226.18(b)(4), 226.18(e), 226.18(g), 226.19a(b)(8), 226.19a(b)(9), and 226.19a(b)(10)	Facilities must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menu planning records, time of service, snacks and meal counts invoices and receipts, claims for reimbursement, licenses, administrative and operating costs records, training documentation, and any other records required by the SA. Adult day care centers must maintain records on the age of each enrolled person, functional impairment eligibilities are meant if under 60, and that qualified participants resides in their homes.	226.10(d), 226.11(b)(2), 226.15(e), 226.17(b)(8), 226.17(b)(9), 226.17a(p), 226.17a(o), 226.18(a), 226.18(b)(4), 226.18(e), 226.18(g), 226.19a(b)(8), 226.19a(b)(9), and 226.19a(b)(10)	Facilities must collect and maintain for a period of 3 years and the current year Program applications, enrollment documents, income eligibility forms, attendance records, menu planning records, time of service, snacks and meal counts invoices and receipts, claims for reimbursement, licenses, administrative and operating costs records, training documentation, and any other records required by the SA. Adult day care centers must maintain records on the age of each enrolled person, functional impairment eligibilities are meant if under 60, and that qualified participants resides in their homes.	159,490	3	478,470	1.00	478,470.00	478,470.00	0.00	0.00
226.20(f)	Child and Adult Care Food Program Operators (facilities) maintain documentation demonstrating that service sites qualify for the menu planning option to serve vegetables to meet the grains requirement by serving primarily American Indian and Alaska Native Children.	226.20(f)	Child and Adult Care Food Program Operators (facilities) maintain documentation demonstrating that service sites qualify for the menu planning option to serve vegetables to meet the grains requirement by serving primarily American Indian and Alaska Native children.	540	1	540	1.25	675	675	0	0
<i>Subtotal</i>				159,490	3.00	479,010	1.00	479,145.00	479,145.00	0.00	0.00
<b>BUSINESS LEVEL TOTAL</b>				<b>181,140</b>	<b>3.517</b>	<b>637,149.000</b>	<b>0.86</b>	<b>546,510.975</b>	<b>546,510.975</b>	<b>0.00</b>	<b>0.00</b>

SUMMARY OF RECORDKEEPING BURDEN	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Comparison with Current OMB Inventory				
						Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment	Change in Burden Hours Due to Program Change	Total Difference in Burden Hours	
						F	G = E - F	H	I	
State/Local/Tribal Government Burden	3,847	7.054	27,136.000	0.76	20,515.675	20,263.675	0.00	252.00	252.00	
Business Burden	181,140	3.517	637,149.000	0.86	546,510.975	546,510.975	0.00	0.00	0.00	
<b>Total Recordkeeping Burden</b>	<b>184,987</b>	<b>3.591</b>	<b>664,285.000</b>	<b>0.85</b>	<b>567,026.650</b>	<b>566,774.650</b>	<b>0.00</b>	<b>252.00</b>	<b>252.00</b>	

**Appendix I: Estimates of the Hour Burden of the Collection of Information Reporting – OMB Control Number 0584-0055  
PUBLIC DISCLOSURE**

Previously Approved ICR		Review of Regulations				Comparison with Current OMB Inventory					Notes	
Section of Regulation / Form	Title	Section of Regulation/Form	Title	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment		Change in Burden Hours Due to Program Change
		A	B	C	D	E = C x D	F	G = E x F	H	I = G - H	J = G - H	K = I + J
STATE/LOCAL/TRIBAL GOVERNMENT LEVEL		STATE/LOCAL/TRIBAL GOVERNMENT LEVEL										
State Agencies		State Agencies										
		226.6(q)(2)(iii)	The CSA must conduct a full review at the MSSO headquarters and financial records center. The CSA must coordinate the timing of the reviews and make copies of monitoring reports and findings available to all other State agencies that have agreements with the MSSO.	56	23	1,288	0.25	322	0		322	322
226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	28	1	28	0.25	7.00	7.00		0.00	0.00
Local Government Agencies		Local Government Agencies										
226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	1,629	1	1,629	0.25	407.25	407.25		0.00	0.00
<b>STATE/LOCAL/TRIBAL GOVERNMENT LEVEL TOTAL</b>				<b>1,713</b>	<b>1,719</b>	<b>2,945,000</b>	<b>0.25</b>	<b>736.25</b>	<b>414.25</b>	<b>0.00</b>	<b>322.00</b>	<b>322.00</b>
BUSINESS LEVEL		BUSINESS LEVEL										
Institutions		Institutions										
226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	226.23(d)	Institutions must annually provide the information media serving the area from which the institution draws its attendance with a public release, unless, the SA has issued a Statewide media release on behalf of all institutions. The public release includes information about the availability of free and reduced-price meals or free milk.	9,301	1	9,301	0.25	2,325.25	2,325.25		0.00	0.00
<b>BUSINESS LEVEL TOTAL</b>				<b>9,301</b>	<b>1,000</b>	<b>9,301</b>	<b>0.25</b>	<b>2,325.25</b>	<b>2,325.25</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

SUMMARY OF PUBLIC DISCLOSURE BURDEN						Comparison with Current OMB Inventory			
	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment	Change in Burden Hours Due to Program Change	Total Difference in Burden Hours
	A	B	C = A x B	D	E = C x D	F	G = E - F	H = E - F	I = G + H
State/Local/Tribal Government Burden	1,713	1,719	2,945,000	0.25	736.25	414.25	0.00	322.00	322.00
Business Burden	9,301	1,000	9,301,000	0.25	2,325.25	2,325.25	0.00	0.00	0.00
<b>Total Public Disclosure Burden</b>	<b>11,014</b>	<b>1,112</b>	<b>12,246,000</b>	<b>0.25</b>	<b>3,061.50</b>	<b>2,739.50</b>	<b>0.00</b>	<b>322.00</b>	<b>322.00</b>

**Child and Adult Food Care Program (CAFCP) ICR (OMB Control No. 0584-0055)**

	Estimated Number of Respondents	Responses Per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Burden Hours	Comparison with Current OMB Inventory			
						Previously Approved Burden Hours	Change in Burden Hours Due to an Adjustment	Change in Burden Hours Due to Program Change	Total Difference in Burden Hours
	A	B	C = A x B	D	E = C x D	F	G = E - F	H	I
<b>Total Reporting Burden</b>	3,841,063	4.293	16,489,585	0.267	4,399,573.929	3,644,459.736	0.000	755,114.190	755,114.190
<b>Total Recordkeeping Burden</b>	184,987	3.591	664,285	0.854	567,026.650	566,774.650	0.000	252.000	252.000
<b>Total Public Disclosure Burden</b>	11,014	1.112	12,246	0.250	3,061.500	2,739.500	0.000	322.000	322.000
<b>TOTAL BURDEN FOR #0584-0055</b>	<b>3,852,077</b>	<b>4.456</b>	<b>17,166,116</b>	<b>0.290</b>	<b>4,969,662.079</b>	<b>4,213,973.886</b>	<b>0.000</b>	<b>755,688.190</b>	<b>755,688.190</b>

***State and Local Government Level***

State Government Agencies	=	221,613.748
Local Government Agencies	=	72,468.999
Subtotal	=	294,082.747

***Business Level***

Institutions	=	440,203.472
Facilities	=	21,401.972
Subtotal	=	461,605.443

***Household Level***

Households	=	0.000
Subtotal	=	0.000

**Subtotal All Respondents** =

Respondent		Estimated Number of Respondent	Responses Annually per Respondent	Total Annual Responses	Estimated Average Number of Hours Per Response	Estimated Total Hours
<b>Reporting Burden</b>						
State/Local/Tribal Government Level	State agencies	56	12,336	690,800	0.617	426,171
	Local government agencies	3,791	39.827	150,984	1.932	291,696
Business Level	Institutions	50,186	19.289	968,048	1.748	1,692,320
	Facilities	188,026	15.783	2,967,565	0.341	1,011,420
Households		3,599,004	3.254	11,712,188	0.0835	977,968
<b>Total Estimated Reporting Burden</b>		<b>3,841,063</b>	<b>4.293</b>	<b>16,489,585</b>	<b>0.267</b>	<b>4,399,573.929</b>
<b>Recordkeeping Burden</b>						
State/Local/Tribal Government Level	State agencies	56	31.000	1,736	5.065	8,792
	Local government agencies	3,791	6.700	25,400	0.462	11,724
Business Level	Institutions	21,650	7.304	158,139	0.426	67,366
	Facilities	159,490	3.003	479,010	1.000	479,145
<b>Total Estimated Recordkeeping Burden</b>		<b>184,987</b>	<b>3.591</b>	<b>664,285</b>	<b>0.854</b>	<b>567,026.650</b>
<b>Public Disclosure Burden</b>						
State/Local/Tribal Government Level	State agencies	28	1.000	28	0.250	7
	Local government agencies	1,629	1.000	1,629	0.250	407
Business Level	Institutions	9,301	1.000	9,301	0.250	2,325
<b>Total Estimated Public Disclosure Burden</b>		<b>11,014</b>	<b>1.112</b>	<b>12,246</b>	<b>0.250</b>	<b>3,061.500</b>
<b>Total of Reporting, Recordkeeping, and Public Disclosure</b>		<b>3,852,077</b>	<b>4.456</b>	<b>17,166,116</b>	<b>0.290</b>	<b>4,969,662.079</b>

Respondent		Total Annual Responses	Percent Electronic Responses	Total Number of Electronic Responsees
<b>Reporting</b>				
State/Local/Tribal Government Level	State agencies	690,800	100%	690,800
	Local government agencies	150,984	50%	75,492
Business Level	Institutions	968,048	50%	484,024
	Facilities	2,967,565	50%	1,483,782
Households		11,712,188	50%	5,856,094
<b>Total Estimated Reporting Burden</b>		<b>16,489,585</b>		<b>8,590,192.000</b>
<b>Recordkeeping</b>				
State/Local/Tribal Government Level	State agencies	1,736	100%	1,736
	Local government agencies	25,400	50%	12,700
Business Level	Institutions	158,139	50%	79,070
	Facilities	479,010	50%	239,505
<b>Total Estimated Recordkeeping Burden</b>		<b>664,285</b>		<b>333,011.000</b>
<b>Public Disclosure</b>				
State/Local/Tribal Government Level	State agencies	28	100%	28
	Local government agencies	1,629	50%	815
Business Level	Institutions	9,301	50%	4,651
<b>Total Estimated Public Disclosure Burden</b>		<b>12,246</b>		<b>5,494.000</b>
<b>Total of Reporting, Recordkeeping, and Public Disclosure</b>		<b>17,166,116</b>		<b>8,928,697</b>

159,490

Legend

Enter Data
Calculation; Do not enter data

Item	Estimate in Previously Approved ICR	Updated Estimate		Difference in Estimate	Notes
		Number	Data Source		
<b>STATE/LOCAL/TRIBAL GOVERNMENT LEVEL</b>					
State Agencies that currently administer the Program in their State	56	56	Previously approved ICR, dated 092816	0	All 50 States, District of Columbia, Guam, Puerto Rico, and the U.S. Virgin Islands administer the CACFP. The child and adult components of CACFP are administered by two separate SAs in Florida and Illinois.
Number of State Agencies that will establish licensing/compliance review procedures for child care centers, at-risk afterschool care centers, outside-school-hours care centers, day care homes, and adult day care centers	10	10	Previously approved ICR, dated 092816	0	
Number of State Agencies that will establish alternate procedures for review of institutions for which licensing or approval is not available	10	10	Previously approved ICR, dated 092816	0	
Number of State Agencies that will submit to State commodity distribution agency list of institutions receiving commodities by June 1	15	15	Previously approved ICR, dated 092816	0	
Number of State Agencies that will establish/revise administrative review (appeal) procedures for day care home providers AND notify the appropriate FNSRO of any change to the procedures or the selected option for offering an administrative review (appeal) to day care home providers	18	18	Previously approved ICR, dated 092816	0	
Number of State Agencies that will revise/edit household contact procedures and submit changes to FNSRO	15	15	Previously approved ICR, dated 092816	0	
Number of State Agencies that will develop/revise and provide sponsoring organization agreement between sponsor and facilities	15	15	Previously approved ICR, dated 092816	0	

Number of State Agencies that will receive a request from a sponsoring organization for an administrative costs limit waiver	0	0	Assumption	0	
Number of State Agencies that will establish procedures for start ups, advances, and recovery of over-payments	10	10	Previously approved ICR, dated 092816	0	

**BUSINESS LEVEL**

**Institutions (includes local government and business entities)**

(An institution is either a sponsoring organization or an independent child care center.)

Sponsoring organizations that are local governments	14.9%	14.9%	Data provided in August 31, 2020 email from Laura Roth, FNS. Based on data collected in CACFP Sponsor and Provider Characteristics Study ( <a href="https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C">https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C</a> ). For reference, Exhibit 1.6 in Volume II of the report details the distribution of CACFP sponsors by private non-profit, private for-profit, or public agency.	0	
Sponsoring organizations that are businesses	85.1%	85.1%		0	
Sponsoring organizations that are local governments - Requirements specific to sponsors of family day care homes	13.1%	13.1%	Data provided in August 31, 2020 email from Laura Roth, FNS. Based on data collected in CACFP Sponsor and Provider Characteristics Study ( <a href="https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C">https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C</a> ). For reference, Exhibit 1.6 in Volume II of the report details the distribution of CACFP sponsors by private non-profit, private for-profit, or public agency.	0	
Sponsoring organizations that are businesses - Requirements specific to sponsors of family day care homes	86.9%	86.9%		0	
Sponsoring organizations that are for-profit	Not applicable	25.6%	in CACFP Sponsor and Provider Characteristics Study ( <a href="https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C">https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C</a> ). For reference, Exhibit 1.6 in Volume II of the report details the distribution of CACFP sponsors by private non-profit, private	Not Applicable	

Sponsoring organizations that operate in more than one state	Not applicable	6.0%	Data provided in August 31, 2020 email from Laura Roth, FNS. Based on data collected in CACFP Sponsor and Provider Characteristics Study ( <a href="https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C">https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20sponsor%20and%20Provider,afterschool%20care%20programs%2C%20emergency%20shelters%2C</a> ). For reference, Exhibit 1.14 in Volume II of the report details the distribution of CACFP sponsors by geographic service area.	Not Applicable	
Sponsoring organizations (centers and homes)	21,858	21,858	National Database, "Calc: CACFP Total Sponsors (CENTERS+HOMES)" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	0	
Sponsoring organizations (all homes)	634	634	National Database, "Calc: CACFP Total Sponsors of All Homes" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.) worksheet in this file.)	0	
Annual number of investigations conducted by the State or by the Food and Nutrition Service (FNS) and the Office of the Inspector General (OIG) on irregularities noted in connection with the operation of the Program	60	60	Previously approved ICR, dated 092816	0	
Annual number of institutions that submit documentation to verify for profit center eligibility.	9,770	9,770	Data provided by FNS.	0	
Annual number of new institutions that submit an application for participation in the Program	280	280	Based on program data, on average, 5 new institutions per State would submit an application (i.e., 56 x 5= 280).	0	
Number of at-risk after school centers	3,784	3,784	[National Database, "At-Risk After School Centers" data field, FY2019]. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	Not Available	
Number of institutions that will offer free and reduced-price meals.	25441	25,441	Calculation: [National Database, "Calc: CACFP Total Sponsors (CENTERS+HOMES)" data field, FY2019] + [National Database, "Proprietary Title XX Centers" data field, FY2019] + [National Database, "Outside Sch Hr Centers" data field, FY2019] - [National Database, "At-Risk After School Centers" data field, FY2019] - [National Database, "Headstart Centers" data field, FY2019] - [National Database, "Homeless Centers" data field, FY2019]. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	0	FNS calculated this by taking the total number of all CACFP sponsors/all homes and centers adding Proprietary Total XX centers and adding outside school hour centers, then subtracting out at risk sponsors, and head start, homeless centers because these homes are automatically eligible and no forms are necessary.
Number of institutions that will obtain written consent from the child's parents or guardians prior to use or disclose	196	196	Previously approved ICR, dated 092816	0	

<b>Facilities</b> (Facilities means a sponsored center or a day care home provider.)					
Sponsored center facilities	69,647	69,647	Calculation: [National Database, "Outlets All Child Care Centers" data field, FY2019] + [National Database, "Outlets Adult Care Centers" data field, FY2019]. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	0	
Unaffiliated Centers	Not applicable	21,692	Calculation: [National Database, "Outlets All Child Care Centers" data field, FY2022] * 0.357. Based on data collected in <i>Erroneous Payments in Child Care Centers Study (EPICCS)</i> . For reference, Table J-1 in Appendix J of the report details Center Characteristics by Affiliation Status.	Not Applicable	Data from EPICCS is not publicly available as of April 18, 2023.
Child Care Centers that are Independent Child Care Centers (ICCCs)	Not applicable	6,843	0.106. Data provided in August 31, 2020 email from Laura Roth, FNS. Based on data collected in <i>CACFP Sponsor and Provider Characteristics Study</i> ( <a href="https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,after%20school%20care%20programs%2C%20emergency%20shelters%2C">https://www.fns.usda.gov/child-and-adult-care-food-program-cacfp-sponsor-and-provider-characteristics-study#:~:text=The%20CACFP%20Sponsor%20and%20Provider,after%20school%20care%20programs%2C%20emergency%20shelters%2C</a> ). For reference, Exhibit 5.1 in Volume I of the report details the distribution of CACFP	Not Applicable	
Family day care homes	89,843	89,843	National Database, "Calc: CACFP Total Number of Homes" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_1" worksheet in this file.)	0	
Total Homes Tier 1	80,522	80,522	National Database, "Calc: CACFP Total Homes Tier I" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	0	
Average Number of Tier 1 providers	127	127	Calculation: ["Calc: CACFP Total Homes Tier I" data field, FY2019] / ["Calc: CACFP Total Sponsors of All Homes" data field, FY2019]. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_2" worksheet in this file.)	0	
<b>HOUSEHOLD LEVEL</b>					
Number of participants	5,141,434	5,141,434	National Database, "Calc: CACFP Total Avg. Daily Attendance" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_1" worksheet in this file.)	0	
Percent of free meals served at CACFP child care centers	70.0000%	70.0%	Based on program data, around 69.31% of meals served at CACFP child care centers are free meals. Percentage rounded to 70 %.	0.00%	Around 70% of meals served at CACFP child care centers are free meals.
Households	3,599,004	3,599,004	Calculation: [National Database, "Calc: CACFP Total Avg. Daily Attendance" data field, FY2019. Data current as of August 12, 2020. (Refer to "National DB Data_20200812_1" worksheet in this file.)] * [Percent of free meals served at CACFP child care centers]	0	

<p>% poor participants (ages 0 to 17) with food allergies</p>	<p>5.2%</p>	<p>5.2%</p>	<p>"Poor" children live in families defined as below the poverty threshold.  Source: CDC. "Table C-2a. Age-adjusted percentages (with standard errors) of hay fever, respiratory allergies, food allergies, and skin allergies in the past 12 months for children under age 18 years, by selected characteristics: United States, 2018." Food Allergies. Available at <a href="https://ftp.cdc.gov/pub/Health_Statistics/NCHS/NHIS/SHS/2018_SHS_Table_C-2.pdf">https://ftp.cdc.gov/pub/Health_Statistics/NCHS/NHIS/SHS/2018_SHS_Table_C-2.pdf</a>, last accessed on August 16, 2020.</p>	<p>0.00%</p>	
<p>Number participants with food allergies</p>	<p>267,355</p>	<p>267,355</p>	<p>Calculation: [National Database, "Calc: CACFP Total Avg. Daily Attendance" data field, FY2019] * [% poor participants (ages 0 to 17) with food allergies]. National Database data current as of August 12, 2020. (Refer to "National DB Data_20200812_1" worksheet in this file.)</p>	<p>0</p>	

Child and Adult Food Care Program (C

Type of Respondent	Estimate in Previously Approved ICR	UNLOADED Loaded Hourly Wage Rate
State agencies	\$41.30	\$50.16
Local agencies	\$30.54	\$37.84
Institutions	\$24.78	\$28.44
Facilities	\$12.88	\$15.42
Households	\$7.25	\$7.25

**AFCP) ICR Labor Rates (OMB Control No. 0584-0055)**

**Updated Estimate**

**Data Source**

Bureau of Labor Statistics (BLS) Occupational Employment and Wages Statistics data from May 2022; Occupation Code 11-9031 Education and Childcare Administrators, Preschool and Daycare; Mean Hourly Wage Rate for State Government = \$50.16. Available at [https://www.bls.gov/oes/current/naics4\\_999200.htm#11-0000](https://www.bls.gov/oes/current/naics4_999200.htm#11-0000), last accessed on May 17, 2024.

Bureau of Labor Statistics (BLS) Occupational Employment and Wages Statistics data from May 2022; Occupation Code 11-9031 Education and Childcare Administrators, Preschool and Daycare; Mean Hourly Wage Rate for Local Government = \$37.84. Available at [https://www.bls.gov/oes/current/naics4\\_999300.htm#11-0000](https://www.bls.gov/oes/current/naics4_999300.htm#11-0000), last accessed on May 17, 2024.

Bureau of Labor Statistics (BLS) Occupational Employment and Wages Statistics data from May 2022; Occupation Code 11-9031 Education and Childcare Administrators, Preschool and Daycare; Mean Hourly Wage Rate = \$28.44. Available at [https://www.bls.gov/oes/current/naics4\\_624400.htm#11-0000](https://www.bls.gov/oes/current/naics4_624400.htm#11-0000), last accessed on May 17, 2024.

Bureau of Labor Statistics (BLS) Occupational Employment and Wages Statistics data from May 2022; Occupation Code 39-9011 Childcare Workers; Mean Hourly Wage Rate = \$15.42. Available at [https://www.bls.gov/oes/current/oes\\_nat.htm#00-0000](https://www.bls.gov/oes/current/oes_nat.htm#00-0000), last accessed on May 17, 2024.

U.S. Department of Labor. Available at <http://www.dol.gov/whd/minimumwage.htm>, last accessed on July 21, 2023.