

|  |                          |  |
|--|--------------------------|--|
| <h1 style="margin: 0;">FEE TRANSMITTAL</h1>  | <b>Complete if known</b> |  |
| (page 1 of 2)  | Application Number       |  |
|  | Filing Date              |  |
| <input type="checkbox"/> Applicant asserts small entity status. See 37 CFR 1.27.   | First Named Inventor     |  |
| <input type="checkbox"/> Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously. | Examiner Name            |  |
|  | Art Unit                 |  |
| TOTAL AMOUNT OF PAYMENT (\$)   | Practitioner Docket No.  |  |

**METHOD OF PAYMENT** (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: \_\_\_\_\_ Deposit Account Name: \_\_\_\_\_

For the above-identified deposit account, the Director is hereby authorized to (check all that apply):

Charge fee(s) indicated below  Charge fee(s) indicated below, **except for the filing fee**

Charge any additional fee(s) or underpayment of fee(s) under 37 CFR 1.16 and 1.17  Credit any overpayment of fee(s)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION**

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES (U = undiscounted fee; S = small entity fee; M = micro entity fee)**

| Application Type | FILING FEES |        |        | SEARCH FEES |        |        | EXAMINATION FEES |        |        | Fees Paid (\$) |
|------------------|-------------|--------|--------|-------------|--------|--------|------------------|--------|--------|----------------|
|                  | U (\$)      | S (\$) | M (\$) | U (\$)      | S (\$) | M (\$) | U (\$)           | S (\$) | M (\$) |                |
| Utility          | 350         | 140*   | 70     | 770         | 308    | 154    | 880              | 352    | 176    | _____          |
| Design           | 300         | 120    | 60     | 300         | 120    | 60     | 700              | 280    | 140    | _____          |
| Plant            | 240         | 96     | 48     | 485         | 194    | 97     | 725              | 290    | 145    | _____          |
| Reissue          | 350         | 140    | 70     | 770         | 308    | 154    | 2,550            | 1,020  | 510    | _____          |
| Provisional      | 325         | 130    | 65     | 0           | 0      | 0      | 0                | 0      | 0      | _____          |

\* The \$140 small entity filing fee for a utility application is further reduced to \$70 for a small entity applicant who files the application via Patent Center.

**2. EXCESS CLAIM FEES**

| Fee Description  | Undiscounted Fee (\$) | Small Entity Fee (\$) | Micro Entity Fee (\$) |
|--|-----------------------|-----------------------|-----------------------|
| Each claim over 20 (including Reissues)                                | 200                   | 80                    | 40                    |
| Each independent claim over 3 (including Reissues)                     | 600                   | 240                   | 120                   |
| Multiple dependent claims  | 925                   | 370                   | 185                   |
| <b>Total Claims</b>  |                       |                       |                       |
| _____ -20 or HP = _____ x _____ = _____                                |                       |                       |                       |
| HP = highest number of total claims paid for, if greater than 20.      |                       |                       |                       |
| <b>Indep. Claims</b>   |                       |                       |                       |
| _____ -3 or HP = _____ x _____ = _____                                 |                       |                       |                       |
| HP = highest number of independent claims paid for, if greater than 3. |                       |                       |                       |

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$450 (\$180 for small entity) (\$90 for micro entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

|                     |                     |   |                 |                      |
|---------------------|---------------------|---|-----------------|----------------------|
| <b>Total Sheets</b> | <b>Extra Sheets</b> | <b>Number of each additional 50 or fraction thereof</b> | <b>Fee (\$)</b> | <b>Fee Paid (\$)</b> |
| _____ - 100 = _____ | _____ / 50 = _____  | _____ (round up to a whole number) x _____              | _____ = _____   | _____                |

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If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# FEE TRANSMITTAL

(page 2 of 2)

## 4. CONTINUING APPLICATION FEE

If the actual filing date of the application is more than six years after the earliest benefit date, a continuing application fee is due. The earliest benefit date is the earliest filing date for which benefit is claimed under 35 U.S.C. 120, 121, 365(c), or 386(c) and § 1.78(d).

Choose only one of the following:

If the actual filing date is more than six years, and is less than or equal to nine years, after the earliest benefit date, the continuing application fee is \$2,700 (\$1,080 for small entity or \$540 for micro entity). See 37 CFR 1.17(w)(1).

or

If the actual filing date is more than nine years after the earliest benefit date, the continuing application fee is \$4,000 (\$1,600 for small entity or \$800 for micro entity), less any amount previously paid under 37 CFR 1.17(w)(1). See 37 CFR 1.17(w)(2).

**Fees Paid (\$)**

\_\_\_\_\_

## 5. OTHER FEE(S)

**Fees Paid (\$)**

Non-English specification, \$150 fee (\$60 for small entity) (\$30 for micro entity)

\_\_\_\_\_

**Non-electronic filing fee under 37 CFR 1.16(t) for a utility application, \$400 fee (\$200 small or micro entity)**

\_\_\_\_\_

Other (e.g., late filing surcharge): \_\_\_\_\_

\_\_\_\_\_

## SUBMITTED BY

|                   |                                      |           |
|-------------------|--------------------------------------|-----------|
| Signature         | Registration No.<br>(Attorney/Agent) | Telephone |
| Name (Print/Type) |                                      | Date      |

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. The United States Patent and Trademark Office (USPTO) collects the information in this record under authority of 35 U.S.C. 2. The USPTO's system of records is used to manage all applicant and owner information including name, citizenship, residence, post office address, and other information with respect to inventors and their legal representatives pertaining to the applicant's/owner's activities in connection with the invention for which a patent is sought or has been granted. The applicable Privacy Act System of Records Notice for the information collected in this form is COMMERCE/PAT-TM-7 Patent Application Files, available in the Federal Register at 78 FR 19243 (March 29, 2013). <https://www.govinfo.gov/content/pkg/FR-2013-03-29/pdf/2013-07341.pdf>

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