

Federal Transit Administration (FTA) Safety Stakeholder Survey
Public Transportation Agency Safety Plan (PTASP) Resources and Implementation

The purpose of the Federal Transit Administration (FTA) Safety Stakeholder Survey is to help us learn how you are using FTA's resources to support implementation of 49 C.F.R. Part 673, FTA's Public Transportation Agency Safety Plan (PTASP) regulation and how well these resources support the needs of our safety stakeholders. This survey also reflects on where you are in the process of PTASP implementation and asks you to identify any specific issues, challenges and questions where FTA assistance would help. Your candor will help us to improve FTA's safety programs and we appreciate your honest opinion.

OMB Paperwork Reduction Act Statement - The Paperwork Reduction Act of 1995 requires us to notify you that this information collection is in accordance with the clearance requirements of Section 3507 of the Paperwork Reduction Act of 1995. We estimate that it will take about 15 minutes to respond to the questions. Please note that a federal agency may not conduct or sponsor, and you are not required to respond to, a collection of information unless it displays a currently valid OMB control number. The OMB control number for this collection of information is 2132-0572.

Tell us about your agency and where you are in addressing 49 C.F.R. Part 673 requirements.

1. Which best describes your agency?

- ☐ Public Transportation Agency (Transit Agency)
- ☐ State / U.S. Territory Department of Transportation

If respondents check "Public Transportation Agency (Transit Agency)," they will receive the following survey questions:

2. What mode(s) of public transportation service does your agency provide (select all that apply)?

- ☐ Bus transit service
- ☐ Rail transit service
- ☐ Paratransit service
- ☐ Ferry service
- ☐ Commuter rail service
- ☐ Other, please specify: _____

3. Is your agency a small public transportation provider because it operates 100 or fewer vehicles in peak revenue service across all fixed-route modes or in any one non fixed-route mode?

- ☐ Yes
- ☐ No
- ☐ Not sure

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4. If your agency is small public transportation provider, how are you meeting Part 673 requirements?
- ☐ My State DOT is drafting an Agency Safety Plan on our behalf, which our agency will implement
 - ☐ Our agency has opted to develop and implement our own Agency Safety Plan
 - ☐ Not determined yet
 - ☐ Other, please specify: _____
 - ☐ Not applicable, my agency is not a small public transportation provider
5. If your agency provides rail transit service, has your State Safety Oversight agency specified any requirements it may have for the Agency Safety Plan?
- ☐ Yes
 - ☐ No
 - ☐ Not sure
 - ☐ Not applicable, my transit agency does not provide rail transit service
6. If your agency operates rail transit service and one or more modes of other transit service, will your agency prepare a single Agency Safety Plan to cover all its modes, or separate plans for rail transit and the other modes of transit (e.g. bus service)?
- ☐ Single Plan
 - ☐ Separate Plans
 - ☐ Not determined yet
 - ☐ Not sure
 - ☐ Other, please specify: _____
 - ☐ Not applicable
7. Where is your agency in the process of developing your Agency Safety Plan?
- ☐ Certified to FTA (**100 percent**)
 - ☐ Obtained approvals and final required sign-offs by Accountable Executive and Board of Directors or equivalent authority (**91-99 percent**)
 - ☐ Obtained stakeholder comments and completed revised draft of Agency Safety Plan (**81-90 percent**)
 - ☐ Completed initial Draft of Agency Safety Plan (**71-80 percent**)
 - ☐ Identified, developed and documented new processes, activities and tools to address new requirements (**51-70 percent**)
 - ☐ Identified and documented existing programs and activities that can support development of Agency Safety Plan (**31-50 percent**)
 - ☐ Completed basic research and gathered stakeholder input (**21-30 percent complete**)

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- ☐ Developed initial outline or adopted template (**11-20 percent**)
- ☐ Just getting started (**1-10 percent complete**)
- ☐ Not started (**0 percent complete**)

8. If your Agency Safety Plan is less than 50 percent complete, have you developed a schedule to ensure your agency can certify compliance with Part 673 requirements by July 20, 2020?

- ☐ Yes
- ☐ No
- ☐ Not sure

9. If your agency will be developing its own Agency Safety Plan, has your agency completed any of the following activities in order to develop and implement the Agency Safety Plan?

Activity	Not Started	In Progress	Completed
1. Designated an Accountable Executive	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
2. Designated a Chief Safety Officer/SMS Implementation Lead	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
3. Identified key stakeholder groups to include in the design and development of the Agency Safety Plan/SMS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
4. Identified roles and responsibilities for Agency Safety Plan/SMS development and implementation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
5. Identified key staff to support Agency Safety Plan/SMS development and implementation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6. Provided key staff with Agency Safety Plan/SMS training	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
7. Developed and communicated a plan for Agency Safety Plan/SMS implementation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
8. Established a schedule for Agency Safety Plan/SMS development and implementation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
9. Established a schedule for Agency Safety Plan/SMS development and implementation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10. Briefed stakeholders on SMS and Agency Safety Plan requirements and development/implementation activities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
11. Discussed the plan for Agency Safety Plan/SMS implementation with State Safety Oversight Agency (leave blank if not applicable)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
12. Developed safety objectives for Agency Safety Plan	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
13. Developed safety performance targets based on the safety performance measures that FTA has established in the National Safety Plan for Fatalities, Injuries, Safety Events, and	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

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Activity	Not Started	In Progress	Completed
System Reliability			
14. Developed Safety Management Policy Statement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
15. Developed Employee Safety Reporting Program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
16. Developed Safety Risk Management process, including hazard and consequence identification, safety risk assessment and safety risk mitigation	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
17. Developed Safety Assurance process, including safety performance measurement and monitoring and, if applicable, management of change and continuous improvement	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
18. Developed Safety Promotion process, including safety training and communication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
19. Established SMS documentation requirements	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
20. Established process for reviewing and approving Agency Safety Plan and certifying to FTA	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Question not applicable, State DOT is drafting my agency's plan.

10. How confident or concerned are you that your agency will meet the July 20, 2020 deadline for certifying its Agency Safety Plan to FTA?

- ☐ Extremely confident
- ☐ Confident
- ☐ Neither confident nor concerned
- ☐ Concerned
- ☐ Extremely concerned

Please tell us about your experiences with FTA's Public Transportation Agency Safety Plan (PTASP) resources:

11. Have you visited FTA's PTASP Resource Page (<https://www.transit.dot.gov/regulations-and-guidance/safety/public-transportation-agency-safety-program/ptasp-resources>) or participated in an FTA PTASP webinar or workshop?

- ☐ Yes
- ☐ No

12. How often do you use FTA's PTASP resources?

- ☐ Daily
- ☐ Weekly

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- ☐ Monthly
- ☐ Occasionally
- ☐ Once

13. Please check the types of FTA PTASP Resources you have used (select as many as you have used):

- ☐ PTASP Frequently Asked Questions (FAQs)
- ☐ SMS Resources
- ☐ Fact Sheets
- ☐ Articles
- ☐ Graphics
- ☐ Bus Transit Guidance and Templates
- ☐ Rail Transit Guidance and Templates
- ☐ Overview Webinar Presentations and Recordings
- ☐ PTASP Webinar Presentations and Recordings

14. If you provide bus transit service, are you using FTA's *Bus Template* and *Bus Template Resource Guide* to support development of your Agency Safety Plan?

- ☐ Yes
- ☐ No
- ☐ Not sure
- ☐ N/A

15. If you provide rail transit service, are you using FTA's *Comparison of Definitions Part 673 versus Part 659* and *Transition Roadmap Guidance on Using SSPPs to Develop PTASPs* to support development of your Agency Safety Plan?

- ☐ Yes
- ☐ No
- ☐ Not sure
- ☐ N/A

16. What is your overall level of satisfaction with FTA's PTASP resources?

- ☐ Not at all satisfied
- ☐ Slightly satisfied
- ☐ Moderately satisfied
- ☐ Very satisfied
- ☐ Extremely satisfied

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17. If you checked not at all, slightly or moderately satisfied, could you please tell us what improvements we could make that would help you in your efforts to comply with Part 673?

18. Do you have specific questions or concerns that have not been addressed in FTA's guidance?

- ☐ Yes
☐ No

19. If you checked "Yes" to the question above, could you please share these questions and concerns with us so we may develop materials to address them in the future?

20. In terms of navigating FTA's PTASP Resources, have you been able to find specific PTASP information when you were looking for it?

- ☐ Yes, easily
☐ Yes, but it took some effort
☐ Only part of it
☐ No
☐ I was just browsing

21. If you did not check "Yes, easily," could you let us know about the issues you faced in finding specific PTASP information on our resource page?

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22. Do you have suggestions for other PTASP resources that would be helpful?

23. Do you have suggestions for any other services or activities that FTA could provide to help your agency address 49 C.F.R. Part 673 requirements?

24. Is there anything else that you would like to share with us regarding PTASP implementation or FTA's PTASP Resources?

25. Would you mind sharing the name of your agency?

**You are submitting a survey for FTA's Office of Transit Safety and Oversight.
Thank you. We value your insights.**