

National HIV Surveillance System (NHSS)

Attachment 3(b)

Pediatric HIV Confidential Case Report Form

I. Patient Identification (record all dates as mm/dd/yyyy)

| | | | |
|---|--------------|--------------------------|--------------------------------|
| *First Name | *Middle Name | *Last Name | Last Name Soundex |
| Alternate Name Type (example: Birth, Call Me) | | *First Name | *Middle Name |
| *Last Name | | | |
| Address Type <input type="checkbox"/> Residential <input type="checkbox"/> Bad address <input type="checkbox"/> Correctional facility <input type="checkbox"/> Foster home <input type="checkbox"/> Homeless <input type="checkbox"/> Military <input type="checkbox"/> Other <input type="checkbox"/> Postal <input type="checkbox"/> Shelter <input type="checkbox"/> Temporary | | *Current Address, Street | Address Date ____/____/____ |
| *Phone () | City | County | State/Country |
| *Medical Record Number | | *Other ID Type | *Number |

U.S. Department of Health and Human Services

Pediatric HIV Confidential Case Report Form

(Patients aged <13 years at time of perinatal exposure or patients aged <13 years at time of diagnosis) *Information NOT transmitted to CDC

Centers for Disease Control and Prevention (CDC)

II. Health Department Use Only (record all dates as mm/dd/yyyy)

Form approved OMB no. 0920-0573 Exp. 02/28/2026

| | | |
|---|---|--------------------|
| Date Received at Health Department ____/____/____ | eHARS Document UID | State Number |
| Reporting Health Dept—City/County | | City/County Number |
| Document Source | Surveillance Method <input type="checkbox"/> Active <input type="checkbox"/> Passive <input type="checkbox"/> Follow up <input type="checkbox"/> Reabstraction <input type="checkbox"/> Unknown | |
| Did this report initiate a new case investigation? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown | Report Medium <input type="checkbox"/> 1-Field visit <input type="checkbox"/> 2-Mailed <input type="checkbox"/> 3-Faxed <input type="checkbox"/> 4-Phone <input type="checkbox"/> 5-Electronic transfer <input type="checkbox"/> 6-CD/disk | |

III. Facility Providing Information (record all dates as mm/dd/yyyy)

| | | |
|---|-------------------------|---------------|
| Facility Name | | *Phone () |
| *Street Address | | |
| City | County | State/Country |
| *ZIP Code | | |
| Facility Type <i>Inpatient:</i> <input type="checkbox"/> Hospital <input type="checkbox"/> Other, specify _____ <i>Outpatient:</i> <input type="checkbox"/> Private physician's office <input type="checkbox"/> Pediatric clinic <input type="checkbox"/> Pediatric HIV clinic <input type="checkbox"/> Other, specify _____ <i>Other Facility:</i> <input type="checkbox"/> Emergency room <input type="checkbox"/> Laboratory <input type="checkbox"/> Unknown <input type="checkbox"/> Other, specify _____ | | |
| Date Form Completed ____/____/____ | *Person Completing Form | *Phone () |

IV. Patient Demographics (record all dates as mm/dd/yyyy)

| | | |
|--|---|---|
| Diagnostic Status at Report <input type="checkbox"/> 3-Perinatal HIV exposure <input type="checkbox"/> 4-Pediatric HIV <input type="checkbox"/> 5-Pediatric AIDS <input type="checkbox"/> 6-Pediatric seroreverter | Sex Assigned at Birth <input type="checkbox"/> Male <input type="checkbox"/> Female <input type="checkbox"/> Unknown | Country of Birth <input type="checkbox"/> US <input type="checkbox"/> Other/US dependency (specify) _____ |
| Date of Birth ____/____/____ | Alias Date of Birth ____/____/____ | |
| Vital Status <input type="checkbox"/> 1-Alive <input type="checkbox"/> 2-Dead | Date of Death ____/____/____ | State of Death |
| Date of Last Medical Evaluation ____/____/____ | Date of Initial Evaluation for HIV ____/____/____ | |
| Gender Identity <input type="checkbox"/> Boy <input type="checkbox"/> Girl <input type="checkbox"/> Transgender boy <input type="checkbox"/> Transgender girl <input type="checkbox"/> Additional gender identity (specify) _____ <input type="checkbox"/> Declined to answer <input type="checkbox"/> Unknown | | |
| Date Identified ____/____/____ | | |
| Sexual Orientation <input type="checkbox"/> Straight or heterosexual <input type="checkbox"/> Lesbian or gay <input type="checkbox"/> Bisexual <input type="checkbox"/> Additional sexual orientation (specify) _____ <input type="checkbox"/> Declined to answer <input type="checkbox"/> Unknown | | |
| Date Identified ____/____/____ | | |
| Ethnicity <input type="checkbox"/> Hispanic/Latino <input type="checkbox"/> Not Hispanic/Latino <input type="checkbox"/> Unknown | Expanded Ethnicity | |
| Race <input type="checkbox"/> American Indian/Alaska Native <input type="checkbox"/> Asian <input type="checkbox"/> Black/African American (check all that apply) <input type="checkbox"/> Native Hawaiian/Other Pacific Islander <input type="checkbox"/> White <input type="checkbox"/> Unknown | Expanded Race | |

V. Residence at Diagnosis (add additional addresses in Comments) (record all dates as mm/dd/yyyy)

| | | | | | |
|---|---|--|--|--|--|
| Address Event Type (check all that apply to address below) | <input type="checkbox"/> Residence at HIV diagnosis | <input type="checkbox"/> Residence at stage 3 (AIDS) diagnosis | <input type="checkbox"/> Residence at perinatal exposure | <input type="checkbox"/> Residence at pediatric seroreverter | <input type="checkbox"/> Check if SAME as current address |
| Address Type <input type="checkbox"/> Residential <input type="checkbox"/> Bad address <input type="checkbox"/> Correctional facility <input type="checkbox"/> Foster home <input type="checkbox"/> Homeless <input type="checkbox"/> Military <input type="checkbox"/> Other <input type="checkbox"/> Postal <input type="checkbox"/> Shelter <input type="checkbox"/> Temporary | | | | | |
| *Street Address | | | | | |
| City | County | State/Country | *ZIP Code | | |

Public reporting burden of this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0573). **Do not send the completed form to this address.**

This report to CDC is authorized by law (Sections 304 and 306 of the Public Health Service Act, 42 USC 242b and 242k). Response in this case is voluntary for federal government purposes but may be mandatory under state and local statutes. Your cooperation is necessary for the understanding and control of HIV. Information in CDC's National HIV Surveillance System that would permit identification of any individual on whom a record is maintained is collected with a guarantee that it will be held in confidence, will be used only for the purposes stated in the assurance, and will not otherwise be disclosed or released without the consent of the individual in accordance with Section 308(d) of the Public Health Service Act (42 USC 242m).

VI. Facility of Diagnosis (add additional facilities in Comments)

| | | | |
|---|---------------|--|-------------------|
| Diagnosis Type (check all that apply to facility below) <input type="checkbox"/> HIV <input type="checkbox"/> Stage 3 (AIDS) <input type="checkbox"/> Perinatal exposure <input type="checkbox"/> Check if <u>SAME</u> as facility providing information | | | |
| Facility Name | | | *Phone () |
| *Street Address | | | |
| City | County | State/Country | *ZIP Code |
| Facility Type <u>Inpatient</u> : <input type="checkbox"/> Hospital <input type="checkbox"/> Other, specify _____ | | <u>Outpatient</u> : <input type="checkbox"/> Private physician's office <input type="checkbox"/> Pediatric clinic <input type="checkbox"/> Pediatric HIV clinic <input type="checkbox"/> Other, specify _____ | |
| | | <u>Other Facility</u> : <input type="checkbox"/> Emergency room <input type="checkbox"/> Laboratory <input type="checkbox"/> Unknown <input type="checkbox"/> Other, specify _____ | |
| *Provider Name | | *Provider Phone () | Specialty |

VII. Patient History (respond to all questions) (record all dates as mm/dd/yyyy)

| | |
|---|---|
| Birth person's HIV infection status (select one): <input type="checkbox"/> Refused HIV testing <input type="checkbox"/> Known to be uninfected after this child's birth <input type="checkbox"/> Known HIV+ before pregnancy <input type="checkbox"/> Known HIV+ during pregnancy <input type="checkbox"/> Known HIV+ sometime before birth <input type="checkbox"/> Known HIV+ at delivery <input type="checkbox"/> Known HIV+ after child's birth <input type="checkbox"/> HIV+, time of diagnosis unknown <input type="checkbox"/> HIV status unknown | |
| Date of birthing person's first positive test result to confirm infection ___/___/____ | Child breastfed/chestfed by birthing person <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown (If yes) Start Date ___/___/____ Stop Date ___/___/____ Child received pre-masticated/pre-chewed food from birthing person <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| After 1977 and before the earliest known diagnosis of HIV infection, the birthing person had: | |
| Perinatally acquired HIV infection | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Injected nonprescription drugs | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Birthing person had HETEROSEXUAL relations with any of the following: | |
| HETEROSEXUAL contact with person who injected drugs | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| HETEROSEXUAL contact with bisexual male | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| HETEROSEXUAL contact with person with hemophilia/coagulation disorder with documented HIV infection | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| HETEROSEXUAL contact with transfusion recipient with documented HIV infection | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| HETEROSEXUAL contact with transplant recipient with documented HIV infection | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| HETEROSEXUAL contact with person with documented HIV infection, risk not specified | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Birthing person had: | |
| Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| First date received ___/___/____ Last date received ___/___/____ | |
| Received transplant of tissue/organs or artificial insemination | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Before the diagnosis of HIV infection, this child had: | |
| Injected nonprescription drugs | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Received clotting factor for hemophilia/coagulation disorder | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Specify clotting factor: _____ Date received ___/___/____ | |
| Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| First date received ___/___/____ Last date received ___/___/____ | |
| Received transplant of tissue/organs | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Sexual contact with male | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Sexual contact with female | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Been breastfed/chestfed by non-birthing person | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Received pre-masticated/pre-chewed food from non-birthing person | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |
| Other documented risk (include detail in Comments) | <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown |

VIII. Clinical: Opportunistic Illnesses (record all dates as mm/dd/yyyy)

| Diagnosis | Dx Date | Diagnosis | Dx Date | Diagnosis | Dx Date |
|--|---------|---|---------|--|---------|
| Bacterial infection, multiple or recurrent (including Salmonella septicemia) | | HIV encephalopathy | | Mycobacterium avium complex or M. kansasii, disseminated or extrapulmonary | |
| Candidiasis, bronchi, trachea, or lungs | | Herpes simplex: chronic ulcers (>1 mo. duration), bronchitis, pneumonitis, or esophagitis | | M. tuberculosis, pulmonary ¹ | |
| Candidiasis, esophageal | | Histoplasmosis, disseminated or extrapulmonary | | M. tuberculosis, disseminated or extrapulmonary ¹ | |
| Carcinoma, invasive cervical | | Isosporiasis, chronic intestinal (>1 mo. duration) | | Mycobacterium, of other/unidentified species, disseminated or extrapulmonary | |
| Coccidioidomycosis, disseminated or extrapulmonary | | Kaposi's sarcoma | | Pneumocystis pneumonia | |
| Cryptococcosis, extrapulmonary | | Lymphoid interstitial pneumonia and/or pulmonary lymphoid | | Pneumonia, recurrent in 12 mo. period | |
| Cryptosporidiosis, chronic intestinal (>1 mo. duration) | | Lymphoma, Burkitt's (or equivalent) | | Progressive multifocal leukoencephalopathy | |
| Cytomegalovirus disease (other than in liver, spleen, or nodes) | | Lymphoma, immunoblastic (or equivalent) | | Toxoplasmosis of brain, onset at >1 mo. of age | |
| Cytomegalovirus retinitis (with loss of vision) | | Lymphoma, primary in brain | | Wasting syndrome due to HIV | |

¹If a diagnosis date is entered for either tuberculosis diagnosis above, provide RVCT Case Number:

IX. Laboratory Data (record additional tests and tests not specified below in Comments) (record all dates as mm/dd/yyyy)

| | | |
|--|------------------------------------|--------------------------------|
| HIV Immunoassays | | |
| TEST <input type="checkbox"/> HIV-1 IA <input type="checkbox"/> HIV-1/2 IA <input type="checkbox"/> HIV-1/2 Ag/Ab <input type="checkbox"/> HIV-2 IA | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result <input type="checkbox"/> Positive <input type="checkbox"/> Negative <input type="checkbox"/> Indeterminate | Collection Date ____/____/____ | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1/2 Ag/Ab differentiating immunoassay (differentiates between HIV Ag and HIV Ab) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result Overall: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive | Collection Date ____/____/____ | |
| Analyte results: HIV-1 Ag: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive HIV-1/2 Ab: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive | | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1/2 Ag/Ab and type-differentiating immunoassay (differentiates among HIV-1 Ag, HIV-1 Ab, and HIV-2 Ab) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result ³ Overall interpretation: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive <input type="checkbox"/> Index Value _____ | Collection Date ____/____/____ | |
| Analyte results: HIV-1 Ag: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive <input type="checkbox"/> Not reportable due to high Ab level Index Value _____ | | |
| HIV-1 Ab: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive <input type="checkbox"/> Reactive undifferentiated Index Value _____ | | |
| HIV-2 Ab: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive <input type="checkbox"/> Reactive undifferentiated Index Value _____ | | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1/2 type-differentiating immunoassay (supplemental) (differentiates between HIV-1 Ab and HIV-2 Ab) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result ⁴ Overall interpretation: <input type="checkbox"/> HIV positive, untypable <input type="checkbox"/> HIV-1 positive with HIV-2 cross-reactivity <input type="checkbox"/> HIV-2 positive with HIV-1 cross-reactivity | Collection Date ____/____/____ | |
| <input type="checkbox"/> HIV negative <input type="checkbox"/> HIV indeterminate <input type="checkbox"/> HIV-1 indeterminate <input type="checkbox"/> HIV-2 indeterminate <input type="checkbox"/> HIV-1 positive <input type="checkbox"/> HIV-2 positive | | |
| Analyte results: HIV-1 Ab: <input type="checkbox"/> Positive <input type="checkbox"/> Negative <input type="checkbox"/> Indeterminate HIV-2 Ab: <input type="checkbox"/> Positive <input type="checkbox"/> Negative <input type="checkbox"/> Indeterminate | | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1 WB <input type="checkbox"/> HIV-1 IFA <input type="checkbox"/> HIV-2 WB | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result <input type="checkbox"/> Positive <input type="checkbox"/> Negative <input type="checkbox"/> Indeterminate | Collection Date ____/____/____ | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| HIV Detection Tests | | |
| TEST <input type="checkbox"/> HIV-1/2 RNA NAAT (Qualitative) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result <input type="checkbox"/> HIV-1 <input type="checkbox"/> HIV-2 <input type="checkbox"/> Both (HIV-1 and HIV-2) <input type="checkbox"/> HIV, not differentiated (HIV-1 or HIV-2) <input type="checkbox"/> Neither (negative) | Collection Date ____/____/____ | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1 RNA NAAT (Qualitative and Quantitative) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result Qualitative: <input type="checkbox"/> Reactive <input type="checkbox"/> Nonreactive | Collection Date ____/____/____ | |
| Analyte results: HIV-1 Quantitative: <input type="checkbox"/> Detectable above limit <input type="checkbox"/> Detectable within limits <input type="checkbox"/> Detectable below limit | | |
| | | Copies/mL _____ Log _____ |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1 RNA/DNA NAAT (Qualitative) <input type="checkbox"/> HIV-1 culture <input type="checkbox"/> HIV-2 RNA/DNA NAAT (Qualitative) <input type="checkbox"/> HIV-2 culture | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result <input type="checkbox"/> Positive <input type="checkbox"/> Negative <input type="checkbox"/> Indeterminate | Collection Date ____/____/____ | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| TEST <input type="checkbox"/> HIV-1 RNA/DNA NAAT (Quantitative) <input type="checkbox"/> HIV-2 RNA/DNA NAAT (Quantitative) | | |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |
| Result <input type="checkbox"/> Detectable above limit <input type="checkbox"/> Detectable within limits <input type="checkbox"/> Detectable below limit <input type="checkbox"/> Not detected | Copies/mL _____ Log _____ | |
| Collection Date ____/____/____ | | |
| Testing Option (if applicable) <input type="checkbox"/> Point-of-care test by provider <input type="checkbox"/> Self-test, result directly observed by a provider ² <input type="checkbox"/> Lab test, self-collected sample | | |
| Drug Resistance Tests (Genotypic) | | |
| TEST <input type="checkbox"/> HIV-1 Genotype (Unspecified) | | |
| Lab Name _____ | Test Brand Name/Manufacturer _____ | |
| Provider Name _____ | Facility Name _____ | |
| | Collection Date ____/____/____ | |
| Immunologic Tests (CD4 count and percentage) | | |
| CD4 count _____ cells/ μ L | CD4 percentage _____ % | Collection Date ____/____/____ |
| Test Brand Name/Manufacturer _____ | Lab Name _____ | |
| Facility Name _____ | Provider Name _____ | |

IX. Laboratory Data (record additional tests and tests not specified below in Comments) (record all dates as mm/dd/yyyy) (cont)

Documentation of Tests

Did documented laboratory test results meet approved HIV diagnostic algorithm criteria? Yes No Unknown

If YES, provide specimen collection date of earliest positive test result for this algorithm ____/____/____
 Complete the above only if none of the following were positive for HIV-1: Western blot, IFA, culture, quantitative NAAT (RNA or DNA), qualitative NAAT (RNA or DNA), HIV-1/2 type-differentiating immunoassay (supplemental test), stand-alone p24 antigen, or nucleotide sequence.

Is earliest evidence of diagnosis documented by a physician rather than by laboratory test results? **HIV-infected** Yes No Unknown **Date of diagnosis by physician** ____/____/____
Not HIV-infected Yes No Unknown **Date of diagnosis by physician** ____/____/____

²Results not directly observed by a provider should be recorded in HIV Testing History.
³Complete the overall interpretation and the analyte results.
⁴Always complete the overall interpretation. Complete the analyte results when available.

X. Birth History (for patients exposed perinatally with or without consequent infection)

Birth history available? Yes No Unknown

Residence at Birth Check if SAME as current address

Address Type Residential Bad address Correctional facility Foster home Homeless Military Other Postal Shelter Temporary

***Street Address** _____ **City** _____

County _____ **State/Country** _____ ***ZIP Code** _____

Facility of Birth Check if SAME as facility providing information

Facility Name of Birth _____ ***Phone** (____) _____

Facility Type *Inpatient:* Hospital Other, specify _____ *Outpatient:* Other, specify _____ *Other Facility:* Emergency room Corrections Unknown Other, specify _____

***Street Address** _____ **City** _____

County _____ **State/Country** _____ ***ZIP Code** _____

Birth History **Birth Weight** ____ lbs ____ oz ____ grams **Type** 1-Single 2-Twin 3-More than two 9-Unknown

Delivery Vaginal Cesarean Unknown

If Cesarean delivery, mark all the following indications that apply.

HIV indication (high viral load) Previous Cesarean (repeat) Malpresentation (breech, transverse)
 Prolonged labor or failure to progress Birthing person's or physician's preference Fetal distress
 Placenta abruptia or p. previa Other (e.g., herpes, disproportion) (Specify) _____
 Not specified

Birth Information **Date** ____/____/____ **Time (use military time: noon = 12:00; midnight = 00:00)** ____:____

Rupture of membranes ____:____:____
 Delivery ____:____:____

Congenital Disorders Yes No Unknown **If YES, specify types** _____

Neonatal Status 1-Full-term 2-Premature 9-Unknown **Neonatal Gestational Age in Weeks** ____ (99 = Unknown, 00 = None)

| Was a toxicology screen done on the infant after birth? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown (If screening for the same substance was done on more than one occasion, record additional dates and results in Comments) | Result | | | | |
|---|--------------------------|----------------|--------------------------|--------------------------|--------------------------|
| | Not screened | Date of screen | Positive | Negative | Unknown |
| Alcohol | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Amphetamines | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Barbiturates | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Benzodiazepines | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cocaine | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Crack cocaine | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fentanyl | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Hallucinogens | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Heroin | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| K2 | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Marijuana (cannabis, THC, cannabinoids) | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methadone | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methamphetamines | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Nicotine (any tobacco) | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Opiates | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| PCP | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (specify) _____ | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Specific drug(s) not documented | <input type="checkbox"/> | ____/____/____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

XI. Birthing Person History (for patients exposed perinatally with or without consequent infection)

| | | | | | |
|---|---|---|------------------------------|---|--------------------------|
| Birthing Person Date of Birth ___ / ___ / _____ | | Birthing Person Last Name Soundex | | | |
| Birthing Person Country of Birth | | Birthing Person State ID Number | | | |
| Birthing Person City/County ID Number | | *Other Birthing Person ID (specify type of ID and ID number) | | | |
| Prenatal Care—Month of Pregnancy Prenatal Care Began (99 = Unknown, 00 = None) | | Prenatal Care—Total Number of Prenatal Care Visits (99 = Unknown, 00 = None) | | | |
| Has the birthing person ever been pregnant before this pregnancy? Include previous pregnancies that ended in a live birth, miscarriage, stillbirth, or induced abortion. <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown | If YES, specify how many previous pregnancies _____ | | | Year outcome occurred (9999 = Unknown) | |
| | | Pregnancy outcome (select one) | | | |
| | | Live birth | Miscarriage or Stillbirth | | Induced abortion |
| | i. | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> |
| | ii. | <input type="checkbox"/> | <input type="checkbox"/> | | <input type="checkbox"/> |
| iii. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ | |
| iv. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ | |
| v. | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | _____ | |
| (Record additional pregnancy outcomes in Comments) | | | | | |
| Was a test result (with a specimen collection date within the 6 weeks on or before delivery) documented in the birthing person's labor/delivery record CD4 <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Quantitative NAAT (RNA or DNA) <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown | | | | | |
| Did birthing person receive any antiretrovirals (ARVs) prior to this pregnancy? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Refused <input type="checkbox"/> Unknown | | | | | |
| Date began ___ / ___ / _____ | | Date of last use ___ / ___ / _____ | | | |
| If YES, specify all ARVs _____ | | | | | |
| Did birthing person receive any ARVs during this pregnancy? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Refused <input type="checkbox"/> Unknown | | | | | |
| Date began ___ / ___ / _____ | | Date of last use ___ / ___ / _____ | | | |
| If YES, specify all ARVs _____ | | | | | |
| If NO, select reason <input type="checkbox"/> No prenatal care <input type="checkbox"/> Birthing person known to be HIV-negative during pregnancy <input type="checkbox"/> Unknown <input type="checkbox"/> HIV serostatus of birthing person unknown <input type="checkbox"/> Other (specify) _____ | | | | | |
| Did birthing person receive any ARVs during labor/delivery? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Refused <input type="checkbox"/> Unknown | | | | | |
| Date began ___ / ___ / _____ | | Date of last use ___ / ___ / _____ | | | |
| If YES, specify all ARVs _____ | | | | | |
| If NO, select reason <input type="checkbox"/> Precipitous delivery/STAT Cesarean delivery <input type="checkbox"/> HIV serostatus of birthing person unknown <input type="checkbox"/> Birth not in hospital <input type="checkbox"/> Birthing person tested HIV negative during pregnancy <input type="checkbox"/> Other (specify) _____ <input type="checkbox"/> Unknown | | | | | |
| Was the birthing person screened for any of the following conditions during this pregnancy? Check test(s) performed before birth | | | | | |
| | Yes | Date of screen (mm/dd/yyyy) | No | Unknown | |
| Group B strep | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Hepatitis B (HBsAg) | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Rubella | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Syphilis | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Were any of the following conditions diagnosed for the birthing person during this pregnancy or at the time of labor and delivery? | | | | | |
| | Yes | Date of diagnosis (mm/dd/yyyy) | No | Unknown | |
| Bacterial vaginosis | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| <i>Chlamydia trachomatis</i> infection | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Genital herpes | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Gonorrhea | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Group B strep | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Hepatitis B (HBsAg) | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Hepatitis C | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| PID | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Syphilis | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Trichomoniasis | <input type="checkbox"/> | ___ / ___ / _____ | <input type="checkbox"/> | <input type="checkbox"/> | |
| Were substances used by the birthing person during this pregnancy? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown | | | | | |
| | Used and injected | Used and did not inject | Used and unknown if injected | Did not use | Unknown if used |
| Alcohol | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Amphetamines | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Barbiturates | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Benzodiazepines | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cocaine | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Crack cocaine | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fentanyl | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Hallucinogens | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Heroin | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| K2 | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Marijuana (cannabis, THC, cannabinoids) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methadone | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methamphetamines | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Nicotine (any tobacco) | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Opiates | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| PCP | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (specify) _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Specific drug(s) not documented | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

XI. Birthing Person History (for patients exposed perinatally with or without consequent infection) (cont)

| Was a toxicology screen done on the birthing person (either during this pregnancy or at the time of delivery)? <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown (If screening for the same substance was done on more than one occasion, record additional dates and results in Comments) | | | | | |
|---|--------------------------|----------------|--------------------------|--------------------------|--------------------------|
| | Not screened | Date of screen | Positive | Negative | Unknown |
| Alcohol | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Amphetamines | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Barbiturates | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Benzodiazepines | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Cocaine | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Crack cocaine | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Fentanyl | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Hallucinogens | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Heroin | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| K2 | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Marijuana (cannabis, THC, cannabinoids) | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methadone | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Methamphetamines | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Nicotine (any tobacco) | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Opiates | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| PCP | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Other (specify) _____ | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
| Specific drug(s) not documented | <input type="checkbox"/> | ___/___/___ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

XII. Treatment/Services Referrals (record all dates as mm/dd/yyyy)

Has this child ever taken any ARVs? Yes No Unknown

| ARV medication | Reason for use | | | | | | Date began | Date of last use |
|----------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------------|-------------|------------------|
| | HIV Tx | PrEP | PEP | PMTCT | HBV Tx | Other (specify reason) | | |
| i. _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> _____ | ___/___/___ | ___/___/___ |
| ii. _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> _____ | ___/___/___ | ___/___/___ |
| iii. _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> _____ | ___/___/___ | ___/___/___ |
| iv. _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> _____ | ___/___/___ | ___/___/___ |
| v. _____ | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> _____ | ___/___/___ | ___/___/___ |

(Record additional ARV medications in Comments)

Has this child ever taken PCP prophylaxis Yes No Unknown Date began ___/___/___ Date of last use ___/___/___

This child's primary caretaker is 1-Biological parent 2-Other relative 3-Foster/Adoptive parent, relative 4-Foster/Adoptive parent, unrelated 7-Social service agency 8-Other (specify in comments) 9-Unknown

XIII. Comments

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XIV. *Local/Optional Fields

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