National HIV Surveillance System (NHSS)

Attachment 3(a)

Adult HIV Confidential Case Report Form

I. Patient Identificati	on (rec	ord all dates as	s mm/dd/yy	уу)								
*First Name		*Middle Na	me			Last Name			Last Name Soundex			
Alternate Name Type (ex: Alias, Married)			*First Name			*Middle Name		*Las	t Nan	ne		
	ne 🗆 Ho	address □ Correct omeless □ Military □ Temporary		*Curren	t Addres	s, Street				Address Date		
*Phone	City		County			State/Country			*ZIF	Code		
*Medical Record Number	,	*Other ID Type				*Number						
U.S. Department of Health and Human Services Adult HIV Confidential Case Report Form (Patients ≥13 years of age at time of diagnosis) *Information NOT transmitted to CDC (Patients ≥13 years of age at time of diagnosis) *Information NOT transmitted to CDC Form approved OMB no. NNNN-NNNN Exp. MM/DD/YYYY												
Date Received at Health D			eHARS Do	cument UI	D		S	State Number				
Reporting Health Dept—Ci		City/County Number										
Document Source			Surveillan	Surveillance Method Active Passive Follow up Reabstraction Unknown								
Did this report initiate a new case investigation? ☐ Yes ☐ No ☐ Unknown ☐ 1-Field visit ☐ 2-Mailed ☐ 3-Faxed ☐ 4-Phone ☐ 5-Electronic transfer ☐ 6-CD/disk												
III. Facility Providing	Inforn	nation (record	all dates a	s mm/dd/y	уууу)							
Facility Name							*Phone					
*Street Address								·				
City	C	County State/Country			Country	*ZIP Code						
Facility <u>Inpatient</u> : Type □ Hospital □ Other, specify _	Outpatient: □ Private physician's office Screening, Diagnostic, Referra □ Adult HIV clinic □ CTS □ STD clinic □ Other, specify □ Other, specify				ferral Agen	<u>Other Facility</u> : □ Emergency room □ Laboratory □ Corrections □ Unknown □ Other, specify						
			*Person Completing Form				*Phone ()					
IV. Patient Demographics (record all dates as mm/dd/yyyy)												
Sex Assigned at Birth			own	Country of	Birth [US Other/U		, , ,				
Date of Birth / /			Alias Date of Birt			ate of Birth						
			Date of Death					Death	ath			
Gender Identity												
Date Identified//												
Sexual Orientation												
□ Declined to answer □ Unknown Date Identified / /												
					Expande	panded Ethnicity						
Race						d Race						
V. Residence at Diag	nosis	(add additional	addresses	in Comm	ents) (ı	ecord all date	es as mn	n/dd/yyyy))			
Address Event Type	see holow	v) □ Posidonos s	t HIV diagnes	ie 🗆 Posis	dence of	etane 3 (NIDC) dia	anosis 5	Chock if S	ANAE	as current address		
(check all that apply to address below) □ Residence at HIV diagnosis □ Residence at stage 3 (AIDS) diagnosis □ Check if <u>SAME</u> as current address Address Type □ Residential □ Bad address □ Correctional facility □ Foster home □ Homeless □ Military □ Other □ Postal □ Shelter □ Temporary												
*Street Address												
City	-	County		S	State/Country *ZIP Code				ZIP Code			

Public reporting burden of this collection of information is estimated to average 20 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to CDC, Project Clearance Officer, 1600 Clifton Road, MS D-74, Atlanta, GA 30333, ATTN: PRA (0920-0573). **Do not send the completed form to this address.**

	of Diagnosis (add e (check all that apply		facilities in Commen	ts) ∃(AIDS) □ Ch	eck if SAME as	facility providir	na inform	ation	—			
Facility Name	c (criccit all triat apply	to facility below	v) - Thv - Glage o	(AIDO) - OII	OAIVIL as	*Phone						
*Street Addres	<u> </u>					1 Hone	()					
City		County		State/Count	trv	*7	IP Code					
Facility Type	Inpatient: □ Hospital Outpatient: □ Private physician's office Screening, Diagnostic, Referral Agency: Other □ Other, specify □ Adult HIV clinic □ CTS □ STD clinic □ Later						ner Facilit aborator	r <u>Facility</u> : □ Emergency room poratory □ Corrections □ Unknowr				
*Provider Nam	e		*Provider Phone ()		Specialt	У					
VIII - D = 41 = 4	112-4/			,	,	- D 11 -	tota Di	-1- /				
			ions) (record all date als of HIV infection, this		ууу)	- Pedia	itric R	SK (en	iter ii	n Comment		
Sex with male	before the earliest k	nown diagnos	sis of the infection, this	patient nau.					No	□ Unknown		
							□ Ye			□ Unknown		
Sex with female							□ Ye					
Injected nonpre		/acagulation d	ioordor				□ Ye			□ Unknown □ Unknown		
Specify clotting				Date recei	ved /	_/		#S 🗆 r	NO I	LI UNKNOWN		
	AL relations with any						- V		N.	- University		
	AL contact with person		arugs				□ Ye		Unknown			
	AL contact with bisexu			U. d	IIV / ! f 4!		□ Ye		Unknown			
	•	·	ia/coagulation disorder wit		HIV Intection		□ Ye			□ Unknown		
HETEROSEXUAL contact with transfusion recipient with documented HIV infection							□ Ye			□ Unknown		
HETEROSEXUAL contact with transplant recipient with documented HIV infection							□ Ye			□ Unknown		
HETEROSEXUAL contact with person with documented HIV infection, risk not specified							□ Ye		□ Unknown			
Received transfusion of blood/blood components (other than clotting factor) (document reason in Comments) First date received// Last date received//							□ Ye	es □N	No [□ Unknown		
Received transplant of tissue/organs or artificial insemination							пν	☐ Yes ☐ No ☐ Unknow				
Worked in a healthcare or clinical laboratory setting							□ Ye		□ Unknown			
	exposure is being inves		sidered					,o 🗀 i	10	□ OHKHOWH		
	e of exposure, specify	•					_					
Other documen	ted risk (include detail	in Comments)					_ □ Ye	es 🗆 N	No [□ Unknown		
VIII. Clinica	l: Acute HIV Infe	ection and	Opportunistic Illne	esses (record	l all dates as	mm/dd/yyyy	()					
and enter patient of Clinical signs/s lymphadenopa Other evidence	or provider report of previous ymptoms consistent wi hy)? Date of sign/syl	ous negative HIV ith acute retrov mptom onset IIV infection?	o items below; enter documentest result in HIV Testing Histiral syndrome (e.g., fever,	tory section malaise/fatigue	, myalgia, phar	yngitis, rash,	section,		□ N	lo □ Unknov lo □ Unknov		
Opportunistic												
Diagnosis		Dx Date	Diagnosis		Dx Date	Diagnosis	. 4			Dx Date		
Candidiasis, bronch	i, trachea, or lungs		Herpes simplex: chronic ulcer bronchitis, pneumonitis, or esc			M. tuberculosis, p	oulmonary ¹					
Candidiasis, esopha	ageal		Histoplasmosis, disseminated	Histoplasmosis, disseminated or extrapulmonary M. tuberculosis, disse extrapulmonary ¹			isseminate	d or				
Carcinoma, invasive	invasive cervical Isosporiasis, chronic intestinal (>1 mo. duration) Mycobacterium, of disseminated or ext						,					
Coccidioidomycosis extrapulmonary	, disseminated or		Kaposi's sarcoma Pneumocystis pneum									
Cryptococcosis, ext	rapulmonary hronic intestinal (>1 mo.		Lymphoma, Burkitt's (or equivalent) Pneumonia, recurrent									
duration)	·		Lymphoma, immunoblastic (or equivalent) Progressive multifoca									
Cytomegalovirus dis spleen, or nodes)	sease (other than in liver,		Lymphoma, primary in brain Salmonella septicemi					rrent				
Cytomegalovirus re	tinitis (with loss of vision)	Mycobacterium avium complex or M. kansasii, disseminated or extrapulmonary Toxoplasmosis of brai						∍t at >1 mo				
HIV encephalopath		llogic diognosis -L	povo provido PVCT Coso Numb	or:		Wasting syndrom	e due to HI	V				
			pove, provide RVCT Case Numb		in Comment	a) (na a a red e 11	detc-		/al al /			
HIV Immunoas	<u> </u>	auditional te	sts and tests not spe	cified below	in Comment	s) (record all	aates	as mm	/ad/y	ууу)		
TEST 🗆 HIV-1	IA □ HIV-1/2 IA □ I											
	 tive □ Negative □ Ir			Collection D	me/_)ate /	/						
			y provider □ Self-test, res	ult directly obse	rved by a provi	der² □ Lab test	self-coll	ected sa	ample			

IX. Laboratory Data (record additional tests and tests not specified below in Comments) (record all dates as mm/dd/yyyy) (cont) TEST HIV-1/2 Ag/Ab differentiating immunoassay (differentiates between HIV Ag and HIV Ab) Test Prond Name Manufacturer

TEST ☐ HIV-1/2 Ag/Ab differentiating immunoassay (differentiates between HI							
Test Brand Name/Manufacturer	Lab Name						
Facility Name	Provider Name						
Result Overall: □ Reactive □ Nonreactive	Collection Date//						
Analyte results: HIV-1 Ag: □ Reactive □ Nonreactive HIV-1/2 Ab							
Testing Option (if applicable) ☐ Point-of-care test by provider ☐ Self-test, result directly observed by a provider ☐ Lab test, self-collected sample TEST ☐ HIV-1/2 Ag/Ab and type-differentiating immunoassay (differentiates among HIV-1 Ag, HIV-1 Ab, and HIV-2 Ab)							
Test Brand Name/Manufacturer							
Facility Name	Provider Name						
Facility Name Result ³ Overall interpretation: □ Reactive □ Nonreactive □ Index Value	Collection Date / /						
Analyte results: HIV-1 Ag: □ Reactive □ Nonreactive □ Not reporta	able due to high Ab level Index Value						
HIV-1 Ab: □ Reactive □ Nonreactive □ Reactive u	ndifferentiated Index Value						
HIV-2 Ab: □ Reactive □ Nonreactive □ Reactive u							
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, res							
TEST ☐ HIV-1/2 type-differentiating immunoassay (supplemental) (differentiate							
Test Brand Name/Manufacturer	Lab Name						
Facility Name	with HIV 2 cross reactivity. HIV 2 positive with HIV 1 cross reactivity.						
	/-1 indeterminate □ HIV-2 indeterminate □ HIV-1 positive □ HIV-2 positive						
Analyte results: HIV-1 Ab: □ Positive □ Negative □ Indeterminate							
HIV-2 Ab: □ Positive □ Negative □ Indeterminate							
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, res	sult directly observed by a provider ² Lab test, self-collected sample						
TEST HIV-1 WB HIV-1 IFA HIV-2 WB							
Test Brand Name/Manufacturer	Lab Name						
Facility Name	Provider Name						
Result □ Positive □ Negative □ Indeterminate	Collection Date / /						
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, res	ult directly observed by a provider ² □ Lab test, self-collected sample						
HIV Detection Tests							
TEST □ HIV-1/2 RNA NAAT (Qualitative)	Lab Name						
Test Brand Name/Manufacturer	Provider Name						
Facility Name	Collection Date / /						
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, re							
TEST ☐ HIV-1 RNA NAAT (Qualitative and Quantitative)	aut directly observed by a provider Lab test, self-collected sample						
Total Durand Manager (Manager at 1999)	Lab Name						
Facility Name Result Qualitative: Reactive Nonreactive	Provider Name						
Result Qualitative: □ Reactive □ Nonreactive	Collection Date//						
Analyte results: HIV-1 Quantitative: ☐ Detectable above limit ☐ Dete	ectable within limits 🛘 Detectable below limit						
	Copies/mLLog						
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, res							
TEST ☐ HIV-1 RNA/DNA NAAT (Qualitative) ☐ HIV-1 culture ☐ HIV-2 RNA/							
Test Brand Name/Manufacturer	Lab Name						
Facility Name	Provider Name						
Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, resul	t directly observed by a provider ² □ Lab test self-collected sample						
TEST HIV-1 RNA/DNA NAAT (Quantitative) HIV-2 RNA/DNA NAAT (Quantitative)							
Test Brand Name/Manufacturer							
Facility Name	Provider Name						
Facility Name	w limit Not detected Copies/mL Log						
Collection Date / / /							
Testing Option (if applicable) \square Point-of-care test by provider \square Self-test, resul	t directly observed by a provider² □ Lab test, self-collected sample						
Drug Resistance Tests (Genotypic)							
TEST □ HIV-1 Genotype (Unspecified)	Test Brand Name/Manufacturer						
Lab Name	Facility NameCollection Date//						
Provider NameImmunologic Tests (CD4 count and percentage)	Collection Date//						
CD4 count cells/µL CD4 percentage %	Collection Date / /						
Test Brand Name/Manufacturer	Lah Name						
Facility Name	Provider Name						
Documentation of Tests							
Did documented laboratory test results meet approved HIV diagnostic algo	rithm criteria? □ Yes □ No □ Unknown						
If YES, provide specimen collection date of earliest positive test result for this algorithm// Complete the above only if none of the following were positive for HIV-1: Western blot, IFA, culture, quantitative NAAT (RNA or DNA), qualitative							
DNA), HIV-1/2 type-differentiating immunoassay (supplemental test), stand-alone p24 antigen, or nucleotide sequence.							
Is earliest evidence of HIV infection diagnosis documented by a physician rather than by laboratory test results? Yes No Unknown							
If YES, provide date of diagnosis by physician / /							
	/						
Specify type of test: Testing Option (if applicable) □ Point-of-care test by provider □ Self-test, result	t directly observed by a provider ² \Box Lab test self-collected cample						
² Results not directly observed by a provider should be recorded in HIV Testing History	r.						

³Complete the overall interpretation and the analyte results.

⁴Always complete the overall interpretation. Complete the analyte results when available.

X. Treatment/Services Referrals (record all dates as mm/dd	/ уууу)	
l l l l l l l l l l l l l l l l l l l	partners will be notified about their	
Evidence of receipt of HIV medical care other than laboratory test result ☐ 1-Yes, documented ☐ 2-Yes, client self-report, only ☐ Date of medical vi	(select one; record additional evidence sit or prescription / / /	e in Comments)
For Female Patient		
This patient is receiving or has been referred for gynecological or obstetrical services ☐ Yes ☐ No ☐ Unknown ☐ Ye		rs this patient delivered live-born infants? Yes □ No □ Unknown
For Children of Patient (record most recent birth in these boxes; record a	dditional or multiple births in Comment	ts)
*Child's Name	Child's	s Date of Birth//
Child's Last Name Soundex Child	l's State Number	
Facility Name of Birth (if child was born at home, enter "home birth")	* P	hone)
Facility Type Inpatient: Outpatient:	Other Facility:	□ Emergency room
☐ Hospital ☐ Other, specify		3
☐ Other, specify	☐ Other, specify	
*Street Address	*Z	IP Code
City	St	ate/Country
XI. Antiretroviral Use History (record all dates as mm/dd/yyy	ry)	
Main source of antiretroviral (ARV) use information (select one)		Date patient reported information
□ Patient interview □ Medical record review □ Provider report	□ NHM&E □ Other	
Ever taken any ARVs? Yes No Unknown		
If yes, reason for ARV use (select all that apply)		
□ HIV Tx ARV medications D	ate began / / /	Date of last use / / /
□ PrEP ARV medications D	ate began / / /	Date of last use / / /
□ PEP ARV medications □	ate began / / /	Date of last use / / /
	ate began / / /	Date of last use / / /
	ate began / / /	Date of last use / /
□ Other (specify reason)	3 ——-—-	
· · · · · · · · · · · · · · · · · · ·	ate began / /	Date of last use / /
XII. HIV Testing History (record all dates as mm/dd/yyyy)	,	
Main source of testing history information (select one)		Date patient reported information
□ Patient interview □ Medical record review □ Provider report □ NHM	&E □ Other	
Ever had previous positive HIV test result? Yes In No Unknown		
Was the first positive test result from a self-test performed by the patien	•	
Ever had a negative HIV test result? Yes No Unknown	Date of last negative HIV test resu	ult (if date is from
Ever had a negative fire test result: 11 res 11 No 11 Olikilowii		Data section)///
Was the last negative test result from a self-test performed by the patier		,
Number of negative HIV test results within the 24 months before the firs	positive test result Unk	nown
How many of these negative test results were from self-tests performed	by the patient? □ Unknown	1
XIII. Comments		
XIV. *Local/Optional Fields		
ALV. BOOM/OPTIONAL LIGING		

This report to CDC is authorized by law (Sections 304 and 306 of the Public Health Service Act, 42 USC 242b and 242k). Response in this case is voluntary for federal government purposes but may be mandatory under state and local statutes. Your cooperation is necessary for the understanding and control of HIV. Information in CDC's National HIV Surveillance System that would permit identification of any individual on whom a record is maintained is collected with a guarantee that it will be held in confidence, will be used only for the purposes stated in the assurance, and will not otherwise be disclosed or released without the consent of the individual in accordance with Section 308(d) of the Public Health Service Act (42 USC 242m).