

# Federal Branch and Agency Relocation Application

## Foreign Bank Identifying Information

Foreign Bank Name: \_\_\_\_\_  
(exact corporate title of bank)

Address: \_\_\_\_\_  
(headquarters office address of foreign bank, city, state, country)

## Federal Branch or Agency Identifying Information

OCC License No. \_\_\_\_\_ A fee of \$ \_\_\_\_\_ is enclosed.

Present Location \_\_\_\_\_  
(street address of federal branch, city, county, state, ZIP Code)

Proposed Location \_\_\_\_\_  
(street address of federal branch, city, county, state, ZIP Code)

Desired Relocation Date \_\_\_\_\_

## Application Identifying Information

Application is hereby made for permission to relocate a federal branch or agency  
(within the same state/to another state).

Does the proposed relocation meet the distance criteria to qualify for a "short-  
distance" relocation pursuant to 12 CFR 5.3(l)? Yes  No

Distance between present and proposed locations \_\_\_\_\_ (in feet or miles)

Does this application qualify for or has it been approved for expedited review?  
Yes  No

## Representative Information

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ ZIP Code \_\_\_\_\_

Phone No. \_\_\_\_\_ Fax No. \_\_\_\_\_ E-Mail \_\_\_\_\_  
(include country code and area code as applicable)

## Publication Information

Public notice is being published in \_\_\_\_\_  
(name and address of newspaper)

on \_\_\_\_\_, \_\_\_\_\_.

A copy and certification of publication  is enclosed  will be forwarded

Requests for additional Information or other communications about this proposal should be directed to:

\_\_\_\_\_  
(Name) (Title)

\_\_\_\_\_  
(Mailing Address) (Phone No.)

## Statutory and Regulatory Factors

### 1. Legality

- a. Do any federal and state legal requirements exist that will affect the planned relocation?  
Yes  No

If "yes," does this filing comply with state law requirements?  
Yes  No

If the preceding answer is "no," or if approval of this application would be precedential under federal and/or state laws, list applicable law citations and provide a legal analysis from counsel in support of the application.

- b. Are there any other legal issues involved with this proposal?  
Yes  No

If "yes," provide a legal analysis and fully discuss the legal issue(s).

- c. Is the notice or application required by any other regulatory authority?  
Yes  No

If "yes," submit a copy of the notification/application.

### 2. Business

Will the relocation alter the types of business conducted by the federal branch or agency?  
Yes  No

If "yes," discuss management's strategic plan for the relocated federal branch or agency.

### 3. Community Reinvestment Act (CRA)

Is the federal branch insured by the Federal Deposit Insurance Corporation (FDIC)? Yes  No

If "yes," CRA applies and the applicant should discuss how the relocation will affect the assessment areas and the provision of banking services to the community.

**Desired Action Date**

I/we desire OCC action on this application no later than (date).

I certify that senior management of the foreign bank has authorized the filing of this application (certified authorization enclosed), and that to the best of my knowledge, it contains no misrepresentations or omissions of material fact. In addition, I agree to notify the OCC if the facts described in the filing materially change prior to receiving a decision.

I certify that any misrepresentations or omissions of material facts in this application, any attachments to it, and any other documents or information provided in connection with this application may be grounds for denial or revocation of the approval, and may subject the undersigned to other legal sanctions, including the criminal sanctions provided for in 18 U.S.C. 1001, 1007, and 1014.

I acknowledge that approval of this application is in the discretion of the OCC. Actions or communications, whether oral, written, or electronic, by an agency or its employees in connection with this filing, including approval of the application if granted, do not constitute a contract, either express or implied, or any other obligation binding upon the agency, other federal banking agencies, the United States, any other agency or entity of the United States, or any officer or employee of the United States. Such actions or communications will not affect the ability of the OCC to exercise its supervisory, regulatory, or examination powers under applicable law and regulations. I further acknowledge that the foregoing may not be waived or modified by any employee or agent of the OCC or of the United States.

Signature of Authorized Officer

Typed Name

Title

Date

**Enclosures:** (As applicable)

- Filing fee
- Proof of publication
- Legal opinion
- Other regulatory applications/notices
- Reason for relocation
- CRA discussion