

DEPARTMENT OF HOMELAND SECURITY  
Federal Emergency Management Agency

APPENDIX E - FIVE-YEAR SECURITY CAPITAL AND OPERATIONAL SUSTAINMENT PLAN

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PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 5 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless a valid OMB control number is displayed in the upper right corner of this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW Washington DC 20472, Paperwork Reduction Project (1660-0112). **NOTE: DO NOT SEND YOUR COMPLETED FORM TO THIS ADDRESS.**

PRIVACY NOTICE

The collection of this information is authorized by Section 1406, Title XIV of the Implementing Recommendations of the 9/11 Commission Act of 2007, 6 U.S.C. § 1135. This information is being collected for the primary purpose of facilitating correspondence between the grant applicant and the Department of Homeland Security to determine eligibility and administration of FEMA's Transit Security Grant Program (TSGP). The disclosure of information on this form is voluntary; however, failure to provide the information requested may delay or prevent the organization from receiving grant funding.

FIVE-YEAR SECURITY CAPITAL AND OPERATIONAL SUSTAINMENT PLAN

Applicant requests for Operational Packages (OPacks) must include the submission of a Five-Year Security and Operational Sustainment Plan. This plan must include how the agency proposes to implement capital projects and demonstrate how the agency will sustain the operational investments and capabilities after grant funding has been expended. Requests for OPacks will not be funded if the applicant does not have a Security Capital and Operational Sustainment Plan.

IJ Submission and File Naming Convention

All application submissions in ND Grants must be electronic file attachments to the grant application. Applicants must use this file naming convention to identify their investment(s):

UASI Area\_Applicant\_IJ Number  
Example: Chicago\_XYZTA\_Plan\_1

If submitting multiple encrypted documents, you must use the same password for all files.

**WARNING:** This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a "need to know", as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of Transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.

**Investment Heading**

Date

Applicant

Region and Urban  
Area(s) Impacted

Investment Name

Investment Amount    \$

Investment Scalable  
(Yes/No)**I. Five-Year Security Capital and Operational Sustainment Plan****I.A. Provide an abstract narrative for this plan.**

Response Type

Narrative

Response Instructions

• Describe the Five-Year Security Capital and Operational Sustainment Plan for Operational Packages.

Response:    Additional space is provided at the end of this form.

**II. Year One****II.A. Provide an outline for year one.**

Response Type

Narrative

Response Instructions

• Provide a detailed description of the Five-Year Security Capital and Operational Sustainment Plan for year one.

Response:    Additional space is provided at the end of this form.

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### III. Year Two

#### III.A. Provide an outline for year two.

Response Type

Narrative

Response Instructions

• Provide a detailed description of the Five-Year Security Capital and Operational Sustainment Plan for year two.

Response: Additional space is provided at the end of this form.

### IV. Year Three

#### IV.A. Provide an outline for year three.

Response Type

Narrative

Response Instructions

• Provide a detailed description of the Five-Year Security Capital and Operational Sustainment Plan for year three.

Response: Additional space is provided at the end of this form.

### V. Year Four

#### V.A. Provide an outline for year four.

Response Type

Narrative

Response Instructions

• Provide a detailed description of the Five-Year Security Capital and Operational Sustainment Plan for year four.

Response: Additional space is provided at the end of this form.

**WARNING:** This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a "need to know", as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of Transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.

**VI. Year Five**

**VI.A. Provide an outline for year five.**

Response Type	Narrative
Response Instructions	• Provide a detailed description of the Five-Year Security Capital and Operational Sustainment Plan for year five.

Response:

**WARNING:** This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a "need to know", as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of Transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.

I.A. Provide an abstract narrative for this plan. Response Continued:

**II.A. Provide an outline for year one. Response Continued:**

**III.A. Provide an outline for year two. Response Continued:**

**IV.A. Provide an outline for year three. Response Continued:**



**V.A. Provide an outline for year four.**