

DEPARTMENT OF HOMELAND SECURITY
Federal Emergency Management Agency

OMB Control Number: 1660-0112
Expiration: 04/30/2023

APPENDIX E - TSGP INVESTMENT JUSTIFICATION AGENCY BACKGROUND TEMPLATE

PAPERWORK BURDEN DISCLOSURE NOTICE

Public reporting burden for this form is estimated to average 17 hours per response. The burden estimate includes the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and submitting the form. This collection of information is required to obtain or retain benefits. You are not required to respond to this collection of information unless a valid OMB control number is displayed in the upper right corner of this form. Send comments regarding the accuracy of the burden estimate and any suggestions for reducing the burden to: Information Collections Management, Department of Homeland Security, Federal Emergency Management Agency, 500 C Street, SW Washington DC 20472, Paperwork Reduction Project (1660-0112). **NOTE: DO NOT SEND YOUR COMPLETED FORM TO THIS ADDRESS.**

PRIVACY NOTICE

The collection of this information is authorized by Section 1406, Title XIV of the Implementing Recommendations of the 9/11 Commission Act of 2007, 6 U.S.C. § 1135. This information is being collected for the primary purpose of facilitating correspondence between the grant applicant and the Department of Homeland Security to determine eligibility and administration of FEMA's Transit Security Grant Program (TSGP). The disclosure of information on this form is voluntary; however, failure to provide the information requested may delay or prevent the organization from receiving grant funding.

INVESTMENT JUSTIFICATION INSTRUCTIONS

Please review the entire Notice of Funding Opportunity (NOFO) announcement before writing the Investment Justification (IJ). This is critical to ensuring accuracy, completion, and abating possible errors for program funding.

As part of the TSGP application process, applicants must develop one formal IJ for each proposed project including funding and M&A costs. An agency may submit up to eight projects/IJs per region. Agencies may also submit up to five additional projects/IJs for law enforcement providers dedicated to the transit organization. Each IJ must demonstrate how a proposed project addresses gaps and deficiencies in current programs and capabilities. The IJ must demonstrate the ability to provide enhancements consistent with the purpose of the program and guidance provided by DHS/FEMA. Applicants must ensure that the IJ is consistent with all applicable requirements outlined in this application kit.

IJ Submission and File Naming Convention

All application submissions in ND Grants must be electronic file attachments to the grant application. Applicants must use this file naming convention to identify their investment(s):

UASI Area_Applicant_IJ Number
Example: Chicago_XYZTA_IJ_1

If submitting multiple encrypted documents, you must use the same password for all files.

WARNING: This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a "need to know", as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of Transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.

Investment Heading	
Date	
Applicant	
Region and Urban Area(s) Impacted	
Has a Vulnerability Assessment and/or Security Plan been updated in the past three (3) year -	
If yes, please provide the date of your latest Vulnerability Assessment or Security Plan:	
Total Number of IJs Submitted	
Total Number of Budgets Submitted	
Total Dollar Amount of IJs Submitted	
I. Background	
<i>Note: This section only needs to be completed once per application, regardless of the number of investments proposed. The information in this section provides background/context for the investment(s) requested, but does not represent the evaluation criteria used for rating individual investment proposals.</i>	
I.A. Identify the point(s) of contact for this investment.	
Response Type	Narrative
Response Instructions	Identify the following information: • Point of contact's (POC) name and title; • POC's full mailing address; • POC's telephone number; • POC's email address; and • The corresponding information for the single authorizing official for the applicant organization - i.e., the individual authorized to sign and grant award.
Response: Additional space is provided at the end of this form.	
I.B. Describe the operation system.	
Response Type	Narrative

Response Instructions	Describe the following by mode: • Critical infrastructure; • Ridership data; • Number of passenger miles; • Number of vehicles and/or vessels; • System map; and • Other sources of funding being leveraged for security enhancements.
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I.A. Identify the point(s) of contact for this investment. Response Continued:

WARNING: This record contains Sensitive Security Information that is controlled under 49 CFR parts 15 and 1520. No part of this record may be disclosed to persons without a "need to know", as defined in 49 CFR parts 15 and 1520, except with the written permission of the Administrator of the Transportation Security Administration or the Secretary of Transportation. Unauthorized release may result in civil penalty or other action. For U.S. government agencies, public disclosure is governed by 5 U.S.C. 552 and 49 CFR parts 15 and 1520.

I.B. Describe the operation system. Response Continued: