

# <u>SUBJECT:</u> PAPERWORK REDUCTION ACT – INFORMATION COLLECTION FOR RULEMAKING

#### **INTRODUCTION**

The new information collection for this rule will primarily take the form of Declarations of Conformity, specific authorizations applications, and advisory opinion requests. After the rule is published, OICTS will electronically intake Declarations of Conformity, specific authorization applications, and advisory opinion requests through the Compliance Application and Reporting System (CARS), a web-based submission portal owned by BIS.

The following disclaimers appear at the beginning of the Declaration of Conformity submission, specific authorization application, and advisory opinion request.

#### Disclaimers

#### PAPERWORK REDUCTION ACT STATEMENT

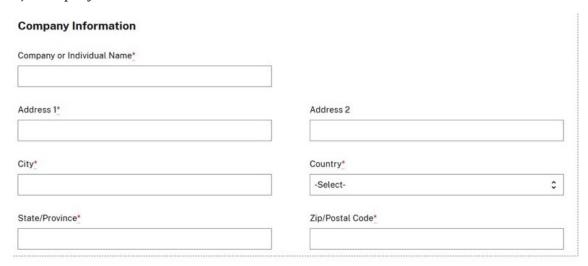
This information collection meets the requirements of 44 U.S.C. § 3507, as amended by section 2 of the Paperwork Reduction Act of 1995. You do not need to answer these questions unless a valid Office of Management and Budget (OMB) control number is displayed. The OMB control number assigned to this collection is 0694-0145 (expires XX/XX/2028). Send the completed form via the instructions at bis.gov/connected-vehicles.

#### BURDEN ESTIMATE AND REQUEST FOR COMMENT

The public reporting burden for this collection of information is estimated to average 4 hours per response, including the time for reviewing instructions, and completing and reviewing the collection of information. You may send comments regarding the burden hour estimate or any other aspect of this collection to: <a href="mailto:CV-intake@bis.doc.gov">CV-intake@bis.doc.gov</a>.

#### **DECLARATIONS OF CONFORMITY**

#### A) Company Information



### B) Point of Contact Information

Add New Point of Contact	
Legal Name <u>.</u> *	Title
Affiliated Entity	Relationship to Declarant
	-Select- \$
Nature of Relationship If Relationship to Applicant is "Other"	Email <u>*</u>
Phone.*	
Primary POC?	
Recordkeeping POC?	

### C) Declaration Purpose

manufacturer engaging in a transaction involving VCS hardware or covered software that is not prohibited bu foreign interest.  Material Change Update a previously submitted declaration due to the discovery of a material change.  Confirmation of Accuracy	) Ne	ew Submission
Update a previously submitted declaration due to the discovery of a material change.  Confirmation of Accuracy Confirm the accuracy of information submitted in a previous declaration, as applied to vehicles of a new mode  D) Transaction Information – Adding a VCS Hardware Transaction  dd Covered Item on Declaration of Conformity  Required fields are marked with an asterisk (*)  Name*  TCU	ma	Ibmit a new declaration if you are a Vehicle Connectivity System (VCS) hardware importer or a connected vehicle anufacturer engaging in a transaction involving VCS hardware or covered software that is not prohibited but has reign interest.
Confirmation of Accuracy Confirm the accuracy of information submitted in a previous declaration, as applied to vehicles of a new mode  D) Transaction Information — Adding a VCS Hardware Transaction  dd Covered Item on Declaration of Conformity  Required fields are marked with an asterisk (*)  Name*  TCU	) м	aterial Change
Confirm the accuracy of information submitted in a previous declaration, as applied to vehicles of a new mode  D) Transaction Information — Adding a VCS Hardware Transaction  dd Covered Item on Declaration of Conformity  Required fields are marked with an asterisk (*)  Name*  TCU	Up	odate a previously submitted declaration due to the discovery of a material change.
D) Transaction Information — Adding a VCS Hardware Transaction  dd Covered Item on Declaration of Conformity  Required fields are marked with an asterisk (*)  Name*  TCU	) C	onfirmation of Accuracy
Id Covered Item on Declaration of Conformity  Required fields are marked with an asterisk (*)  Name*  TCU	Co	onfirm the accuracy of information submitted in a previous declaration, as applied to vehicles of a new model yea
Required fields are marked with an asterisk (*)  Name*  TCU	D) [	Гransaction Information – Adding a VCS Hardware Transaction
TCU		
	l Co	vered Item on Declaration of Conformity
Type*	l Co Requi	vered Item on Declaration of Conformity red fields are marked with an asterisk (*)
	l Co Requi	vered Item on Declaration of Conformity red fields are marked with an asterisk (*)
● VCS Hardware	l Co Requi	vered Item on Declaration of Conformity red fields are marked with an asterisk (*)
Ocovered Software	Requi	vered Item on Declaration of Conformity  red fields are marked with an asterisk (*)  *
VCS Hardware item is integrated with the list of associated vehicles	Requi	vered Item on Declaration of Conformity  red fields are marked with an asterisk (*)  *  CS Hardware
FCC ID (if known)  HTSUS Code (Optional)	Requi	vered Item on Declaration of Conformity  red fields are marked with an asterisk (*)  *  CS Hardware  overed Software

### $E) \ \ Transaction \ Information - Adding \ a \ Covered \ Software \ Transaction$

SDK			
Гуре*			
O VCS Hardwar	е		
Covered Soft	ware		
Please detail the	covered software.*		

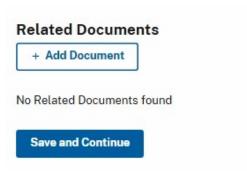
F) Transaction Information – Associating a Make and Model to the VCS Hardware or Covered Software

Make*	Model*
Model Year	Trim
VIN Series	
Add New Vehicle Reset	

# G) Voluntarily Provided Information

Voluntarily Provided Information Please provide any additional information not requested in this form.	
Additional Information (Optional)	
J	
1000 charactors allowed	

# H) Related Documentation



# I) Certifications

# Certifications

Required fields are marked with an asterisk (*)
(1) Do you certify, on behalf of the Declarant, that the VCS hardware or covered software identified in this declaration of conformity is not designed, developed, manufactured, or supplied by persons owned by, controlled by, or subject to the jurisdiction or direction of the People's Republic of China or Russian Federation?
Yes
○ No
(2) Do you certify, on behalf of the Declarant, that due diligence (with or without the use of third-party assessments) has been conducted to inform the above certification, and the Declarant or a delegated third party maintains documents and third-party assessments (as applicable) which can be made available upon request by the Department(*) sufficient to demonstrate the above certification?
Yes
○ No
(3) For Covered Software Transactions: Do you certify that the Declarant or delegated third-party maintains documents and third-party assessments, which can be made available upon request by the Department, sufficient to identify, at minimum, the author's name, timestamp, component name, and supplier name of all proprietary additions to the development of the covered software?
Yes
○ No
O Not Applicable
(4) Do you certify that the Declarant has taken all possible measures, either contractually or otherwise, to ensure any necessary documentation and assessments from suppliers will be furnished to the Department upon request either by the Declarant, or in cases including confidential business information, directly by the supplier?
ensure any necessary documentation and assessments from suppliers will be furnished to the Department upon request either by the Declarant, or in cases including confidential business information, directly by the
ensure any necessary documentation and assessments from suppliers will be furnished to the Department upon request either by the Declarant, or in cases including confidential business information, directly by the supplier?

# SPECIFIC AUTHORIZATION APPLICATION

# A) Company Information

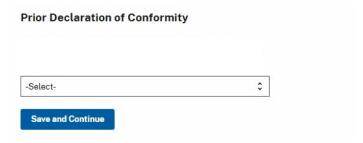
ddress 1*	Address 2
city <u>*</u>	Country*
	-Select-
state/Province*	Zip/Postal Code*
relation to a description of own support of my Specific Authoriz	amples of helpful documentation you can submit in ership, refer to the <i>What documentation should I submit attached Application?</i> FAQ here: ected-vehicles/specific-authorizations.
intps.//www.bis.gov/oicts/collin	F

#### C) Point of Contact Information



D) Whether the Specific Authorization Relates to a Previously Submitted Declaration of Conformity

**Additional Directions:** Pursuant to 15 CFR Part 791.305(f), if a connected vehicle manufacturer or VCS hardware importer determines that articles subject to a Declaration of Conformity are no longer eligible, it must, within 30 days, cease any prohibited conduct and submit a specific authorization application. If this is the reason for your specific authorization application, please associate the previous Declaration of Conformity below.



E)	App	lication	<b>Type</b>
,			J

- Is the entity filing this Specific Authorization Application engaged in related prohibited transactions with respect to 15 CFR § 791.304?
  - o Yes
  - o No

By selecting "Yes," you are required to list all covered software and VCS hardware that is designed, developed, manufactured, or supplied by you or a third-party. Please ensure to list all parties that are engaged in the otherwise prohibited transactions, including yourself, and associate the relevant covered software or VCS hardware item to each party.

F) Party Engaged in an Otherwise Prohibited Transaction – Relevant Corporate Identifiers

Business Name.*		
Identifier Type		Entity Corporate Identifiers
-Select-	\$	8 8 8 110

G) Party Engaged in an Otherwise Prohibited Transaction – Information Sufficient to Identify Ultimate Beneficial Ownership & Point of Contact Information

Address 1	Address 2
City*	Country*
	United States of America (the) 💲
State/Province*	Postal Code <u>*</u>
-Select- \$	
POC Legal Name	POC Title
POC Email	POC Phone
the ownership of a majority or a domi voting interest in an entity, board rep share, contractual arrangements, for	Itimate beneficial ownership is the ociation, or other organization that ct, whether or not exercised, through inant minority of the total outstanding
( ) construction of the co	

H) Transaction Information – Party Transaction Role and Associated Covered Items

Transaction Role <u>.</u> *		Transaction Role Notes If "Other" is selected, detail the party's role
-Select-	\$	
	em if this applica s to VCS hardwa	ation covers multiple transactions. re and/or covered software that is eq.

# I) Transaction Information – Adding a VCS Hardware Transaction

	elds are marked with an asterisk (*)	
Name*		
Type*		
O VCS	rdware	
O Cover	d Software	
VCS	rdware item is integrated with the list of associated vehicles	
HTSUS Co	e (Optional)	
	91.7 10 10 10 10 10 10 10 10 10 10 10 10 10	
Detail hov	he item directly enables the function of the system.*	
	87 (1981) 10 (1981) 17 (1994) 17 (1994) 17 (1994) 18 (1994) 18 (1994) 18 (1994) 19 (1994) 19 (1994) 19 (1994)	
	e nature of the design, development, manufacture, or supply (including assembling and packaging) of the are item made by an entity owned by, controlled by, or subject to the jurisdiction or direction of the PRC or	

# J) Transaction Information – Adding a Covered Software Transaction

# Add Covered Item on Specific Authorization Application

lame*	
Гуре*	
VCS Hardware	
Oovered Software	
System Enabled*	
-Select-	
0.0000000000000000000000000000000000000	y enables the function of the system.*
0.0000000000000000000000000000000000000	y enables the function of the system.*

# K) Transaction Information – Associating a Make and Model to the VCS Hardware or Covered Software

t's ability to limit PRC or Russian government
pplicant has in place, or will immediately influence over the design, development,
oftware.
a

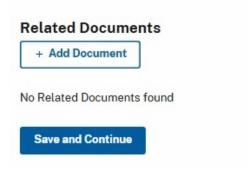
# M) Security Standards Used

Security Standards Used*  Describe any security standards used by the applicant with respect to the VCS hardware and/or covered software within the prohibited transaction(s).	
N) Other Actions and Proposals	
ther Applicable Actions* lease describe any other actions and proposals, such as technical controls (e.g., software validation, eplacement supplier, etc.) or operational controls (e.g., physical and logical access monitoring procedures) be applicant has implemented, or intends to implement to address any undue or unacceptable national accurity risks stemming from the identified supply chain element under potential PRC or Russian government control or influence.	

# O) Voluntarily Provided Information

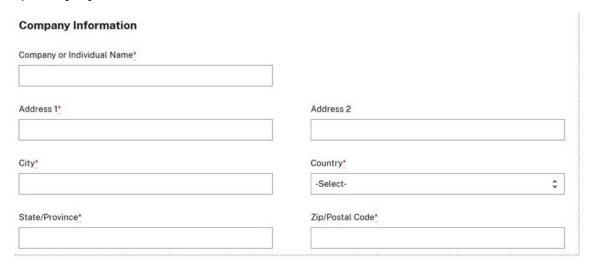
Voluntarily Provided Information Please provide any additional information not requested in this form.  Additional Information (Optional)		
4000 characters allowed.		

#### P) Related Documentation



#### **ADVISORY OPINION REQUESTS**

### A) Company Information

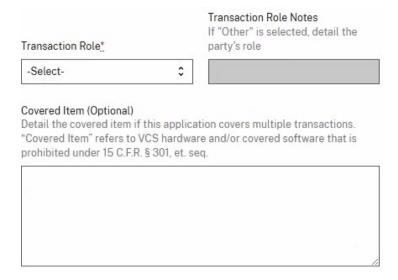


### B) Point of Contact Information

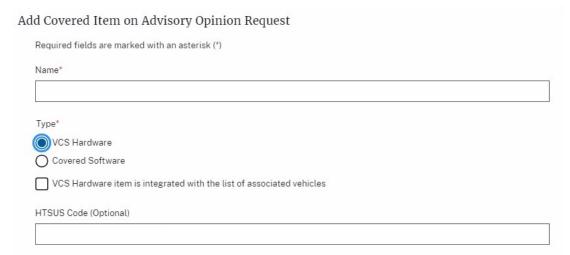


# C) Party Engaged in Prospective Transaction – Relevant Corporate Identifiers Business Name\* Identifier Type **Entity Corporate Identifiers** -Select-\$ D) Party Engaged in Prospective Transaction – Identifying Information Address 1.\* Address 2 City\* Country\* United States of America (the) \$ State/Province\* Postal Code\* \$ -Select-POC Legal Name." POC Title POC Email\* POC Phone\* Ultimate Beneficial Ownership (if known) For the purpose of this application, ultimate beneficial ownership is the person, corporation, partnership, association, or other organization that possesses the power, direct or indirect, whether or not exercised, through the ownership of a majority or a dominant minority of the total outstanding voting interest in an entity, board representation, proxy voting, a special share, contractual arrangements, formal or informal arrangements to act in concert, or other means, to determine, direct, or decide important matters affecting an entity.

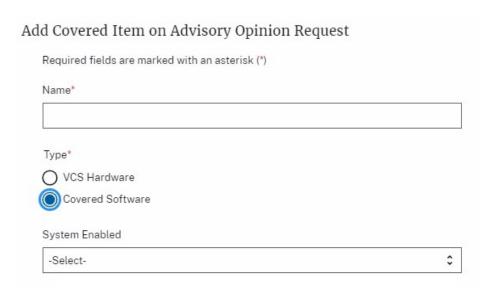
#### E) Transaction Information – Party Transaction Role and Associated Covered Items



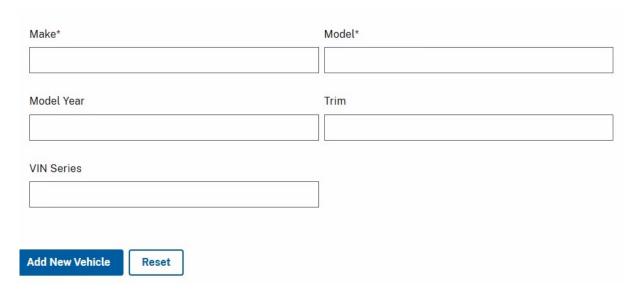
#### F) Transaction Information – Information Regarding VCS Hardware



G) Transaction Information – Information Regarding Covered Software



H) Transaction Information – Associating a Make and Model to the VCS Hardware or Covered Software



I) Advisory Opinion Request

<b>Advisory Information</b> Enter an Advisory Opinion if you are unsure if a transaction is subject to a prohibition or requirement under the Connected Vehicles Rule.
Advisory Opinion Request,**
4000 characters allowed

# J) Related Documentation

