Table 1.--Input Data

Description	Small pension	Large pension	Customized welfare	Other welfare
Share of participants entering plan in the last year	0.07	0.07	0.07	0.07
Total number of pension plans or distinct group health products	652,934.00	93,676.00	4,184.00	10,000.00
Active participants	10,422,422.00	86,877,218.00	0.00	69,619,000.00
Retired or separated participants receiving benefits	313,326.00	11,818,521.00	0.00	7,940,000.00
Participants whose benefits were distributed in a single lump sum during year	5,330.00	1,088,950.00	0.00	0.00
Retired or separated participants entitled to future benefits	2,560,864.00	30,281,382.00	0.00	0.00
Deceased parts whose beneficiaries are receiving or entitled to benefits	6,223.00	2,298,339.00	0.00	0.00
Share of all plans with effective dates in the last 12 months	0.07	0.02	0.01	0.01
Number of hours required to create a new SPD	10.00	10.00	10.00	10.00
Number of hours required to update an existing SPD	4.00	4.00	4.00	4.00
Total labor cost per hour for lawyer to update an SPD	159.34	159.34	159.34	159.34
Cost of printing an SPD	1.75	1.75	4.00	4.00
Cost of mailing an SPD	2.70	2.70	4.14	4.14
Share of plans amended in a one-year period	0.18	0.37	0.36	0.20
Share of plans amended in a five-year period	0.66	0.89	0.65	0.40
Share of plans amended in a ten-year period	0.74	0.92	0.78	0.48

Table 2.--Input Parameters

Label	Value
Share of participants with access to computers at work	0.40
Additional share of participants with access at home, without access at work	0.40
Share of plans that would seek consent to send disclosures to participants with access to computers at work	0.80
Share of plans that would seek consent to send disclosures to participants with access to computers at home only	0.60
Share of participants with access at home only who would consent	0.75
Share of plans using service providers	0.95
Share of plans preparing SPDs in house	0.05
Share of large plans using service providers to update SPD and to prepare SMM	0.75
Share of small plans using service providers to update SPD and to prepare SMM	1.00
Minute per part to distribute SPDs	0.50
Minute per part to distribute SMMs	0.50
Share of all distributions of paper documents done by mail	0.06
Average hours to prepare an SMM	3.00
Cost per participant to distribute SMMs	0.20
Cost per participant to mail SMMs	0.66
Share of participants entering plan in the last year	0.07
Share of participants requesting SPDs in an SMM year	0.20
Share of participants requesting SPDs in non-SMM years	0.05
Total labor cost per hour for secretaries	63.45
Average number of years that separated vesting participants remain in that status	20.00
Average number of years that retirees remain in that status	20.00
Average number of years that beneficiaries remain in that status	20.00
Share of health plan retirees in retiree only health plans	0.15

Table 3.--SPD and SMM Dollar Cost and Hour Burden

Burden Type	Small pension	Large pension	Welfare	All
Total Cost Burden (Thousands of dollars)	1,287	15,117	72,469	88,872
` Distribution	1,287	15,117	72,469	88,872
` SPDs	1,130	11,960	64,519	77,609
` SMMs	157	3,157	7,949	11,263
Total Hour Burden (Thousands)	1,086	204	106	1,397
` Preparation	1,084	166	17	1,266
` SPDs	797	82	8	887
` SMMs	287	84	9	380
` Distribution	3	38	90	130
` SPDs	2	20	62	84
` SMMs	1	18	28	46
Dollar Equivalent of Hour Burden (Thousands of dollars)	172,829	28,891	8,359	210,079

/ Less than 500

Table 4.--Number of Plans Preparing or Distributing Documents Each Year

Type of Plan	SPDs for New Plans	SPDs for Amended Plans	Total SPDs Prepared	SMMs Prepared	Total Documents Prepared	Plans Distributing Unamended SPDs
Small pension	45,557	85,300	130,857	95,592	226,449	15,707
Large pension	1,594	16,601	18,195	27,966	46,161	759
Customized welfare	42	590	631	1,283	1,914	92
Other welfare	130	871	1,001	1,766	2,767	513
	47,323	**103,362**	**150,685**	**126,606**	**277,291**	**17,071**

/ Less than 500

Table 5.--Number (in thousands) of Participants per Year Estimated to Receive SPDs or SMMs by Reason for Receipt

Type of Plan	Participants receiving new SPDs						Participants receiving SMMs	Total responses (SPDs plus SMMs)	Total participants
	From new plans	From existing plans due to				Total			
		Preparation of new SPD	Change of status/1	Participant request	Total				
Small pension	735	1,765	686	728	3,179	3,914	1,948	5,861	13,303
Large pension	1,116	23,466	7,426	9,409	40,301	41,417	39,190	80,608	131,275
Welfare	1,861	6,680	4,315	4,949	15,944	17,806	13,694	31,499	77,559
Total	3,712	31,912	12,428	15,085	59,425	63,137	54,832	117,968	222,137

/ Less than 500

Table 6.--Number of Plans (in thousands) by Type of Plan and Type of Response Required in a One-year Period

Type of plan	Number of Plans Preparing Documents Each Year/1	Plans Distributing Unamended SPDs Under 10-Year Rule	Plans Distributing to Requestors or Status Changers/2	Plans Obliged only to be Ready to Disclose	Total Number of Plans
Small pension	226	16	252	159	653
Large pension	46	1	47	-	94
Customized welfare	2	*/	2	-	4
Other welfare	630	117	957	574	2,278
	905	**133**	**1,258**	**733**	**3,029**

Less than 500.

1/ The events requiring document preparation are plan amendments, which require SPD preparation/distribution every five years and SMM preparation/distribution in the year of the amendment, and formation of a new plan.

2/ Status changes occur when individuals first first become 1)participants, 2) separated vested, 3) retired, 4) beneficiaries.