

Screenshots of instruction changes to 4010 e-filing portal

Change #1

Check the box in front of each statement if the statement is correct:

- Either:**
 - The 4010 funding target attainment percentage (4010 FTAP) for all plans maintained by a member of the controlled group is at least 80%, or
 - The 4010 filing requirement was triggered because one or more plans had 4010 FTAP below 80%, but was waived in accordance with §4010.11(a) (i.e., aggregate 4010 funding shortfall ≤ \$15 M) or §4010.11(b) (i.e., aggregate participant count < 500).

- Either:**
 - No plan maintained by a member of the controlled group was granted one or more minimum funding waivers totaling in excess of \$1 million for which any portion is still outstanding, or
 - The 4010 filing requirement was triggered because of a funding waiver, but was waived in accordance with §4010.11(c) (i.e., reporting would have been required solely under § 4010.4(a)(2) or (3), provided that the missed contributions or applications for minimum funding waivers (as applicable) were reported to PBGC under part 4043 of this chapter by the due date for the 4010 filing.).

- Either:**
 - No member of the controlled group was more than 10 days late making a required installment or other required payment to a defined benefit plan that resulted in the conditions for imposition of a lien under ERISA section 303(k) or 306(g) and Code section 430(k) or 433(g) being met, or
 - The 4010 filing requirement was triggered by a large missed contribution, but was waived in accordance with §4010.11(c) (i.e., reporting would have been required solely under § 4010.4(a)(2) or (3), provided that the missed contributions or applications for minimum funding waivers (as applicable) were reported to PBGC under part 4043 of this chapter by the due date for the 4010 filing.).

Change #2

Schedule G

Full name of ultimate parent company *

Parent XYZ

Is the ultimate parent a foreign entity? *

- No
 Yes

Date current information year ends *

12/31/2023

Name, phone number and email address of person to contact with questions about this filing (leave blank if that person is the filing coordinator)

Was a 4010 filing required last year? *

- No
 Yes

Is a 4010 filing required this year? *

- No
 Yes

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Comments

Section I - Controlled Group Members

Company Name *

EIN (foreign entities - see instructions) *

Address *

City *

State *

Province (if outside the USA)

Country *

Zip Code *

Telephone *

Ext

Does controlled group contain more than 10 non-exempt members? (If yes, see instructions re: required attachment) *

- No
 Yes

If no, enter the relationship of this member to the ultimate parent company *

Is this an exempt member of the controlled group that sponsors an exempt plan? *

- No
 Yes

Was this member a member of the controlled group immediately before the current information year began? *

Save & Close

Change #3

✕

Upload Attachments

December 2022 Statement.pdf

Document Type *

-Select-

- Select-
- Actuarial Valuation Report
- Company Financial Statements
- Company Tax Returns
- Controlled Group Information
- Other Company-Related Documents
- Other Pension Plan-Related Documents

Cancel

Attach