

NOTE: EPA proposes the text in this Appendix as part of the Proposed 2026 MSGP.

Proposed Appendix K - No Exposure Certification (NEC) Form

Part 7.2 requires you to use the NPDES eReporting Tool, or “NeT,” to prepare and submit your No Exposure Certification (NEC) form. However, if you are given a waiver by the EPA Regional Office to use a paper NEC form, and you elect to use it, you must complete and submit the following form.

DRAFT

NPDES FORM 3510-11		UNITED STATES ENVIRONMENTAL PROTECTION AGENCY WASHINGTON, DC 20460 No Exposure Certification (NEC) for Exclusion from EPA's Multi-Sector General Permit for Stormwater Discharges Associated with Industrial Activity (MSGP)	OMB No. 2040-NEW Exp. Date: MM/DD/YYYY
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Submission of this No Exposure Certification (NEC) constitutes notice that the operator identified in Section C does not require permit authorization under EPA's Stormwater Multi Sector General Permit for its stormwater discharges associated with industrial activity from the facility identified in Section D of this form due to the existence of a condition of no exposure.

A condition of no exposure exists at an industrial facility when all industrial materials and activities are protected by a storm resistant shelter to prevent exposure to rain, snow, snowmelt, and/or runoff. Industrial materials or activities include, but are not limited to, material handling equipment or activities, industrial machinery, raw materials, intermediate products, by-products, final products, or waste products. Material handling activities include the storage, loading and unloading, transportation, or conveyance of any raw material, intermediate product, final product or waste product. A storm resistant shelter is not required for the following industrial materials and activities:

- drums, barrels, tanks, and similar containers that are tightly sealed, provided those containers are not deteriorated and do not leak. "Sealed" means banded or otherwise secured and without operational taps or valves;
- adequately maintained vehicles used in material handling; and
- final products, other than products that would be mobilized in stormwater discharges (e.g., rock salt).

A NEC must be provided for each facility qualifying for the no exposure exclusion. In addition, the exclusion from NPDES permitting is available on a facility-wide basis only, not for individual outfalls. If any industrial activities or materials are or will be exposed to precipitation, the facility is not eligible for the no exposure exclusion.

By signing and submitting this NEC form, the operator in Section C is certifying that a condition of no exposure exists at its facility or site, and is obligated to comply with the terms and conditions of 40 CFR 122.26(g).

A. Approval to Use Paper NEC Form

1. Have you been granted a waiver from electronic reporting from the EPA Regional Office*? YES NO

If yes, check which waiver you have been granted, the name of the EPA Regional Office staff person who granted the waiver, and the date of approval:

- Waiver granted:
- The owner/operator's headquarters is physically located in a geographic area (i.e., ZIP code or census tract) that is identified as under-served for broadband Internet access in the most recent report from the Federal Communications Commission.
 - The owner/operator has issues regarding available computer access or computer capability

Name of EPA staff person that granted the waiver:

Date approval obtained: / /

*** Note: You are required to obtain approval from the applicable EPA Regional Office prior to using this paper NEC form. If you have not obtained a waiver, you must file this form electronically using the NPDES eReporting Tool (Net) at <https://www.epa.gov/npdes/stormwater-discharges-industrial-activities>**

B. Reason for Submission

Select the purpose for filling out this form (check only 1).

- To obtain a new NEC.** Fill in Sections C, D, E and F.
- To renew a previous NEC.** Fill in Sections C, D, E and F.
- To discontinue an existing NEC.** Select this option if you would like to discontinue an existing NEC because your facility is no longer subject to regulation under 40 CFR 122.26 (e.g., the facility has ceased the industrial activity that necessitated the NEC)*. Provide the following information and fill out Section G.

Provide the existing NPDES ID for the NEC that you would like to discontinue:

1. Are you a new discharger or a new source as defined in Appendix A? YES NO (If yes, skip to Part C of this form).

*** Note that if your facility no longer qualifies for the NEC because permit coverage is required for exposed industrial materials or activities, you should not check this box, and must instead file for coverage under the Multi-Sector General Permit (MSGP) or an individual permit. Your NEC will be automatically discontinued after you obtain coverage under the MSGP or an individual permit.**

C. Facility Operator Information

1. Operator Name:

2. Mailing Address:

Street:

City: State: ZIP Code: -

3. Phone: - - Ext.

4. E-mail:

5. Operator Point of Contact Information:

First Name, Middle Initial, Last Name [grid] Title: [grid]

D. Facility Information

1. Facility Name: [grid]
2. Facility Address: Street/Location: [grid] City: [grid] State: [grid] ZIP Code: [grid]
3. Latitude/Longitude for the facility: Latitude: [grid] Longitude: [grid]
4. Is your facility located on Indian Country? [checkbox] YES [checkbox] NO
5. Is your facility located on federal lands? [checkbox] YES [checkbox] NO
6. Are you a "federal operator" as defined in Appendix A? [checkbox] YES [checkbox] NO
7. What is the ownership type of the facility? [checkbox] Federal Facility (U.S. Government) [checkbox] Privately Owned Facility [checkbox] Municipality [checkbox] County Government [checkbox] Corporation [checkbox] State Government [checkbox] Tribal Government [checkbox] School District [checkbox] District [checkbox] Mixed Ownership (e.g., Public/Private) [checkbox] Municipal or Water District
8. Have stormwater discharges from your facility been covered previously under an NPDES permit? [checkbox] YES [checkbox] NO
9. Has your facility previously been covered by a no exposure exclusion? [checkbox] YES [checkbox] NO
10. Identify the 4-digit Standard Industrial Classification (SIC) code or 2-letter Activity Code and the applicable sector and subsector of your primary industrial activity (See Appendix D): Primary SIC Code: [grid] OR Primary Activity Code: [grid] Sector: [grid] Subsector: [grid]
11. Total size of site associated with industrial activity: [grid] (to the nearest quarter acre)
12. Have you paved or roofed over a formerly exposed, pervious area in order to qualify for the no exposure exclusion? [checkbox] YES [checkbox] NO

E. Exposure Checklist		
Are any of the following materials or activities exposed to precipitation, now or in the foreseeable future? (Please check either "Yes" or "No" in the appropriate box.) If you answer "Yes" to any of these questions, you are <u>not</u> eligible for the no exposure exclusion.		
	Yes	No
Using, storing or cleaning industrial machinery or equipment, and areas where residuals from using, storing or cleaning industrial machinery or equipment remain and are exposed to stormwater	<input type="checkbox"/>	<input type="checkbox"/>
Materials or residuals on the ground or in stormwater inlets from spills/leaks	<input type="checkbox"/>	<input type="checkbox"/>
Materials or products from past industrial activity	<input type="checkbox"/>	<input type="checkbox"/>
Material handling equipment (except adequately maintained vehicles)	<input type="checkbox"/>	<input type="checkbox"/>
Materials or products during loading/unloading or transporting activities	<input type="checkbox"/>	<input type="checkbox"/>
Materials or products stored outdoors (except final products intended for outside use [e.g., new cars] where exposure to stormwater does not result in the discharge of pollutants)	<input type="checkbox"/>	<input type="checkbox"/>
Materials contained in open, deteriorated or leaking storage drums, barrels, tanks, and similar containers	<input type="checkbox"/>	<input type="checkbox"/>
Materials or products handled/stored on roads or railways owned or maintained by the discharger	<input type="checkbox"/>	<input type="checkbox"/>
Waste material (except waste in covered, non-leaking containers [e.g., dumpsters])	<input type="checkbox"/>	<input type="checkbox"/>
Application or disposal of process wastewater (unless otherwise permitted)	<input type="checkbox"/>	<input type="checkbox"/>
Particulate matter or visible deposits of residuals from roof stacks and/or vents not otherwise regulated (i.e., under an air quality control permit) and evident in the stormwater outflow	<input type="checkbox"/>	<input type="checkbox"/>
F. Certification Information		
I certify under penalty of law that I have read and understand the eligibility requirements for claiming a condition of "no exposure" and obtaining an exclusion from NPDES stormwater permitting. I certify under penalty of law that there are no discharges of stormwater contaminated by exposure to industrial activities or materials from the industrial facility or site identified in this document (except as allowed under 40 CFR 122.26(g)(2)). I understand that I am obligated to submit a NEC form once every five years to the NPDES permitting authority and, if requested, to the operator of the local municipal separate storm sewer system (MS4) into which the facility discharges (where applicable). I understand that I must allow the NPDES permitting authority, or MS4 operator where the discharge is into the local MS4, to perform inspections to confirm the condition of no exposure and to make such inspection reports publicly available upon request. I understand that I must obtain coverage under an NPDES permit prior to any point source discharge of stormwater from the facility. Additionally, I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.		
First Name, Middle, Last Name: <input style="width: 100%;" type="text"/>		
Title: <input style="width: 100%;" type="text"/>		
Signature: _____		Date: <input style="width: 100px;" type="text"/> / <input style="width: 100px;" type="text"/> / <input style="width: 100px;" type="text"/>
E-mail: <input style="width: 100%;" type="text"/>		
G. Discontinuation of NEC Information		
I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gathered and evaluated the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is to the best of my knowledge and belief true, accurate and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.		
First Name, Middle, Last Name <input style="width: 100%;" type="text"/>		
Title: <input style="width: 100%;" type="text"/>		
Signature: _____		Date: <input style="width: 100px;" type="text"/> / <input style="width: 100px;" type="text"/> / <input style="width: 100px;" type="text"/>
E-mail: <input style="width: 100%;" type="text"/>		

Instructions for Completing EPA Form 3510-11

No Exposure Certification (NEC) for Exclusion from Stormwater Discharges Associated with Industrial Activity Under the NPDES Multi-Sector General Permit

This Form Replaces Form 3510-11 (03/21) Form OMB No. 2040-NEW

Who May File a No Exposure Certification (NEC) Form

Federal law at 40 CFR Part 122.26 prohibits point source discharges of stormwater associated with industrial activity to waters of the U.S. without a National Pollutant Discharge Elimination System (NPDES) permit. However, NPDES permit coverage is not required for discharges of stormwater associated with industrial activities identified at 40 CFR 122.26(b)(14)(i)-(ix) and (xi) if the discharger can certify that a condition of "no exposure" exists at the industrial facility or site.

Stormwater discharges from construction activities identified in 40 CFR 122.26(b)(14)(x) and (b)(15) are not eligible for the no exposure exclusion.

Obtaining and Maintaining the No Exposure Exclusion

This form is used to certify that a condition of no exposure exists at the industrial facility or site described herein. This certification is only applicable in jurisdictions where EPA is the NPDES permitting authority and must be re-submitted at least once every five years.

The industrial facility operator must maintain a condition of no exposure at its facility or site in order for the no exposure exclusion to remain applicable. If conditions change resulting in the exposure of materials and activities to stormwater, the facility operator must obtain coverage under an NPDES stormwater permit immediately.

Completing the Form

You must type or print, using uppercase letters, in appropriate areas only. Enter only one character per space (i.e., between the marks). Abbreviate if necessary to stay within the number of characters allowed for each item. Use one space for breaks between words, but not for punctuation marks unless they are needed to clarify your response. Please submit original document with signature in ink - do not send a photocopied signature. One form must be completed for each facility or site for which you are seeking to certify a condition of no exposure. **Please make sure you have addressed all applicable questions and have made a photocopy for your records before sending the completed form to the above address.**

Section A. Approval to Use Paper NEC Form

You must indicate whether you have been granted a waiver from electronic reporting from the EPA Regional Office. Note that you are not authorized to use this paper NEC form unless the EPA Regional Office has approved its use. Where you have obtained approval to use this form, indicate the waiver that you have been granted, the name of the EPA Regional Office staff person who granted the waiver, and the date that approval was provided. See <https://www.epa.gov/npdes/contact-us-stormwater> for a list of EPA Regional Office contacts.

Section B. Reason for Submission

You must check your reason for submitting this form. You may submit this form for obtaining a new NEC, for renewing a previous NEC, or for discontinuing an existing NEC (for facilities that no longer need the exclusion from permit coverage for industrial stormwater discharges).

Section C. Facility Operator Information

Provide the legal name of the person, firm, public organization, or any other entity that operates the facility described in this

certification form. An operator of a facility is the legal entity that controls the operation of the facility. Refer to Appendix A of the MSGP for the definition of "operator." Provide the operator's mailing address, phone number, and e-mail. Correspondence for the NEC will be sent to this address. Also provide the name and title for the operator point of contact (note that the point of contact name may be the same as the operator name).

Section D. Facility Information

Enter the official or legal name and complete street address, including city, state, ZIP code, and county or similar government subdivision of the facility. If the facility lacks a street address, indicate the general location of the facility (e.g., Intersection of State Highways 61 and 34). Complete facility information must be provided for the NEC form to be valid.

Provide the latitude and longitude of your facility in decimal degrees format. The latitude and longitude of your facility can be determined in several different ways, including through the use of global positioning system (GPS) receivers and U.S. Geological Survey (USGS) topographic or quadrangle maps. For consistency, EPA requests that measurements be taken from the approximate center of the facility. Specify which method you used to determine latitude and longitude. If a USGS topographic map is used, specify the scale of the map used. Enter the horizontal reference datum for your latitude and longitude. The horizontal reference datum used on USGS topographic maps is shown on the bottom left corner of USGS topographic maps; it is also available for GPS receivers. The facility information in this Section should match the facility information provided on your NOI form.

Indicate whether the facility is on Indian Country, and if so, provide the name of the Indian Tribe associated with the area of Indian Country (including name of Indian reservation, if applicable).

Indicate whether the facility is on federal lands. If so, indicate whether the facility is on a land of exclusive federal jurisdiction and provide the name of the land of exclusive federal jurisdiction. Refer to Appendix A of the MSGP for the definition of "lands of exclusive federal jurisdiction."

Indicate whether you are a "federal operator" as defined in Appendix A of the MSGP. Also check the facility's ownership type.

Indicate whether the facility was previously covered under an NPDES stormwater permit. If so, include the NPDES ID (i.e., NOI tracking number).

List the four-digit Standard Industrial Classification (SIC) code or two-character activity code and the applicable sector and subsector of your primary industrial activity.

Enter the total size of the site associated with industrial activity in acres.

Check "Yes" or "No" as appropriate to indicate whether you have paved or roofed over a formerly exposed, pervious area (i.e., lawn, meadow, dirt or gravel road/parking lot) in order to qualify for no exposure. If yes, also indicate approximately how much area was paved or roofed over and is now impervious area.

Instructions for Completing EPA Form 3510-11

**No Exposure Certification (NEC) for Exclusion from Stormwater Discharges
Associated with Industrial Activity Under the NPDES Multi-Sector General Permit**

This Form Replaces Form 3510-11 (03/21) Form OMB No. 2040-NEW

Section E. Exposure Checklist

Check "Yes" or "No" as appropriate to describe the exposure condition at your facility. If you answer "Yes" to **ANY** of the questions in this section, a potential for exposure exists at your site and you cannot certify to a condition of no exposure. You must obtain (or already have) coverage under an NPDES stormwater permit. After obtaining permit coverage, you can institute modifications to eliminate the potential for a discharge of stormwater exposed to industrial activity, and then certify to a condition of no exposure.

Section F and G. Certification and Discontinuation of NEC Information

The NEC form must be signed as follows:

For a corporation: By a responsible corporate officer. For the purpose of this Section, a responsible corporate officer means:

(i) a president, secretary, treasurer, or vice-president of the corporation in charge of a principal business function, or any other person who performs similar policy- or decision-making functions for the corporation, or (ii) the manager of one or more manufacturing, production, or operating facilities, provided, the manager is authorized to make management decisions which govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations, and initiating and directing other comprehensive measures to assure long-term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for permit application requirements; and where authority to sign documents has been assigned or delegated to the manager in accordance with corporate procedures.

For a partnership or sole proprietorship: By a general partner or the proprietor, respectively; or

For a municipality, state, federal, or other public agency: By either a principal executive officer or ranking elected official. For purposes of this Part, a principal executive officer of a federal agency includes (i) the chief executive officer of the agency, or (ii) a senior executive officer having responsibility for the overall operations of a principal geographic unit of the agency (e.g., Regional Administrator of EPA). Include the name and title of the person signing the form and the date of signing.

Include the name, title, and e-mail address of the person signing the form and the date of signing.

An unsigned or undated NEC form will not be considered valid.

Paperwork Reduction Act Notice

This collection of information is approved by OMB under the Paperwork Reduction Act, 44 U.S.C. 3501 et seq. (OMB Control No. 2040-NEW). Responses to this collection of information are mandatory (40 CFR 122.26). An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. The public reporting and recordkeeping burden for this collection of information is estimated to be 45 minutes per response. Send comments on the Agency's need for this information, the accuracy of the provided burden estimates and

any suggested methods for minimizing respondent burden to the Regulatory Support Division Director, U.S. Environmental Protection Agency (2821T), 1200 Pennsylvania Ave., NW, Washington, D.C. 20460. Include the OMB control number in any correspondence. Do not send the completed form to this address.

Submitting Your Form

If you have been granted a waiver from your Regional Office to submit a paper NEC form, you must send your NEC form by mail to one of the following addresses:

For Regular U.S. Mail Delivery:

Stormwater Notice Processing Center
Mail Code 4203M, ATTN: MSGP No Exposure
U.S. EPA
1200 Pennsylvania Avenue, NW
Washington, DC 20460

For Overnight/Express Mail Delivery:

Stormwater Notice Processing Center
William Jefferson Clinton East Building - Room 7420
ATTN: MSGP No Exposure
U.S. EPA
1201 Constitution Avenue, NW
Washington, DC 20004

Visit this website for instructions on how to submit electronically:
<https://www.epa.gov/npdes/stormwater-discharges-industrial-activities-reporting>