



U.S. Department
of Transportation
**Pipeline and Hazardous
Materials Safety
Administration**

1200 New Jersey Avenue SE
Washington DC 20590

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Pipeline Safety

20xx Gas Base Grant Progress Report

for

Please follow the directions listed below:

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign and date page 2.
3. Scan the signed document to your computer and email it to Carrie.Winslow@dot.gov.

FedSTAR Information

Electronic Submission Date:



Pipeline and Hazardous Materials Safety Administration
1200 New Jersey Avenue, SE
Washington DC 20590

OFFICE OF PIPELINE SAFETY

20xx Gas Base Grant Progress Report

Office:

DRAFT

Authorized Signature

Printed Name

Title

Date

PROGRESS REPORT ATTACHMENTS (GAS)

PHMSA Form No. PHMSA F 999-92

Attachment 1: State Jurisdiction and Agent Status over Facilities.

Attachment 2: State Field Inspection Activity

Attachment 3: Facilities Subject to State Safety Jurisdiction

Attachment 4: Pipeline Incidents

Attachment 5: State Compliance Actions

Attachment 6: State Record Maintenance and Reporting

Attachment 7: State Employees Directly Involved in the Pipeline Safety Program

Attachment 8: State Compliance with Federal Regulations

{there is no attachment 9}

Attachment 10: Performance and Damage Prevention Questions

Attachment 1 - Stats on Operators

STATE JURISDICTION AND AGENT STATUS OVER GAS FACILITIES AS OF DECEMBER 31, 20xx

Operator Type	State Agency Jurisdiction/ Agent Status		No. of Operators	Operators Inspected		No. of Inspection Units	Units Inspected	
	No ¹	Yes		#	%		#	%
Distribution								
Private								
Municipal								
Master Meter								
LPG								
Other								
Transmission								
Intrastate								
Interstate								
LNG								
Intrastate								
Interstate								
Gathering Lines								
Offshore Facilities								
Total								

¹Codes: A - None in state and does not have jurisdiction;

B - State does not have jurisdictional authority (Provide current status or action being taken to obtain authority in notes section below)

F - No, State is currently not an interstate agent.

X/60105P = Yes, I have Section 60105 (Certification) over some of the operator type (meaning: I have 60105 authority over some, but not all of this operator type and do not have a 60106 agreement with PHMSA to inspect them). These operators are identified in the notes below.

X/IA - Yes, I have Interstate Agent jurisdiction over this type of operator

Distribution "Other" - ie Co-ops, Public Utility Districts, etc.

States should explain any special circumstances

General Instructions - All above facilities should only include facilities as defined by federal pipeline regulations and should not include extended jurisdiction by state regulation.

Attachment 1 Notes:

Attachment 2 - State Inspection Activity

TOTAL STATE FIELD INSPECTION ACTIVITY AS OF DECEMBER 31, 20xx

Operator Type	Standard Comprehensive	Design, Testing and Construction	On-Site Operator Training	Integrity Management	Operator Qualification	Investigating Incidents or Accidents	Damage Prevention Activities	Compliance Follow-up	Total
Distribution									
Private									
Municipal									
Master Meter									
LPG									
Other									
Transmission									
Intrastate									
Interstate									
LNG									
Intrastate									
Interstate									
Other									
Gathering Lines									
Offshore Facilities									
Total									

Drug and Alcohol									
Total Count of Drug and Alcohol Inspections									

Attachment 2 Notes

Attachment 3 - List of Operators

GAS FACILITIES SUBJECT TO STATE SAFETY JURISDICTION AS OF DECEMBER 31, 20xx

Operator Business Name Operator ID Address	Distribution (Operator type & Inspection Units)					Transmission (Operator type & Inspection Units)		LNG(Operator type & Inspection Units)		Other (Operator type & Inspection Units)	
	Private	Municipal	Master Meter	LPG	Other	Intrastate	Interstate	Intrastate	Interstate	Gathering Lines (Jurisdictional)	Offshore Facilities (State Waters)

	Distribution (Operator type & Inspection Units)					Transmission (Operator type & Inspection Units)		LNG(Operator type & Inspection Units)		Other (Operator type & Inspection Units)	
	Private	Municipal	Master Meter	LPG	Other	Intrastate	Interstate	Intrastate	Interstate	Gathering Lines (Jurisdictional)	Offshore Facilities (State Waters)
Inspection Unit totals by type											

Total Operators

Attachment 3 Notes:

Attachment 4 - Incidents/Accidents

SIGNIFICANT⁴ GAS INCIDENTS/ACCIDENTS JANUARY 1, THROUGH DECEMBER 31, 20xx

Date of Incident	Location - City/County/etc.	System Type	Injuries #	Fatalities #	Property Damage ³ \$	Operator Cause Code ¹	State Cause Code ¹
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Name of Operator:

Operator ID:

Summary²

¹High Level Cause Codes: A - Corrosion failure; B - Natural Force Damage; C - Excavation Damage; D - Other Outside Force Damage; E - Pipe, Weld or Joint Failure; F - Equipment Failure; G - Incorrect Operation; H - Other Incident Cause; IP - Investigation Pending;

²Please include a summary or report of the state agency's investigation of each of the above incidents.

³Interstate agents should use the 191.3 Incident definition for listing incidents investigated on interstate facilities.

Attachment 4 Notes

Attachment 5 - Stats on Compliance Actions

STATE COMPLIANCE ACTIONS -- CALENDAR YEAR (CY) 20xx

Probable Violation Categories	Intrastate	Interstate
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Number carried over from all previous CY's

Number Found During CY

Number submitted for DOT action [60106 Agreement agent only]

Number corrected during CY (including carry over from previous year(s))

Number to be corrected at end of CY (including carry over)

Number of Compliance Actions Taken

(see definition)

Civil Penalties

Number assessed during CY

Dollars assessed during CY

Number collected during CY

Dollars collected during CY

¹**Do not double count for a related series of actions.**

Attachment 5 Notes

Attachment 6 - List of Records Kept

GAS STATE RECORD MAINTENANCE AND REPORTING DURING CY 20xx

Records Maintained by the State Agency

Reports Required from Operators

Attachment 6 Notes

Attachment 7 - Staffing

STATE EMPLOYEES DIRECTLY INVOLVED IN THE GAS PIPELINE SAFETY PROGRAM DURING CY 20xx

Name/Title	% Time	# Months	Qual. Cat.

Summary

<u>Employee Type</u>	<u>No. of Staff</u>	<u>Person-Years</u>
Supervisor		
Damage Prevention/Technical		
Inspectors/Investigators		
Clerical/Administrative		
Total		

Attachment 7 Notes

Attachment 8 - Compliance with Federal Regulations

STATE COMPLIANCE WITH FEDERAL REQUIREMENTS AS OF DECEMBER 31, 2020

No.	Effective Date	Impact	Adoption Date	AdoptionStatus
1		Maximum Penalties Substantially same as DOT (\$222,504/\$2,225,034). State must adopt minimum penalties of at least (\$100,000/\$1,000,000). Indicate actual amount in notes.	08/2008	Adopted (\$100,000/\$1,000,000)
Note ¹				
2	Part 192 Amendments			
1-115	Pre 2011	[All applicable amendments prior to and including 2010]		
Note ¹ Adoption of all amendments prior to 2011				
116 - 76 FR 5494	4/4/2011	Mechanical Fitting Failure Reporting Requirements		
Note ¹				
117-76 FR 35130	8/15/2011	Control Room Management/Human Factors		
Note ¹				
118 - 78 FR 58897	10/25/2013	Administrative Procedures, Updates, and Technical Corrections (Not applicable to States)		
Note ¹				
119 - 80 FR 168	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits		
Note ¹				

120 - 80 FR 12779	10/1/2015	Miscellaneous Changes to Pipeline Safety Regulations (Part 192.305 DELAYED)
Note ¹		
121 - 81 FR 70989	4/14/2017	Expanding the Use of Excess Flow Valves in Gas Distribution Systems to Applications Other Than Single-Family Residences
Note ¹		
122 - 81 FR 91860	1/18/2017	Safety of Underground Natural Gas Storage Facilities
Note ¹		
123 - 82 FR 7972	3/24/2017	Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes
Note ¹		
124 - 83 FR 58694	1/22/2019	Use of Plastic Piping Systems in the Transportation of Natural and Other Gas
Note ¹		
125 - 84 FR 52180	7/1/2020	Pipeline Safety: Safety of Gas Transmission Pipelines: MAOP Reconfirmation, Expansion of Assessment Requirements, and Other Related Amendments
Note ¹		
3	Part 193 Amendments (applicable only where state has jurisdiction over LNG)	
1-23	Pre 2011	[All applicable amendments prior to and including 2010]
Note ¹ Adoption of all amendments prior to 2011		
24 - 78 FR 58897	10/25/2013	Administrative Procedures, Updates, and Technical Corrections
Note ¹		
25 - 80 FR 168	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits
Note ¹		
4	Part 199 - Drug Testing	
Note ¹		
5	Part 199 Amendments	

1-24 Note ¹	Pre 2011	[All applicable amendments prior to and including 2010] Adoption of all amendments prior to 2011
25 - 78 FR 58897 Note ¹	10/25/2013	Administrative Procedures, Updates, and Technical Corrections
26 - 80 FR 168 Note ¹	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits
27 - 82 FR 7972 Note ¹	3/24/2017	Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes
28 - 84 FR 16770 Note ¹	4/23/2019	Conforming Amendments and Technical Corrections to Department Rules Implementing the Transportation Industry Drug Testing Program

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a. Note ¹	Mandatory coverage of areas having pipeline facilities
b. Note ¹	Qualification for operation of one-call system
c. Note ¹	Mandatory excavator notification of one-call center
d. Note ¹	State determination whether calls to center are toll free
e. Note ¹	Mandatory intrastate pipeline operator participation
f. Note ¹	Mandatory operator response to notification

g. Mandatory notification of excavators/public

Note¹ N

h. Civil penalties/injunctive relief substantially same as DOT

Note¹

'If Adoption Status is No, Please provide an explanation

State Attendance at 2020 NAPS Regional Meeting:

Frequency of General Legislative Session: Annually

Attachment 8 Notes

Attachment 10 - Performance and Damage Prevention Questions

CALENDAR YEAR (CY) 20xx

Planned Performance: What are your Planned Annual and Long-term goals for your Pipeline Safety Program?

Past Performance: What did the Pipeline Safety Program accomplish during the subject year (to this document) to contribute toward the program's annual and long-term goals?

1. Has the state or agency reviewed the Damage Prevention Assistance Program (DPAP) document in the last twelve months?

2. Has the state or agency developed or is in the process of developing a plan to address the nine elements contained in the PIPES Act of 2006 for an effective State Damage Prevention Program?

If yes to question 2, where does the state or agency stand on implementation of the nine elements contained in the PIPES Act of 2006? Please provide a description of how the state or agency has or will meet each element. If not, please provide a brief passage explaining the reasons why the state or agency has not.

Attachment 10 Notes