



U.S. Department  
of Transportation  
**Pipeline and Hazardous  
Materials Safety  
Administration**

1200 New Jersey Avenue SE  
Washington DC 20590

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# Pipeline Safety

## 20xx Gas Base Grant Progress Report

for

**Please follow the directions listed below:**

1. Review the entire document for completeness.
2. Review and have an authorized signatory sign and date page 2.
3. Scan the signed document to your computer and email it to [Carrie.Winslow@dot.gov](mailto:Carrie.Winslow@dot.gov).

### **FedSTAR Information**

Electronic Submission Date:



Pipeline and Hazardous Materials Safety Administration  
1200 New Jersey Avenue, SE  
Washington DC 20590

OFFICE OF PIPELINE SAFETY

20xx Gas Base Grant Progress Report

Office:

**DRAFT**

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

**PROGRESS REPORT ATTACHMENTS (GAS )**

**PHMSA Form No. PHMSA F 999-92**

**Attachment 1: State Jurisdiction and Agent Status over Facilities.**

**Attachment 2: State Field Inspection Activity**

**Attachment 3: Facilities Subject to State Safety Jurisdiction**

**Attachment 4: Pipeline Incidents**

**Attachment 5: State Compliance Actions**

**Attachment 6: State Record Maintenance and Reporting**

**Attachment 7: State Employees Directly Involved in the Pipeline Safety Program**

**Attachment 8: State Compliance with Federal Regulations**

*{there is no attachment 9}*

**Attachment 10: Performance and Damage Prevention Questions**

## Attachment 1 - Stats on Operators

### STATE JURISDICTION AND AGENT STATUS OVER GAS FACILITIES AS OF DECEMBER 31, 20xx

Operator Type	State Agency Jurisdiction/ Agent Status		No. of Operators	Operators Inspected		No. of Inspection Units	Units Inspected	
	No <sup>1</sup>	Yes		#	%		#	%
<b>Distribution</b>								
Private								
Municipal								
Master Meter								
LPG								
Other								
<b>Transmission</b>								
Intrastate								
Interstate								
<b>LNG</b>								
Intrastate								
Interstate								
Gathering Lines								
Offshore Facilities								
<b>Total</b>								

<sup>1</sup>Codes: A - None in state and does not have jurisdiction;

B - State does not have jurisdictional authority (Provide current status or action being taken to obtain authority in notes section below)

F - No, State is currently not an interstate agent.

X/60105P = Yes, I have Section 60105 (Certification) over some of the operator type (meaning: I have 60105 authority over some, but not all of this operator type and do not have a 60106 agreement with PHMSA to inspect them). These operators are identified in the notes below.

X/IA - Yes, I have Interstate Agent jurisdiction over this type of operator

Distribution "Other" - ie Co-ops, Public Utility Districts, etc.

States should explain any special circumstances

**General Instructions** - All above facilities should only include facilities as defined by federal pipeline regulations and should not include extended jurisdiction by state regulation.

**Attachment 1 Notes:**

## Attachment 2 - State Inspection Activity

### TOTAL STATE FIELD INSPECTION ACTIVITY AS OF DECEMBER 31, 20xx

Operator Type	Standard Comprehensive	Design, Testing and Construction	On-Site Operator Training	Integrity Management	Operator Qualification	Investigating Incidents or Accidents	Damage Prevention Activities	Compliance Follow-up	Total
<b>Distribution</b>									
Private									
Municipal									
Master Meter									
LPG									
Other									
<b>Transmission</b>									
Intrastate									
Interstate									
<b>LNG</b>									
Intrastate									
Interstate									
<b>Other</b>									
Gathering Lines									
Offshore Facilities									
<b>Total</b>									

<b>Drug and Alcohol</b>									
Total Count of Drug and Alcohol Inspections									

**Attachment 2 Notes**

### Attachment 3 - List of Operators

#### GAS FACILITIES SUBJECT TO STATE SAFETY JURISDICTION AS OF DECEMBER 31, 20xx

<b>Operator</b>  Business Name Operator ID Address	<b>Distribution (Operator type &amp; Inspection Units)</b>					<b>Transmission (Operator type &amp; Inspection Units)</b>		<b>LNG(Operator type &amp; Inspection Units)</b>		<b>Other (Operator type &amp; Inspection Units)</b>	
	Private	Municipal	Master Meter	LPG	Other	Intrastate	Interstate	Intrastate	Interstate	Gathering Lines (Jurisdictional)	Offshore Facilities (State Waters)

	<b>Distribution (Operator type &amp; Inspection Units)</b>					<b>Transmission (Operator type &amp; Inspection Units)</b>		<b>LNG(Operator type &amp; Inspection Units)</b>		<b>Other (Operator type &amp; Inspection Units)</b>	
	Private	Municipal	Master Meter	LPG	Other	Intrastate	Interstate	Intrastate	Interstate	Gathering Lines (Jurisdictional)	Offshore Facilities (State Waters)
<b>Inspection Unit totals by type</b>											

### **Total Operators**

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**Attachment 3 Notes:**

## Attachment 4 - Incidents/Accidents

### SIGNIFICANT<sup>4</sup> GAS INCIDENTS/ACCIDENTS JANUARY 1, THROUGH DECEMBER 31, 20xx

Date of Incident	Location - City/County/etc.	System Type	Injuries #	Fatalities #	Property Damage <sup>3</sup> \$	Operator Cause Code <sup>1</sup>	State Cause Code <sup>1</sup>
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Name of Operator:

Operator ID:

Summary<sup>2</sup>

<sup>1</sup>High Level Cause Codes: A - Corrosion failure; B - Natural Force Damage; C - Excavation Damage; D - Other Outside Force Damage; E - Pipe, Weld or Joint Failure; F - Equipment Failure; G - Incorrect Operation; H - Other Incident Cause; IP - Investigation Pending;

<sup>2</sup>Please include a summary or report of the state agency's investigation of each of the above incidents.

<sup>3</sup>Interstate agents should use the 191.3 Incident definition for listing incidents investigated on interstate facilities.

#### Attachment 4 Notes

## Attachment 5 - Stats on Compliance Actions

### STATE COMPLIANCE ACTIONS -- CALENDAR YEAR (CY) 20xx

Probable Violation Categories	Intrastate	Interstate
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Number carried over from all previous CY's

Number Found During CY

Number submitted for DOT action [60106 Agreement agent only]

Number corrected during CY (including carry over from previous year(s))

Number to be corrected at end of CY (including carry over)

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#### Number of Compliance Actions Taken

(see definition)

#### Civil Penalties

Number assessed during CY

Dollars assessed during CY

Number collected during CY

Dollars collected during CY

<sup>1</sup>**Do not double count for a related series of actions.**

#### Attachment 5 Notes



## **Attachment 6 - List of Records Kept**

### **GAS STATE RECORD MAINTENANCE AND REPORTING DURING CY 20xx**

**Records Maintained by the State Agency**

**Reports Required from Operators**

**Attachment 6 Notes**

## Attachment 7 - Staffing

### STATE EMPLOYEES DIRECTLY INVOLVED IN THE GAS PIPELINE SAFETY PROGRAM DURING CY 20xx

Name/Title	% Time	# Months	Qual. Cat.

#### Summary

<u>Employee Type</u>	<u>No. of Staff</u>	<u>Person-Years</u>
Supervisor		
Damage Prevention/Technical		
Inspectors/Investigators		
Clerical/Administrative		
<b>Total</b>		

#### Attachment 7 Notes

## Attachment 8 - Compliance with Federal Regulations

### STATE COMPLIANCE WITH FEDERAL REQUIREMENTS AS OF DECEMBER 31, 2020

No.	Effective Date	Impact	Adoption Date	AdoptionStatus
1		<b>Maximum Penalties Substantially same as DOT (\$222,504/\$2,225,034). State must adopt minimum penalties of at least (\$100,000/\$1,000,000). Indicate actual amount in notes.</b>	08/2008	Adopted (\$100,000/\$1,000,000)
Note <sup>1</sup>				
<b>2</b>	<b>Part 192 Amendments</b>			
1-115	Pre 2011	[All applicable amendments prior to and including 2010]		
Note <sup>1</sup> Adoption of all amendments prior to 2011				
116 - 76 FR 5494	4/4/2011	Mechanical Fitting Failure Reporting Requirements		
Note <sup>1</sup>				
117-76 FR 35130	8/15/2011	Control Room Management/Human Factors		
Note <sup>1</sup>				
118 - 78 FR 58897	10/25/2013	Administrative Procedures, Updates, and Technical Corrections (Not applicable to States)		
Note <sup>1</sup>				
119 - 80 FR 168	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits		
Note <sup>1</sup>				

120 - 80 FR 12779	10/1/2015	Miscellaneous Changes to Pipeline Safety Regulations (Part 192.305 DELAYED)
Note <sup>1</sup>		
121 - 81 FR 70989	4/14/2017	Expanding the Use of Excess Flow Valves in Gas Distribution Systems to Applications Other Than Single-Family Residences
Note <sup>1</sup>		
122 - 81 FR 91860	1/18/2017	Safety of Underground Natural Gas Storage Facilities
Note <sup>1</sup>		
123 - 82 FR 7972	3/24/2017	Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes
Note <sup>1</sup>		
124 - 83 FR 58694	1/22/2019	Use of Plastic Piping Systems in the Transportation of Natural and Other Gas
Note <sup>1</sup>		
125 - 84 FR 52180	7/1/2020	Pipeline Safety: Safety of Gas Transmission Pipelines: MAOP Reconfirmation, Expansion of Assessment Requirements, and Other Related Amendments
Note <sup>1</sup>		
<b>3</b>	<b>Part 193 Amendments (applicable only where state has jurisdiction over LNG)</b>	
1-23	Pre 2011	[All applicable amendments prior to and including 2010]
Note <sup>1</sup> Adoption of all amendments prior to 2011		
24 - 78 FR 58897	10/25/2013	Administrative Procedures, Updates, and Technical Corrections
Note <sup>1</sup>		
25 - 80 FR 168	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits
Note <sup>1</sup>		
<b>4</b>	<b>Part 199 - Drug Testing</b>	
Note <sup>1</sup>		
<b>5</b>	<b>Part 199 Amendments</b>	

1-24 Note <sup>1</sup>	Pre 2011	[All applicable amendments prior to and including 2010] Adoption of all amendments prior to 2011
25 - 78 FR 58897 Note <sup>1</sup>	10/25/2013	Administrative Procedures, Updates, and Technical Corrections
26 - 80 FR 168 Note <sup>1</sup>	3/6/2015	Periodic Updates of Regulatory References to Technical Standards and Miscellaneous Edits
27 - 82 FR 7972 Note <sup>1</sup>	3/24/2017	Operator Qualification, Cost Recovery, Accident and Incident Notification, and Other Pipeline Safety Changes
28 - 84 FR 16770 Note <sup>1</sup>	4/23/2019	Conforming Amendments and Technical Corrections to Department Rules Implementing the Transportation Industry Drug Testing Program

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**6**

a. Note <sup>1</sup>	Mandatory coverage of areas having pipeline facilities
b. Note <sup>1</sup>	Qualification for operation of one-call system
c. Note <sup>1</sup>	Mandatory excavator notification of one-call center
d. Note <sup>1</sup>	State determination whether calls to center are toll free
e. Note <sup>1</sup>	Mandatory intrastate pipeline operator participation
f. Note <sup>1</sup>	Mandatory operator response to notification

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g. Mandatory notification of excavators/public

Note<sup>1</sup> N

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h. Civil penalties/injunctive relief substantially same as DOT

Note<sup>1</sup>

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**'If Adoption Status is No, Please provide an explanation**

State Attendance at 2020 NAPS Regional Meeting:

Frequency of General Legislative Session: Annually

**Attachment 8 Notes**

# **Attachment 10 - Performance and Damage Prevention Questions**

**CALENDAR YEAR (CY) 20xx**

**Planned Performance: What are your Planned Annual and Long-term goals for your Pipeline Safety Program?**

**Past Performance: What did the Pipeline Safety Program accomplish during the subject year (to this document) to contribute toward the program's annual and long-term goals?**

**1. Has the state or agency reviewed the Damage Prevention Assistance Program (DPAP) document in the last twelve months?**

**2. Has the state or agency developed or is in the process of developing a plan to address the nine elements contained in the PIPES Act of 2006 for an effective State Damage Prevention Program?**

**If yes to question 2, where does the state or agency stand on implementation of the nine elements contained in the PIPES Act of 2006? Please provide a description of how the state or agency has or will meet each element. If not, please provide a brief passage explaining the reasons why the state or agency has not.**

## **Attachment 10 Notes**