



## Assurance of Compliance with the National Aeronautics and Space Administration Regulations Pursuant to Nondiscrimination in Federally Assisted Programs

### SECTION 1: APPLICANT INSTITUTION ACKNOWLEDGMENT

NASA affirms its commitment to advancing equal opportunity through its programs and initiatives. NASA requires recipients uphold the same level of commitment in their internal operations and service to the public. In addition to affirming their compliance with the below federal civil rights laws (as implemented in NASA regulations), NASA encourages recipients to incorporate practices, procedures, and policies that exceed legal requirements to advance nondiscrimination in federally assisted programs.

As a condition of receipt of Federal financial assistance, the recipient Institution,

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*(Institution, corporation, firm, or other organization on whose behalf this assurance is signed, hereinafter called "Recipient,")*

acknowledges and agrees that it must comply (and require any subgrantees, contractors, successors, transferees, and assignees to comply) with applicable provisions of national laws and policies prohibiting discrimination, including but not limited to:

1. Title VI of the Civil Rights Act of 1964, as amended, which prohibits recipients of federal financial assistance from discriminating on the basis of race, color, or national origin (42 U.S.C. 2000d et seq.), as implemented by NASA Title VI regulations, 14 C.F.R. Part 1250. Title VI's protections against discrimination based on race, color, and national origin include antisemitism and other forms of discrimination when based on shared ancestry or ethnic characteristics.
  - a. The recipient is required to take specific steps to regularly and consistently notify program beneficiaries that the recipient does not discriminate in the operation of its programs and activities (14 C.F.R. §1250.105(d)).
  - b. To ensure compliance with Title VI, the Recipient must take reasonable steps to ensure that LEP persons have meaningful access to its programs in accordance with NASA Title VI LEP Guidance to Grant Recipients (68 Fed. Reg. 70039). Meaningful access may entail providing language assistance services, including oral and written translation, where necessary. The Recipient is encouraged to consider the need for language services for LEP persons served or encountered both in developing budgets and in conducting programs and activities. Assistance and information regarding LEP obligations may be found at <http://www.lep.gov>.
2. Title IX of the Education Amendments of 1972, as amended, which prohibits discrimination on the basis of sex in education programs or activities (20 U.S.C. 1681 et seq.) as implemented by NASA Title IX regulations, 14 C.F.R. Part 1253.

If the recipient is engaged in any educational program or activity:

  - a. The recipient is required to designate at least one employee to serve as its Title IX coordinator (14 C.F.R. §1253.135(a)).
  - b. The recipient is required to notify all of its program beneficiaries of the name, office, address, and telephone number of the employee(s) designated to serve as the Title IX coordinators. (14 C.F.R. §1253.135(a)).
  - c. The recipient is required to publish grievance procedures to promptly and equitably resolve complaints alleging illegal discrimination in its programs or activities (14 C.F.R. §1253.135(b)).
  - d. The recipient is required to take specific steps to regularly and consistently notify program beneficiaries that the recipient does not discriminate in the operation of its programs and activities. (14 C.F.R. §1253.140).
3. Section 504 of the Rehabilitation Act of 1973, as amended, which prohibits the recipient from discriminating on the basis of disability (29 U.S.C. 794) as implemented by NASA Section 504 regulations, 14 C.F.R. Part 1251

- a. The recipient is required to designate at least one employee to serve as its Section 504 coordinator (14 C.F.R. §1251.106(a)).
  - b. The recipient is required to notify all its program beneficiaries of the name, office, address, and telephone number of the employee(s) designated to serve as the Section 504 coordinator (14 C.F.R. §1251.106(a)).
  - c. The recipient is required to publish grievance procedures to promptly and equitably resolve complaints alleging illegal discrimination in its programs or activities (14 C.F.R. §1251.106(b)).
  - d. The recipient is required to take specific steps to regularly and consistently notify program beneficiaries that the recipient does not discriminate in the operation of its programs and activities. (14 C.F.R. §1251.107).
  - e. The recipient is required to designate at least one employee to serve as its Section 504 coordinator (14 C.F.R. §1251.106(a)).
  - f. The recipient is required to notify all its program beneficiaries of the name, office, address, and telephone number of the employee(s) designated to serve as the Section 504 coordinator (14 C.F.R. §1251.106(a)).
  - g. The recipient is required to publish grievance procedures to promptly and equitably resolve complaints alleging illegal discrimination in its programs or activities (14 C.F.R. §1251.106(b)).
  - h. The recipient is required to take specific steps to regularly and consistently notify program beneficiaries that the recipient does not discriminate in the operation of its programs and activities. (14 C.F.R. §1251.107).
4. The Age Discrimination Act of 1975, as amended, which prohibits the recipient from discriminating on the basis of age (42 U.S.C. 6101 et seq.) as implemented by NASA Age Discrimination Act regulations, 14 C.F.R. Part 1252.
  5. The recipient assures NASA that it will report (1) any finding/determination regarding the Principal Investigator or any Co-Investigator (PI or Co-I) that demonstrates a violation of the recipient's policies or codes of conduct, relating to sexual harassment, other forms of harassment, or sexual assault; and/or (2) if the PI or any Co-I is placed on administrative leave or if any administrative action has been imposed on the PI or any Co-I by the recipient relating to any finding/determination or an investigation of an alleged violation of the recipient's policies or codes of conduct, statutes, regulations, or executive orders relating to sexual harassment, other forms of harassment, or sexual assault, consistent with the procedures provided at Section 4.7 of the [NASA Grant and Cooperative Agreement Terms and Conditions](#). The recipient acknowledges that this reporting is required for the length of the grant or cooperative agreement.

The recipient also acknowledges and agrees that it must cooperate with any compliance review or complaint investigation conducted by NASA and comply (and require any subgrantees, contractors, successors, transferees, and assignees to comply with applicable provisions governing NASA access to records, accounts, documents, information, facilities, and staff. The recipient must keep such records and submit to the responsible NASA official or designee timely, complete, and accurate compliance reports at such times, and in such form and containing such information, as the responsible NASA official or his designee may determine to be necessary to ascertain whether the recipient has complied or is complying with relevant obligations and must immediately take any measure determined necessary to effectuate this agreement. The recipient must comply with all other reporting, data collection, and evaluation requirements, as prescribed by law or detailed in program guidance.

## SECTION 2: FOR RECIPIENTS WHO HAVE RECEIVED NOTIFICATION OF SELECTION

The selectee must provide a response to the following at the time of notification of selection:

a. If, during the past three years, you (the recipient) have been accused of discrimination on the grounds of race, color, national origin (including limited English proficiency), sex, sexual orientation, gender identity, age or disability arising out of any program at your institution, you must provide a list of all such proceedings, pending or completed, including outcome, to include all discrimination complaints filed under your institution's internal discrimination complaints procedures as well as any discrimination complaints filed directly with a Federal agency, such as the U.S. Department of Education Office for Civil Rights. If you have not been accused of such discrimination, please so state.

- The institution has not been accused of such discrimination during the past three years.
- The institution has been accused of such discrimination during the past three years and has provided the information to NASA on the 'NF1206 Section 2 Lawsuit Complaint Listing'.

b. In the event any court or administrative agency makes a finding of non-compliance with one of the four laws cited in Section 1 above, including discrimination on grounds of race, color, national origin (including limited English proficiency), sex, sexual orientation, gender identity, age or disability against you, or you settle a case or matter alleging such discrimination you must provide documentation of the finding and efforts to address it, including any voluntary compliance or other agreements between the institution and the administrative agency that made the finding. If you have not been the subject of any court or administrative agency finding of discrimination, please so state.

- The institution has not been the subject of any court or administrative agency finding of noncompliance during the past three years.
- The institution has been the subject of any court or administrative agency finding of non-compliance during the past three years and has provided the information to NASA on the 'NF1206 Section 2 Lawsuit Complaint Listing'.

**NOTE:** A selectee is only required to provide this information ONCE EVERY THREE YEARS, and NOT every time a grant is awarded. This also applies to selectees whose grant award does not run a full three years. Such selectees will be obligated to provide the information again if they receive a subsequent grant award more than three years after the prior award.

**SECTION 3: APPLICANT ACKNOWLEDGMENT OF OBLIGATIONS**

The United States has the right to seek judicial enforcement of these obligations.

Under penalty of perjury, the undersigned officials certify that they have read and understand their obligations as herein described, that the information submitted in conjunction with this Document is accurate and complete, and that the recipient is in compliance with the nondiscrimination requirements set out above.

(Print Name) (Signature) DATE

*(Recipient Responsible Official)  
(President, Chairman of Board, or comparable authorized official)*

Organization/Business mailing address:

(Print Name) (Signature) DATE

*(Responsible Official for Title IX Compliance)*

(Print Name) (Signature) DATE

*(Chief Research Officer or Comparable Authorized Official)*

(Print Name) (Signature) DATE

*(General Counsel or Comparable Authorized Official)*

**SECTION 4: INSTRUCTIONS FOR SUBMITTING RESPONSES**

**SEND OR E-MAIL THE COMPLETED FORM TO:**

Address:

Equal Opportunity Programs Branch  
Office of Diversity and Equal Opportunity  
National Aeronautics and Space Administration  
300 E St. SW  
6<sup>th</sup> Floor, Office 6K74  
Washington, DC 20546

E-mail: [civilrightsinfo@mail.nasa.gov](mailto:civilrightsinfo@mail.nasa.gov)

## SECTION 5: ADDITIONAL PROGRAM INFORMATION

You can find additional information on this program at:

<https://www.nasa.gov/odeo/external-civil-rights-compliance/>

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