

## Proposed Registration Questions

Small Business Forum April 10, 2025

<u>Registration Questions</u> (for Qualtrics Registration)	
1. First Name	
2. Last Name	
3. Email	
4. Where are you located? (City + State)	
5. Attending (Select one)	
<input type="radio"/> In person	
<input type="radio"/> Via Webcast	
6. What role do you play with respect to raising capital from investors? (Select all that apply)	
<input type="radio"/> Founder/Entrepreneur/Business Leader	
<input type="radio"/> Investor	
<input type="radio"/> Attorney/Accountant/Professional Advisor	
<input type="radio"/> Government	
<input type="radio"/> Community/Nonprofit Leader	
<input type="radio"/> Academia/Researcher	
<input type="radio"/> Advocacy/Trade Association	
<input type="radio"/> Other: _____	
7. Do you identify as any of the following? (Select all that apply)	
<input type="radio"/> Woman-owned business or its investor	
<input type="radio"/> Minority-owned business or its investor	
<input type="radio"/> Located in or investing in a rural community	
<input type="radio"/> Located in or investing in an area recently affected by natural disasters	
8. Which stage of raising capital from investors is your primary interest?	
<input type="radio"/> Small and emerging (e.g., Angel, Pre-Seed, Seed)	
<input type="radio"/> Mature and later-stage (e.g., Series A-C+)	
<input type="radio"/> Smaller public company (pre- or post-IPO)	
<input type="radio"/> I am still focused on non-dilutive capital (e.g., grants, loans, etc.)	
9. What kind of educational or informational resources on raising capital from investors do you find most helpful?	
<input type="radio"/> Question & Answer Format	
<input type="radio"/> Glossary	
<input type="radio"/> Infographic	
<input type="radio"/> Brief Overviews	
<input type="radio"/> Videos	
<input type="radio"/> Other [with blank field to include additional input]	
10. We want to hear from you! Please share questions or suggested topics of discussion for the panelists or for future events.	

44<sup>th</sup> SEC Annual Small Business Forum  
Registration Questions (WORKING DRAFT)

11. As part of your capital-raising journey, have you raised capital using any of the following methods? [Select all that apply]:
<input type="radio"/> Grants, Loans, or Other Non-Dilutive Funding
<input type="radio"/> Friends and Family Investors
<input type="radio"/> Investment Crowdfunding
<input type="radio"/> Angel Investors or other Accredited Investors
<input type="radio"/> Venture Capital Investors or other Private Funds
<input type="radio"/> Regulation A (or "Mini IPO")
<input type="radio"/> Public Offering
12. Which of the following do you believe are the biggest barriers to accessing capital? (Rank the following from most to least difficult: 1 for most difficult, 7 for least difficult)
<input type="radio"/> Networks and connections to investors
<input type="radio"/> Information, education, and knowledge
<input type="radio"/> Laws, policies, and regulations
<input type="radio"/> Personal wealth or assets
<input type="radio"/> Investor bias
<input type="radio"/> Valuations or offering terms
<input type="radio"/> Macroeconomic factors or market trends (e.g., inflation, interest rates, etc.)
13. Where do you find information about accessing capital and the requirements to raise capital? (Select all that apply)
<input type="radio"/> Government agency websites (e.g., SEC, SBA, state agency, etc.)
<input type="radio"/> Professional networks
<input type="radio"/> Paid advisors (attorneys, accountants, consultants, etc.)
<input type="radio"/> Local resources (e.g., Small Business Development Centers, Economic Development Centers, incubator, etc.)
<input type="radio"/> Library
<input type="radio"/> Internet search, news, or social media
<input type="radio"/> In-person meetings or conferences
<input type="radio"/> Virtual meetings or conferences
<input type="radio"/> Other: _____
14. Which of the following do you believe are the greatest challenges facing smaller public companies seeking to access capital? (Rank from greatest to least: 1 for greatest, 5 for least)
<input type="radio"/> Research coverage
<input type="radio"/> Liquidity
<input type="radio"/> Burden of reporting requirements
<input type="radio"/> Cost of compliance
<input type="radio"/> Volatility
15. Which of the following do you believe is a top priority for smaller public companies when it comes to their investors? (Rank from most to least important: 1 for most important, 5 least important)
<input type="radio"/> Attracting more institutional investors
<input type="radio"/> Engaging with investors
<input type="radio"/> Understanding the shareholder base
<input type="radio"/> Building analyst relationships
<input type="radio"/> Effectively telling the company's story