

Proposed Registration Questions

Small Business Forum April 10, 2025

<u>Registration Questions</u> (for Qualtrics Registration)	
1. First Name	
2. Last Name	
3. Email	
4. Where are you located? (City + State)	
5. Attending (Select one)	
<input type="radio"/> In person	
<input type="radio"/> Via Webcast	
6. What role do you play with respect to raising capital from investors? (Select all that apply)	
<input type="radio"/> Founder/Entrepreneur/Business Leader	
<input type="radio"/> Investor	
<input type="radio"/> Attorney/Accountant/Professional Advisor	
<input type="radio"/> Government	
<input type="radio"/> Community/Nonprofit Leader	
<input type="radio"/> Academia/Researcher	
<input type="radio"/> Advocacy/Trade Association	
<input type="radio"/> Other: _____	
7. Do you identify as any of the following? (Select all that apply)	
<input type="radio"/> Woman-owned business or its investor	
<input type="radio"/> Minority-owned business or its investor	
<input type="radio"/> Located in or investing in a rural community	
<input type="radio"/> Located in or investing in an area recently affected by natural disasters	
8. Which stage of raising capital from investors is your primary interest?	
<input type="radio"/> Small and emerging (e.g., Angel, Pre-Seed, Seed)	
<input type="radio"/> Mature and later-stage (e.g., Series A-C+)	
<input type="radio"/> Smaller public company (pre- or post-IPO)	
<input type="radio"/> I am still focused on non-dilutive capital (e.g., grants, loans, etc.)	
9. What kind of educational or informational resources on raising capital from investors do you find most helpful?	
<input type="radio"/> Question & Answer Format	
<input type="radio"/> Glossary	
<input type="radio"/> Infographic	
<input type="radio"/> Brief Overviews	
<input type="radio"/> Videos	
<input type="radio"/> Other [with blank field to include additional input]	
10. We want to hear from you! Please share questions or suggested topics of discussion for the panelists or for future events.	

44th SEC Annual Small Business Forum
Registration Questions (WORKING DRAFT)

11. As part of your capital-raising journey, have you raised capital using any of the following methods? [Select all that apply]:
<input type="radio"/> Grants, Loans, or Other Non-Dilutive Funding
<input type="radio"/> Friends and Family Investors
<input type="radio"/> Investment Crowdfunding
<input type="radio"/> Angel Investors or other Accredited Investors
<input type="radio"/> Venture Capital Investors or other Private Funds
<input type="radio"/> Regulation A (or "Mini IPO")
<input type="radio"/> Public Offering
12. Which of the following do you believe are the biggest barriers to accessing capital? (Rank the following from most to least difficult: 1 for most difficult, 7 for least difficult)
<input type="radio"/> Networks and connections to investors
<input type="radio"/> Information, education, and knowledge
<input type="radio"/> Laws, policies, and regulations
<input type="radio"/> Personal wealth or assets
<input type="radio"/> Investor bias
<input type="radio"/> Valuations or offering terms
<input type="radio"/> Macroeconomic factors or market trends (e.g., inflation, interest rates, etc.)
13. Where do you find information about accessing capital and the requirements to raise capital? (Select all that apply)
<input type="radio"/> Government agency websites (e.g., SEC, SBA, state agency, etc.)
<input type="radio"/> Professional networks
<input type="radio"/> Paid advisors (attorneys, accountants, consultants, etc.)
<input type="radio"/> Local resources (e.g., Small Business Development Centers, Economic Development Centers, incubator, etc.)
<input type="radio"/> Library
<input type="radio"/> Internet search, news, or social media
<input type="radio"/> In-person meetings or conferences
<input type="radio"/> Virtual meetings or conferences
<input type="radio"/> Other: _____
14. Which of the following do you believe are the greatest challenges facing smaller public companies seeking to access capital? (Rank from greatest to least: 1 for greatest, 5 for least)
<input type="radio"/> Research coverage
<input type="radio"/> Liquidity
<input type="radio"/> Burden of reporting requirements
<input type="radio"/> Cost of compliance
<input type="radio"/> Volatility
15. Which of the following do you believe is a top priority for smaller public companies when it comes to their investors? (Rank from most to least important: 1 for most important, 5 least important)
<input type="radio"/> Attracting more institutional investors
<input type="radio"/> Engaging with investors
<input type="radio"/> Understanding the shareholder base
<input type="radio"/> Building analyst relationships
<input type="radio"/> Effectively telling the company's story