

## 57.221 Healthcare Personnel COVID-19 Person Level Vaccination-Healthcare Personnel Safety Component (Manual)

Please refer to the table below for complete information on the variables included on manual entry for Person-Level COVID-19 Vaccination Forms for HCP (Healthcare Personnel Safety Component). These are accurate as of NHSN Release 11.5 (September 2023).

| Importing via .csv file- Person-Level COVID-19 Vaccination Form- HPS Component |               |                                                      |                        |                                                                                                                                                                                                                         |
|--------------------------------------------------------------------------------|---------------|------------------------------------------------------|------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Table 1: NHSN Person-Level COVID-19 Vaccination Form – HPS Import File Format  |               |                                                      |                        |                                                                                                                                                                                                                         |
| Field (alias if applicable)                                                    | Requirement   | Values                                               | Format†                | Description of Field                                                                                                                                                                                                    |
| Orgid                                                                          | Required      | –                                                    | must be a whole number | Must be a valid NHSN Facility ID (organization identifier)                                                                                                                                                              |
| hcpid                                                                          | Required      | –                                                    | Character (15)         | HCP identifier - a unique identifier for the individual, assigned by your facility                                                                                                                                      |
| gname                                                                          | Required      | –                                                    | Character (30)         | HCP First Name                                                                                                                                                                                                          |
| surname                                                                        | Required      | –                                                    | Character (30)         | HCP Last Name                                                                                                                                                                                                           |
| gender                                                                         | Required      | F<br>M<br>O                                          | Character (1)          | HCP Gender<br>F – Female<br>M – Male<br>O – Other/Unknown                                                                                                                                                               |
| dob                                                                            | Required      | MM/DD/YYYY                                           | Datetime               | HCP Date of Birth                                                                                                                                                                                                       |
| ethnicity                                                                      | Required      | HISP<br>NOHISP<br>DEC<br>UNK                         | Character (6)          | HCP Ethnicity<br>HISP – Hispanic or Latino<br>NOHISP – Not Hispanic or Latino<br>DEC – Declined to respond.<br>UNK – Unknown                                                                                            |
| race                                                                           | Required      | AMIN<br>ASIAN<br>AAB<br>NH-PI<br>WHITE<br>DEC<br>UNK | Character (5)          | HCP Race:<br>AMIN – American Indian/Alaskan native<br>ASIAN – Asian<br>AAB – Black or African American<br>NH-PI – Native Hawaiian/Other Pacific Islander<br>WHITE – White<br>DEC – Declined to respond.<br>UNK- Unknown |
| hcpEmpStart                                                                    | Required      | MM/DD/YYYY                                           | Datetime               | HCP Start of Employment Date                                                                                                                                                                                            |
| hcpEmpEnd                                                                      | Conditionally | MM/DD/YYYY                                           | Datetime               | HCP End of Employment Date                                                                                                                                                                                              |

### 1

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|             | <b>required</b>                                 |                                |                |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| vaccLoc     | <b>Required</b>                                 | VACCHOSP<br>VACCIPF<br>VACCIRF | –              | <p>Vaccination location type</p> <p>VACCHOSP – For data reported for most facility types including acute care hospitals, ambulatory surgery centers, free-standing inpatient psychiatric facilities, free-standing inpatient rehabilitation facilities, long-term acute care hospitals, and dialysis facilities. This includes all inpatient and outpatient units/departments of the acute care facility sharing the same CCN as the acute care facility</p> <p>VACCIPF – For data reported by a parent facility (often an acute care facility) for an inpatient psychiatric unit with a unique CCN that is mapped as a location of the parent facility. This selection is only available for acute care facilities reporting data for IPF units with a different CCN from the acute care facility.</p> <p>VACCIRF - For data reported by a parent facility (often an acute care facility) for an inpatient rehabilitation unit with a unique CCN that is mapped as a location of the parent facility. This selection is only available for acute care facilities reporting data for IRF units with a separate CCN from the acute care facility.</p> |
| hcpCategory | <b>Required</b>                                 | EMP<br>LIP<br>VOL<br>OCP       | Character (10) | <p>HCP Category:</p> <p>EMP - Employees (staff on facility payroll)</p> <p>LIP - Licensed independent practitioners: Physicians, advanced practice nurses, &amp; physician assistants</p> <p>VOL - Adult students/trainees &amp; volunteers</p> <p>OCP - Other Contract Personnel</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| dose1Date   | <b>Conditionally required (each record must</b> | MM/DD/YYYY                     | Datetime       | Dose 1 vaccination date                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |

## 2

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|  | <p>contain At least ONE status- This means each record must be classified into at least one of the main categories, such as having at least one vaccine entered, contraindication, declined, unknown vaccination status)</p> <p>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.</p> <p>Bivalent Pfizer vaccine and Bivalent Moderna vaccine can only be selected if corresponding dose date is between 4/20/2023 and 9/12/2023.</p> <p>Pfizer-BioNTech</p> |  |  |  |
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### 3

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|           | <p>COVID-19 vaccine and Moderna COVID-19 vaccine can only be selected if corresponding dose date is on or before 4/19/2023.</p> <p>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.</p> |                                                                                                                |                |                                                                                                                                                                                                                                                                                                                                                                    |
| dose1Mfg  | <p>Conditionally required if Dose1Date provided</p> <p>If dose1Mfg = Janssen, then subsequent doses recorded beginning with dose 3 fields.</p>                                                                                           | <p>COVID2023_2024BIMODERNA<br/>BIPFIZBION<br/>JANSSEN<br/>MODERNA<br/>PFIZBION<br/>NOVAVAX<br/>UNSPECIFIED</p> | Character (15) | <p>Dose 1 vaccine manufacturer name<br/>COVID2023_2024 -2023-2024 updated vaccine<br/>BIMODERNA -bivalent Moderna vaccine<br/>BIPFIZBION -bivalent Pfizer vaccine<br/>MODERNA - original monovalent Moderna vaccine<br/>PFIZBION - original monovalent Pfizer vaccine<br/>JANSSEN - original monovalent Janssen vaccine<br/>UNSPECIFIED - unknown manufacturer</p> |
| dose2Date | <p>Conditionally required (each record must contain At least ONE status- This means each record must be classified into at least one of</p>                                                                                              | MM/DD/YYYY                                                                                                     | Datetime       | Dose 2 vaccination date                                                                                                                                                                                                                                                                                                                                            |

4  
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|  | <p>the main categories, such as having at least one vaccine entered, contraindication, declined, unknown vaccination status)</p> <p>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.</p> <p>Bivalent Pfizer vaccine and Bivalent Moderna vaccine can only be selected if corresponding dose date is between 4/20/2023 and 9/12/2023.</p> <p>Pfizer-BioNTech COVID-19 vaccine and Moderna COVID-19 vaccine can only be selected if</p> |  |  |  |
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|          |                                                                                                                                                                                                     |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                             |
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|          | corresponding dose date is on or before 4/19/2023.<br><br>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.                                         |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                             |
| dose2Mfg | Conditionally required if Dose2Date provided                                                                                                                                                        | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Dose 2 vaccine manufacturer name<br><br>COVID2023_2024 - 2023-2024 updated vaccine<br>BIMODERNA - bivalent Moderna vaccine<br>BIPFIZBION - bivalent Pfizer vaccine<br>MODERNA - original monovalent Moderna vaccine<br>PFIZBION - original monovalent Pfizer vaccine<br>JANSSEN - original monovalent Janssen vaccine<br>UNSPECIFIED - unknown manufacturer |
| medDate  | Conditionally required (each record must contain At least ONE status- This means each record must be classified into at least one of the main categories, such having at least one vaccine entered, | MM/DD/YYYY                                                                                            | Datetime       | Contraindication or exclusion noted date                                                                                                                                                                                                                                                                                                                    |

## 6

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|                  | contraindication, declined, unknown vaccination status)                                                                                                                                                                                                         |                               |                |                                                                                                             |
| decDate          | Conditionally required (each record must contain At least ONE status- This means each record must be classified into at least one of the main categories, such as having at least one vaccine entered , contraindication, declined, unknown vaccination status) | MM/DD/YYYY                    | Datetime       | Declination date                                                                                            |
| decReason        | Conditionally required if decDate provided                                                                                                                                                                                                                      | RELIGIOUS<br>OTHER<br>UNKNOWN | Character (10) | Declination reason: RELIGIOUS - Received official religious exemption<br>OTHER - Other<br>UNKNOWN - Unknown |
| unkvacstatusdate | Conditionally required (each record must contain At least ONE status- This means each record must be classified into at least one of the main categories, such as having at least one vaccine                                                                   | MM/DD/YYYY                    | Datetime       | Unknown status date                                                                                         |

## 7

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|                              | entered ,<br>contraindication, declined,<br>unknown<br>vaccination<br>status)                                                                                                                                                                                                                                                                                                                                                                                                                                                |            |          |                             |
| Dose3date<br>(addtldosedate) | Conditionally<br>required<br><br>2023-2024<br>Updated<br>COVID-19<br>vaccine can<br>only be<br>selected if<br>corresponding<br>dose date is<br>after<br>9/12/2023.<br><br>Bivalent Pfizer<br>vaccine and<br>Bivalent<br>Moderna<br>vaccine can<br>only be<br>selected if<br>corresponding<br>dose date is<br>between<br>8/31/2022 and<br>9/12/2023.<br><br>Pfizer-<br>BioNTech<br>COVID-19<br>vaccine,<br>Moderna<br>COVID-19<br>vaccine, and<br>Janssen COVID-<br>19 vaccine can<br>only be<br>selected if<br>corresponding | MM/DD/YYYY | Datetime | Third dose vaccination date |

## 8

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|                               | dose date is before 9/26/2022.<br><br>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.                                 |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                                 |
| Dose3Mfg<br>(addtdosemfg)     | Conditionally required if dose3Date provided                                                                                                                            | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Third dose vaccine manufacturer name<br><br>COVID2023_2024 - 2023-2024 updated vaccine<br>BIMODERNA - bivalent Moderna vaccine<br>BIPFIZBION - bivalent Pfizer vaccine<br>MODERNA - original monovalent Moderna vaccine<br>PFIZBION - original monovalent Pfizer vaccine<br>JANSSEN - original monovalent Janssen vaccine<br>UNSPECIFIED - unknown manufacturer |
| Dose4Date<br>(boostdose2date) | Conditionally required<br><br>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.<br><br>Bivalent Pfizer vaccine and | MM/DD/YYYY<br><br>Must be > dose3date                                                                 | Datetime       | Fourth dose vaccination date                                                                                                                                                                                                                                                                                                                                    |

## 9

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|                             | <p>Bivalent Moderna vaccine can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine can only be selected if corresponding dose date is before 9/26/2022.</p> <p>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.</p> |                                                                                                       |                |                                                                                                                                                                                                       |
| Dose4Mfg<br>(boostdose2mfg) | Conditionally required if Dose4Date provided                                                                                                                                                                                                                                                                                                                                                      | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Fourth dose vaccine manufacturer name<br><br>COVID2023_2024 -2023-2024 updated vaccine<br>BIMODERNA -bivalent Moderna vaccine<br>BIPFIZBION -bivalent Pfizer vaccine<br>MODERNA - original monovalent |

## 10

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|                               |                                                                                                                                                                                                                                                                                                                                                                                                                     |                                       |          | Moderna vaccine<br><i>PFIZBION</i> – original monovalent Pfizer vaccine<br><i>JANSSEN</i> – original monovalent Janssen vaccine<br><i>UNSPECIFIED</i> – unknown manufacturer |
| Dose5Date<br>(boostdose3date) | <p>Conditionally required</p> <p>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.</p> <p>Bivalent Pfizer vaccine and Bivalent Moderna vaccine can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine can only be selected if</p> | MM/DD/YYYY<br><br>Must be > dose4date | Datetime | Fifth dose vaccination date                                                                                                                                                  |

# 11

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|                               | <p>corresponding dose date is before 9/26/2022.</p> <p>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.</p>                   |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                                 |
| Dose5mfg<br>(boostdose3mfg)   | Conditionally required if Dose5Date provided                                                                                                                                   | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Fifth dose vaccine manufacturer name<br><br>COVID2023_2024 – 2023-2024 updated vaccine<br>BIMODERNA – bivalent Moderna vaccine<br>BIPFIZBION – bivalent Pfizer vaccine<br>MODERNA – original monovalent Moderna vaccine<br>PFIZBION – original monovalent Pfizer vaccine<br>JANSSEN – original monovalent Janssen vaccine<br>UNSPECIFIED – unknown manufacturer |
| Dose6Date<br>(boostdose4date) | <p>Conditionally required</p> <p>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.</p> <p>Bivalent Pfizer vaccine and</p> | MM/DD/YYYY<br><br>Must be > dose5date                                                                 | Datetime       | Sixth dose vaccination date                                                                                                                                                                                                                                                                                                                                     |

## 12

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|                             | <p>Bivalent Moderna vaccine can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.</p> <p>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine can only be selected if corresponding dose date is before 9/26/2022.</p> <p>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022.</p> |                                                                                                       |                |                                                                                                                                                                                                      |
| Dose6mfg<br>(boostdose4mfg) | Conditionally required if Dose6Date provided                                                                                                                                                                                                                                                                                                                                                      | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Sixth dose vaccine manufacturer name<br><br>COVID2023_2024 -2023-2024 updated vaccine<br>BIMODERNA -bivalent Moderna vaccine<br>BIPFIZBION -bivalent Pfizer vaccine<br>MODERNA - original monovalent |

### 13

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|                               |                                                                                                                                                                                                                                                                                                                                                                                                              |                                       |          |                                                                                                                                                                              |
|-------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------|----------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                               |                                                                                                                                                                                                                                                                                                                                                                                                              |                                       |          | Moderna vaccine<br><i>PFIZBION</i> – original monovalent Pfizer vaccine<br><i>JANSSEN</i> – original monovalent Janssen vaccine<br><i>UNSPECIFIED</i> – unknown manufacturer |
| Dose7Date<br>(boostdose5date) | Conditionally required<br><br>2023-2024 Updated COVID-19 vaccine can only be selected if corresponding dose date is after 9/12/2023.<br><br>Bivalent Pfizer vaccine and Bivalent Moderna vaccine can only be selected if corresponding dose date is between 8/31/2022 and 9/12/2023.<br><br>Pfizer-BioNTech COVID-19 vaccine, Moderna COVID-19 vaccine, and Janssen COVID-19 vaccine can only be selected if | MM/DD/YYYY<br><br>Must be > dose6date | Datetime | Seventh dose vaccination date                                                                                                                                                |

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|                                 |                                                                                                                                                       |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                                   |
|---------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------|----------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                 | corresponding dose date is before 9/26/2022.<br><br>Novavax COVID-19 vaccine can only be selected if corresponding dose date is on or after 6/1/2022. |                                                                                                       |                |                                                                                                                                                                                                                                                                                                                                                                   |
| Dose7mfg (boostdose5mfg)        | Conditionally required if Dose6Date provided                                                                                                          | COVID2023_20<br>24BIMODERNA<br>BIPFIZBION<br>MODERNA<br>PFIZBION<br>NOVAVAX<br>JANSSEN<br>UNSPECIFIED | Character (15) | Seventh dose vaccine manufacturer name<br><br>COVID2023_2024 - 2023-2024 updated vaccine<br>BIMODERNA - bivalent Moderna vaccine<br>BIPFIZBION - bivalent Pfizer vaccine<br>MODERNA - original monovalent Moderna vaccine<br>PFIZBION - original monovalent Pfizer vaccine<br>JANSSEN - original monovalent Janssen vaccine<br>UNSPECIFIED - unknown manufacturer |
| dose1NDC                        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Dose 1 vaccine NDC number                                                                                                                                                                                                                                                                                                                                         |
| dose1Lot                        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Dose 1 vaccine Lot number                                                                                                                                                                                                                                                                                                                                         |
| dose1ExpDate                    | Optional                                                                                                                                              | MM/DD/YYYY                                                                                            | Datetime       | Dose 1 vaccine expiration date                                                                                                                                                                                                                                                                                                                                    |
| dose2NDC                        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Dose 2 vaccine NDC number                                                                                                                                                                                                                                                                                                                                         |
| dose2Lot                        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Dose 2 vaccine Lot number                                                                                                                                                                                                                                                                                                                                         |
| dose2ExpDate                    | Optional                                                                                                                                              | MM/DD/YYYY                                                                                            | Datetime       | Dose 2 vaccine expiration date                                                                                                                                                                                                                                                                                                                                    |
| dose3NDC (addtlDoseNdc)         | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Third dose vaccine NDC number                                                                                                                                                                                                                                                                                                                                     |
| dose3Lot (addtlDoseLot)         | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Third dose vaccine Lot number                                                                                                                                                                                                                                                                                                                                     |
| dose3ExpDate (addtlDoseExpDate) | Optional                                                                                                                                              | MM/DD/YYYY                                                                                            | Datetime       | Third dose vaccine expiration date                                                                                                                                                                                                                                                                                                                                |
| dose4ndc (boostdose2ndc)        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Fourth dose vaccine NDC number                                                                                                                                                                                                                                                                                                                                    |
| dose4lot (boostdose2lot)        | Optional                                                                                                                                              | -                                                                                                     | Character (30) | Fourth dose vaccine Lot number                                                                                                                                                                                                                                                                                                                                    |

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|                                     |          |            |                     |                                                      |
|-------------------------------------|----------|------------|---------------------|------------------------------------------------------|
| dose4expdate<br>(boostdose2expdate) | Optional | MM/DD/YYYY | Datetime            | Fourth dose expiration date                          |
| dose5ndc<br>(boostdose3ndc)         | Optional | -          | Character (30)      | Fifth dose vaccine NDC number                        |
| dose5lot (boostdose3lot)            | Optional | -          | Character (30)      | Fifth dose vaccine Lot number                        |
| dose5expdate<br>(boostdose3expdate) | Optional | MM/DD/YYYY | Datetime            | Fifth dose vaccine expiration date                   |
| Dose6ndc<br>(boostdose4ndc)         | Optional | -          | Character (30)      | Sixth dose vaccine NDC number                        |
| Dose6lot (boostdose4lot)            | Optional | -          | Character (30)      | Sixth dose vaccine Lot number                        |
| Dose6expdate<br>(boostdose4expdate) | Optional | MM/DD/YYYY | Datetime            | Sixth dose vaccine expiration date                   |
| Dose7ndc<br>(boostdose5ndc)         | Optional | -          | Character (30)      | Seventh dose vaccine NDC number                      |
| Dose7lot (boostdose5lot)            | Optional | -          | Character (30)      | Seventh dose vaccine Lot number                      |
| Dose7expdate<br>(boostdose5expdate) | Optional | MM/DD/YYYY | Datetime            | Seventh dose vaccine expiration date                 |
| vaccElsewhere                       | Optional | Y<br>N     | Character (1)       | Vaccinated at another location?<br>Y – Yes<br>N – No |
| vaccEdDate                          | Optional | MM/DD/YYYY | Datetime            | Vaccination Education Provided - date                |
| comment                             | Optional | -          | Character<br>(2000) | Comments                                             |

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