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Compliance Report

MS Excel Compliance Monitoring Report

COMPLIANCE MONITORING REPORT 

Upload your completed Compliance Monitoring Report

Documentation:

- [DC Revised Compliance Monitoring Report](#)
- [DC Processes for Assessing DSO Compliance](#)

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Disproportionate Minority Contact (DMC) Plan

Pursuant to [Section 223\(a\)\(22\)](#) of the JJDP Act, states and territories must “address youth delinquency prevention efforts and system improvement efforts designed to reduce, without establishing or requiring numerical standards or quotas, the disproportionate number of juvenile members of minority groups who come into contact with the juvenile justice system.” DMC exists if the rate of contact with the juvenile justice system of a specific minority group is significantly different than the rate of contact for non-Hispanic whites or other minority groups.

DMC PLAN DOCUMENTATION

Please upload the following:

- State plan for compliance with DMC
- RRI spreadsheets - statewide and three local jurisdictions
- RRI analysis and tracking sheets - statewide and three local jurisdictions.

Documentation:

- [RRI Analyses and Tracking](#)
- [FY 2017 DMC Plan](#)
- [DMC Data - Calendar Year 2017](#)

"DMC Data - Calendar Year 2017" includes calendar year 2017 data, as well as information on delinquent findings, probation placement, and confinement of youth that was not available at the time of the initial submission. "RRI Analyses and Tracking" includes fiscal year 2017 data that was provided as part of the initial submission. The District of Columbia does not use the RRI due to the small percentage of white youth who contact the system, particular after arrest.

Reporting Period: Fiscal Year 2017 (01 Oct 2016 - 30 Sep 2017)

District of Columbia Compliance Data Collection - 2017

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Exceptions

EXCEPTIONS FOR DEINSTITUTIONALIZATION OF STATUS OFFENDERS (DSO) COMPLIANCE

Please upload an explanation of how the State verifies that the criteria for using the Valid Court Order exclusion has been satisfied pursuant to regulation found in 28 CFR 31.303(f)(3)(i-vii), if applicable.

Documentation:

- [DC Process for Verifying Valid Court Order Exclusion](#)

EXCEPTIONS FOR JAIL REMOVAL

Please upload the state's Rural Removal Exception Certification form if applicable.

Documentation:

- No documentation was provided.

Reporting Period: Fiscal Year 2017 (01 Oct 2016 - 30 Sep 2017)

District of Columbia Compliance Data Collection - 2017

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Reporting Environment - Facilities

Documentation and comments that provide a foundation for understanding the facility infrastructure within which compliance is being monitored.

LIST OF ALL MONITORED FACILITIES ?

Please upload a master list of your state's monitoring universe. This master list should include each facility identified that might detain or confine juveniles pursuant to law enforcement or juvenile court authority; each facility classified according to OJJDP regulation; and the date of last inspection.

Possible facility types include:

- Secure Detention and Correctional Facilities (both juvenile and adult facilities)
- Adult Jails and Lockups
- Collocated Facilities
- Court Holding Facilities
- Non-Secure Facilities
- Prisons

Where relevant, please also provide a list of the following:

- Non-Reporting Facilities (Include state plan to collect future data from these non-reporting facilities)
- Rural Exception Facilities

Documentation:

- [List of all monitored facilities](#)
- [Non-Secure Facilities](#)

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Compliance Data Collection for Fiscal Year 2017

Please Note: During this reporting period, data will be collected using the MS Excel Compliance Monitoring Report, Technical Assistance Tool. You may download this tool from within the [Help] tab. Upload your completed report to this system, under the [Compliance Report] tab. Also, ensure that all documents required for this reporting period are uploaded to the appropriate sections within the [Data Collection] module of this system.

For technical assistance, contact the OJJDP Compliance Monitoring Tool Help Desk at:
ojjdp-compliance@usdoj.gov or call, toll-free, (844) 884-2505

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Plan for Compliance Monitoring for the First 3 Core Requirements of the JJDP Act

Pursuant to [Section 223\(a\)\(14\)](#) of the JJDP Act, the state must provide for an adequate system of monitoring jails, lockups, detention facilities, correctional facilities, and non-secure facilities to ensure that the core protections are met. States must provide a plan describing how their system for compliance monitoring meets each of the following elements of an adequate compliance monitoring system: policy and procedures; monitoring authority; monitoring timeline; violation procedures; barriers and strategies; definition of terms; identification of the monitoring universe; classification of monitoring universe; inspection of facilities; and data collection and verification. If all 10 of these elements are fully addressed, the state's most recent compliance monitoring policies and procedures manual may be uploaded to satisfy this requirement.

PLAN FOR COMPLIANCE MONITORING

Please upload the state's plan for compliance monitoring for the first three core requirements of the JJDP Act. Please also upload the state's Certification of Adequate Plans and Resources to Maintain Compliance with Core Requirements, if applicable.

Documentation:

- [Policies and procedures](#)
- [Plan for Compliance Monitoring](#)
- [Compliance Monitoring Plan](#)
- [DC's Compliance Plans and Resources Certification](#)

Reporting Period: Fiscal Year 2017 (01 Oct 2016 - 30 Sep 2017)

This record has been certified and submitted. Contact OJJDP if you would like to make changes before the review process is finalized.

Pursuant to [Section 223\(a\)\(14\)](#) of the [Juvenile Justice and Delinquency Prevention \(JJDP\) Act](#), the state must provide for an adequate system of monitoring jails, lockups, detention facilities, correctional facilities, and non-secure facilities to ensure that the core protections are met. States must provide a plan describing how their system for compliance monitoring meets each of the following elements of an adequate compliance monitoring system.

1. **Policies and procedures.** States must provide a copy of their compliance monitoring policy and procedures manual.
2. **Monitoring authority.** The designated state agency that implements the JJDP Act core requirements should have the legal authority to inspect and collect data from all facilities in which juveniles might be placed pursuant to public authority. The state must provide a copy of the legislative statute or executive order that provides the designated state agency with this authority. If this information is included in the above-referenced policies and procedures manual, provide the page or appendix number.
3. **Monitoring timeline.** States must keep an annual calendar denoting when and where compliance monitoring will occur. As an attachment to this application, states must provide a copy of their monitoring timetable. If this information is included in the above-referenced policies and procedures manual, provide the page or appendix number.
4. **Procedures for ensuring compliance.** This section of the plan must describe the legislative and administrative procedures and sanctions that the state has established to receive, investigate, and report instances of compliance and noncompliance with the core requirements. If an agency other than the designated state agency monitors, describe how that agency maintains accountability for compliance with this requirement. If this information is included in the policies and procedures manual, provide the page number where it can be found.
5. **Barriers and strategies.** Provide a written description of barriers the state faces in implementing an adequate system of compliance monitoring. This description must include strategies the state employs to overcome the barriers. If an up-to-date description of barriers and strategies is included in the policies and procedures manual, provide the page number where it can be found.
6. **Definition of terms.** States' definitions for juvenile and criminal justice terms sometimes differ from those provided in the JJDP Act. It is critical that these differences are identified and addressed in the monitoring process. Provide a discussion of how state terms differ from those provided in the JJDP Act. If this information is included in the policies and procedures manual, provide the page number where it can be found. In addition, the state must certify that where state definitions differ from federal definitions in the monitoring process, federal definitions will be used in the monitoring process.

7. **Identification of the monitoring universe.** States must identify all facilities in the state in which instances of non-compliance with the core requirements might occur (i.e., facilities that could meet the definition of a secure detention facility (as defined in [Section 103\(12\)](#) of the JJDP Act); a secure correctional facility (as defined in [Section 103\(13\)](#)); a jail or lockup for adults (as defined in [Section 103\(22\)](#)); and any facility in which a juvenile may be detained or confined such that he has contact with an adult inmate. Every facility that has this potential, regardless of its current use, comes under the purview of the monitoring requirements. This list may include both public and privately owned or operated facilities. If a detailed description of the state's identification process is included in the policies and procedures manual, provide the page number where it can be found.
8. **Classification of monitoring universe.** States must classify all facilities in the state to determine which ones should be classified as a secure detention or correctional facility, adult correctional institution, jail, lockup, or other type of secure or non-secure facility. Moreover, classification also includes determining whether a facility is public or private, residential or nonresidential, and whether the population is youth only, adult only, or youth and adult. If a detailed description of the state's classification process is included in the policies and procedures manual, provide the page number where it can be found.
9. **Inspection of facilities.** Inspection of facilities is necessary to ensure an accurate assessment of each facility's classification and record keeping. States must provide a plan for annually monitoring jails, lockups, and other facilities to determine that they comply with [Sections 223\(a\)\(11\), \(12\), and \(13\)](#) of the JJDP Act. If a detailed description of the state's inspection process is included in the policies and procedures manual, provide the page number where it can be found.
10. **Data collection and verification.** States must collect data from facilities and report the data to OJJDP. If the facility data are self-reported by the facility or data are collected by an agency other than the state agency receiving federal grant funds, the plan must describe a statistically valid procedure to verify the reported data and describe the implementation of that verification procedure. On-site data verification should involve the review of data that a facility self-reports, including a review of the facility's admissions records and/or booking logs. If a detailed description of the state's process for data collection and verification is included in the policies and procedures manual, provide the page number where it can be found.

The designated state agency implementing the Formula Grants program must ensure the State's compliance monitoring effort and the validity of the annual monitoring report, that agency may contract with a public or private agency to perform the monitoring function. If selecting another agency, the state must identify in its monitoring plan which agency it has authorized and/or tasked to assist in the monitoring functions. This plan should identify the funding amount and the name, address, and telephone number of the contractor. In addition, the plan should include the procedures and activities the state uses to monitor the contractual arrangement.

List of Materials to be Uploaded

- State Compliance Monitoring Policies and Procedures Manual
 - Copy of legislative statute or executive order that provides the designated state agency with the authority to inspect and collect data from facilities
 - Monitoring Timetable
 - Description of legislative and administrative procedures and sanctions established to receive, investigate, and report instances of compliance and non-compliance with the core requirements
 - Description of barriers to implementing an adequate system, and strategies employed to overcome them
 - Definitions for state juvenile and criminal justice terms that differ from those provided in the JJDP Act and certification that federal definitions are used in the monitoring process. At a minimum, when definition differences exist, the following terms should be defined: Juvenile Accused of Delinquent Offense; Juvenile Adjudicated Delinquent; Criminal Type Offender (Delinquent); Status Offender (accused or adjudicated); Non-offender; Residential; Juvenile; and Secure.
 - Description of the process used by the state to identify facilities in the monitoring universe.
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- Description of the process used by the state to identify facilities in the monitoring universe.
- The following lists: (please note that there may be duplication across the facility lists.)
 - List of all Secure Detention and Correctional Facilities (both juvenile and adult facilities)
 - List of all Jails and Lockups for Adults
 - List of all co-located facilities
 - List of all court holding facilities
 - List of all non-secure facilities
- Description of the process used by the state to classify all facilities in the monitoring universe.
- Description of the process used by the state for facility inspections.
- Description of the process used by the state for data collection and verification.

