

Certification Regarding Material Changes to the Qualified Issuer Application

Organization Name: _____

EIN #: _____

Certification Control # (if available): _____

Instructions: This form is to be used by Applicants (including Qualified Issuers, proposed Program Administrators, Servicers, and Eligible CDFIs) to report potential Material Changes to Qualified Issuer Applications to the CDFI Fund.

Definition: A Material Change to the Qualified Issuer Application is an occurrence that affects an organization's strategic direction, mission, or business operation, its status as a certified Community Development Financial Institution (CDFI) if applicable, and/or its submitted Qualified Issuer Application, including previously submitted Assurances and Certifications.

Please check the appropriate box for the reporting entity as of the date this form is signed:

- ☐ I certify that no Material Change(s) has occurred in the reporting entity since the date of its most recent Qualified Issuer Application.
- ☐ A Material Change(s) has (have) occurred in the above-named organization. I certify that the event(s) listed below constitute all of such Material Changes (attach additional pages if needed).

Explanation of Material Changes

Please provide a narrative explanation regarding the Material Change affecting the organization including, a timeline and the name and contact information of key people involved in the action.

Date of Material Change(s):
Explanation of Material Change(s):

To the best of my knowledge and belief, all information contained in this certification statement is true and correct. My signature also indicates authorization on behalf of the organization's governing body.

Signature of Authorized Representative

Printed Name:

Title:

Date:

OMB Control Number: 1559-0044

Expiration Date: 02/28/2025