

# **Incident Reporting for the Unaccompanied Alien Children Bureau**

**OMB Information Collection Request  
0970 - NEW**

## **Supporting Statement Part A - Justification**

**April 2025**

**Type of Request: New**

Submitted By:  
Office of Refugee Resettlement  
Administration for Children and Families  
U.S. Department of Health and Human Services

## Summary

The Office of Refugee Resettlement (ORR) is in the process of reorganizing its information collections to better manage its large portfolio of forms. As part of that reorganization effort, ORR is submitting this request to transfer six existing forms (with revisions) currently approved under OMB# 0970-0547 under a new OMB control number.

### 1. Circumstances Making the Collection of Information Necessary

The ORR Unaccompanied Alien Children Bureau (UACB) provides care and custody for unaccompanied alien children until they can be safely released to a sponsor, repatriated to their home country, or obtain legal status. ORR funds residential care provider facilities that provide temporary housing and other services to children in ORR custody. Generally, care provider facilities are State- licensed (with the exception of those located in states unwilling to consider them for licensure and temporary influx care facilities) and must meet ORR requirements to ensure a high-level quality of care. Services provided at care provider facilities include, but are not limited to, education, recreation, vocational training, acculturation, nutrition, medical, mental health, legal, and case management.

ORR uses several forms directly related to the care of unaccompanied alien children. The forms in this information collection allow ORR to ensure that serious issues are elevated to ORR and that all incidents, and responses to such incidents, are documented and resolved in a way that protects the interests of unaccompanied alien children.

### Legal Authorities

**Homeland Security Act (HSA), 6 U.S.C. 279** – Transferred responsibilities for the care and placement of unaccompanied alien children from the Commissioner of the former Immigration and Naturalization Service (INS) to the Director of ORR.

**William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008 (TVPRA), 8 U.S.C. 1232** – Creates additional requirements for the placement, care, and release of unaccompanied alien children in federal custody. The TVPRA also directs ORR to create policies to ensure unaccompanied alien children are protected from traffickers and others seeking to victimize them or otherwise engage them in criminal, harmful, or exploitative activity.

**Unaccompanied Children Program Foundational Rule, 45 CFR Part 410** – Establishes a uniform set of standards and procedures concerning the placement, care, and services provided to unaccompanied alien children in ORR care that is consistent with ORR's statutory duties and implements minimum standards for the care of unaccompanied alien children. This includes standards for reporting emergency incidents, significant incidents, and program-level events (see §410.1303(g)).

**ORR's Interim Final Rule, Standards to Prevent, Detect, and Respond to Sexual Abuse and Sexual Harassment Involving Unaccompanied Children, 45 CFR Part 411** – Sets forth standards for ORR care provider facilities that house unaccompanied alien children in accordance with section 1101(c) of the Violence Against Women Reauthorization Act of 2013, Pub. L. 113-4. This includes standards for reporting sexual abuse and sexual harassment, retaliation against UAC or staff for reporting sexual abuse or sexual harassment, and staff neglect or violations of responsibilities that may have contributed to such

incidents (see §411.61).

**Investigations of Allegations of Child Abuse and Neglect Interim Final Rule, 45 CFR Part 412 –** Provides standards and processes for ORR to apply when it conducts investigations into allegations of child abuse and neglect of unaccompanied alien children that occur in certain care provider facilities funded by ORR.

This request includes six forms that were previously approved under OMB #0970-0547. This request moves the forms under a new OMB number, with revisions. See section A15 for details about proposed revisions.

## **2. Purpose and Use of the Information Collection**

The purpose of the forms included in this information collection is to gather information that will allow ORR to ensure that serious issues are elevated to ORR and that all incidents, and responses to such incidents, are documented and resolved in a way that protects the interests of unaccompanied alien children. Information specific to each form follows.

- **Child-Level Event (Form A-9A):** This form is used by ORR care provider programs to document events occurring in and outside of ORR care that must be reported to ORR. Creating a Child-Level Event is the first step in creating any type of incident report (see forms A-9B to A-9E below). After a Child-Level Event is created, an incident report is created for each child involved in the incident and linked to the Child-Level Event. Child-Level Event information is visible in each individual report.
- **Emergency Significant Incident Report (SIR) (Form A-9B):** This form is used by ORR care provider programs to inform ORR of 1) urgent situations in which there is an immediate and severe threat to a child's safety and well-being that requires immediate action, or 2) unauthorized absences. ORR staff may also enter recommended actions into the form. In some cases, an addendum may be required to document additional information received or actions taken after the initial report.
- **Non-Emergency Significant Incident Report (SIR) (Form A-9C):** This form is used by ORR care provider programs to inform ORR of 1) situations that may immediately affect the safety and well-being of a child, or 2) observations that may affect how a care provider can best meet a child's needs while in care. ORR staff may also enter recommended actions into the form. In some cases, an addendum may be required to document additional information received or actions taken after the initial report.
- **Historical Disclosure (Form A-9D):** This form is used by ORR care provider programs to inform ORR of situations that affect the safety and well-being of a child that occurred before the child entered ORR custody. ORR staff may also enter recommended actions into the form. In some cases, an addendum may be required to document additional information received or actions taken after the initial report.
- **Behavioral Note (Form A-9E):** This form is used by ORR care provider programs to document behavioral observations about children that highlight positive events or developments in the children's daily life while in care and to document patterns of behavior that potentially merit

intervention or support over time. ORR staff may also enter recommended actions into the form. In some cases, an addendum may be required to document additional information received or actions taken after the initial report.

- **Program-Level Event (PLE) Report (Form A-10):** This form is used by ORR care provider programs to inform ORR of events that may affect the entire care provider facility. ORR staff may also enter recommended actions into the form. In some cases, an addendum may be required to document additional information received or actions taken after the initial report.

See [45 CFR 410.1303\(g\)](#), [45 CFR 411.61](#), [45 CFR Part 412](#), and UAC Policy Guide Sections [4.10.2 Care Provider Reporting Requirements](#) and [5.8 Significant Incident Reports and Notification Requirements](#) for related agency guidance.

### **3. Use of Improved Information Technology and Burden Reduction**

ORR is in the process of streamlining information management by consolidating unaccompanied alien children information from disparate storage locations, reducing manual paperwork processing conducted outside of the system (e.g., spreadsheets, PDFs, Word documents), maximizing the use of auto-population so that information is not entered more than once, enforcing business rules through automated workflow management, and improving business intelligence capabilities by automating reporting and data analytics.

### **4. Efforts to Identify Duplication and Use of Similar Information**

The information being collected by these forms are not obtainable from other sources.

### **5. Impact on Small Businesses or Other Small Entities**

The proposed information collections will not burden or impact small businesses.

### **6. Consequences of Collecting the Information Less Frequently**

The lack of these forms would impede ORR from performing its charged duty of ensuring the safety and well-being of unaccompanied alien children in its custody. Furthermore, all grantees funded to provide services to these children are required in writing to comply with all ORR regulations, policies, procedures, and other guidance, which includes collecting the information in these forms.

### **7. Special Circumstances Relating to the Guidelines of 5 CFR 1320.5**

None of the characteristics outlined in 5 CFR 1320.5(d)(2) apply to the forms in this collection.

### **8. Comments in Response to the Federal Register Notice and Efforts to Consult Outside the Agency**

In accordance with the Paperwork Reduction Act of 1995 (Pub. L. 104-13) and Office of Management

and Budget (OMB) regulations at 5 CFR Part 1320 (60 FR 44978, August 29, 1995), ACF published a notice in the Federal Register announcing the agency’s intention to request an OMB review of this information collection activity. This notice was published on December 27, 2024 (89 FR 105610). ORR did not receive comments.

## 9. Explanation of Any Payment or Gift to Respondents

No payment or gift will be provided to the respondents.

## 10. Assurance of Confidentiality Provided to Respondents

ORR established a system of records to ensure the level of confidentiality pursuant to the Privacy Act. 5 U.S.C. 552a. ORR’s system of records notice, titled 09-80-0321 ORR Unaccompanied Children Bureau Administrative Program Records, was published on December 12, 2024 at 89 FR 100500.

## 11. Justification for Sensitive Questions

Sensitive information may be collected in the Child-Level Event, Emergency Significant Incident Report; Non-Emergency, Significant Incident Report, and Historical Disclosure to ensure that ORR has the information it needs to quickly respond to and resolve serious incidents in a way that protects the interests of children in its custody. Likewise, sensitive information may be collected in the Behavioral Note to ensure intervention and support is provided if needed. ORR does not ask for any information of a sensitive nature beyond what is needed to appropriately respond to incidents.

## 12. Estimates of Annualized Burden Hours and Costs

The following factors were used to estimate burden hours and cost to respondent:

- The actual number of forms completed from September 2023 to August 2024.
- ORR funds approximately 300 care provider grantees.
- The cost to respondents was calculated using median hourly wage data from May 2024 (accessed April 2025), for the Bureau of Labor Statistics (BLS) job code 21-1021 Child, Family, and School Social Workers in the industry of Other Residential Care Facilities. The rates were multiplied by two to account for fringe benefits and overhead –  $\$23.53 \times 2 = \$47.06$

Form	Annual Number of Respondents	Number of Responses per Respondent	Average Burden Hours per Response	Annual Total Burden Hours	Average Hourly Wage	Annual Total Cost
Child-Level Event (Form A-9A)	300	262	0.17	13,362	\$47.06	\$628,815.72
Emergency Significant Incident Report (Form A-9B)	300	10	1.50	4,500	\$47.06	\$211,770.00

Non-Emergency Significant Incident Report (Form A-9C)	300	62	1.50	27,900	\$47.06	\$1,312,974.00
Historical Disclosure (Form A-9D)	300	139	1.50	62,550	\$47.06	\$2,943,603.00
Behavioral Note (Form A-9E)	300	90	0.50	13,500	\$47.06	\$635,310.00
Program-Level Event Report (Form A-10)	300	6	1.00	1,800	\$47.06	\$84,708.00
			<b>Estimated Annual Burden Hours Total:</b>	<b>123,612</b>	<b>Estimated Annual Cost Total:</b>	<b>\$5,817,180.72</b>

### 13. Estimates of Other Total Annual Cost Burden to Respondents and Record Keepers

There are no other costs to respondents and record keepers.

### 14. Annualized Cost to the Federal Government

The annualized cost estimate for each of these forms considers the time of a GS-12 Step 1 federal employee in the Washington, DC locality to review information following submittal and enter recommendations. No additional costs will be incurred by the Federal government for developing computer systems or storing the forms as those systems are already in place. The hourly rate was multiplied by two to account for fringe benefits and overhead.

Form	Annual Number of Federal Staff	Number of Reviews per Federal Staff	Average Federal Staff Burden Hours per Review	Annual Total Federal Staff Burden Hours	Average Federal Staff Hourly Wage	Annual Total Federal Staff Cost
Emergency Significant Incident Report (Form A-9B)	110	26	1.00	2,860	\$97.18	\$277,934.80
Non-Emergency Significant Incident Report (Form A-9C)	110	170	1.00	18,700	\$97.18	\$1,817,266.00
Historical Disclosure (Form A-9D)	110	379	1.00	41,690	\$97.18	\$4,051,434.20
Behavioral Note	110	244	0.50	13,420	\$97.18	\$1,304,155.60

(Form A-9E)						
Program Level Event (Form A-10)	110	16	1.00	1,760	\$97.18	\$171,036.80
<b>Estimated Annual Burden Hours Total:</b>				<b>78,430</b>	<b>Estimated Annual Cost Total:</b>	<b>\$7,621,827.40</b>

## 15. Explanation for Program Changes or Adjustments

### REORGANIZATION

ORR UACB is in the process of reorganizing its information collections to create more unique information collections that will contain fewer forms under each OMB control number. This will promote operational efficiency for UACB by decreasing the burden associated with renewing large collections and enabling UACB to create more purpose-specific information collections. In addition, this will facilitate OMB review by ensuring the scope of the collection is targeted and narrower than existing collections, resulting in clearer requests.

As part of that reorganization, ORR plans to move the following forms (currently approved under OMB# 0970-0547) into this new collection:

- Child-Level Event (Form A-9A)
- Emergency Significant Incident Report (eSIR) (Form A-9B)
- Non-Emergency Significant Incident Report (non-eSIR) (Form A-9C)
- Historical Disclosure (Form A-9D)
- Behavioral Note (Form A-9E)
- Program-Level Event Report (Form A-10)

### REVISIONS TO FORM CONTENT

In addition, ORR plans to revise the forms as follows to better align the forms with related reporting requirements and processes found in ORR agency guidance (i.e., regulations, policies, and procedures), as well as improve the forms' organization, clarity, and functionality:

#### Child-Level Event (Form A-9A)

- Adjust the dropdown options for the "Location of Event" field to remove duplication and improve accuracy by:
  - o Removing "Group Home" and "Foster Home"
  - o Rewording "Community (field trip or outside the foster home)" to "Community"
  - o Rewording "U.S. Interior, not DHS or ORR custody" to "U.S. Interior (before entering DHS or ORR custody)"
- Adjust the dropdown options for "Specify Location" for accuracy by:
  - o Rewording options to clarify when they are referring to locations inside the care provider facility

- Adding an option for “Individual Foster Home”
- Adding the following options to select from if “Community” is selected in the “Location of Event” field
  - Hospital or other healthcare facility
  - School
  - Field Trip
  - Other
- Add the following fields:
  - Level of Care
  - Specify Out-of-Network Facility
  - Specify Out-of-Network Level of Care
- Reword the Date/Time Event Reported to Care Provider fields as follows since provider staff may have directly witnessed the event, as opposed to having it reported to them by a third-party:
  - Date Care Provider Became Aware of Event
  - Time Care Provider Became Aware of Event

#### **Emergency Significant Incident Report (Form A-9B)**

- Reword the subcategory “Molestation (penetration or touching unrelated to official job duties of a child’s buttocks, breasts, or anal, oral, or genital area by a body part or object)” to “Molestation (intentional penetration or touching unrelated to official job duties of a child's genitalia, anus, groin, breast, inner thigh, buttocks, or mouth by a body part or object, including kissing, with intent to abuse, arouse, or gratify sexual desire)” for added clarity
- Remove the option of “UC and UC consensual” from the “Type of Allegation” dropdown field to align with the related regulation.

#### **Non-Emergency Significant Incident Report (Form A-9C)**

- Add “Gang affiliation reported” to the “External Threats to UAC” category
- For the “Staff Code of Conduct & Boundary Violation” category:
  - Reworded the subcategory “Failing to report any knowledge, suspicion, or information about sexual abuse, sexual harassment, or inappropriate sexual behavior” to “Failing to report any knowledge, suspicion, or information about sexual abuse, sexual harassment, inappropriate sexual behavior, or any other form of abuse/neglect” to clarify that other forms of abuse/neglect are also reportable
  - Added the following subcategories to better align with ORR agency guidance:
    - Failing to report a code of conduct violation
    - Engaging in sexual contact with anyone while on duty or while acting in the official capacity of their position



- Threatening a child with incident reporting or behavioral notes to regulate their behavior or for any other reason
- Threatening a child with legal, immigration, sponsor unification, or asylum case consequences to regulate their behavior or for any other reason

#### **Historical Disclosure (Form A-9D)**

- Reword the “Abuse Neglect in DHS Custody” category to “Violation of Civil Rights/Liberties in DHS Custody” and replace the current subcategories with the following options to better reflect the types of reportable incidents:
  - Conditions of detention
  - Disability accommodation
  - Excessive force or inappropriate use of force
  - Fourth Amendment (confiscation of documents/property)
  - Intimidation, threat, or improper coercion
  - Legal access/Due Process rights
  - Undocumented separation from parent/legal guardian
  - Undocumented separation from minor sibling
  - Medical/mental health care
  - Privacy Violation
  - Religious Accommodation
  - Retaliation
  - Restraints or isolation
  - Sexual abuse, sexual harassment, or inappropriate sexual behavior
  - Previous enrollment in Department of Homeland Security (DHS) Migrant Protection Protocols program
- Add a “Notifications” section to document notifications made to parties other than ORR

#### **Behavioral Note (Form A-9E)**

- Change the title of the “Incident Information” section to “Behavior Information” and added the following fields:
  - Type of Behavior, with the following options:
    - Positive behavior, habit, resilience, personal growth, skill-building, or another meritorious action/trait
    - Behavior that merits monitoring in the event a behavioral pattern emerges that requires intervention or support

- Is the behavior part of an established behavioral pattern?
  - Is intervention or support required?
- In the Actions Taken section:
  - Reword “Staff Response and Intervention” to “Staff Response (if applicable)”
  - Reword “Follow-up and/or Resolution” to “Potential Consequence(s) of Continued Behavior”
  - Reword “Recommendations” to “Staff Intervention or Support”

#### **Program-Level Event Report (Form A-10)**

- Reword “Program/Facility” to “Specify Program”
- Add a field for “Level of Care”
- Reword “Synopsis of Event” to “Short Synopsis”
- Remove the category for “Other”
- Reword the category “Death (non-UC)” to “Death of an Adult or non-UAC Child”
- Reword the category “Major Disturbance” to “Threats to Safety” and replace the current subcategories with:
  - Trespassing/Intruder
  - Threats to Children or Staff
  - Weapon Found
  - Vehicle Accident
  - Cyber Breach, Attack, or Threat
- Reword the category “Natural Disaster” to “Natural Disaster or Weather Event” and replace the “Other” subcategory with “Storm”
- Add the following categories and subcategories:
  - Facilities Issues, with subcategories for:
    - Environmental
    - Mechanical Malfunction
    - Imminent Risk to Safety
    - Maintenance
    - Staffing Shortage
  - Video Monitoring Disruption
  - Infectious Disease/Health and Safety Incident
  - Power Outage/Disruption of Utilities (External)

- o Staff, Contractor, or Stakeholder Criminal Activity
  - Fraud
  - Extortion
  - Smuggling
  - Trafficking
  - Other Criminal Activity
- o Incident Involving Unidentified Child, with subcategories for:
  - Code of Conduct Violation
  - Safety or Abuse/Neglect Concern
- o Code of Conduct Violation Not Involving a Child, with subcategories for:
  - Failing to disclose staff misconduct witnessed on or off duty
  - Failing to self-disclose misconduct occurring on or off duty
- o Unauthorized Photography, Video, or Surveillance
- o Media Requests/External Questions
- o IT Disruption/Internet Outage
- o Records Issues, with subcategories for:
  - Damaged Records
  - Unauthorized Destruction of Records
  - Lost Records
- Remove the following fields from the Incident Information section:
  - o Location of Incident (and Specify)
  - o Was the UAC or Anyone Else Injured? (If Yes, SIR must be created) (and Specify)
  - o Internal Investigation?
  - o Results/Findings of Investigation
- Reword the following fields from the Incident Information section:
  - o “Description of Incident” to “Describe the event and explain the effect on the program’s operations.”
  - o “Was the UAC or Anyone Else Evacuated?” to “Were or are children being evacuated?”
  - o “Staff Response and Intervention” to “Describe actions taken to mitigate the impact on children in care”
- Reword the field “Follow-up and/or Resolution” to “Updates, Follow-up, and/or Resolution” and move it into a new “Addendum” section
- Add the following fields from the Incident Information section:
  - o Does the program need immediate guidance or resources?

- o Was or is the facility locked down or sheltered in place?
- o Has or will the program's ability to provide healthcare services be affected?
- o Does the program have adequate resources to provide care for children for duration of the event?
- o Did or will the event affect the program's bed capacity?
- o Specify Effect on Bed Capacity, with options for:
  - Beds need to come offline
  - Unable to receive additional children
  - Children need to be transferred to another program
- Add new areas to document reporting to and the outcome of investigations conducted by the following parties:
  - o Child Protective Services
  - o Office of the Inspector General (OIG)
  - o Department of Homeland Security (DHS)
  - o Office on Trafficking in Persons

#### **Revisions Applied to Multiple Forms**

- Change "UC" and "unaccompanied child" to "UAC" and "unaccompanied alien child" [all forms]
- Update the fields in the "UAC Basic Information" section as follows to align with how information is currently displayed [Child-Level Event, eSIR, non-eSIR, Historical Disclosure, and Behavioral Note]:
  - o Remove the following fields:
    - LOC
    - Age
    - Current Location
  - o Add system-generated "Portal ID" field
  - o Reword fields as follows:
    - "A No." to "A#"
    - "Child's Country of Birth" to "Country of Birth"
    - "LOS" to "Length of Stay"
  - o Reorganized the order in which the fields appear
- Change the field label for "Gender" to "Sex" and auto-populate the field with either Male or Female [Child-Level Event, eSIR, non-eSIR, Historical Disclosure, and Behavioral Note]

- Add a field for “Physical Location of the Child” in the “UAC Basic Information Section” [Child-Level Event, eSIR, non-eSIR, Historical Disclosure, and Behavioral Note]
- Add the following fields to track the status of each incident report [eSIR, non-eSIR, Historical Disclosure, Behavioral Note, and PLE Report]:
  - Report Status
  - Date Report Opened
  - Date Report Closed
- Add a field for “Did someone other than this child initially reported the incident?” with a corresponding table to list individuals that includes the following columns [eSIR, non-eSIR, Historical Disclosure, Behavioral Note, and PLE Report (phrased as “Who initially reported/observed the behavior?” for Behavioral Notes, and “Who initially reported the incident?” for PLE Reports)]:
  - Name
  - Type
  - A#
  - Title
  - Specify
- For the “How was this child involved?” and “Were other unaccompanied alien children involved” fields [eSIR and non-eSIR]:
  - Use terms better aligned with child welfare best practices for incidents involving children by rewording “Victim” to “Impacted” and “Perpetrator” to “Exhibiting.”
  - Allow users to select multiple options since a child may play multiple roles in an incident. This includes situations where the child is both exhibiting problematic behaviors and impacted due to past trauma or victimization.
- Add a “Disciplinary Action for Staff” column in the table that appears below the “Were staff present or involved in the incident?” field [eSIR and non-eSIR]
- In the Actions Taken section [eSIR, non-eSIR, and Historical Disclosure]:
  - Add the following fields to better track compliance with requirements in ORR agency guidance [eSIR, non-eSIR, and Historical Disclosure]:
    - Was or will the child be referred to the local legal service provider for a follow-up legal consultation?
    - Was or will the child be referred for appointment of a child advocate?
  - Add the following fields to better track what healthcare services may be required because of the incident [eSIR, non-eSIR, Historical Disclosure, and Behavioral Note]:
    - Was the child hospitalized and/or receive serious medical services? [eSIR only]
    - Was or will the child be referred for healthcare services?

- Specify Type(s) of Healthcare Services (with options for Medical, Mental Health/Behavioral, and Dental)
  - Describe the healthcare services that were or will be provided
- Replace the “Follow-up Regarding Individuals Involved” field, which is duplicative of other fields in the section, with “Actions Taken for Witnesses” [eSIR and non-eSIR]
- Remove the “Recommendations” field where it appears and add an “ORR Recommendations” field to give ORR staff a place to document their recommendations within the form [eSIR, non-eSIR, Historical Disclosure, Behavioral Note, and PLE Report]
- Add a new “Immediate Phone Call Notifications” section to better track compliance with policy requirements [eSIR and PLE Report]
- In the Reporting section:
  - Replace the yes/no radio button options for fields that ask if the incident was investigated with a dropdown field that includes the following options: “Yes,” “No,” “To Be Determined,” “Unknown” [eSIR, non-eSIR, Historical Disclosure, and PLE Report]
  - Add a “Disposition of Investigation” field for reports made to state licensing, child protective services, and local law enforcement to better track outcomes when the incident is investigated by those parties [eSIR, non-eSIR, Historical Disclosure, and PLE Report]
  - Add a new area to document reports to and the outcome of investigations conducted by the ORR Division of Child Protection Investigations [eSIR, non-eSIR, Historical Disclosure, and PLE Report]
  - Add a new area to document when an Incident Review form (currently approved under OMB# 0970-0564) must be completed and a place to upload the completed form [eSIR and non-eSIR]
- Update the auto-populated information in the “ORR Notifications” table to align with policy and replace the “Email” and “Phone” columns with columns for “Method of Notification” and “Specify” (for when “Other” is selected) [eSIR and PLE Report]
- Remove the “ORR Notifications” table since notifications are made by ORR’s SIR Triage Team, not the care provider [non-eSIR and Historical Disclosure]
- Update the auto-populated information in the “Other Notifications” table to align with policy and add options for “Messaging app” and “Mail” for the “Method of Notification” field [eSIR and non-eSIR]

## REVISIONS TO BURDEN ESTIMATES

- **Child-Level Event (Form A-9A):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response increased from 160 to 262.

- **Emergency Significant Incident Report (Form A-9B):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response decreased from 26 to 10.
- **Non-Emergency Significant Incident Report (Form A-9C):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response decreased from 261 to 62.
- **Historical Disclosure (Form A-9D):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response decreased from 163 to 139.
- **Behavioral Note (Form A-9E):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response decreased from 137 to 90.
- **Program-Level Event (PLE) Report (Form A-10):** Revise the burden estimate to account for an increase in the number of care provider facilities and to align with the actual number of forms submitted per year. The annual number of respondents increased from 216 to 300 and the annual number of responses per response decreased from 7 to 6.

## **16. Plans for Tabulation and Publication and Project Time Schedule**

ORR does not plan to publish the information provided by the respondents.

## **17. Reason(s) Display of OMB Expiration Date is Inappropriate**

ORR plans to display the expiration date of clearance as set by OMB

## **18. Exceptions to Certification for Paperwork Reduction Act Submissions**

No exceptions are necessary for this information collection.