## Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback” (OMB Control Number: 0920-1050)

*Instruction: This form should be completed by the primary contact person from the Program sponsoring the collection.*

**DETERMINE IF YOUR COLLECTION IS APPROPRIATE FOR THIS GENERIC CLEARANCE MECHANISM:**

*Instruction: Before completing and submitting this form, determine first if the proposed collection is consistent with the scope of the Collection of Routine Customer Feedback generic clearance mechanism. To determine the appropriateness of using the Collection of Routine Customer Feedback generic clearance mechanism, complete the checklist below.*

 *If you select “yes” to all criteria in Column A, the Collection of Routine Customer Feedback generic clearance mechanism* ***can*** *be used. If you select “yes” to any criterion in Column B, the Collection of Routine Customer Feedback generic clearance mechanism* ***cannot*** *be used.*

|  |  |
| --- | --- |
| **Column A** | **Column B** |
| The information gathered will only be used internally to CDC.[ X ] Yes [ ] No | Information gathered will be publicly released or published. [ ] Yes [ X ] No |
| Data is qualitative in nature and not generalizable to people from whom data was not collected. [ X ] Yes [ ] No | Employs quantitative study design (e.g. those that rely on probability design or experimental methods) [ ] Yes [ X ] No |
| There are no sensitive questions within this collection (e.g. sexual orientation, gender identity).[ X ] Yes [ ] No | Sensitive questions will be asked (e.g. sexual orientation, gender identity).[ ] Yes [ X ] No |
| Collection does not raise issues of concern to any other Federal agencies.[ X ] Yes [ ] No | Other Federal agencies may have equities or concerns regarding this collection.[ ] Yes [ X ] No |
| Data collection is focused on determining ways to improve delivery of services to customers of a current CDC program.[ X ] Yes [ ] No | Data will be used to inform programmatic or budgetary decisions, for the purpose of program evaluation, for surveillance, for program needs assessment, or for research. [ ] Yes [ X ] No |
| The collection is targeted to the solicitation of opinions from respondents who have experience with the program or may have experience with the program in the future.[ X ] Yes [ ] No |  |

Did you select “Yes” to all criteria in Column A? Yes

If yes, the *Collection of Routine Customer Feedback* generic clearance mechanism may be appropriate for your investigation. You may proceed with this form.

Did you select “Yes” to any criterion in Column B? No

If yes, the *Collection of Routine Customer Feedback* generic clearance mechanism is **NOT** appropriate for your investigation. Stop completing this form now.

**TITLE OF INFORMATION COLLECTION:**

Workforce Acceleration Initiative (WAI) Public Health Agency Narrative Reports

**PURPOSE:**

CDC is partnering with Association of Public Health Laboratories and CDC Foundation to improve service of the Workforce Acceleration Initiative (WAI). CDC funded the CDC Foundation, through the Association of Public Health Laboratories (APHL), to recruit, hire, and place 140 technology and data experts directly in 49 diverse public health agencies to accelerate their priority information system improvements, strengthen the public health workforce at all levels, and advance progress towards Public Health Data Strategy (PHDS) goals. CDC establishes and monitors the annual 2-year PHDS, which is CDC’s plan that provides information to our partners and the public on what is being done and progress being made to address gaps in public health data, reduce the complexity of data exchange, help the nation promote health equity, provide timely and actionable data, and improve health outcomes for all. The goal of this project is to improve CDC public health services focused on public health agencies that are improving priority information systems through the direct placement of data and technology experts. Information gathered will be internal to CDC to assess and improve the project on public health data and technology workforce to improve CDC services to public health agencies.

**DESCRIPTION OF RESPONDENTS**:

Potential respondents will include 49 state, tribal, local, and territorial public health agencies that will receive WAI placements. Each of the 49 respondents will complete a baseline survey, 2 interim surveys, and a final survey.

**TYPE OF COLLECTION:** (Check one)

*Instruction: Please sparingly use the Other category*

[ ] Customer Comment Card/Complaint Form [ X] Customer Satisfaction Survey

[ ] Usability Testing (e.g., Website or Software [] Small Discussion Group

[ ] Focus Group [ ] Other: \_

**CERTIFICATION:**

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. The results are not intended to be disseminated to the public.
5. Information gathered will not be used for the purpose of substantially informing influential policy decisions.

Name:\_\_Ellen Wan, MPH\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

To assist review, please provide answers to the following question:

**Personally Identifiable Information:**

1. Is personally identifiable information (PII) collected? [] Yes [X] No
2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? [ ] Yes [] No
3. If Applicable, has a System or Records Notice been published? [ ] Yes [ ] No

**Gifts or Payments:**

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [ ] Yes [ X ] No

**If Yes:** Please describe the incentive. If amounts are outside of customary incentives, please also provide a justification

**BURDEN HOURS**

|  |  |  |  |
| --- | --- | --- | --- |
| **Category of Respondent**  | **No. of Respondents** | **Participation Time** | **Burden****(In hours)** |
| State, local, or tribal governments (baseline survey)  | 49 | 2 hours | 98 |
| State, local, or tribal governments (interim survey 1) | 49 | 0.75 hours | 37 |
| State, local, or tribal governments (interim survey 2) | 49 | 0.75 hours | 37 |
| State, local, or tribal governments (final survey) | 49 | 2 hours | 98 |
| **Totals** | 49 | 5.5 hours | 270 |

***FEDERAL COST:*** The estimated annual cost to the Federal government is $47,100. This is based on pay for three positions. The first position estimate is based on the time required for one contractor to design survey and reach out to potential respondents through partner organizations. The second position is for a contractor to coordinate receipt of survey data. The third position is a contractor to conduct a descriptive analysis of results.

*Estimated Annualized Cost to the Federal Government*

|  |  |  |  |
| --- | --- | --- | --- |
| ***Staff (FTE)*** | ***Estimated Hours***  | ***Hourly Rate*** | ***Total Cost*** |
| *Contractor- Project Lead: Design of survey; outreach to public health agencies to distribute surveys* | *100**(0.01 Contractor)* | *$200/hour* | *$20,000* |
| *Contractor- Technical Consultant: Coordination of receipt of survey data* | *45**(0.01 Contractor)* | *$150/hour* | *$6,750* |
| *Contractor- Subject Matter Consultant: Descriptive analysis of data* | *110**(0.01 Contractor)* | *$185/hour* | *$20,350* |
| *Survey software- no cost will use existing account of contracted partner (CDC Foundation) with RedCAP or other similar survey system (e.g., Qualtrics)* | *N/A* | *$0* | *$0* |
|  |  |  |  |
| ***Total*** |  |  | ***$47,100*** |

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents**

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe? [X] Yes [ ] No

**If Yes:** Please provide a description of both below (or attach the sampling plan)

**If No:** Please provide a description of how you plan to identify your potential group of respondents and how you will select them or ask them to self-select/volunteer

The funded organization (CDC Foundation) has identified 49 public health agencies to receive WAI placements. CDC Foundation also has a list of the key contacts for all 49 public health agencies. As the survey is a very brief survey with 26 questions (combination of open-ended, Likert scale, 5-point scale) and 2 optional open-ended questions, the method will request responses from all 49 public health agencies. The brief survey will be distributed to all individuals on the lists of key contacts for voluntary participation. The explanatory text which will be sent in the email (included in packet) requests that “Only one person from each public health agency receiving this invitation should respond to the survey.” The number of respondents in the Burden Hours table reflects respondents if there is full participation by all public health agencies.

**Administration of the Instrument**

1. How will you collect the information? (Check all that apply)

[X ] Web-based or other forms of Social Media

[ ] Telephone

[ ] In-person

[ ] Mail

[ ] Other, Explain:

1. Will interviewers or facilitators be used? [ ] Yes [ X] No

**Please make sure that all instruments, instructions, and scripts are submitted with the request.**

## Instructions for completing Request for Approval under the “Generic Clearance for the Collection of Routine Customer Feedback”

**TITLE OF INFORMATION COLLECTION:** Provide the name of the collection that is the subject of the request. (e.g. Comment card for soliciting feedback on xxxx)

**PURPOSE:** Provide a concise description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

**DESCRIPTION OF RESPONDENTS**: Provide a concise description of the targeted group or groups for this collection of information. These groups must have experience with the program.

**TYPE OF COLLECTION:** Check one box. If you are requesting approval of other instruments under the generic, you must complete a form for each instrument. The ‘Other’ category should be used only in the contexts in which the provided categories cannot reasonably apply.

**CERTIFICATION:** Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

**Personally Identifiable Information:** Provide answers to the questions.

**Gifts or Payments:** As a general matter, incentives are not appropriate for customer service collections; however, incentives may be appropriate for focus groups or in-depth usability studies, especially when participants must travel to a site to participate. In the latter circumstance, the incentive should include travel costs. Customary incentives for focus groups in the Federal government are $40 for a one-hour interview and $75 for a 90-minute focus group. If you answer yes to the question, please describe the incentive and provide a justification for amounts other than those cited above; justifications should be limited to Federal studies of a similar design and subpopulation.

**BURDEN HOURS:**

**Category of Respondents:** Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households; (2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected.

**No. of Respondents:** Provide an estimate of the Number of respondents.

**Participation Time:** Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group)

**Burden:** Provide the Annual burden hours: Multiply the Number of responses and the participation time and divide by 60.

**FEDERAL COST:** Provide an estimate of the annual cost to the Federal government.

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents.** Please provide a description of how you plan to identify your potential group of respondents and how you will select them. If the answer is yes, to the first question, you may provide the sampling plan in an attachment.

**Administration of the Instrument:** Identify how the information will be collected. More than one box may be checked. Indicate whether there will be interviewers (e.g. for surveys) or facilitators (e.g., for focus groups) used.

**Please make sure that all instruments, instructions, and scripts are submitted with the request.**