Paperwork Reduction Act Submission Supporting Statement

**Annual Mandatory Collection of Elementary and Secondary**

**Education Data through ED*Facts***

**November 2024**

Attachment C-2

**IDEA Part B Data Collections Previously in E*MAPS***

OMB No. 1850-0925 v. 12

# Introduction

Previously, the E*MAPS* application was used to collect metadata and two IDEA Part B data collections. During SY 2022-23 and 2023-24, ED*Facts* transitioned all the metadata collections from E*MAPS* to EDPass.

Now, ED*Facts* is proposing to move the two IDEA Part B data collection to EDPass. This attachment explains how each of these two data collections would be moved to EDPass.

The next collection of IDEA Part B Dispute Resolution will be next November 2025 for SY 2024-25. The next collection of IDEA MOE CEIS will be next August 2025 for FFY 2023-24. The MOE CEIS collection lags one year.

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# IDEA Part B Dispute Resolution

## Introduction

This data collection is authorized by Part B of the Individuals with Disabilities Education Act (IDEA): 20 USC 1418 (a)(1)(F), 1418(a)(1)(H), 1418(a)(1)(G), and 1418(a)(3). This data collection requests information on the following: written, signed complaints; mediation requests; due process complaints; and expedited due process complaints.

## Change in Collection

The data will be collected through one or more data files submitted to EDPass. The state submission plan would be used instead of a field for comments.

## Data Collected

The existing data collection has four sections. Previously, the data collection had one field at the end for comments. With the transition to EDPass, the field for comments would be replaced with the State Submission Plan.

### Section A: Written, Signed Complaints

| **#** | **Question** | **Format** |
| --- | --- | --- |
| A1 | Total number of written signed complaints filed. | Integer (Count) |
| A2 | Complaints with reports issued. | Integer (Count) |
| A3 | Reports with findings of noncompliance. | Integer (Count) |
| A4 | Reports within timelines. | Integer (Count) |
| A5 | Reports within extended timelines. | Integer (Count) |
| A6 | Complaints pending. | Integer (Count) |
| A7 | Complaints pending a due process hearing. | Integer (Count) |
| A8 | Complaints withdrawn or dismissed. | Integer (Count) |

### Section B: Mediation Requests

| **#** | **Question** | **Format** |
| --- | --- | --- |
| B1 | Total number of mediation requests received through all dispute resolution processes. | Integer (Count) |
| B2 | Mediations held. | Integer (Count) |
| B3 | Mediations held related to due process complaints. | Integer (Count) |
| B4 | Mediation agreements related to due process complaints. | Integer (Count) |
| B5 | Mediations held not related to due process complaints. | Integer (Count) |
| B6 | Mediation agreements not related to due process complaints. | Integer (Count) |
| B7 | Mediations pending. | Integer (Count) |
| B8 | Mediations withdrawn or not held. | Integer (Count) |

### Section C: Due Process Complaints

|  |  |  |
| --- | --- | --- |
| **#** | **Question** | **Format** |
| C1 | Total number of due process complaints filed. | Integer (Count) |
| C2 | Resolution meetings. | Integer (Count) |
| C3 | Written settlement agreements reached through resolution meetings. | Integer (Count) |
| C4 | Hearings fully adjudicated. | Integer (Count) |
| C5 | Decisions within timeline (include expedited). | Integer (Count) |
| C6 | Decisions within extended timeline. | Integer (Count) |
| C7 | Due process complaints pending. | Integer (Count) |
| C8 | Due process complaints withdrawn or dismissed (including resolved without a hearing). | Integer (Count) |

### Section D: Expedited Due Process Complaints (Related to Disciplinary Decision)

|  |  |  |
| --- | --- | --- |
| **#** | **Question** | **Format** |
| D1 | Total number of expedited due process complaints filed. | Integer (Count) |
| D2 | Expedited resolution meetings. | Integer (Count) |
| D3 | Expedited written settlement agreements. | Integer (Count) |
| D4 | Expedited hearings fully adjudicated. | Integer (Count) |
| D5 | Change of placement ordered. | Integer (Count) |
| D6 | Expedited due process complaints pending. | Integer (Count) |
| D7 | Expedited due process complaints withdrawn or dismissed. | Integer (Count) |

### Comment

This field will be discontinued and replaced with the State Submission Plan.

|  |  |
| --- | --- |
| Comment | text |

# IDEA Part B Maintenance of Effort (MOE) Reduction and Coordinated Early Intervening Services (CEIS)

## Introduction

This data collection is authorized by Section 618, Part B of the Individuals with Disabilities Education Act (IDEA): 20 USC 1413(f)(4) and 1418(a)(3). This data collection requests the following information for every local educational agency (LEA) or educational service agency (ESA) that receives an IDEA Section 611 or 619 sub grant: LEA/ESA allocations; Maintenance of Effort (MOE) Reduction; provision of Coordinated Early Intervening Services (CEIS) and number of children receiving CEIS.

## Change in Collection

Previously, a data file was uploaded that contained the following information to associate the allocations, MOE and CEIS data with a specific LEA or ESA in the state.

| **Data** | **Format or Permitted Values** |
| --- | --- |
| LEA Name | text |
| Update Flag | • 1 – Change to LEA/ESA Name • 2 – LEA/ESA no longer used • 3 – New LEA/ESA • 5 – Change to NCESID # • Blank – no change to the LEA/ESA |
| NCES ID | valid NCES ID |

In EDPass, the data file(s) will be uploaded using the state LEA ID. Because the SEA will have already uploaded a Directory file (FS029), the file will automatically be associated with the NCES ID and Name reported for the SY.

## Reporting Periods

The reporting periods for the next years are:

| **Report due** | **SY 20XX-XX** | **FFY 20XX** | **Past two SYs** |
| --- | --- | --- | --- |
| May 2025 | SY 2023-24 | FFY 2023 | SY 2021-22 and SY 2022-23 |
| May 2026 | SY 2024-25 | FFY 2024 | SY 2022-23 and SY 2023-24 |
| May 2027 | SY 2025-26 | FFY 2025 | SY 2023-24 and SY 2024-25 |
| May 2028 | SY 2026-27 | FFY 2026 | SY 2024-25 and SY 2025-26 |

## Data Collected

The data can be divided into data on allocations, MOE, and CEIS. The CEIS data are responses, dollar amounts and counts of children.

### Section A: Allocations

| **#** | **Question** | **Permitted Values** |
| --- | --- | --- |
| A1 | Year used to make the LEA/ESA/SEA determinations | number (YYYY-YY) |
| A2 | Total LEA/ESA allocation for Section 611 of IDEA FFY 20XX | dollar value, 2 decimals |
| A3 | Total LEA/ESA allocation for Section 611 of IDEA FFY 20XX | dollar value, 2 decimals |
| A4 | Increase in LEA/ESA allocations for Section 611 from FFY 20XX to FFY 20XX | calculated by system |
| A5 | Total LEA/ESA allocation for Section 619 of IDEA FFY 20XX | dollar value, 2 decimals |
| A6 | Total LEA/ESA allocations for Section 611 and 619 of IDEA for FFY 20XX | calculated by system |
| A7 | 15% of the total LEA/ESA allocations for Section 611 and 619 of IDEA for FFY 20XX | calculated by system |

### Section B: MOE

| **#** | **Question** | **Permitted Values** |
| --- | --- | --- |
| B1 | Determination under 34 CFR 300.600(a)(2) that controls whether the LEA may be able to reduce MOE during SY 20XX-XX | • ‘1’ – meets the requirements and purposes of Part B, • ‘2’ – needs assistance in implementing the requirements for Part B, • ‘3’- needs intervention in implementing the requirements for Part B. • ‘4’ – needs substantial intervention in implementing the requirements of Part B • ‘NA’ – Not applicable or no determination • ‘M’ – Missing |
| B2 | Reduction of local and/or state funds taken pursuant to Section 613(a)(2)(C) by the LEA/ESA during SY 20XX-XX | dollar value, 2 decimals |
| B3 | Percent of the available reduction taken by LEA /ESA during SY 20XX-XX | calculated by system |
| B4 | Did the State determine whether the LEA/ESA met the MOE compliance standard in FFY 20XX/SY 20XX-XX? | • 1 – Yes • 0 – No • M – Missing • NA – Not applicable (for Update Flag 3 (new) only) |
| B5 | Did the LEA/ESA meet the MOE compliance standard in FFY 20XX/SY 20XX-XX? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| B6 | By the date of this data submission, did the State return non-Federal funds to the Department based on the failure of the LEA/ESA to meet the MOE compliance standard in FFY 20XX/SY 20XX-XX? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| B7 | What amount of non-Federal funds did the State return to the Department based on the failure of the LEA/ESA to meet the MOE compliance standard in FFY 20XX/SY 20XX-XX? | • Dollar amount • NA – Not applicable • M – Missing |

### Section C: CEIS

| **#** | **Question** | **Permitted Values** |
| --- | --- | --- |
| C1 | Required CEIS Was the LEA/ESA required to use 15% of funds for CEIS due to significant disproportionality in SY 20XX-XX? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| C2 | Was the LEA/ESA identified as having significant disproportionality due to 'identification as a child with a disability'? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| C3 | Was the LEA/ESA identified as having significant disproportionality due to 'identification by disability category'? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| C4 | Was the LEA/ESA identified as having significant disproportionality due to 'placement in a particular educational setting'? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| C5 | Was the LEA/ESA identified as having significant disproportionality due to 'disciplinary action'? | • 1 – Yes • 0 – No • NA – Not applicable • M – Missing |
| C6 | Required CEIS Amount reserved for required CEIS in the LEA /ESA in SY 20XX-XX | dollar value, 2 decimals |
| C7 | Required CEIS Percent taken for required CEIS in the LEA /ESA in SY 20XX-XX | calculated by system |
| C8 | Voluntary CEIS Did the LEA/ESA voluntarily use up to 15% of IDEA 611 and 619 fund for CEIS in SY 20XX-XX? | • 1 – Yes • 0 – No • M – Missing |
| C9 | Voluntary CEIS Amount reserved for voluntary CEIS in SY 20XX-XX | dollar value, 2 decimals |
| C10 | Voluntary CEIS Percent taken for voluntary CEIS during SY 20XX-XX | calculated by system |

### Section D: CEIS Child Counts

| **#** | **Question** | **Permitted Values** |
| --- | --- | --- |
| D1 | Total number of children receiving CEIS under the IDEA in the LEA/ESA during SY 20XX-XX | • Positive whole Number • Zero • NA – Not applicable • M – Missing |
| D2 | Total number of children who received CEIS under the IDEA anytime in the past two school years (including SY 20XX-XX, SY 20XX-XX and SY 20XX-XX) and received special education and related services in SY 20XX-XX | • Positive whole Number • Zero • NA – Not applicable • M – Missing |
| D3 | Number of children with disabilities receiving CCEIS under IDEA in the (reference year). | • Positive whole Number • Zero • NA – Not applicable • M – Missing |
| D4 | Number of children without disabilities receiving CCEIS under IDEA in (the reference year). | • Positive whole Number • Zero • NA – Not applicable • M – Missing |