## **Request for genIC Approval**

**CDC/ATSDR Formative Research and Tool Development**

**0920-1154**

**CIO:** National Center for Emerging and Zoonotic Infectious Diseases

**PROJECT TITLE:** Formative Evaluation of Antimicrobial Resistance and Message Testing Among Sandwich Generation Consumers

**PURPOSE AND USE OF COLLECTION:** The Centers for Disease Control and Prevention (CDC) is requesting approval for a new generic information collection (gen-IC). The goal of this evaluation is to understand the perceptions of antimicrobial resistance (AR) and associated preventive actions among a priority “sandwich generation” consumer audience as well as evaluate the effectiveness of various AR messages and terms with this audience. This data collection builds on existing formative and concept testing evaluations to fill knowledge gaps and validate approaches for the communications effort. The collection involves a survey and focus groups among a newly defined and focused target audience, which has not previously been a subject of any similar project. The findings will be used to inform both CDC’s current AR communications as well as potential future communications efforts to increase awareness and understanding of AR and drive action to combat it.

**DESCRIPTION OF RESPONDENTS**: There is one core audience for this project: sandwich generation consumers. These are U.S. adults aged 40-59 who are both a caretaker of a child and of their parent(s).

**CERTIFICATION:**

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. Information gathered will not be used to substantially inform influential policy decisions.
5. The study is not intended to produce results that can be generalized beyond its scope.

Name: Mike Ruddell, Vice President, KRC Research 

To assist review, please answer the following questions:

**Personally Identifiable Information:**

1. Is personally identifiable information (PII) collected? [**X**] Yes [ ] No
2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? [ ] Yes [**X**] No
3. If Applicable, has a System or Records Notice been published? [ ] Yes [**X**] No

**Gifts or Payments:**

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? [**X**] Yes [ ] No

Focus group participants will receive a monetary incentive of $75 for their participation. Survey respondents will also be compensated for their willingness to participate in the data collection. Incentive amounts are determined based on a variety of factors including the time that a respondent will dedicate to the response, the difficulty of reaching a given profile of respondent, and the urgency of the response. Such an incentive is a standard practice in the market research industry and helps to ensure efficient recruitment and ultimate participation among the qualified and scheduled participants. The incentive is also intended to offset the cost of personal or professional time taken to participate.

**BURDEN HOURS**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Type of Respondent**(All Private Sector) | **Form Name** | **No. of Respondents** | **No. Responses per Respondent** | **Avg. Burden per response (in hrs.)** | **Total Burden Hours** |
| Consumers*(1 Survey)* | Survey (Screen Out)*Attachment 1* | 400 | 1 | 3/60 | 20 |
| Survey (Complete)*Attachment 1* | 800 | 1 | 10/60 | 133 |
| Consumers*(2 FGs)* | Screener *Attachment 2* | 640 | 1 | 5/60 | 53 |
| Focus Group Guide *Attachment 4* | 64 | 1 | 1.5 | 96 |
| **Total** |  | **302** |

**FEDERAL COST:** The estimated annual cost to the Federal government is $201,347.45.

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents**

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe? [**X**] Yes [ ] No

**If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?**

See Section 1 in Supporting Statement B for a full description of the sampling plan.

**Administration of the Instrument**

1. How will you collect the information? (Check all that apply)

[**X**] Web-based or other forms of Social Media

[ ] Telephone

[ ] In-person

[ ] Mail

[ ] Other, Explain

1. Will interviewers or facilitators be used? [**X**] Yes [ ] No

**Please make sure all instruments, instructions, and scripts are submitted with the request.**

## Instructions for completing genIC Request for Approval for

## CDC/ATSDR Formative Research and Tool Development

**TITLE OF INFORMATION COLLECTION:** Provide the name of the collection that is requested.

**PURPOSE and USE:** Provide a brief description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

**DESCRIPTION OF RESPONDENTS**: Briefly describe the targeted group/groups for this collection.

**CERTIFICATION:** Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

**Personally Identifiable Information:** Provide answers to the questions.

**Gifts or Payments:** If you answer yes to the question, please describe the incentive and provide a justification for the amount.

**BURDEN HOURS:**

**Category of Respondents:** Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households; (2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected.

**Form:** Provide the title of the information collection form.

**No. of Respondents:** Provide an estimate of the Number of respondents.

**Participation Time:** Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group).

**Burden in Minutes:** Multiply the Number of responses and the participation time and divide by 60.

**FEDERAL COST:** Estimate the annual cost to the Federal government for this collection.

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents.** Please provide a description of how you plan to identify your potential group of respondents and how you will select them. If the answer is yes, to the first question, you may provide the sampling plan in an attachment.

**Administration of the Instrument:** Identify how the information will be collected. More than one box may be checked. Indicate whether there will be interviewers (e.g. for surveys) or facilitators (e.g., for focus groups) used.