

CAUTI Gap Analysis



The purpose of this assessment is to understand current indwelling catheter-associated urinary tract infections (CAUTI) prevention practices, policies, and procedures on your unit in order to identify areas of strength and opportunities to focus team actions. This assessment can be repeated over time to monitor any changes and support continued actions. Changes that might initiate a repeat of the assessment include an increase in CAUTI events, reduced compliance with urinary catheter insertion or maintenance process measures, or significant staff turnover.

This form should be completed by the individuals from your CUSP team (or unit patient safety team) who are or will be leading CAUTI prevention initiatives. This should include at least the physicians/advanced practice practitioner lead(s), nurse lead(s), and the infection preventionist assigned to the unit. This form will take approximately 60 minutes to complete.

Current Infection Prevention Practice

For each question below select the appropriate response.

Alternatives to Indwelling Urinary Catheters

Question	Yes	No	Don't Know
1. Do you have a protocol to determine what patients are candidates for avoiding urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1a. If a protocol exists, is it available <u>at the point of care</u> ?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
1b. If a protocol exists, is evidence that the protocol is applied to individual patients documented in the medical record?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
2. Do you have non-invasive urinary management products to avoid indwelling urinary catheters in men available for use?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
3. Do you have non-invasive urinary management products to avoid indwelling urinary catheters in women available for use?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
4. Does your unit have a protocol for scheduled toileting?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Question	Yes	No	Don't Know
5. Does your unit perform toileting rounds?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6. Does your unit have access to an ultrasound bladder scanner?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6a. If your unit has access to a bladder scanner, do nurses undergo standard training in its use?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6b. If your unit has access to an ultrasound bladder scanner, does your unit have a protocol for intermittent catheterization with periodic bladder scanning?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6c. If your unit has access to a bladder scanner, does your unit have a protocol to confirm urinary retention before placing or replacing urinary catheter?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
6d. If your unit has access to an ultrasound bladder scanner, it is adequately cleaned and disinfected in between patients?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Insertion Equipment

Question	Yes	No	Don't Know
7. Is an all-inclusive urinary catheter insertion kit stocked in your unit	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
8. Is antiseptic solution for cleaning the urethral meatus prior to catheter insertion stocked in your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
9. Does your unit provide the smallest bore catheter possible pre-attached to a drainage bag with tamper-evident seal?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
10. Does your unit provide the smallest bore catheter with a separately packaged drainage bag?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
11. Are urimeters stocked in your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
12. Are catheter securement devices stocked in your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Insertion Steps

Question	Yes	No	Don't Know
13. Is a procedural checklist customized to your indwelling urinary catheter insertion protocol available at the point of care (e.g., paper form, form in the electronic health record)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
14. Is the checklist used for every urinary catheter insertion?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
15. Is completion of the checklist documented in the medical record?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
16. Are nursing staff empowered to question colleagues who are not following appropriate procedures for indwelling urinary catheter insertion?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
17. Is hand hygiene embedded as a step in your urinary catheter insertion checklist?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
18. Do you have a protocol to ensure use of the smallest bore catheter that meets clinical needs to minimize bladder neck and urethral trauma?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
19. Are all indwelling urinary catheters inserted using aseptic technique?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
20. Are all indwelling urinary catheters inserted using sterile equipment?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
21. Are all indwelling urinary catheters secured to prevent movement and urethral traction at the time of placement?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
22. Is the date of insertion of the urinary catheter documented?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Maintenance Steps

Question	Yes	No	Don't Know
23. Are nursing staff empowered to question colleagues who are not following appropriate procedures for indwelling urinary catheter maintenance?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
24. Is hand hygiene embedded in training materials for indwelling catheter maintenance?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
25. Do staff use standard precautions, including the use of gloves and gown as appropriate, during any manipulation of the catheter or collecting system?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Question	Yes	No	Don't Know
26. Is a closed drainage system maintained in patients with indwelling catheters in > 95% of cases?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
27. Is unobstructed urine flow maintained in patients with indwelling catheters in > 95% of cases?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
28. Is the urine collection bag below the level of the patient's bladder in > 95% of cases?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
29. Is the urine collection bag emptied at regular intervals?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
30. Is changing indwelling urinary catheters or drainage bags at routine, fixed intervals avoided?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
31. If breaks in aseptic technique, disconnection, or leakage occur is the catheter and collecting system changed using aseptic technique and sterile equipment?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
32. Is cleansing of the meatal surface with a non-antiseptic solution performed daily?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
33. Is securement of the urinary catheter maintained throughout the time it is in place?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
34. Do you allow urine cultures to be collected from the collecting bag?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
35. Do you allow urine cultures to be collected from the catheter drainage port?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
36. Do you change urinary catheters before collecting urine cultures?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Removal

Question	Yes	No	Don't Know
37. Do you have an algorithm available <u>at the point of care</u> to help personnel determine when an indwelling urinary catheter is clinically indicated?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
38. Do you have a standardized workflow for clinical teams to have a daily meaningful conversation about indwelling urinary catheter necessity?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

39. Do you have a protocol for nurse-initiated discontinuance of indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
40. Do you have automatic stop orders for indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
41. Do you utilize electronic alerts for removing unnecessary indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
42. Do you utilize written reminders for removing unnecessary central lines or indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
43. Do you have a protocol to remove indwelling urinary catheters from operative patients as soon as possible postoperatively, preferably within 24 hours, unless there are appropriate indications for continued use?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Policies, Training, and Feedback

Question	Yes	No	Don't know
44. Do you have a hospital policy to avoid indwelling urinary catheters whenever possible that includes your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
45. Do you have a policy to avoid use of indwelling urinary catheters in patients for management of incontinence that includes your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
46. Do you have a policy to use indwelling urinary catheters in operative patients only as necessary, rather than routinely that includes your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
47. Do you have a hospital policy (or policies) for indwelling urinary catheter insertion that outlines roles, responsibilities, and the requirements for urinary catheter placement?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
48. Do you have a hospital policy (or policies) for indwelling catheter maintenance that outlines roles, responsibilities, and requirements?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
49. Do you have standardized training for healthcare personnel who insert indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Question	Yes	No	Don't know
49a. If Yes, when is this training required? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> To gain insertion privileges <input type="checkbox"/> Annually <input type="checkbox"/> Other (please specify): _____		
50. Do you have standardized training for healthcare personnel who maintain indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
50a. If Yes, when is this training required? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> Annually <input type="checkbox"/> Other (please specify): _____		
51. Do you conduct competency assessments for staff who perform insertion of indwelling urinary catheters to ensure proper aseptic technique? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> Annually <input type="checkbox"/> No <input type="checkbox"/> Don't know <input type="checkbox"/> Other (please specify): _____		
51a. If Yes, when do these assessments occur? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> To gain insertion privileges <input type="checkbox"/> Annually <input type="checkbox"/> Other (please specify): _____		

Question	Yes	No	Don't know
52. Do you conduct competency assessments for staff who care for indwelling urinary catheters to ensure proper maintenance procedure? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> Annually <input type="checkbox"/> No <input type="checkbox"/> Don't know <input type="checkbox"/> Other (please specify): <hr/>		
52a. If yes, when do these assessments occur? <i>Select all that apply.</i>	<input type="checkbox"/> At orientation <input type="checkbox"/> Annually <input type="checkbox"/> Other (please specify): <hr/>		
53. Do you require that staff must be trained before inserting an indwelling urinary catheter?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
54. Do you have a comprehensive program to monitor hand hygiene that involves your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
55. Do you have a comprehensive program to operationalize daily chlorhexidine treatment that involves your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
56. Do you have a dedicated team that inserts, manages, and removes indwelling urinary catheters?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
57. Do you have a standardized workflow for a member of the infection prevention team and a unit member to observe and audit indwelling urinary catheter insertion regularly?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
58. When you have elevated CAUTI rates, do you implement standardized workflows for a member of the infection prevention team and a unit member to observe and audit indwelling urinary catheter insertion monthly?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
59. Do you have urinary catheter device rounds at least monthly?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
60. Do you systematically review each CLABSI to determine gaps in evidence-based practice and opportunities for improvement?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Question	Yes	No	Don't know
61. Does your team meet at least once a month to discuss progress towards CAUTI goals?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
62. In the past 30 days, has a senior leader/executive conducted patient safety rounds on the unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
63. Do you systematically review each CAUTI to determine gaps in evidence-based practice and opportunities for improvement?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
64. Does your team meet at least once a month to discuss progress towards CAUTI goals?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
65. Does your unit receive regular reports from the infection prevention and control program on your CAUTI rates?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
66. Does your unit receive regular reports from the infection prevention and control program on process measures related to CAUTI prevention?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
67. With whom do you share your CAUTI surveillance data? <i>Select all that apply.</i>	<input type="checkbox"/> Stakeholder <input type="checkbox"/> Hospital board members <input type="checkbox"/> Senior leaders/executives <input type="checkbox"/> Unit managers <input type="checkbox"/> All unit nursing staff <input type="checkbox"/> All physicians providing care to patients <input type="checkbox"/> Patients and family members <input type="checkbox"/> Other unit within your healthcare system <input type="checkbox"/> None of these <input type="checkbox"/> Don't know		

Question	Yes	No	Don't know
68. Are patient education handouts about CAUTI quality improvement efforts available in your unit (e.g., on paper or in EHR or another website readily available for download)?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
69. Is a safety climate survey completed at least annually by individual healthcare providers on your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
70. In the past 30 days, has a senior leader/executive been present at a CUSP activity on your unit?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
71. Has your unit experienced > 25% nursing staff turnover in the past year?	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
72. What is your unit's usual registered nurse-to-patient ratio?	<input type="radio"/> 1:1 <input type="radio"/> 1:2 <input type="radio"/> 1:3 <input type="radio"/> 1:4 or greater		

Self-Reported Change in HAI Rates and HAI Prevention Processes will be administered with the endline Gap Analysis. One unit lead and one infection preventionist will self-report change in HAI rates and HAI prevention processes per unit at the end of implementation.

- "Since the beginning of the implementation, have your units' HAI rates improved?"
- "Since the beginning of the implementation, have your units' HAI prevention processes improved?"

Public reporting burden for the collection of information is estimated to average 60 minutes per response, the estimated time required to complete this assessment. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to: AHRQ Reports Clearance Officer, Attention: PRA, Paperwork Reduction Project (0935-XXXX), AHRQ, 540 Gaither Road, Room # 5036, Rockville, MD 20850.

The confidentiality of your responses is protected by Sections 944(c) and 308(d) of the Public Health Service Act [42 U.S.C. 299c-3(c) and 42 U.S.C. 242m(d)]. Information that could identify you will not be disclosed unless you have consented to that disclosure.

Attachment G: CAUTI Gap Analysis

Form Approved
OMB No. 0935-XXXX
Exp. Date XX/XX/20XX