

EXHIBIT A

CMS 10662 Compliance Review Information Retrieval Form

SUPPORTING DOCUMENT NAME	CHANGES TO SUPPORTING DOCUMENT	EXPLANATION OF CHANGES	BURDEN IMPACT
2023 CMS-10662_Notice of Assessment_Final_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
ASETT_CMS 10662_Notice of Compliance Review_2023_FINAL	<ol style="list-style-type: none"> Header - Changed the PRA# expiration date to 12/31/25. Header for all pages now display the OMB# and PRA expiration date. Header- Replaced CMS OBRHI Logo with the CMS OHEI logo. Footer- Additional language added to the PRA disclaimer regarding time required to complete the information collection for the compliance review program. Signature block: Updated CMS office name from Office of Burden Reduction and Health Informatics (OBRHI) to Office of Healthcare Experience and Interoperability (OHEI). Filename of document changed to: <i>ASHEPS_Compliance_Review_Package_2024_FINAL_C LEAN</i> 	<ol style="list-style-type: none"> PRA Expiration date was extended to 12/31/25. Ensures consistency on all pages. CMS Office of Burden Reduction and Health Informatics was changed to Office of Healthcare Experience and Interoperability effective 11/4/24. Language updated to ensure accuracy of time to completion for information collection for the compliance review program. CMS Office of Burden Reduction and Health Informatics was changed to Office of Healthcare Experience and Interoperability effective 11/4/24. Name changed to distinguish between previous and current (updated) document. 	N/A
ASETT_cms 10662_Operating_Rule_Response_Attestation_FINAL_2023_	<ol style="list-style-type: none"> Header - Changed the PRA# expiration date to 12/31/25. Header for all pages now display the OMB# and PRA expiration date. Footer- Additional language added to the PRA disclaimer regarding time required to complete the information collection for the compliance review program. First Page - Replaced CMS OBRHI Logo with the CMS OHEI logo. Updated page numbering on all document pages. Updated page numbering in the table of contents. Updated URL to Operating Rule in all question sets. 	<ol style="list-style-type: none"> PRA Expiration date was extended to 12/31/25. Ensures consistency on all pages. Language updated to ensure accuracy of time to completion for information collection for the compliance review program. CMS Office of Burden Reduction and Health Informatics was changed to Office of Healthcare Experience and Interoperability effective 11/4/24. Corrected a page numbering issue. When page numbers were corrected, the table of contents needed to be updated to reflect those changes. 	N/A

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	8. Filename of document changed to: <i>ASHEPS_Operating_Rule_Response_Attestation_2024_FINAL_CLEAN</i>	7. CAQH CORE website changed the location and URL of all operating rule documents. 8. Name changed to distinguish between previous and current (updated) document.	
CMS-10662_ASETT_Assessment_Follow Up Request_For_Information_Letter_Final_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_ASETT_Assessment_Notice of Draft Findings_Report_Final_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_ASETT_Assessment_Notice of Final_Assessment_Final_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
ASETT_Notice_of_Corrective_Action_	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_Corrective Action Follow up Letter_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_NCA_Select_One_Mitigating_Factors_Affirmative_Defenses_Waiver_Support_Approval_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_Notice_of_Corrective_Action_Closure_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_Notice_of_Corrective_Action_Failure_to_Comply_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
CMS-10662_Notice_of_Corrective_Action_Re-Assessment_508_2023	N/A	This is an outdated document that is now retired and will not be used by the Compliance Review program in the future.	N/A
ASETT_Follow_Up_Request_For_Information_Letter_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This letter is sent to a Compliance Review participant if they do not provide the requested artifacts for the Compliance Review by the established due date.	None

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ASETT_Follow_Up_Request_For_Informati on_Letter_CA_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This letter is sent to a Compliance Review participant if they do not provide a Corrective Action Plan (CAP) by the established due date.	None
ASETT_Notice_of_Corrective_Action_2024 _FINAL	None. This is a new document to be used by the Compliance Review Program.	This notice is sent to a Compliance Review participant after all violations are finalized and initiates Corrective Action for the participant. This document also establishes a due date by when the participant must submit a CAP for each violation found.	Time needed to draft the Corrective Action Plan for each violation found.
ASETT_Notice_of_CR_Closure_No_Violatio ns_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This notice is sent to a Compliance Review participant once all artifacts are received, tested and reviewed, no violations were found, and there is no need for corrective action. This is the final notice sent to the participant and confirms the Compliance Review is officially closed.	None
ASETT_Notice_of_Draft_Findings_2024_FI NAL	None. This is a new document to be used by the Compliance Review Program.	This notice is sent to a Compliance Review participant once all artifacts are received, tested and reviewed, and violations are found. The notice is sent to the participant so they can respond to the violations prior to corrective action.	Time needed respond to any violations found (this is an optional task for participants)
ASETT_Compliance_Review_Triage_Questi onnare_CLH_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This document is sent to Clearinghouse Compliance Review participant and returned prior to requesting artifacts for the Compliance Review. This allows the participant to disclose what HIPAA X12 transactions their organization conducts so the appropriate artifacts are requested for the Compliance Review.	Time needed to complete the questionnaire.
ASETT_Compliance_Review_Triage_Questi onnare_HPL_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This document is sent to Health Plan Compliance Review participant and returned prior to requesting artifacts for the Compliance Review. This allows the participant to disclose what HIPAA X12 transactions their organization conducts so the appropriate artifacts are requested for the Compliance Review.	Time needed to complete the questionnaire.

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ASETT_Compliance_Review_Triage_Questi onnare_PRO_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This document is sent to Provider Compliance Review participant and returned prior to requesting artifacts for the Compliance Review. This allows the participant to disclose what HIPAA X12 transactions their organization conducts so the appropriate artifacts are requested for the Compliance Review.	Time needed to complete the questionnaire.
ASETT_Notice_of_Compliance_Review_Cl osure_CA_Completed_2024_FINAL	None. This is a new document to be used by the Compliance Review Program.	This notice is sent to a Compliance Review participant once they have completed a CAP and have provided the necessary CAP verification artifacts to demonstrate their CAP was successfully executed for each violation found. This is the final notice sent to the participant and confirms the Compliance Review is officially closed.	None