

**Request for genIC Approval**  
**CDC/ATSDR Formative Research and Tool Development**

**0920-1154**

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**CIO:** National Center for Emerging and Zoonotic Infectious Diseases

**PROJECT TITLE:** Formative Research on Antimicrobial Resistance Among Human Health Providers, Consumers, and Policy Influencers

**PURPOSE AND USE OF COLLECTION:** The Centers for Disease Control and Prevention (CDC) is requesting approval for a new generic information collection (gen-IC). The goal of this evaluation is to explore the mindsets—including knowledge, attitudes, and behaviors—among select priority audiences about AR and associated actions to combat it. This research builds on existing formative research to fill gaps and to explore potential messages and approaches to impacting relevant behaviors. This research will be used to inform both CDC's current AR communications as well as potential future communications efforts to increase awareness of AR and drive action to combat AR.

**DESCRIPTION OF RESPONDENTS:** There are three core audiences: human health providers and experts, consumers, and individuals working with and around those who shape federal health policy.

**CERTIFICATION:**

I certify the following to be true:

1. The collection is voluntary.
2. The collection is low-burden for respondents and low-cost for the Federal Government.
3. The collection is non-controversial and does not raise issues of concern to other federal agencies.
4. Information gathered will not be used to substantially inform influential policy decisions.
5. The study is not intended to produce results that can be generalized beyond its scope.

Name: Mike Ruddell, Vice President, KRC Research



To assist review, please answer the following questions:

**Personally Identifiable Information:**

1. Is personally identifiable information (PII) collected? ☒ Yes [ ] No
2. If Yes, is the information that will be collected included in records that are subject to the Privacy Act of 1974? [ ] Yes ☒ No
3. If Applicable, has a System or Records Notice been published? [ ] Yes ☒ No

**Gifts or Payments:**

Is an incentive (e.g., money or reimbursement of expenses, token of appreciation) provided to participants? ☒ Yes ☐ No

Focus group and interview participants will receive a monetary incentive of \$75 for their participation. Survey respondents will also be compensated for their willingness to participate in the data collection. Incentive amounts are determined based on a variety of factors including the time that a respondent will dedicate to the response, the difficulty of reaching a given profile of respondent, and the urgency of the response. Such an incentive is a standard practice in the market research industry and helps to ensure efficient recruitment and ultimate participation among the qualified and scheduled participants. The incentive is also intended to offset the cost of personal or professional time taken to participate.

## BURDEN HOURS

Form Name	Type of Respondent	No. of Respondents	No. Responses per Respondent	Avg. Burden per response (in hrs.)	Total Burden (in hrs.)
Focus Group Screener for Healthcare Providers  <i>Attachment 1</i>	Physicians	160	1	5/60	13
	PAs and NPs	160	1	5/60	13
Focus Group Guide for HCPs about Antimicrobial Resistance  <i>Attachment 6</i>	Physicians	16	1	1.5	24
	PAs and NPs	16	1	1.5	24
Interview Guide for Medical Association about Antimicrobial Resistance  <i>Attachment 9</i>	Medical Association Experts	3	1	1	3
Focus Group Screener for Consumers, Including Parents, and Caregivers  <i>Attachment 2</i>	Consumers	320	1	5/60	27
Focus Group Guide for General Consumers about Antimicrobial Resistance	Consumers	24	1	1.5	36

<i>Attachment 7</i>					
Focus Group Guide for Caregivers about Antimicrobial Resistance	Consumers	8	1	1.5	12
<i>Attachment 8</i>					
In-Depth Interview Screener for Consumers with Previous Antimicrobial-Resistant Infections	Consumers	30	1	5/60	3
<i>Attachment 3</i>					
Interview Guide for Consumers with Past Antimicrobial Resistant Infections on Antimicrobial Resistance	Consumers	3	1	1	3
<i>Attachment 10</i>					
Survey on Antimicrobial Resistance Among National Policy Audiences	Policy Audiences	300	1	13/60	43
<i>Attachment 11</i>					
<b>Total</b>					<b>201</b>

**FEDERAL COST:** The estimated annual cost to the Federal government is \$257,232.74.

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents**

1. Do you have a customer list or something similar that defines the universe of potential respondents and do you have a sampling plan for selecting from this universe? ☒ Yes ☐ No

**If the answer is yes, please provide a description of both below (or attach the sampling plan)? If the answer is no, please provide a description of how you plan to identify your potential group of respondents and how you will select them?**

See Section 1 in Supporting Statement B for a full description of the sampling plan.

### **Administration of the Instrument**

1. How will you collect the information? (Check all that apply)

☒ Web-based or other forms of Social Media

☐ Telephone

☐ In-person

☐ Mail

☐ Other, Explain

2. Will interviewers or facilitators be used? ☒ Yes ☐ No

**Please make sure all instruments, instructions, and scripts are submitted with the request.**

## Instructions for completing genIC Request for Approval for CDC/ATSDR Formative Research and Tool Development

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**TITLE OF INFORMATION COLLECTION:** Provide the name of the collection that is requested.

**PURPOSE and USE:** Provide a brief description of the purpose of this collection and how it will be used. If this is part of a larger study or effort, please include this in your explanation.

**DESCRIPTION OF RESPONDENTS:** Briefly describe the targeted group/groups for this collection.

**CERTIFICATION:** Please read the certification carefully. If you incorrectly certify, the collection will be returned as improperly submitted or it will be disapproved.

**Personally Identifiable Information:** Provide answers to the questions.

**Gifts or Payments:** If you answer yes to the question, please describe the incentive and provide a justification for the amount.

**BURDEN HOURS:**

**Category of Respondents:** Identify who you expect the respondents to be in terms of the following categories: (1) Individuals or Households; (2) Private Sector; (3) State, local, or tribal governments; or (4) Federal Government. Only one type of respondent can be selected.

**Form:** Provide the title of the information collection form.

**No. of Respondents:** Provide an estimate of the Number of respondents.

**Participation Time:** Provide an estimate of the amount of time required for a respondent to participate (e.g. fill out a survey or participate in a focus group).

**Burden in Minutes:** Multiply the Number of responses and the participation time and divide by 60.

**FEDERAL COST:** Estimate the annual cost to the Federal government for this collection.

**If you are conducting a focus group, survey, or plan to employ statistical methods, please provide answers to the following questions:**

**The selection of your targeted respondents.** Please provide a description of how you plan to identify your potential group of respondents and how you will select them. If the answer is yes, to the first question, you may provide the sampling plan in an attachment.

**Administration of the Instrument:** Identify how the information will be collected. More than one box may be checked. Indicate whether there will be interviewers (e.g. for surveys) or facilitators (e.g., for focus groups) used.