

**ELEMENTS OF AN UNEMPLOYMENT INSURANCE (UI)
REEMPLOYMENT SERVICES AND ELIGIBILITY ASSESSMENT (RESEA) GRANT
STATE PLAN**

Instructions: All fields are required.

1. State Name:	
2. State Agency Administrator:	Name: Title: Address: Email:
3. RESEA Program Lead/Contact The person who can answer questions about the RESEA proposal.	Name: Telephone: E-mail:
4. UI Program Lead/Contact The person who can answer questions about the UI aspects of the RESEA proposal. This person may also be the RESEA Program Lead/Contact.	Name: Telephone: E-mail:
5a. Total funds requested for this fiscal year's proposed RESEA State Plan The total amount of funds requested for this year's grant, which may be up to the limit specified in this year's annual RESEA operating guidance	\$ _____ ☐ Requesting less than full formula allotment.

5b. Use this field to break out this year's project costs. Please include a narrative describing this year's program activities, e.g., staffing up, improving program tools etc.		
6a. Total Service Delivery (Program) Cost using this fiscal year's requested allotment Note: This entry includes the evaluation costs.	\$	
6b. Provide a breakout narrative listing this year's program costs and include a line item for evaluation costs.		
7a. Total Administrative Costs using this fiscal year's requested allotment Note: This entry includes information technology (IT).		
7b. Use the space to breakout and explain this year's administrative costs. Please include changes to staffing; IT expansion; and other significant changes etc.		
8a. Unspent RESEA Funds The previous two (2) RESEA Fiscal Year Grants unused funds. Complete the fields as they relate to the specific previous fiscal years. Include the expected date for full obligation and expenditure for each previous fiscal year's remaining funds.	FY _____ \$ _____ Projected obligation date: Projected expenditure date:	FY _____ \$ _____ Projected obligation date: Projected expenditure date:
8b. Use the text box to provide details for each year's unspent funds. Please explain why there are remaining funds for each specific		

FY, this is to include any issues that impacted the previous year's expenditures. Additionally, provide a breakout how the funds will be used and a timeline for expenditure. If the previous FY's remaining balance is 'Zero', please note this in the text field above.

	\$
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this year's	\$
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9. Completed Initial RESEA

The term "initial RESEA" refers to the first meeting between an RESEA service provider and an unemployment compensation claimant who responded to an official notification of selection and required participation in RESEA services.

Complete all fields in the chart below on how specific required initial RESEA activities are staffed, the average time needed, and estimated costs. Use Element 9b 9's additional space provided after the chart to explain any of the chart figures. and information..

<u>Activity</u>	<u>Staff</u> (<u>ES/WIOA/UI/ Other</u>)	<u>Individual/</u> <u>Group</u>	<u>In-Person, Remote, Virtual</u>
Eligibility Review			
Labor Market Information			
Individual Reemployment Plan			
Providing information and access to American Job Center (AJC) services including career services			

Enrollment in Employment Services			
Providing support with individual reemployment plan.			
Referrals to other services			
Other activities performed in addition to required elements. Please describe any additional activities in element 9b.			
Pre-work (Preparation for RESEA)			
Post- work (casework notes etc.) following RESEA			
9b. Average time to conduct initial RESEA			
9c. Average cost to conduct initial RESEA			
10a. Does your state conduct Subsequent RESEAs? The term “subsequent RESEA” refers to any follow up RESEA meetings. that conduct an eligibility review and review of claimant’s activities to determine if additional assistance is needed to support the claimant’s return to suitable work at the earliest possible date. States will determine the extent to which they require any subsequent RESEAs as part of their service delivery model in addition to referrals to reemployment services. ☐ Yes ☐ No			
10b. Use the text field to explain how your state uses subsequent RESEA. Provide information on how many subsequent RESEAs are conducted per claimant and the types of subsequent activities, e.g., Labor Market Information, review of individual employment plan, etc.			

11a. Total Number of RESEAs projected to be scheduled Note: The number provided in ‘Initial to be scheduled’ is interlinked. A change to this number impacts ‘Initial to be completed’ and ‘Initial Fail to report.’ Such that 11a Initial to be scheduled = Initial to be completed (+) Initial Fail to Report. It does not apply to subsequent to be scheduled.	Initial to be scheduled:	Subsequent to be scheduled:
11b. Provide an explanation how the target number was determined.		
12a. Total number of RESEAs projected to be completed Note: The number provided in Initial to be completed is interlinked. A change to this number impacts ‘Initial to be scheduled’ and ‘Initial Fail to report.’ Such that 12a Initial to be completed = Initial to be scheduled (-) Initial Fail to Report. It does not apply to subsequent to be completed.	Initial to be completed:	Subsequent to be completed:
12b. Provide an explanation how the target number was determined. e that exceed 10%		
13a. Total Number of RESEAs Projected for which the Claimant will fail to report Note: The number provided in Initial Fail to Report is interlinked. A change to this number impacts ‘Initial to be scheduled’ and ‘Initial to be completed.’ Such that 13a Initial Fail to Report = Initial to be scheduled (-) Initial to be completed. It does not apply to subsequent fail to report.	Initial Fail to Report:	Subsequent Fail to Report:
13b. Provide an explanation how the target number was determined.		

14. Actions taken to reduce number of claimants failing to report.

If your state reported over 30-percent fail to report on the ETA 9128 reports the previous FY:

- Provide aPlease provide narrative on all actions taken (what did/didn't work) the previous FY and planned actions during the current FY to reduce the number of claimants failing to report.
- Ensure the responses in Elements 12a and 12b align with your response here. Example:*I.E.: If your state reported 44-percent FTR the previous year and the current Projected FTR is 30-percent. The response needs to include the actions to achieve the projected FTR percentage.*
- **If your state reported 30-percent or less on the ETA 9128 reports the previous FY:**
 - Provide aPlease provide narrative discussing the best practices your state attributes to this attainment.
 - ListNarrative on actions taken the previous FY and planned actions during the current FY to reduce the number of claimants failing to report.

15a. Is RESEA statewide Yes/No Check box.

☐ Yes ☐ No

Note: RESEAs are considered statewide if operating in at least one location in each Workforce Innovation and Opportunity Act [WIOA] workforce development area.

15b. Total number of workforce development area(s).

How many workforce development areas are there in the state?	How many American Job Centers (AJCs) are there in the state?	Number of sites providing RESEA: Number of sites providing WPRS:
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15c. Indicate if your state encountered any disruptions in RESEA service operations during the previous year that required a shutdown of RESEA services.

16. Role of UI Staff

- Describe the role played by UI staff in RESEA program management. This narrative is to include: UI's role in decision making, implementing implementation, training, and program management; UI staff are training RESEA staff on how to identify ineligibility issues; How often UI is providing updated training to RESEA service providers; and if UI staff is ensuring reporting accuracy for RESEA required reports.

If "No", did the state indicate how the data reported is verified for accuracy.

17. Selection of RESEA Participants

Describe the state's methodology for selecting claimants to participate in the RESEA program and at what point in the claim series selections are made. If a profiling or statistical model is used, please describe the model including factors used and when the model was last updated/will be updated.

States are to provide information on the actual selection process of participants, a description of the statistical model used, when the model was last updated and or plans for update and a statement about targeted population and how it was determined.

18a. Proper Notification –

All states participating in the RESEA program must provide both an assurance that, and description of how individuals selected to participate in RESEA will receive proper notifications regarding the program's eligibility conditions, requirements, and benefits. Proper notifications must be in clear and simple language and include warnings to ensure selected individuals are fully aware of the consequences of noncompliance with the state's policies related to non-attendance and/or nonfulfillment of UI work search requirements. (Section 306(e)(1)(A)(i), Social Security Act (SSA)).

Attached is a separate copy of the Notification letter to this state plan. Do you assure that proper notification as described in Element

18a will be provided to the RESEA claimant?

☐ Yes ☐ No

18b. Attached is a separate copy of the Notification letter to this state plan.

☐ Yes ☐ No

18c. Insert description of notification process. This narrative is to include: Description of how the notification process works (automated and manual actions), use of phone calls, letters, and text; and planned Discussing plans updates or changes to the notification system with the projected implementation date.

19a. Reasonable Scheduling Accommodations

To maximize participation in the RESEA program, the state must provide assurance that, and a description of how reasonable scheduling accommodations are made available to individuals selected for RESEA (Section 306(e)(1)(A)(ii), SSA).

Do you assure that reasonable scheduling accommodation is available to RESEA participants?

☐ Yes ☐ No

19b. Insert a description of the reasonable scheduling accommodation(s) provided. Please describe your rescheduling policies, procedures, and limitations for rescheduling. Be sure to identify which items have been automated versus in-person.

20a. UI Feedback Loop and Adjudication.

UI feedback should loop from the RESEA provider to the UI system and the process should be clear, while UI eligibility issues are identified during RESEA.

Refer to UIPL No. 14-18: *Unemployment Insurance and the Workforce Innovation and Opportunity Act*.

Insert a brief description regarding the feedback loop from the RESEA provider to the UI system as it relates to UI eligibility issues, e.g., able and available, inadequate work search, return to work, etc.

20b. UI Feedback Loop and Adjudication -- Communication

Insert a brief description of the feedback loop communication system for UI eligibility issues identified during the RESEA for adjudication. This narrative is to include: How the communication system supports a two-way communication between RESEA providers and UI; frequency of the communication loop (daily, weekly, as needed); and how long the issue remains open with the claimant?

21. Activities Supporting RESEA's Statutory Purposes

Each state must provide assurance that, and description of how, the planned RESEA program will conform to the four statutory purposes identified below (Section 306(e)(1)(B), SSA).

21a. Purpose 1: To improve employment outcomes of individuals that receive unemployment compensation and to reduce the average duration of receipt of such compensation through unemployment.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 1 as described in Element 21a?

☐ Yes ☐ No

21b. Insert brief description of specific RESEA program elements and/or activities that support purpose 1. This narrative is to include: How the services improve employment outcomes; How the state verifies the employment outcomes are improving; What reemployment services/career services are offered; What assistance is provided with the reemployment plan and how is the reemployment plan used; How often is the reemployment plan revised or updated while the claimant is participating in RESEA; What partners are being used to remove barriers of unemployment; Are RESEA participants referred to recruitment events; and What WIOA services are RESEA participants referred to?

21c. Purpose 2: To strengthen program integrity and reduced improper payments of unemployment compensation by states through the detection and prevention of such payments to individuals who are not eligible for such compensation.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 2 as described in Element 21c.?

☐ Yes ☐ No

21d. Insert brief description of specific RESEA program elements and/or activities that support purpose 2. This narrative is to include: Narrative explaining how the activity is supporting program integrity; How the Feedback Loop is used to ensure integrity and support communication; UI staff is training RESEA staff to identify claimant issues and how often (reference element 16); How often is UI meeting with RESEA providers to issue training and review the RESEA program (reference element 16); and How is UI and RESEA service providers ensuring eligibility issues are identified and reported to UI (looking for internal controls).

21e. Purpose 3: To promote alignment with the broader vision of the Workforce Innovation and Opportunity Act (WIOA) (29 U.S.C. 3101 et seq.) of increased program integration and service delivery for job seekers, including claimants for unemployment compensation. (Note: Additional information about the vision of WIOA is provided in Training and Employment Guidance Letter No. 19-14, Vision for the Workforce System and Initial Implementation of the Workforce Innovation and Opportunity Act and additional information specific State UI programs is provided in Unemployment Insurance Letter No.14-18, Unemployment Insurance and the Workforce Innovation and Opportunity Act).

Do you assure that the proposed RESEA program design and planned activities conform to purpose 3 as described in Element 21e?

☐ Yes ☐ No

21f. Insert brief description of specific RESEA program elements and/or activities that support purpose 3 including information about how RESEA has been integrated into the State's workforce system and network of AJCs. This narrative is to include: How integrated services are delivered (intake procedures, staff training, and strategic efforts); Are RESEA staff trained on other workforce system partner program requirements? Reemployment activities and how they interconnect; Activities and services the state is planning that are not currently provided (along with implementation timeframe); and Are RESEA staff cross-training other program staff on RESEA, and how often?

21g. Purpose 4: To establish reemployment service and eligibility assessment as an entry point for individuals receiving unemployment compensation into other workforce system partner programs.

Do you assure that the proposed RESEA program design and planned activities conform to purpose 4 as described in Element 21g?

☐ Yes ☐ No

21h. Insert brief description of specific RESEA program elements and/or activities that support purpose 4.

This narrative includes: the description of the strategies used to connect the RESEA participants to other workforce partners (warm introduction or electronic introduction); Examples of how RESEA is working with WIOA dislocated workers (if applicable); Expectations for RESEA participants regarding referrals; and information about how RESEA has been integrated into the State's workforce system and network of AJCs and including other partner organizations that RESEA participants may be referred.

22a. Evidence-based Standards and Evaluation Requirements

Each state must provide assurances and a description of how the state's RESEA program will satisfy the requirement to use grant funds only for interventions and service delivery strategies designed to reduce the number of weeks for which program participants receive unemployment compensation by improving employment outcomes for participants, including employment and earnings. States implementing RESEA interventions or service delivery strategies without a high or moderate causal rating must be under evaluation at the time of use. (Section 306(e)(1)(B), SSA).

Do you assure that the state's RESEA program will satisfy the requirement to use grant funds only for interventions and service delivery strategies designed to reduce the number of weeks for which program participants receive unemployment compensation by improving employment outcomes for participants, including employment and earnings?

☐ Yes ☐ No

Do you assure that the planned RESEA interventions or service delivery strategies that do not have a high or moderate causal rating are under evaluation at the time of use?

☐ Yes ☐ No

22b. Is your state using interventions that have received a 'High' or 'Moderate' RESEA causal rating in the Clearinghouse for Labor

Education and Research (CLEAR)?

☐ Yes ☐ No

22d. Insert an explanation of how your state's interventions and service delivery strategies are appropriate to the population served.

This explanation may include a description of how the factors of the state's current population was considered when deciding on the intervention(s); Is the intervention(s) the same across the state or to those areas providing RESEA services?; What are the desired outcomes of the intervention(s) (for example: decreased UC, decreased UC duration, people returning to work quicker, wage improvement, etc.)?; and what has been observed already, in terms of desired outcomes?

22e. Insert, a description of the evaluation structure the State plans to use for interventions and service delivery strategies without at least a moderate or high causal evidence rating. This evaluation structure may include a whole program evaluation, evaluation of specific program components/interventions, or national evaluations conducted by the U.S. Department of Labor or by other entities.

At a minimum, the description should: Identify what intervention is being evaluated and how it is being implemented; State the evaluation's research questions; Describe the evaluation's research design (e.g., type of study, outcomes being studied, description of

study sample (if applicable), and type of data collection); Identify who is conducting the evaluation; and Clarify the stage of the evaluation: (1) Planning and procurement; (2) Data collection; or (3) Analysis and reporting •	
22f. Amount of funds that will be set aside to conduct or cause to be conducted evaluations of interventions used in carrying out this year's RESEA Program. This amount is limited to 10 percent of this year's grant award (Section 306(d)(2), SSA).	\$
22g. Insert a description of any evaluations of reemployment interventions and service delivery strategies conducted in the prior fiscal and any data collected on: 1. Characteristics of program participants; 2. Number of weeks for which program participants receive unemployment compensation; and 3. Employment and other outcomes for program participants consistent with State performance accountability measures provided by the State unemployment compensation program and performance outcome measures as defined in section 116(b) of the Workforce Innovation and Opportunity Act (29 U.S.C. 3141(b)) In addition to information from formal evaluations, states may include information from other forms of analyses such as reviews of administrative data analysis of quarterly reporting provided to DOL such as the 9128 or 9178 reporting, or state administrative reports.	
22c. Complete the chart below providing additional information about RESEA component/activities, their intervention high or moderate intervention causal rating, the costs associated with that component/activity, and what percentage it is of the current grant.	

	(a) <u>RESEA Component/Activity</u>	(b) <u>Intervention Causal Rating (High/Moderate) and Intervention Name (REA; JSA; Profiling; etc.)</u> and list the associated citation and date of the Study from CLEAR Note: There may be multiple relevant studies that support the activity with different intervention causal ratings. Make a list	(c) <u>RESEA Cost of Component/Activity</u> For spending or staff time spent on high or moderate rated intervention activities,	(d) <u>Percentage of Current Grant</u> Show calculations by using column "c" divided by Element 5a.

		accordingly.	provide an explanation of the total cost of the component / activity.	
Ex.a	EXAMPLE: Additional RESEA Activity/Component: Job-search assistance	Moderate JSA (Job Search Assistance) Services -- Assisting Unemployment Insurance claimants: The long-term impacts of the Job Search Assistance Demonstration (Decker et al 2000)	21,790 * \$34.37 = \$748,922.30	\$748,922.30/\$6,401,672 = 11.7%
Ex.b	Provide a brief description of Activity/Component: Reviewed two weeks' worth of claimants work searches.			
1a.	Required Initial RESEA Meeting Activity: • Eligibility Review • Labor Market Information • Individual Reemployment Plan • Providing information and access to American Job Center (AJC) services • Enrollment in Employment Services			

	• Providing support with individual reemployment plan • Referrals to other services			
1b.	Provide a brief description of Activity:			
2a.	For states that offer a subsequent meeting, please use 2a and 2b. For states that don't offer a subsequent meeting, please insert "N/A" in 2a and 2b. Subsequent RESEA Meeting Activity: • Eligibility Review • Review work search activities • Review individual reemployment plan			
2b.	Provide a brief description of Activity:			
3a.	Additional RESEA Activity/Component:			

3b.	Provide a brief description of Activity/Component:			
4a.	Additional RESEA Activity/Component:			
4b.	Provide a brief description of Activity/Component:			
5a.	Additional RESEA Activity/Component:			
5b.	Provide a brief description of Activity/Component:			
6a.	Additional RESEA Activity/Component:			
6b.	Provide a brief description of Activity/Component:			
7a.	Additional RESEA Activity/Component:			
7b.	Provide a brief description of Activity/Component:			
8a.	Additional RESEA Activity/Component:			
8b.	Provide a brief description of Activity/Component:			

9a.	Additional RESEA Activity/Component:			
9b.	Provide a brief description of Activity/Component:			
10a.	Additional RESEA Activity/Component:			
10b.	Provide a brief description of Activity/Component:			
11.	Totals		\$	%

Please insert a PDF copy of the template notification letter in the space below, as indicated in element 17a.