

Identifying Information

Charter Number

Bank Name

Cash Intensive Businesses

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

1. Convenience Stores

2. Liquor Stores

3. Independent ATM Owners/Operators

4. Domestic Charitable Organizations

5. Jewelry, Gem & Precious Metal Dealers

6. Casinos

7. Car Dealers

Domestic Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

8. Domestic Private Banking

9. Domestic Commercial Letters of Credit

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10. Stand-by Letters of Credit

0

0

Domestic Customers

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

11. Marijuana Related Businesses

0

0

12. Customers/Accounts opened through the
Internet, Mail, Wire or Phone (non-branch)

0

0

13. Domestic Deposit Brokers

0

0

14. Travel Agencies

0

0

15. Broker Dealers

0

0

16. Telemarketers

0

0

17. Remotely Created Check Customers

0

0

18. Domestic Remote Deposit Capture
Customers

0

0

19. Third Party Senders

0

0

Domestic Transactions

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

20. Cash Transactions

0

0

21. Issuance of Traveler's Checks, Official Bank
Checks & Money Orders

0

0

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22. Domestic Wire Transfers	<input type="text" value="0"/>	<input type="text" value="0"/>
23. PUPID Wire Transfers (Domestic and International)		
PUPID Wire Transfers (Domestic and International) Total	<input type="text" value="0"/>	<input type="text" value="0"/>
24. ACH	<input type="text" value="0"/>	<input type="text" value="0"/>
25. Remotely Created Checks	<input type="text" value="0"/>	<input type="text" value="0"/>
26. Domestic Remote Deposit Capture	<input type="text" value="0"/>	<input type="text" value="0"/>

Foreign Customers

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

	Total Number	Total Volume
27. Non-Resident Alien Accounts		
Non-Resident Alien Accounts Total	<input type="text" value="0"/>	<input type="text" value="0"/>
28. Politically Exposed Persons		
Politically Exposed Persons Total	<input type="text" value="0"/>	<input type="text" value="0"/>
29. Foreign Off-Shore Corporations		
Foreign Off-Shore Corporations Total	<input type="text" value="0"/>	<input type="text" value="0"/>
30. Foreign Deposit Brokers		
Foreign Deposit Brokers Total	<input type="text" value="0"/>	<input type="text" value="0"/>
31. Foreign Charitable Organizations		
Charitable Orgs. (Foreign) Total	<input type="text" value="0"/>	<input type="text" value="0"/>
32. Import/Export	<input type="text" value="0"/>	<input type="text" value="0"/>
33. Foreign/International Remote Deposit Capture		
Foreign/International Remote Deposit Capture Total	<input type="text" value="0"/>	<input type="text" value="0"/>

International Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

34. Foreign Correspondent Accounts

Foreign Correspondent Accounts Total

0

0

35. Pouch Services

Pouch Services Total

0

0

36. Foreign Bank Affiliate (e.g. Parallel Banks)

Foreign Bank Affiliate (e.g. Parallel Banks)
Total

0

0

37. International Department

International Department Total

0

0

38. International Private Banking

International Private Banking Total

0

0

39. Embassy & Consulate Banking

Embassy & Consulate Banking Total

0

0

40. International Commercial Letters of Credit

International Commercial Letters of Credit Total

0

0

International Transactions

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

41. International Bank Drafts

International Bank Drafts Total

0

0

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42. International Wire Transfers

International Wire Transfers Total

43. Remittance Products

Remittance Products Total

44. Cross-Border ACH

Cross-Border ACH Total

Money Services Businesses

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

45. Domestic Casas de Cambio/Currency Exchange

46. Foreign Casas de Cambio/Currency Exchange

Foreign Casas de Cambio/Currency Exchange Total

47. Money Transmitters

48. Check Cashers

49. Issuers or Sellers of Traveler's Checks or Money Orders

50. Prepaid Card Providers and Managers

51. Sellers of Prepaid Access

52. Bank-Fintech Partnerships

Prepaid Cards

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

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Total Number

Total Volume

53. Reloadable Prepaid Cards and Card Programs

54. Non-Reloadable Prepaid Cards and Card Programs

55. Prepaid Card Programs - Bank Sponsored (Reloadable and Non-Reloadable)

56. Prepaid Cardholders (Reloadable and Non-Reloadable)

Trust Department / Investments / Brokered Accounts

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

57. Domestic Charitable Trusts & Foundations

58. Foreign Charitable Trusts & Foundations

Foreign Charitable Trusts & Foundations Total

59. Custodial Accounts

60. Investment Advisory Accounts

61. Revocable Trusts

62. Foreign Grantor or Beneficiaries

63. Loans to Closely Held Corporations

64. Brokerage Department/Operations

65. Investment Advisory/Management

Crypto Assets

Please refer to the User Guide for instructions on how to fill out the form. Depending on the PSC, different rules may apply.

Do you have anything to report?

Total Number

Total Volume

66. Crypto-Assets Custody

67. Stablecoin Issuance

68. Stablecoin Payments

Optional Feedback

Optional: Feedback/Suggestions on ways to improve the Risk Summary Form and OCC's Money Laundering Risk System data collection process.

In Addition, you may include pertinent information regarding your submitted data

Paperwork Reduction Act Statement:

This collection of information has been approved by the Office of Management and Budget (OMB) under control number 1557-0231 (expires XX/XX/XXXX). Under the paperwork Reduction Act, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently a valid OMB control Number. The OCC estimates that it will take community banks, federal branches, and agency respondents 5.5 hours to complete this form.