OMB Control No. 2060-0749
Expires: 09/30/2026

# RFS Independent Third-Party List of Potentially Invalid RINs (RFS2301): Instructions for Completing

## Who must report

- All independent third-party auditors that verified RINs, biogas, or biointermediate in a calendar quarter.

## Reporting requirements

- 40 CFR 80.1451(g)(2) sets forth the reporting requirements for this form.
- Provide a summary of the identifying information for any batches of RINs or biogas/biointermediate that the independent third-party auditor has identified as potentially invalid RINs (PIR) or potentially improperly produced biogas or biointermediate under 40 CFR 80.1474 during the calendar quarter. Submit a separate row for each batch of PIRs or potentially improperly produced biogas or biointermediate.
- If a report field does not apply, enter the value "NA". **Do NOT leave any field blank**.

## Reporting deadlines

- Independent third-party auditors must report on a quarterly basis as follows:

| Calendar Quarter | Time Period Covered | Quarterly Report Deadline |
|---|---|---|
| Quarter 1 | January 1 – March 31 | June 1 |
| Quarter 2 | April 1 – June 30 | September 1 |
| Quarter 3 | July 1 – September 30 | December 1 |
| Quarter 4 | October 1 – December 31 | March 31 |

## How to submit reports

- Please check the RFS reporting web site for updated instructions and templates: https://www.epa.gov/fuels-registration-reporting-and-compliance-help/reporting-fuel-programs
- For information on submitting this report using EPA's Central Data Exchange (CDX) visit: https://www.epa.gov/fuels-registration-reporting-and-compliance-help/user-guides-otaqdcfuel-central-data-exchange-cdx

## Field Instructions

| Field No. | Field Name | Units | Field Formats, Codes & Special Instructions |
|---|---|---|---|
| 1 | Report Form ID | | **AAAAAAA**; *Character*. Enter **RFS2301**. |
| 2 | Report Type | | **A**; *Character*. Specify if this report is original or if it is being resubmitted. Submit only one original report; any corrections or updates should be marked as a resubmission.<br>**O** = Original<br>**R** = Resubmission |

| Field No. | Field Name | Units | Field Formats, Codes & Special Instructions |
|---|---|---|---|
| 3 | CBI | | **A**; *Character*. Specify if the data contained within the report are claimed as Confidential Business Information (CBI) under 40 CFR Part 2, subpart B, except the information that cannot be claimed as CBI per 40 CFR 80.1402.<br><br>**Y** = Confidential Business Information<br>**N** = Non-Confidential Business Information |
| 4 | Report Date | | **MM/DD/YYYY**; *Character*. Enter the date this report is completed. |
| 5 | Compliance Year | | **YYYY**; *Character*. Enter the compliance year the report covers. |
| 6 | Calendar Quarter | | **AA;** *Character.* Enter the calendar quarter under the compliance year this report covers:<br><br>**Q1:** Quarter 1 (January – March)<br>**Q2:** Quarter 2 (April – June)<br>**Q3:** Quarter 3 (July – September)<br>**Q4:** Quarter 4 (October – December) |
| 7 | Independent Third-Party Auditor Company ID | | **AAAA**; *Character*. Enter the EPA-assigned four-character ID for the independent third-party auditor. |
| 8 | Independent Third-Party Auditor Company Name | | **AAAA…;** *Character (125 Max)*. Enter the registered name of the independent third-party auditor. |
| 9 | Audited Party Company Name | | **AAAA…;** *Character (125 Max)*. Enter the registered name of the audited party. |
| 10 | Audited Party Company ID | | **AAAA.** *Character*. Enter the EPA-assigned four-digit company ID of the audited party. |
| 11 | Audited Party Facility ID | | **AAAAA.** *Character*. Enter the EPA-assigned five-digit facility ID or reporting ID of the audited facility. |
| 12 | RIN or Production Year | | **YYYY.** *Character.* For renewable fuel or RNG, enter the RIN year of the individual batch of renewable fuel or RNG. For biogas or biointermediate, enter the year the batch of biogas or biointermediate was produced. |
| 13 | Batch ID of the potentially invalid batch | | **AAAAAA.** *Character.* Enter the six-digit character ID representing the batch identified as potentially invalid. |

| Field No. | Field Name | Units | Field Formats, Codes & Special Instructions |
|---|---|---|---|
| 14 | Potentially Invalid RIN Code | | **AAA.** *Character.* Enter the three-digit character code representing the reason the batch was identified as potentially invalid or improperly produced.<br><br>**DUP:** A duplicate of a RIN or volume<br>**VOL:** Incorrect volumes, not standardized to 60˚ F, or using incorrect heating value basis<br>**IEV:** Incorrect equivalence value<br>**DEF:** Does not represent renewable fuel (40 CFR 80.2)<br>**DCD:** Assigned an incorrect "D" code<br>**PIB:** Potentially invalid biointermediate<br>**PIG:** Potentially invalid biogas<br>**OTH:** Other improper generation or production (describe in field 16) |
| 15 | Description why batch was identified as potentially invalid or biointermediate batch was identified as potentially improperly produced | | **AAAA…;** *Character (1000 Max).* Provide a description as to why the RIN batch has been identified by the independent third-party auditor as potentially invalid or why the biointermediate has been identified by the independent third-party auditor as potentially improperly produced. |
| 16 | Comments | | **AAAA…;** *Character (1000 Max).* Enter any necessary comments or recordkeeping information. Enter "NA" if there are no comments. |